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## Modelling ascending vaginal infection, preterm birth, and neonatal morbidity in mice --Manuscript Draft--

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**TITLE:**

Modeling Ascending Vaginal Infection, Preterm Birth, and Neonatal Morbidity in Mice

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**KEYWORDS:**

Preterm birth; ascending vaginal infection; inflammation; neuropathology; mouse

**SUMMARY:**

Preterm birth (delivery < 37 weeks) is an urgent global health issue with suboptimal prevention and treatment options. We present a mouse model of ascending vaginal bacterial infection-induced preterm birth and outline how to analyze the resulting neonatal morbidity and mortality.

**ABSTRACT:**

Preterm birth continues to be a primary cause of neonatal mortality and morbidity globally, with limited preventative therapies. Various mouse models of preterm birth exist. However, they do not recapitulate human neonatal morbidities, including lung injury and neuropathological changes, which are characteristic sequelae of ascending infection and preterm birth. We have characterized a model which, to our knowledge, is the only one that mimics the human scenario whereby preterm birth and reduced pup survival are induced by a live bacterium which ascends from the vagina and, critically, also recapitulates these neonatal morbidities. This paper demonstrates the induction of this model using the intravaginal administration of a bioluminescent strain of *Escherichia coli* and details the assessment of neuropathological outcomes and lung injury using immunohistochemistry and histology techniques. There is an imperative need for clinically relevant and reproducible animal models for the translation of innovative interventions to become a reality. Vaginal ascending infection in pregnant mice causes neuropathology, as well as lung inflammation, in pups—fundamental neonatal complications experienced by premature infants.

## INTRODUCTION:

Preterm birth (PTB; delivery < 37 weeks) is the main contributor to neonatal mortality worldwide<sup>1,2</sup>. Accounting for 11% of all births, it is a major global health problem with a complex etiology that is poorly understood, making it difficult to predict and prevent<sup>3-5</sup>. Neonatal survivors of PTB may experience adverse neurodevelopmental outcomes, including cerebral palsy, respiratory disorders, such as bronchopulmonary dysplasia (BPD), and gastrointestinal disturbances such as necrotizing enterocolitis (NEC)<sup>6-8</sup>. Therefore, there is an urgent need for clinically relevant, reproducible animal models to address the biological pathways contributing to PTB and develop innovative interventions.

There are a number of possible mechanisms underlying spontaneous PTB, such as decidual senescence, a breakdown of maternal-fetal tolerance, stress, short cervical length, or cervical damage<sup>9</sup>. However, at least 40% of spontaneous PTBs are reported to be associated with microbial infection<sup>10,11</sup>. Infection ascending from the vaginal canal through the cervix to the uterus is hypothesized and widely accepted as a common route of infection due the correlation between microbes isolated from the amniotic fluid and those resident in the vaginal microbiota<sup>12-14</sup>. Microorganisms often associated with preterm delivery include species of *Mycoplasma*, *Ureaplasma*, *Fusobacterium*, and *Streptococcus*, and most cases are polymicrobial<sup>15,16</sup>.

Mice are often used to model PTB, with the most common approach being the administration of the bacterial toxin lipopolysaccharide (LPS), which can be delivered via intrauterine, intraperitoneal, or intra-amniotic routes to induce levels of inflammation necessary to initiate parturition<sup>17</sup>. However, LPS does not model live microbial infection, and importantly, neonatal outcomes cannot be measured in these models as LPS induces fetal death<sup>18</sup>. In recent years, animal models of ascending vaginal bacterial infection have been developed but recapitulating both preterm birth and the associated neonatal outcomes has been a challenge<sup>19-24</sup>.

Our group has established a novel model of ascending vaginal bacterial infection, preterm birth, and neonatal morbidity that recapitulates the pathophysiology of human PTB<sup>25</sup>. Here, we demonstrate how to assess neonatal neuropathology and lung injury in pups exposed to maternal ascending vaginal infection with a pathogenic *Escherichia coli* (*E. coli*) strain that has been linked to human neonatal meningitis. This model offers a unique opportunity to explore the pathophysiology of ascending infection, as well as providing the means to evaluate the effectiveness of preterm labor interventions in improving neonatal outcomes.

## PROTOCOL:

Procedures were conducted under a UK Home Office License (PAD4E6357) in accordance with the Animal Scientific Procedures Act (1986) and the ARRIVE guidelines. Temperature (19–23 °C), humidity (~55%), and 12 h light/dark cycles were tightly controlled. Virgin female C57BL/6 Tyr<sup>c-2J</sup> mice (aged 6–12 weeks) were time mated overnight for 16–18 h. Evidence of the copulatory plug was assigned as embryonic day (E) 0.5.

Pathogenic *E. coli* O18:K1 (A192PP)<sup>26</sup>, a rat passaged strain of A192 (DSM. No. 10719)<sup>27</sup>, was modified to contain the lux operon (luxCDABE), originating from the nematode symbiont

*Photorhabdus Luminescens* ATCC29999 (Hb strain), and including a kanamycin resistance cassette (Km2)<sup>28</sup>. This strain is categorized as Hazard Group 2 by the UK Government Advisory Committee on Dangerous Pathogens (ACDP). Wear appropriate personal protective equipment (PPE) and follow local guidelines when handling and disposing of biological agents.

## 1. Mouse model of ascending vaginal bacterial infection

### 1.1. Preparation of *E. coli* cultures

1.1.1. Prepare Luria-Bertani (LB) broth by dissolving the powder in distilled water (dH<sub>2</sub>O) to make a 20% solution and autoclave. Once the LB has cooled to room temperature, add 50 µg/mL kanamycin. Store at 4 °C for up to 1 week.

1.1.2. Prepare a 20% Pluronic gel F127 solution by dissolving the solid in sterile phosphate-buffered saline (PBS). Vortex to mix and agitate at 4 °C overnight.

1.1.3. Add 10 mL of antibiotic-treated LB broth to a sterile 30 mL universal container. Remove a frozen glycerol stock of *E. coli* from -80 °C storage. Using a sterile pipette tip, scrape the frozen glycerol stock of *E. coli* (CAUTION) to lightly coat the end of the tip and eject the tip directly into the LB broth. Secure the universal container with parafilm, label it as a biohazard, and place it in an orbital shaker overnight (200 rpm) at 37 °C.

1.1.4. The following morning, dilute the bacterial culture 1:100 (0.1 mL of culture + 9.9 mL of fresh LB broth) and return it to the orbital shaker for 1.5–3 h (200 rpm at 37 °C), regularly checking the growth of the culture by measuring the absorbance at 600 nm. Pipette 100 µL of the culture in duplicate into a clear, flat-bottomed 96-well plate. Measure the absorbance on a spectrophotometer with pathlength correction activated. An OD<sub>600</sub> between 0.5 and 0.7 signifies the midlogarithmic-phase of growth.

1.1.5. When OD<sub>600</sub> 0.5–0.7 is reached (~10<sup>8-9</sup> colony-forming units [CFU]), remove 100 µL from the bacterial culture and dilute in 900 µL of sterile PBS. Centrifuge at 14,000 × *g* for 1 min to wash the bacterial pellet and remove the LB broth. Remove the supernatant, resuspend the bacterial pellet in sterile PBS, and dilute 1:10,000 in sterile PBS (to 10<sup>2-3</sup> CFU).

1.1.6. Disinfect all tips and containers exposed to bacteria prior to disposal.

### 1.2. Anesthesia

1.2.1. On E16.5, anesthetize pregnant mice by the inhalation of isoflurane (5% for induction, 1.5% for maintenance in oxygen). Transfer the flow of anesthesia and then, the animal to the nose cone, placing the female in the prone position. Confirm anesthesia via the absence of a toe-pinch reflex and maintain isoflurane at the minimum percentage required for the procedure.



CAUTION: Isoflurane is an eye and skin irritant and central nervous system toxicant. Wear appropriate PPE. Always use a scavenging system.

1.2.2. Administer 20  $\mu$ L of midlogarithmic-phase *E. coli* ( $1 \times 10^{2-3}$  CFU resuspended in sterile PBS) or sterile PBS (vehicle control) into the vagina using a sterile 200  $\mu$ L pipette tip (**Figure 1**). Using a fresh sterile 200  $\mu$ L pipette tip, deliver 20  $\mu$ L of 20% Pluronic gel F127 gel into the vagina to prevent bacterial leakage. Using sterile gauze, dab quinine powder onto the introitus to deter the mice from cleaning the bacterial suspension. Record the date and time of the treatment.

NOTE: CFU used were based on titration experiments to achieve the desired phenotype (preterm birth with live pups). CFU can be determined by performing serial dilutions and plating on LB agar plates<sup>29</sup>.

1.2.3. Singly cage animals in individually ventilated cages (IVC) and allow them to recover from the anesthesia before returning the cage to the holding room; clearly mark the cage as a biohazard. Monitor the animals until their normal behavior has resumed.

NOTE: This procedure should take no more than 5 min, and animals should recover quickly (within 2–5 min).

### 1.3. Measurement of gestational outcomes

1.3.1. Set up a CCTV by attaching one camera to the outside of each cage to monitor the mice for signs of parturition and pup delivery. Record the time taken until delivery as the number of hours from the time of intravaginal administration of *E. coli* or PBS (step 1.2.2) to the delivery of the first pup.

1.3.2. Record the number of live/dead pups at delivery and calculate a percentage of survival per litter. Observe the dam and pups daily, recording the survival of pups up to 7 postnatal days and ensuring the recovery of the dams. Take other measurements, such as pup weight and length, during this period.

NOTE: Signals of parturition include increased grooming, spinning in circles, and stretching and kicking out their hind legs. As this is a live bacterial infection, the dams will develop symptoms over time (usually 24 h after treatment). The female should recover from the infection but if she is still exhibiting symptoms of infection after delivery, has not built a nest, or fed her pups within the first day, then humanely sacrifice the animals using Schedule 1 methods (such as exposure to CO<sub>2</sub> in a rising concentration and confirming death by cervical dislocation).

### 1.4. Bioluminescence imaging

1.4.1. Following bacterial inoculation, image the females at 24 h and 48 h to track the spread of infection, as described below. Image the pups on postnatal day (P)0 up to P14 without the need for anesthesia.

1.4.2. Anesthetize adult females by the inhalation of isoflurane (5% for induction, 1.5% for maintenance in oxygen). Place the animals in the supine position in a bioluminescence imaging machine with a heated stage while maintaining the anesthesia to the mice.

1.4.3. After opening the software, click **Initialize** and wait for the camera to reach operating temperature (the temperature bar will turn green and “lock”). Check the box for **Luminescent** imaging, and confirm that **Excitation Filter** is set to **Block** and the **Emission Filter** is set to **Open**. Select **Auto** exposure time or manually set the **exposure time** (exposure range: **1 min–5 min**). After imaging, return the animals to their cages to recover from the anesthesia before returning them to the holding room.

1.4.4. To measure the photon radiance quantitatively, select regions of interest (ROIs) on the mouse images and record the photons per second per centimeter squared per steradian ( $\text{photons} \cdot (\text{second} \cdot \text{cm}^2)^{-1} \cdot \text{sr}^{-1}$ ).

## **2. Fetal and neonatal tissue analyses**

### **2.1. Perinatal tissue collection**

2.1.1. On E18.5, sacrifice the dam by exposure to rising CO<sub>2</sub> concentration and confirming death by cervical dislocation and perform a mini laparotomy to remove the uterus; then, make an incision in the uterus using scissors.

2.1.2. If collecting amniotic fluid, make a small incision in the membranes and collect the fluid using a Pasteur pipette or a needleless syringe.

2.1.3. Using forceps, with one hand hold the outside of the uterus at the point where the placenta is attached and, with curved forceps in the other hand, gently detach the placenta from the inner uterine wall by teasing the tissues apart, carefully guiding the fetus, with the membranes and placenta attached, out of the uterus. Again, with forceps in each hand, gently hold down the placenta and grasp and pluck the membranes at the point where they meet the placenta (ensure that these come off cleanly).

2.1.4. Now that the tissues are separated, collect the required tissues from the dam (e.g., uterus, placenta, fetal membranes, cervix, and vagina) and fetuses (e.g., brain, lungs, and gut).

2.1.5. Immediately snap-freeze the tissues on dry ice or in liquid nitrogen and/or fix tissues in paraformaldehyde (PFA; 4% in PBS).

2.1.6. Store snap-frozen tissue at -80 °C and fix the tissues for 24–48 h; then, store in 30% sucrose at 4 °C.

CAUTION: PFA is flammable and may cause eye, skin, and respiratory irritation. Prepare 4% solution in a biosafety cabinet and wear appropriate PPE when handling.

## **2.2. Postnatal tissue collection**

2.2.1. On P7, anesthetize the pups using isoflurane (5% for induction, 1.5% for maintenance in oxygen).

2.2.2. Perform exsanguination by incising the right atrium and inject 10 mL of PBS into the left ventricle. Decapitate the carcass and remove the brain and lungs using sterile scissors and forceps. Process and store tissue as described above.

NOTE: Maternal and fetal snap-frozen tissue can be analyzed for inflammatory and other mediators by qPCR, ELISA, and western blot. Protocols for these techniques are readily available from the reagent manufacturers or in other publications<sup>25,30,31</sup>.

## **2.3. Cryosectioning of fetal and neonatal brains**

2.3.1. Using a blade, remove the cerebellum to create a flat surface and freeze the tissue on dry ice. Mount the frozen tissue on the specimen holder using optimal cutting temperature (OCT) compound, and cover the tissue in a thin layer of OCT. Allow to freeze (1–2 min).

2.3.2. Using a cryostat set to -20 °C, ensure the lever is locked and load the specimen holder. Finely adjust the tissue position and lock in place. Trim the tissue and begin collecting tissue sections on microslides from the fusion of the corpus callosum, immediately freezing the sections on dry ice. Cut 50 serial 40 µm sections of each tissue.

CAUTION: Be careful with the sharp blade.

2.3.3. Store the frozen sections on microslides at -80 °C.

## **2.4. Immunohistochemistry**

NOTE: The protocol below can be used to identify astrocytes (glial fibrillary acidic protein; GFAP), microglia (allograft inflammatory factor 1; IBA-1), and neutrophils (lymphocyte antigen 6 family member G; Ly6G). Antibody information can be found in **Table 1**.

2.4.1. Select five sections per brain, 400 µm apart, which will allow the assessment of different brain regions at variable depths of the brain. Rehydrate frozen sections with a drop of dH<sub>2</sub>O and spread and unfold the tissue using two fine brushes. Dry the tissue under a fan for 20–30 min. Using a hydrophobic (PAP) pen, carefully draw around the tissue without touching it.

2.4.2. On day 1, prepare 4% formaldehyde (FA) by adding 5.4 mL of formaldehyde in 50 mL of 0.1 M phosphate buffer (PB) for one 50 mL cuvette (15 slide capacity). Immerse the slides in FA for 5 min to fix the cryosections, allowing adhesion to the slides, and to immobilize antigens.

CAUTION: FA is flammable and can cause eye, skin, and respiratory irritation. Prepare a 4% solution in a biosafety cabinet and wear appropriate PPE when handling.

2.4.3. Prepare 0.1% bovine albumin serum (BSA) by adding 0.4 g of BSA to 400 mL of 0.1 M PB. Wash the sections 2x by immersing them in 0.1 M PB, followed by one wash in BSA (~3 s per wash).

2.4.4. Prepare humidity chambers by wetting tissue paper with dH<sub>2</sub>O and place these in the chamber, draining any excess water.

2.4.5. Carefully dry the slide around the tissue section with tissue paper, ensuring the PAP pen border is intact. Prepare 5% goat serum (50 µL of goat serum in 1 mL of 0.1 M PB) and apply 50 µL of the solution per tissue section. Incubate in the humidity chamber for 30 min.

2.4.6. Remove the serum by tapping the slide on clean tissue paper and add the primary antibody diluted in BSA (antibodies and dilutions are presented in **Table 1**). Incubate overnight at 4 °C.

2.4.7. On day 2, incubate the secondary antibody in mouse serum (see **Table 1**) for 30 min at 37 °C, and add to BSA (1:100 dilution) (e.g., 5 mL BSA + 100 µL mouse serum + 50 µL secondary antibody).

2.4.8. Wash the slides 2x by immersing them (~3 s) in BSA and then once in 0.1 M PB. Hold the slides in cuvettes containing BSA, and dab dry the border around each tissue section. Apply 50 µL of the secondary antibody solution per tissue section and incubate for 1 h in the humidity chamber.

2.4.9. Prepare avidin biotin complex (ABC) (0.1% dilution) by adding 10 µL of A and 10 µL of B in 1 mL of 0.1 M PB. Immerse (~3 s) the slides 2x in BSA and once in 0.1 M PB, then place the slides in a cuvette containing 0.1 M PB. Dab dry the slides, apply 50 µL of ABC solution per slide, and incubate for 1 h in the humidity chamber.

2.4.10. Prepare diaminobenzidine (DAB) solution. Weigh 25 mg of DAB per cuvette, dissolve in 50 mL of 10 mM PB, and filter with No. 4 filter paper.

CAUTION: DAB is a health hazard with possible germ cell mutagenicity and carcinogenicity. Wear appropriate PPE and dispose of safely.

2.4.11. Wash the slides 3 x 3 s with 10 mM PB and place them in cuvettes containing 10 mM PB. Add hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) to DAB solution before immediately pouring the solution into

fresh cuvettes (16.7  $\mu$ L of  $H_2O_2$  per cuvette). Move the slides into the DAB cuvettes and leave for ~3 min (maximum 5 min), while constantly checking for staining intensity under the microscope.

CAUTION:  $H_2O_2$  can irritate eyes, skin, and throat. Wear appropriate PPE.

2.4.12. Wash the slides (3 s) once in 10 mM PB and 2x in  $dH_2O$  and allow the slides to dry. Immerse the dry slides 3x in xylene. Apply a drop of DPX mounting medium onto a coverslip and place over the tissue section, being careful to avoid air bubbles.

CAUTION: Xylene and DPX are flammable and may cause eye, skin, and respiratory irritation. Handle in a biosafety cabinet and always wear gloves when handling.

## **2.5. Nissl staining**

2.5.1. On day 1, rehydrate and spread the tissue in  $dH_2O$ . Prepare 4% FA by adding 5.4 mL of FA in 50 mL of 0.1 M PB and use immediately. Incubate the slides in 4% FA overnight.

2.5.2. On day 2, move the slides from 4% FA to 70% ethanol (EtOH) and incubate overnight.

2.5.3. Prepare Nissl stain by dissolving 4 g of Cresyl Violet in 40 mL of 100% EtOH in a closed 50 mL conical tube. Invert for 15 min, add the solution to 360 mL of warm  $dH_2O$ , and mix on a stirring plate for 20 min. Filter the solution before use.

2.5.4. On day 3, follow the staining procedure outlined in **Table 2**, and coverslip the tissue sections with DPX.

## **2.6. Terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL)**

2.6.1. Rehydrate and spread the tissue in  $dH_2O$ , then incubate the slides in 4% FA for 5 min. Move the slides into a solution of  $H_2O_2$  and methanol ( $CH_3OH$ ) (1:10) and incubate for 15 min. Wash the slides for 2 x 3 s in 0.1 M PB and place them in cuvettes containing 0.1 M PB.

2.6.2. Prepare TUNEL solution on ice according to the manufacturer's instructions. Add the solution to the slides (50  $\mu$ L per tissue section) and incubate in a humidity chamber at 37 °C for 2 h.

2.6.3. After 1 h incubation, prepare the ABC solution as described in step 2.4.9 and incubate at room temperature.

2.6.4. After 2 h, move the slides into the TUNEL STOP solution (300 mM NaCl, 30 mM Sodium Citrate) for 10 min and immerse the slides to wash 3 x 3 s in 10 mM PBS solution. Incubate the slides in ABC solution for 1 h.

2.6.5. Prepare cobalt sulfate (1.55 g of anhydrous  $\text{CoSO}_4$  in 100 mL of  $\text{dH}_2\text{O}$ ) and nickel chloride hexahydrate (2.38 g of  $\text{NiCl}_2 \cdot 6\text{H}_2\text{O}$  in 100 mL of  $\text{dH}_2\text{O}$ ). Prepare DAB Co-Ni solution (10 mM PB + 25 mg DAB + 1 mL/100 mL of Co + 1 mL/100 mL of Ni). Filter the solution in vacuum and keep it in the dark. Immediately before pouring the DAB solution into the cuvettes, add 16.7  $\mu\text{L}$  of  $\text{H}_2\text{O}_2$  per 50 mL cuvette.

2.6.6. After 1 h, move the slides into the DAB cuvettes and incubate for ~3 min at room temperature, checking constantly for staining intensity. Stop the reaction by moving the slides to cuvettes containing 10 mM PB. Wash by immersing 2 x 3 s in  $\text{dH}_2\text{O}$  and allow the tissue sections to dry before coverslipping them with DPX.

## **2.7. Hematoxylin and Eosin staining (H&E)**

2.7.1. Rehydrate and spread the tissue in  $\text{dH}_2\text{O}$  and allow the sections to air dry.

2.7.2. Immerse the slides in hematoxylin for 2 min.

CAUTION: Hematoxylin can cause eye and skin irritation. Wear appropriate PPE.

2.7.3. Rinse the slides in running tap water for 5 min, decolorize in acid alcohol for 1 s, and rinse in tap water.

2.7.4. Counterstain in 0.5% eosin (1.5 g dissolved in 300 mL of 95% EtOH) for 30 s.

CAUTION: Eosin is flammable and can cause eye and skin irritation. Prepare the solutions in a biosafety cabinet and wear appropriate PPE.

2.7.5. Dehydrate the tissue sections in a gradient of EtOH: 50%, 70%, 95% and finally 100% EtOH, each for 3 min. Move the slides into xylene for 2 x 5 min. Coverslip with DPX.

## **3. Histology and immunohistochemistry assessments for neuropathology and lung injury**NOTE:

Assessments can be performed on the following regions: cortex, pyriform cortex, external capsule, striatum, hippocampus, and thalamus (**Figure 2**). For E18.5 brains, the corpus callosum can also be included as the differentiation of cells is not yet complete and glial cells have not migrated to all brain regions. The assessor should be blinded to the treatment groups. TUNEL<sup>+</sup> cell death

3.1.1. Using a conventional microscope, count the cells positive for TUNEL staining bilaterally at 20x magnification in all brain regions (**Figure 2**).

3.1.2. Assess three randomly selected optical fields per brain region and calculate the average number of cells per brain region.

## **3.2. Nissl morphology assessment**

3.2.1. Capture whole-tissue images using a color camera at 1x magnification and save as a TIFF file or other desired format. Import the images to ImageJ for further analysis.

3.2.2. Outline the brain regions of interest (e.g., cortex and hippocampus) and measure using the **freehand tool (Figure 2)**.

3.2.3. To obtain the tissue measurement, apply the formula:  $(\text{mm}^2 \times 400 \mu\text{m}) = \text{volume} \times 100$  (revised<sup>32</sup>).

### **3.3. Microglial ramification index**

3.3.1. Using a microscope with a 0.049 mm x 0.049 mm square grid feature, at 40x magnification count the number of IBA-1<sup>+</sup> cell bodies within the grid (**C**) and the average number of branches crossing the gridlines (**B**). Perform this in three randomly selected fields (see **Figure 3**) for each brain region shown in **Figure 2**.

3.3.2. Use the formula ( $\text{B}^2/\text{C}$ ) to calculate the microglial ramification index.

### **3.4. Optical luminosity for GFAP staining intensity**

3.4.1. Using the referenced software (**Table of Materials**) and a color camera at 40x magnification, capture three randomly selected fields per brain region (**Figure 2**). Press **Ctrl+H** to obtain a histogram displaying the mean and standard deviation (SD). Record the mean and SD values in a spreadsheet. Capture a control image of the surrounding glass only (i.e., no tissue) and record the mean and SD values as before

3.4.2. Use the following formula to calculate the optical luminosity values (OLV) in a spreadsheet:

$$\text{OLV} = (\text{mean of the glass} - \text{standard deviation of the glass}) - (\text{mean of } [\text{mean per image} - \text{standard deviation per image}])$$

NOTE: For example:

#### **Surrounding glass**

Mean  $\pm$  SD = 143.5  $\pm$  8.56

#### **Brain region (e.g., cortex)**

1: 136.7  $\pm$  10.6

2: 140.6  $\pm$  10.4

3: 137.4  $\pm$  11.3

$$\text{OLV} = (143.5 - 8.56) - (\text{mean of } [136.7 - 10.6], [140.6 - 10.4], [137.4 - 11.3])$$

$$\text{OLV} = 134.94 - 127.47$$

OLV = 7.47

3.4.3. Average each value per region, per animal, and then per experimental group.

### 3.5. Lung morphology assessments

3.5.1. Capture H&E sections at 40x magnification (three optical fields per slide, measure airspaces per field), save as TIFF (or equivalent), and import images to ImageJ. Randomly select fields that are representative of the whole lung section.

3.5.2. Using the **freehand tool**, outline the airspaces and record the measurement (**Figure 4A**). Find the average of three airspaces per field, then between the three fields per section and, ultimately, between the three sections per sample.

### 3.6. Neutrophil influx in lungs

3.6.1. Capture sample images using a color camera at 40x magnification, three times per lung tissue section, and save the original file as a TIFF file or other desired format. Import the images to ImageJ and manually count individual neutrophils in three fields of the same lung. Calculate the average of neutrophils per lung.

## REPRESENTATIVE RESULTS:

This protocol presents a mouse model of ascending vaginal infection and describes methods for assessing neonatal neuropathology and respiratory outcomes. The procedure to induce ascending vaginal infection should be rapid (within 5 min) and mice should recover within a couple of minutes. Dams developed symptoms of infection after approximately 24 h<sup>25</sup>. This includes slow movement, piloerection, and a hunched posture. The approximate average delivery time in the infected mice was 40.3 h after *E. coli* administration (PBS control group mean 52.7 h), occurring on E18.5 compared to term delivery of the PBS control group on E19.5<sup>31</sup>. The percentage of live born pups per litter was reduced (from 100% to 71.4%) with infection, as was their survival over 7 days postnatally (**Figure 5**)<sup>25</sup>. When bioluminescent bacteria are used, imaging can track the spread of infection in dams and pups. Bacteria were observed in the uterus, fetal membranes, placenta, and in some fetuses within 18 h of administration (**Figure 6**), and inflammatory mediators, measured by qPCR and ELISA, were upregulated in these tissues, as well as in the perinatal pup tissues, such as the brain, lungs, and gut<sup>25,29,31</sup>.

A battery of neuropathology assessments, such as assessing morphology, cell death, microglial activation and astrocyte activation, was performed on the fetuses and neonates using histology and immunohistochemistry<sup>25,31,33</sup>. Lung tissue can also be evaluated (**Figure 4** and **Figure 7**). Positive staining for TUNEL suggests DNA degradation, a later stage of cell death<sup>34</sup>. We see a significant increase in TUNEL staining in the cortex, pyriform cortex, external capsule, hippocampus and the thalamus in the brains of pups exposed to *E. coli* infection during pregnancy (**Figure 8A,B**)<sup>31</sup>. IBA-1-labeled microglia, the resident immune cells of the brain, can be categorized by their morphological state, whereby ramification suggests a resting phenotype,



while activated and phagocytic cells become amoeboid or rounded in shape<sup>35</sup>. Therefore, rather than quantifying microglial cells, we present a strategy to assess their phenotype as an indication of their state. We see an increased in IBA1<sup>+</sup> ramification in the external cortex and striatum of *E. coli* pups, compared to the PBS group (**Figure 8C,D**)<sup>31</sup>. GFAP-labeled reactive astrocytes, which can be associated with inflammation and neurological injury, were increased in the pyriform cortex and the external capsule in *E. coli* pups (**Figure 8E,F**)<sup>31,36,37</sup>. Nissl staining allows for the assessment of gross morphological changes to the structure of the brain; Cresyl violet is a standard histological stain for neurons and labels both extra nuclear RNA granules and cell nuclei. In the *E. coli* group, we see reduced thickness of the cortex (**Figure 9**)<sup>31</sup>. Similarly, H&E, which respectively stain the nucleus and cytoplasm of cells, allows for assessment of lung morphology (**Figure 4**)<sup>31</sup>. We see increased airspaces in *E. coli* exposed mice, as well as an increase in the number of Ly6G<sup>+</sup> neutrophils, an indication of inflammation (**Figure 7**)<sup>31</sup>.

For data analyses, time to delivery was analyzed by an unpaired *t* test if the data were normally distributed. The percentage data for live-born pups was analyzed by performing an arcsine transformation on the proportions, a standard procedure to normalize the binomial distribution of proportional data, followed by an unpaired *t* test. When the data were proportions or percentages, the values around 1 and 0 had smaller variance while the largest variance was ~0.5. An arcsine square root transformation normalized the distribution of these data by improving the consistency of the variances. Pup survival was analyzed by a Log-rank (Mantel-Cox) test. For histology and immunohistochemistry, either Mann-Whitney *U* test or multiple *t* tests with Welch's correction were used, depending on the type of data and whether they were distributed normally. For sufficient statistical power, we recommend using a minimum of five dams per treatment group.

#### FIGURE AND TABLE LEGENDS:

**Figure 1: Intravaginal administration of *Escherichia coli* and the treatment timeline to induce preterm birth and neonatal morbidity in mice.** (A) *E. coli* [1], followed by 20% Pluronic gel F127 [2], is administered into the mouse vagina using a sterile 200  $\mu$ L pipette tip. Quinine powder is added to the introitus [3]. (B) *E. coli* is delivered into vagina on embryonic day 16.5. Tissues, such as the lungs and brain, can be collected during the fetal or neonatal period. Abbreviation: EN = embryonic day N; PN = postnatal day N; PBS = phosphate-buffered saline.

**Figure 2: Brain regions assessed for neuropathology.** An example of Nissl staining of a neonatal pup brain and the regions that could be assessed for neuropathology. All brain regions can be assessed for TUNEL, IBA-1, and GFAP. We chose to assess the cortex and hippocampus morphology using Nissl. Magnification: 1x. Scale bar = 1.5 mm. Abbreviations: TUNEL = terminal deoxynucleotidyl transferase dUTP nick end labeling; IBA-1 = allograft inflammatory factor 1; GFAP = glial fibrillary acidic protein.

**Figure 3: Positive IBA-1 staining and ramification index assessment.** To quantify the ramification index of IBA-1<sup>+</sup> cells, count the number of cell bodies within the grid and the average number of branches crossing the horizontal and vertical gridlines. Abbreviation: IBA-1 = allograft

inflammatory factor 1.

**Figure 4: Airspace measurements in pup lungs (H&E staining).** (A) Outline the airspaces and measure using the freehand tool in ImageJ. (B) Average airspace area is increased in pups exposed to *E. coli* compared to PBS. (C) Representative H&E images of pup lungs exposed to PBS and *E. coli in utero*. Magnification: 40x. Scale bar = 59.5  $\mu$ m. Abbreviations: H = hematoxylin; E = eosin. n = 2 from 4 litters. \*p < 0.05. This figure was adapted from Boyle et al.<sup>31</sup>.

**Figure 5: Effects of intravaginal *E. coli* on time to delivery and pup survival.** (A) Time to delivery is reduced in the presence of *E. coli*. (B) The percentage of pups born alive is significantly reduced and (C) pup survival is reduced in *E. coli*-exposed pups. Time to delivery: n = 8–10 litters per group; live born pups: n = 10–17 litters per group; pup survival: n = 10–12 litters per group. \*p < 0.05, \*\*\*p < 0.001. This figure was adapted from Boyle et al.<sup>31</sup>.

**Figure 6: Bioluminescence imaging to monitor *E. coli* spread.** (A) Bioluminescent *E. coli* ascends from the vagina into the uterine horns within 48 h. (B) Dissected uterine horns show infection with *E. coli* within 18 h, (C) as do the placenta and fetal membranes. This figure was adapted from Suff et al.<sup>25</sup> and Boyle et al.<sup>31</sup>.

**Figure 7: Ly6G staining for neutrophils in fetal lungs.** (A) Staining for Ly6G (positive: arrowheads), to assess neutrophil influx, in PBS and *E. coli* exposed fetuses. (B) The average number of Ly6G<sup>+</sup> cells is significantly increased in *E. coli* fetuses. Magnification: 40x. Scale bar = 59.5  $\mu$ m. n = 10 from 5 litters per group. \*p < 0.05. Abbreviations: Ly6G = Lymphocyte antigen 6 complex locus G6D. This figure was adapted from Boyle et al.<sup>31</sup>.

**Figure 8: Examples of TUNEL, IBA1, and GFAP staining.** (A) TUNEL staining for cell death in the postnatal pup brain exposed to PBS or *E. coli*. (B) The average number of TUNEL<sup>+</sup> cells is increased in the cortex, pyriform cortex, external capsule, hippocampus, and thalamus. (C) IBA1 immunohistochemistry for microglia in PBS and *E. coli* groups. (D) Ramification index, as a measure of microglia activation, is increased in the external capsule and striatum in *E. coli* pups. (E) GFAP staining for astrocytes, (F) which is increased in the pyriform cortex and external capsule in *E. coli* pups. Scale bar = 59.5  $\mu$ m. Abbreviations: GFAP = glial fibrillary acidic protein; TUNEL = terminal deoxynucleotidyl transferase dUTP nick end labeling; Pyr = Pyriform cortex; EC = external capsule; Str = striatum; Hip = hippocampus; thal = thalamus; total = all regions; CA = cornu ammonis; OLV = optical luminosity values. Immunohistochemistry analyses: n = 5–7 from 5 litters per group. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, n.s. - non-significant. This figure was adapted from Boyle et al.<sup>31</sup>.

**Figure 9: Example of positive and negative Nissl staining and morphology assessments.** (A) Nissl staining of the postnatal pup brain to assess tissue structure and morphology. (B) There is no impact on brain width but (C) cortical thickness is reduced in pups exposed to *E. coli*. (D) There is no difference in hippocampal volume. Magnification: 1x. Scale bar = 1.5 mm. n = 5–7 from 5 litters per group. \*\*p < 0.01. This figure was adapted from Boyle et al.<sup>31</sup>.

**Table 1: Antibodies for immunohistochemistry.**

**Table 2: Nissl procedure.**

## **DISCUSSION:**

Here, we describe the induction of a novel mouse model of preterm birth and neonatal morbidity via bacterial vaginal ascension. We chose *E. coli* K1 as it is a common cause of neonatal sepsis and meningitis and is vertically transmitted from the mother to the fetus<sup>38-40</sup>. Key to the success of this model is the use of Pluronic thermosensitive gel, which prevents the leakage of the bacterial suspension from the vagina, allowing for infection to establish and ascend to the uterus. As this model uses live bacteria derived from a septicemia isolate, it is crucial to monitor the dams closely<sup>27</sup>. If the animals refuse to move or eat or do not care for their pups (e.g., neglect nesting and feeding), they must be humanely sacrificed immediately.

Mostly, symptoms associated with infection dissipate within 24 h of delivery. It is also essential to be aware that different serotypes of *E. coli* and other strains of bacteria will have varying effects on gestational length, the extent of infection/inflammation, and neonatal outcome. We suggest performing preliminary dose experiments with different CFUs when using new bacterial serotypes/strains to determine the minimum concentration needed for the desired phenotype and setting strict humane end points to prevent suffering. Extreme caution should be used when delivering a live pathogen; allow the literature to guide the appropriate use of these organisms when modeling ascending infection.

The mouse strain is also important, as their immune systems differ. For example, the timing and CFUs used in this model do not have the same outcomes when performed in C57BL/6 mice. We chose to use the C57BL/6 Tyr<sup>c-2J</sup> mice as time course experiments showed significantly more infection in this strain compared to CD-1, BALB/c, and C57BL/6. In addition, there is evidence of black fur quenching bioluminescent signals, which is a useful measurable outcome in this model<sup>29</sup>. The optimal gestational day for bacterial administration may also differ with pathogen and mouse strain. There is huge heterogeneity in preclinical PTB models; therefore, it is important to consider these variables in order to develop reproducible results<sup>17</sup>.

Another consideration is the length of time the animals are exposed to anesthesia. As excessive exposure during pregnancy is associated with neurotoxicity in pups, it is essential to keep the time spent under anesthesia to a minimum (e.g., maximum 5 min) and to always include controls exposed to the same environment and treated with a vehicle substance<sup>41-43</sup>. Additional control groups useful for this model include untreated, intravaginal delivery of Pluronic gel only, and anesthesia only.

Immunohistochemistry is widely used as an experimental tool to identify different proteins and cells, and it can be used to characterize structure and connectivity in the brain. Here, we describe how to analyze key cells of the central nervous system that are associated with neuroinflammation and neurological injury. We also present methods to assess cellular apoptosis and histological stains that are useful for measuring brain and lung morphology. Important

611 considerations to ensure the success of these protocols begin with the careful use of the PAP  
612 pen; if the PAP pen touches the tissue, the staining will fail but if the parameter is too wide, the  
613 sections could dry out overnight. Similarly, the humidity chamber must be kept damp to avoid  
614 drying out the sections.

615  
616 When performing the Nissl staining, the glacial acetic acid step is the most critical; the sections  
617 need to be checked constantly. When the main structures of the brain become visible, the  
618 sections need to be moved immediately into EtOH to stop the reaction. We chose to assess the  
619 cortex and the hippocampus, as reduced cortical thickness and reduced hippocampal volume  
620 have been reported in human premature neonates and other animal models but other regions  
621 could be assessed for morphology changes<sup>44-47</sup>. Close attention must also be paid to the  
622 development of DAB staining. This should be timed and kept consistent between experiments.

623  
624 Data analysis is the most common limitation of immunohistochemistry due to the difficulty in  
625 quantifying the results, which are often considered to be subjective. While GFAP analysis is  
626 performed using computer-assisted optical luminosity measurements, inconsistent staining can  
627 affect these measurements. In addition, the brain and lung morphology assessments involve  
628 manual, freehand measurements relying on the observer's judgment. To avoid inconsistency, we  
629 repeat these assessments twice and in a short period of time. To further reduce any bias, it is  
630 important that the assessor is blinded to the treatment groups. Low immunoreactivity can also  
631 be a limiting factor. Lack of signal could suggest that the antigen of interest is absent or it could  
632 be due to a protocol failure. To address this, we include a tissue sample that can act as a positive  
633 control where possible and repeat the staining procedure when we observe a low or absent signal  
634 to ensure this was not the result of an experimental error.

635  
636 In addition to the protocols described, immunological analyzes of the maternal, fetal, and  
637 neonatal tissues can be performed by qPCR and ELISA. We have observed increased inflammatory  
638 mRNA and protein levels in animals exposed to infection<sup>25,31,48</sup>. We hypothesize that the neonatal  
639 neuropathology we demonstrate histologically could be the result of fetal brain, lung, and gut  
640 inflammation<sup>25,31</sup>. Other neuropathology assessments we have performed include myelin basic  
641 protein (MBP) to investigate myelination and NeuN to stain neuronal nuclei<sup>25,31</sup>. Further to this,  
642 other markers can be assessed for neutrophils and microglia to better understand the phenotype  
643 and behavior of these cells following exposure to infection *in utero*<sup>49,50</sup>. Additionally, behavioral  
644 tests could be performed to determine the long-term impact on offspring.

645  
646 This model is not without limitations. For example, we see variation in pathology both between  
647 and within litters. This could be the result of using a live bacterium, where the spread of infection  
648 cannot be controlled. Consequently, the pattern and reach of ascending infection into the uterine  
649 horns vary and are often asymmetrical. Another limitation is the pathogenicity of the *E. coli*,  
650 which can sometimes cause the dams to become too unwell to care for their pups. Mice are also  
651 not the best model of lung injury, due to alveologenesis taking place postnatally, rather than  
652 prenatally, as in humans<sup>51</sup>. We also failed to inflate our lung tissues when the samples were  
653 collected, which could have impacted on our results. However, our results are consistent with  
654 the changes observed in neonatal BPD animal models<sup>52,53</sup>.

This model recapitulates the postulated human condition whereby bacterial infection ascends from the vagina into the uterus, stimulating early delivery. Following our initial publication of this model, others have replicated or produced similar models, further emphasizing the reproducibility and relevance of this methodology<sup>19,54</sup>. Crucially, this model exhibits the common morbidities experienced by premature neonates, where other models have failed. This could be due to the use of a bacterial strain that is specifically associated with neonatal sepsis. LPS, which is most commonly used to induce preterm labor in animal models, is lethal to pups, so neonatal outcomes cannot be measured<sup>18</sup>. Other models of ascending infection have also struggled with producing surviving pups or in demonstrating neonatal morbidity<sup>19-24,55,56</sup>. Clinically relevant animal models, like the one we describe, are fundamental for understanding the pathophysiology of preterm birth and for developing innovative interventions that will improve neonatal outcomes.

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#### DISCLOSURES:

The authors have no relevant disclosures.

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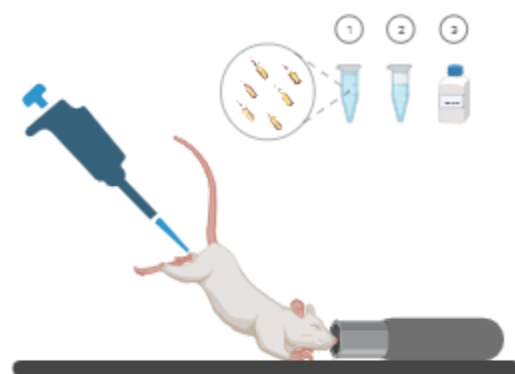
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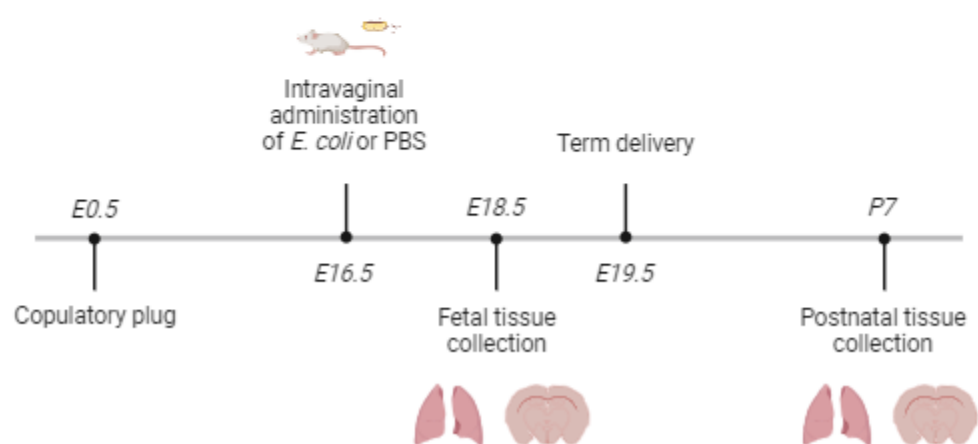
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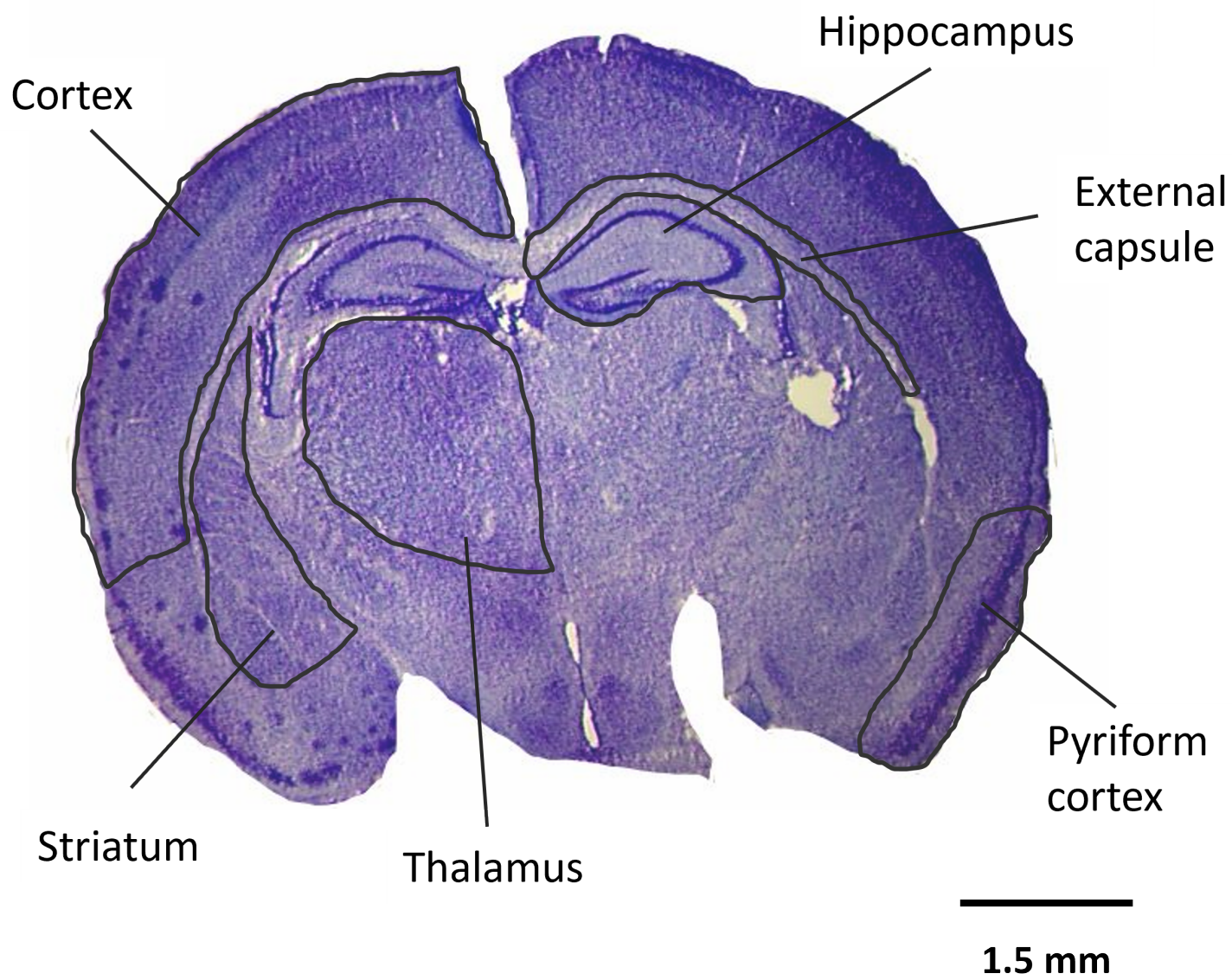


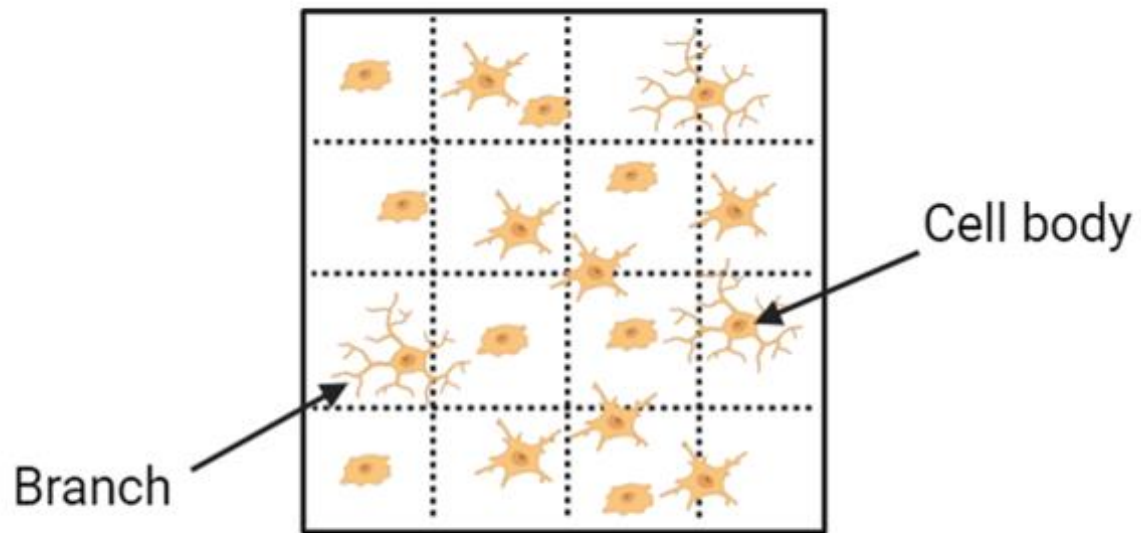
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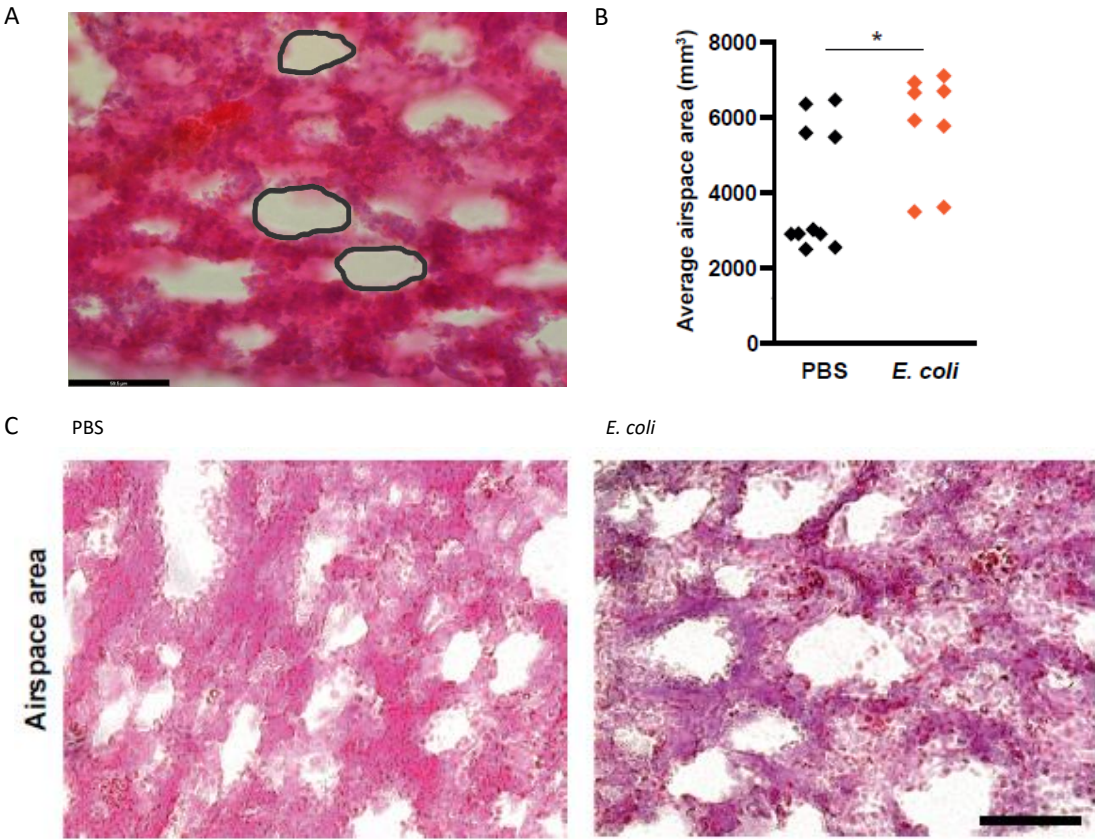


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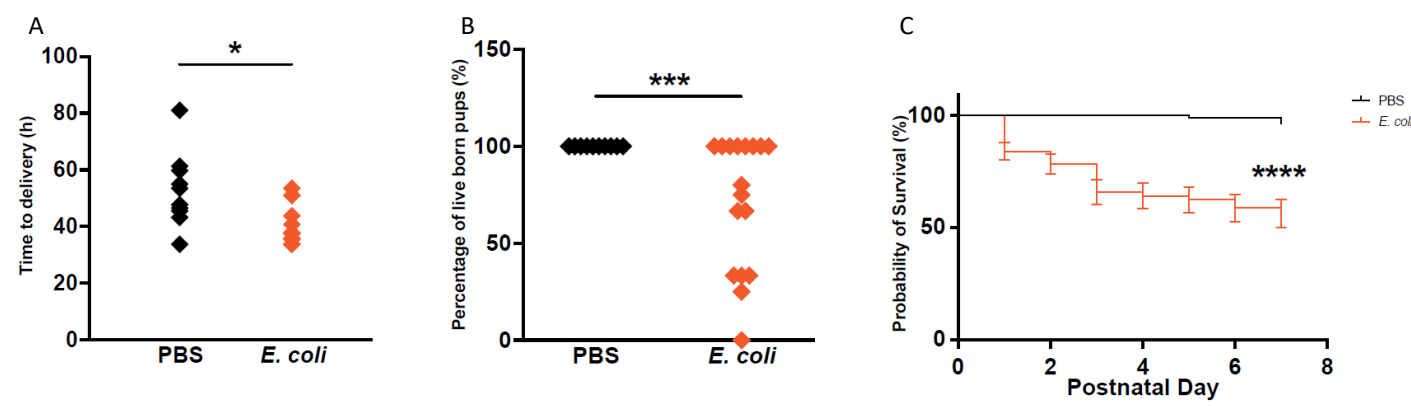


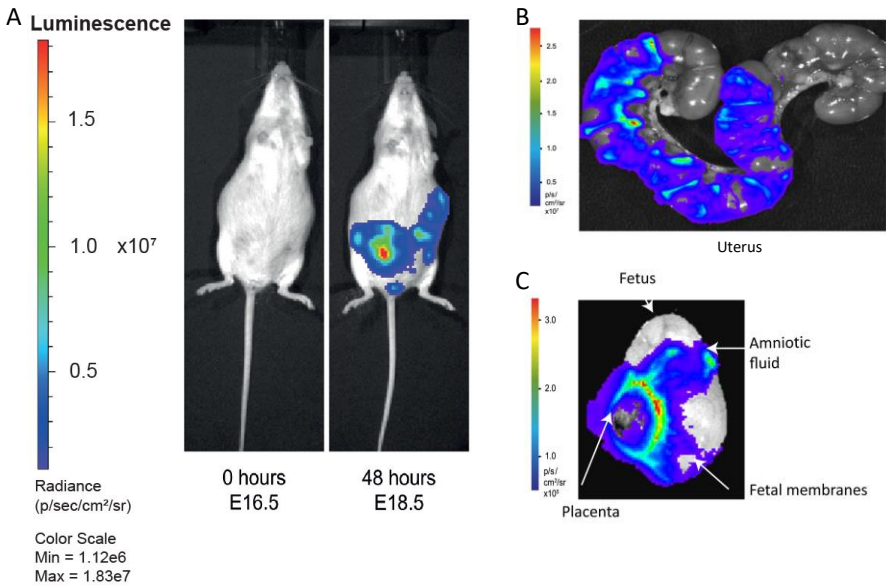


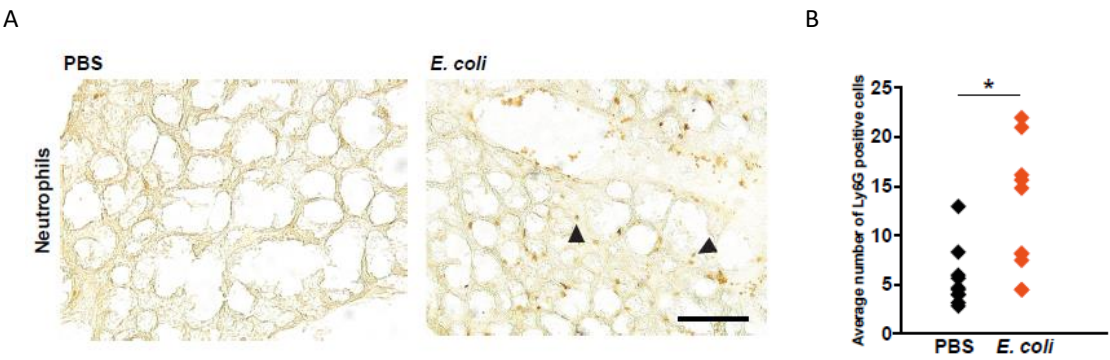




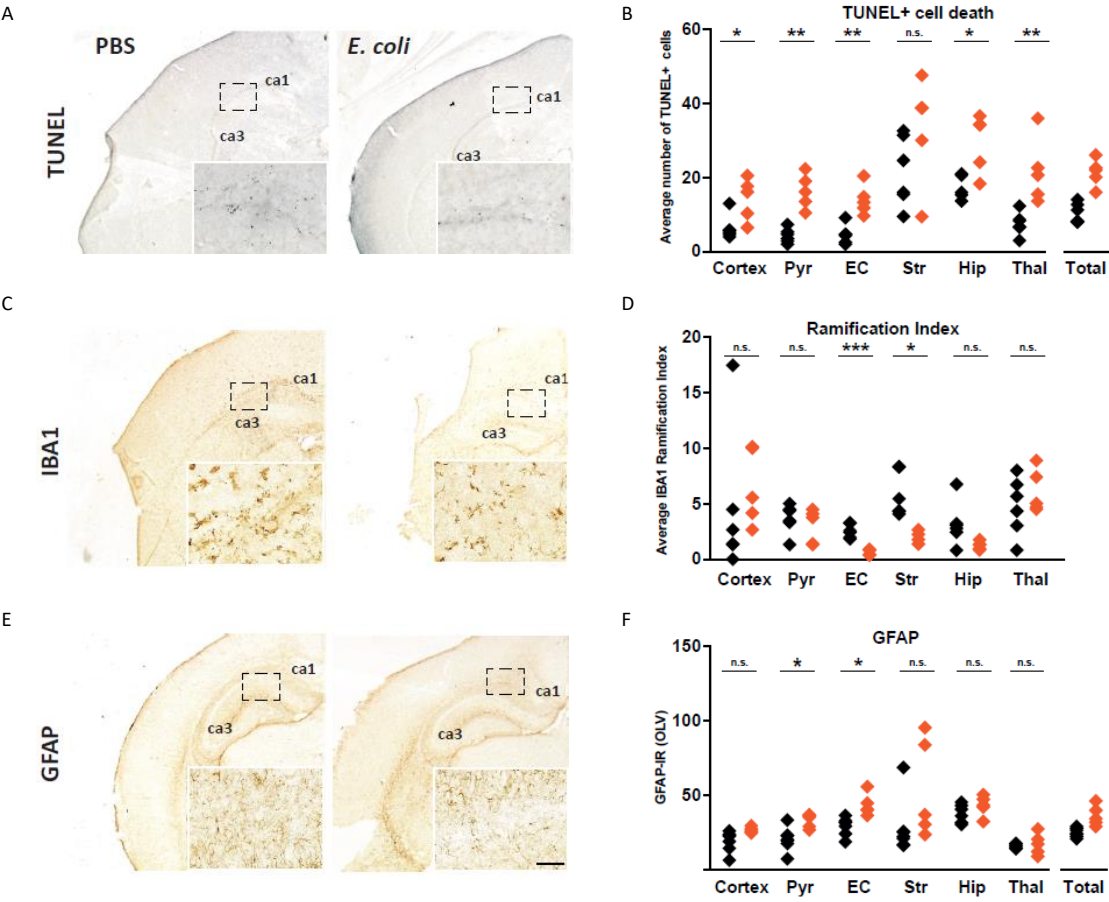
Airspace area



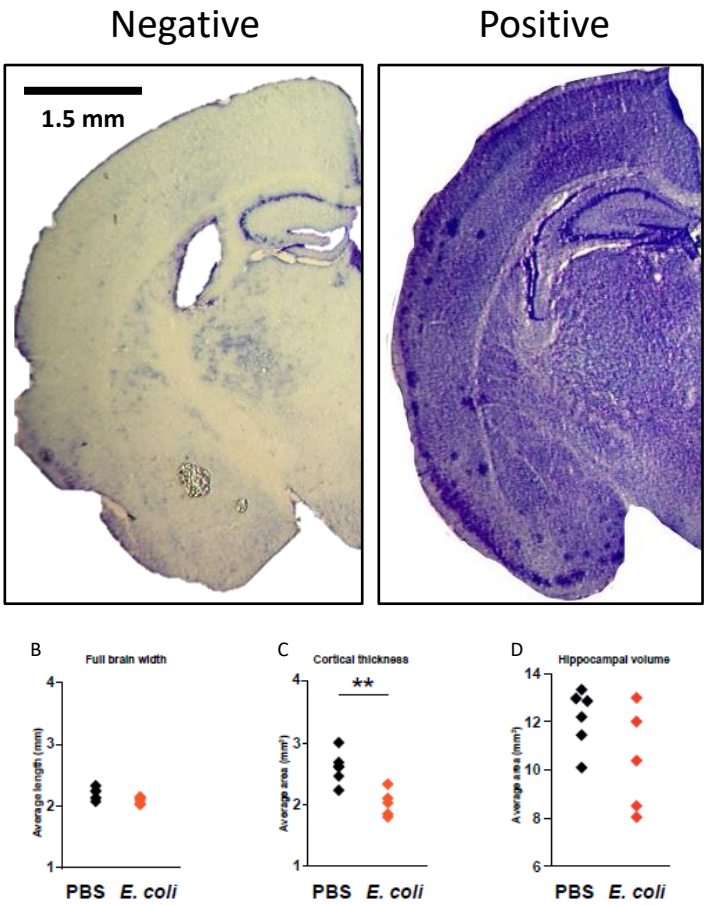












Primary Antibody	Dilution	Secondary Antibody/Dilution	Dilution
Rabbit anti-GFAP	1:6000	Goat anti-rabbit	1:100
Rabbit anti-IBA1	1:1000	Goat anti-rat	1:100
Mouse anti-Ly6G	1:1000	Goat anti-rabbit	1:100

Step	Reagent	Time (min)
1	NISSL stain	10
2	Fresh Distilled Water	2
3	Fresh Distilled Water	2
4	70% EtOH	2
5	90% EtOH	2
6	96% EtOH	2
7	96% EtOH with 3-5 drops glacial acetic acid	2-5 (CHECK CONSTANTLY)
8	100% EtOH	2
9	Isopropanol	2
10	Xylene	2
11	Xylene	2
12	Xylene	2

Name of Material/ Equipment	Company	Catalog Number
Anti-GFAP, rabbit	Agilent Technologies	Z033429-2
Anti-IBA1, rabbit	Wako	019-19741
Anti-Ly6G, mouse	BioLegend	127601
AVT-Horn 184 3CCD camera	Sony	
Biotin-16-DUTP	Merck	11093070910
Borosilicate Glass Rectangular Coverslips	FisherScientific	12363128
Cresyl Violet acetate	ThermoFisher Scientific	405760100
Cryostat CM1900	Leica	
Glass cuvette	Merck	BR472700
Diaminobenzidine (DAB)	Generon	40480004-3
Dissecting Forceps, Stainless Steel, 10 cm, Serrated, Curved	World Precision Instruments Ltd	15915
Dissecting Forceps, Stainless Steel, 10 cm, Serrated, Straight	World Precision Instruments Ltd	15914
Dissecting Scissors, 10 cm long, Straight	World Precision Instruments Ltd	14393
DPX	Merck	100579
Eosin	Sigma-Aldrich	HT110116
Formaldehyde solution 4% buffered	Merck	100496
Goat Anti-Rabbit IgG Antibody (H+L), Biotinylated	Vector Laboratories	BP-9100-50
Goat Anti-Rat IgG Antibody (H+L), Biotinylated	Vector Laboratories	BA-9400-1.5
GraphPad Prism v.8	GraphPad Software	
H2O2	Sigma-Aldrich	7722-84-1
Hematoxylin	Sigma-Aldrich	MHS16
ImageJ	National Institutes of Health	
Isoflurane (IsoFlo)	Zoetis	50019100
IVIS Lumina II In Vivo Imaging System/Living Image Software	Perkin Elmer/Revvity	
Microslides	VWR	ISO8037/I
Optimas 6.51	Media Cybernetics Inc.	
Paraformaldehyde	Sigma-Aldrich	158127
Phosphate-buffered saline (PBS)	Thermo Fisher Scientific (Life Technologies)	14190094
Pluronic F-127	Sigma-Aldrich	P2443
Quinine	Scientific Laboratory Supplies	Q0132
ReadyProbes Hydrophobic Barrier Pap Pen	Thermo Fisher Scientific	R3777
Sodium hydroxide	Honeywell	S8045

Sodium Phosphate Monobasic Anhydrous  
Sucrose  
Terminal transferase  
VECTASTAIN ABC-HRP Kit, Peroxidase  
Xylenes (histological grade)

FisherScientific  
Merck  
Merck  
Vector Laboratories  
Sigma-Aldrich

BP329-1  
S9378  
3333574001  
PK-4000  
534056

Comments/Description

## Rebuttal for Manuscript JoVE66723R1

**TITLE:** Modelling ascending vaginal infection, preterm birth and neonatal morbidity in mice

**CORRESPONDING AUTHOR** [Ashley.boyle@ucl.ac.uk](mailto:Ashley.boyle@ucl.ac.uk)

We thank the editor and reviewers for their comments and suggestions. Please see our response to each comment below.

Note: The line numbers given below correspond with the revised document.

### Reviewers' comments:

#### **Reviewer #1:**

##### **Manuscript Summary:**

Most of the PTB models that we have currently are focused on the initiation of premature labor and birth but do not adequately address the outcomes for neonates, including survival and long-term health complications. Models using LPS, for instance, often result in fetal demise, which prevents the study of interventions aimed at improving neonatal outcomes. To address these limitations, there is a need for innovative models that allow for the study of neonatal outcomes and long-term health effects. These advancements could lead to a better understanding of PTB mechanisms and the development of more effective interventions. accurately mimic. This article provides a comprehensive overview of the novel mouse model for studying preterm birth (PTB) and neonatal morbidity induced by vaginal bacterial ascension

##### **Major Concerns:**

**\* What is the rationale for choosing this specific mouse strain C57BL/6 Tyrc-2J? especially in relation to their susceptibility or relevance to the model of ascending vaginal bacterial infection.**

We chose to use the C57BL/6 Tyrc-2J mice as time course experiments showed significantly more infection in this strain compared to CD-1, BALB/c and C57BL/6. In addition, there is evidence of black fur quenching bioluminescent signals. This information has been added to the discussion (lines 594-597).

“We chose to use the C57BL/6 Tyrc-2J mice as time course experiments showed significantly more infection in this strain compared to CD-1, BALB/c and C57BL/6. In addition, there is evidence of black fur quenching bioluminescent signals, which is a useful measurable outcome in this model”

**\* Explain why this specific E. coli O18:K1 strain (A192PP) was used? Clarify the reason whether it was selected based on it ability to colonize, and high virulence or due to significant cause of neonatal bacterial sepsis and meningitis?**

We chose E. coli K1 as it is a common cause of neonatal sepsis and meningitis and is vertically transmitted from the mother to fetus. This particular strain (A192PP) was derived from a septicaemia isolate. We have added this information to the discussion.

“Here, we describe the induction of a novel mouse model of preterm birth and neonatal morbidity via bacterial vaginal ascension. We chose *E. coli* K1 as it is a common cause of neonatal sepsis and meningitis and is vertically transmitted from the mother to fetus<sup>37-39</sup>.” (lines 574-576)

“This could be due to the use of a bacterial strain that is specifically associated with neonatal sepsis.” (lines 662-663)

**\* 3. Microglial ramification index: Provide more detail on how to calculate the ramification index and its significance in assessing microglial activation states**

We have tried to add clarification by editing the presentation of the formula, putting the relevant information in bold and further drawing attention to the diagram of the process in figure 3. In the results, we have discussed the rationale of assessing microglial activation state.

“Using a microscope with a 0.049 mm × 0.049 mm square grid feature at 40 x magnification, count the number of IBA-1 positive cell bodies within the grid (**C**) and the average number of branches crossing the 3 horizontal and 3 vertical 0.49 mm gridlines (**B**), placed in three fields selected at random (see Figure 3) for each brain region shown in Figure 2. (lines 403-408)

Use the formula ( $B^2/C$ ) to calculate the microglial ramification index.” (line 410)

“IBA-1 labeled microglia, the resident immune cells of the brain, can be categorized by their morphological state, whereby ramification suggests a resting phenotype, while activated and phagocytic cells become amoeboid or rounded in shape<sup>35</sup>. Therefore, rather than quantifying microglial cells, we present a strategy to assess their phenotype as an indication of their state.” (lines 479-482)

**\* 4. Optical luminosity for GFAP staining intensity: The explanation for calculating optical luminosity values (OLV) is good but can you provide clearer definition of the variables involved and an example calculation for clarity.**

We have added additional detail to the calculation to make it clearer and we have added an example (lines 422-437).

“ $OLV = (\text{mean of the glass} - \text{standard deviation of the glass}) - (\text{mean of (mean per image} - \text{standard deviation per image)})$

For example:

**Surrounding glass**

Mean: 143.5 SD: 8.56

**Brain region e.g. cortex**

Mean 1: 136.7 SD: 10.6

Mean 2: 140.6 SD: 10.4

Mean 3: 137.4 SD: 11.3



OLV = (143.5 - 8.56) - (mean of (136.7 - 10.6) (140.6 - 10.4) (137.4 - 11.3))  
OLV = 134.94 - 127.47  
OLV = 7.47"

**Minor Concerns:**

**\* The introduction clearly outlines the significance of preterm birth (PTB) as a global health issue and its consequences and the importance of animal models in PTB research. However, authors can also brief explain why understanding the etiology of PTB is challenging.**

Thank you. We have now addressed the complexity by describing how multiple factors could cause spontaneous preterm birth.

"There are a number of possible mechanisms underlying spontaneous PTB, such as decidual senescence, a breakdown of maternal-fetal tolerance, stress, short cervical length or cervical damage<sup>8</sup>. However,..." (lines 55-57)

**\* Specify the temperature at which the LB broth should be cooled before adding kanamycin to ensure consistency.**

Completed.

"Once the LB has cooled to room temperature, add 50 µg/mL kanamycin..." (line 100)

**\* Anaesthesia : Step 4.5 The use of "200 mL pipette tip" is likely a typo. Assuming a typical pipette tip volume range, this should probably read "200 µL pipette tip.**

Thank you for spotting this typo. We have corrected this to read as "200µL".

**\* Measurement of Gestational Outcomes step 5.4. Define "long-term" more precisely. Is this until weaning, adulthood, or another specific time point?**

We have added a more precise description of long-term.

"recording the survival of pups up to 7 postnatal days" (line 161)

**\* Tissue section preparation. Step 4.1. Rationale for the selection of sections and distances (e.g., 400 µm apart) to clarify how these choices affect the results.**

We have added the text below to address this.

"Select 5 sections per brain, 400 µm apart, which will allow the assessment of different brain regions at variable depths of the brain." (lines 257-258)

**\* Immunohistochemistry Step 5.1. Clarify the volume of phosphate buffer (PB) needed for preparing 4% formaldehyde (FA) for "one cuvette." It mentions "50 g of 0.1 M PB" which seems to be a typographical error related to volume vs. weight. It should likely read "50 mL**

Thank you for making us aware of this mistake. We have corrected this to "50 mL" throughout the immunohistochemistry section (2.4).

**\* Immunohistochemistry Step 5.3. Include the rationale for the 5-minute incubation time in FA-Fixing the tissue for 5 mins in PFA is this sufficient?**

Yes, we have found that this is sufficient time fix our cryosections and to visualise our antigens of interest. We have added the following text as clarification.

“Immerse the slides in FA for 5 min to fix the cryosections, allowing adhesion to the slides and to immobilize antigens” (lines 263-264)

**\* As you did under immunohistochemistry "The protocol below can be used to identify.....", under NISSL stain explain why this staining is done and why Cresyl Violet was used.**

Thank you for your suggestion. We have added this information to the results section.

“Cresyl violet is a standard histological stain for neurons and labels both extra nuclear RNA granules and cell nuclei.” (lines 487-488)

**\* Lung Morphology Assessments 1.1: Specify criteria for selecting the three optical fields per slide to ensure consistency across experiments. For example, fields should be randomly selected but representative of the whole lung section.**

Thank you for this suggestion. We have now included this information.

“Randomly select fields that are representative of the whole lung section.” (lines 444-445)

**\* For arcsine transformation and other transformations, include a brief explanation or reference to help researchers understand why and how these transformations are applied.**

We have included an explanation for the use of the arcsine transformation and explained that transformations are performed to normalise data distribution.

“The percentage data for live-born pups was analyzed by performing an arcsine transformation on the proportions, a standard procedure to normalize the binomial distribution of proportional data, followed by an unpaired *t* test. When the data were proportions or percentages, the values around 1 and 0 had smaller variance while the largest variance was ~0.5. An arcsine square root transformation normalized the distribution of these data by improving the consistency of the variances.” (lines 495-500)

**\* Representative results: Clarify the term "battery of neuropathology assessments" by briefly listing the types of assessments included.**

We have clarified this term by giving examples.

“A battery of neuropathology assessments, such as assessing morphology, microglial activation, astrocyte activation and cell death, can be performed on fetuses and neonates using histology and immunohistochemistry” (lines 473-475)

**\* When discussing the observation of inflammatory mediator mRNAs upregulation, suggest including a note on the method of mRNA quantification (e.g., RT-qPCR) and how these findings correlate with the observed histological changes.**

We have clarified our methods to include where to find the protocols for this (line 234) and discussed the correlation of these findings.

“We hypothesize that the neonatal neuropathology we demonstrate histologically could be the result of fetal brain, lungs and gut inflammation” (lines 640-642)

**\* Discussion: Discuss how the use of a thermosensitive gel and live bacteria presents an advancement over other methodologies.**

We have added this information to the discussion (lines 575-578; 661-666) and other useful information is also in the introduction (lines 64-70).

“Mice are often used to model PTB, with the most common approach being the administration of the bacterial toxin lipopolysaccharide (LPS), which can be delivered intrauterine, intraperitoneal or intra-amniotic to induce levels of inflammation necessary to initiate parturition<sup>16</sup>. However, LPS does not model live microbial infection and, importantly, neonatal outcomes cannot be measured in these models as LPS induces fetal death<sup>17</sup>. In recent years, animal models of ascending vaginal bacterial infection have been developed but recapitulating both preterm birth and the associated neonatal outcomes has been a challenge” (lines 64-70)

“We chose *E. coli* K1 as it is a common cause of neonatal sepsis and meningitis and is vertically transmitted from the mother to fetus. Key to the success of this model is the use of Pluronic thermosensitive gel, which prevents the leakage of the bacterial suspension from the vagina, allowing for infection to establish and ascend to the uterus” (lines 575-578)

“Crucially, our model exhibits the common morbidities experienced by premature neonates, where other models have failed. This could be due to the use of a bacterial strain that is specifically associated with neonatal sepsis. LPS, which is most commonly used to induce preterm labor in animal models, is lethal to pups, so neonatal outcomes cannot be measured<sup>18</sup>. Other models of ascending infection have also struggled with producing surviving pups or in demonstrating neonatal morbidity<sup>19-24,55,56</sup>.” (lines 661-666)

**\* Highlight the need for preliminary studies to determine the optimal bacterial dose and timing for different mouse strains and bacterial serotypes**

We have clarified our statement on this in the discussion and we have added a note to the methods.

“We suggest performing preliminary dose experiments with different CFUs when using new bacterial serotypes/strains to determine the minimum concentration needed for the desired phenotype and setting strict humane end points to prevent suffering. Extreme caution should be used when delivering a live pathogen; allow the literature to guide appropriate use of these organisms when modelling ascending infection.” (lines 585-590)

“NOTE: CFU used were based on titration experiments to achieve the desired phenotype (preterm birth with live pups). CFU can be determined by performing serial dilutions and plating on LB agar plates<sup>28</sup>.” (lines 142-144)

**\* Clarify the types of controls (e.g., sham-infected with thermosensitive gel only, untreated) to design the experiment.**

We have now added this information to the discussion.

“Additional control groups useful for this model include untreated, intravaginal delivery of Pluronic gel only and anesthesia only.” (lines 604-606)

**Reviewer #2:**

**Manuscript Summary:**

This is an excellent presentation of a novel animal model of spontaneous preterm birth. Traditional models of preterm birth use inoculation directly into the uterus, which bypasses the cervix. The model described here is physiologically relevant because it recapitulates the natural history of ascending intrauterine infection in humans.

**Major Concerns:**

None

**Minor Concerns:**

**One minor concern. The manuscript discusses harvesting the uterus and the fetus. Groups using this model may want to harvest the placenta and fetal membranes. Do the authors have experience harvesting these tissues? If so, can the authors provide guidance for harvesting the placenta and fetal membranes? In my experience, harvesting the fetal membranes can be tricky.**

Thank you for your comment. We have added an explanation for collecting the placenta and fetal membranes.

“Using forceps, with one hand hold the outside of the uterus at the point where the placenta is attached then, with curved forceps in the other hand, gently detach the placenta from the inner uterine wall by teasing the tissues apart, carefully guiding the fetus, with the membranes and placenta attached, out of uterus. Again, with forceps in each hand, gently hold down the placenta and grasp and pluck the membranes at the point where they meet the placenta (these should come off cleanly).” (lines 204-209)

**Reviewer #3:**

**Manuscript Summary: Please see attached document with comments.**

In this protocol paper, Boyle and colleagues conduct intravaginal administration of pathogenic bioluminescent E.Coli strain to induce premature birth (PTB) and further assess neuropathological and lung injury outcomes in pups. The group established the protocol in 2018 to assess PTB and neonatal morbidity (Suff et al., 2018). Due to the limited reproducible animal models to understand PTB, the current protocol can be used as a valuable clinical tool in the field. However, the lack of relevant details and the limited experimental figures presented to validate the methodology may affect the reproducibility of the protocol. Below are the comments to improve the current version.

**General Comments**

**Typos and grammatical errors were found along the protocol. The order of the numbered sections in the protocol is not accurate, please revise carefully.**

Thank you, we have revised the numbering and corrected errors throughout.

## **Major Comments**

Protocol Section:

Section 1.1

**1. Please add more information about timed mating. Please indicate for how many hours the timed mating pairs were set up.**

Thank you for your comment. We have added this information.

“Virgin female C57BL/6 Tyr<sup>c-2J</sup> mice (aged 6-12 weeks) were time mated overnight for 16-18 hours” (lines 84-85)

**2. Please clearly indicate in the protocol that E.Coli strain (A12PP) is a pathogenic strain.**

We have now added “pathogenic” to the text (line 88).

## **Section 1.2**

**1. Is unclear how 20% plurogenic gel was prepared. Please add detailed information.**

We have now added details for making this gel.

“Prepare a 20 % Pluronic gel F127 solution by weighing the pellets then dissolving in sterile phosphate buffered saline (PBS). Vortex to mix and agitate at 4° C overnight.” (lines 103-104)

**2. After conducting the intravaginal inoculation, do the authors use the leftover PBS/E coli solution and plate for serial dilutions to further corroborate bacterial growth and determine an approximate number of colonies produced? Please add the information about this step in this section.**

Yes, this was performed in the preliminary studies and the information is available online. We have added at note on this and cited the source.

“NOTE: CFU used were based on titration experiments to achieve the desired phenotype (preterm birth with live pups). CFU can be determined by performing serial dilutions and plating on LB agar plates<sup>28</sup>.” (lines 142-144)

**3. Is unclear the rationale for using 1 x 10<sup>2-3</sup> CFU for inoculation. Do authors perform a titration experiment with different doses of CFU to determine 10<sup>2-3</sup> as the appropriate CFU for the experiment? If yes and it is published elsewhere, please add a statement and a reference. If is not published elsewhere, please add the information about this step in this section.**

Yes, this was performed in the preliminary studies and the information is available online. We have added at note on this and cited the source.

“NOTE: CFU used were based on titration experiments to achieve the desired phenotype (preterm birth with live pups). CFU can be determined by performing serial dilutions and plating on LB agar plates<sup>28</sup>.” (lines 142-144)

**4. Is unclear how the 20% thermosensitive gel was prepared. Please add detailed information. If the gel is commercially available, please add information to the materials table.**

Thank you for your comment. We appreciate that this is not clear.

This is the Pluronic gel that we mentioned earlier in the protocol. We have added the preparation steps as requested in your earlier comment. To add clarity to this particular section, we have also added “20 % Pluronic gel F127”, rather than “thermosensitive gel”.

**5. Please add the quinone powder to the materials table.**

We have now added this to the materials table.

### **Section 1.3**

**1. Please include information about the expected time for the onset of labour and delivery after bacterial inoculation in dams.**

We have updated this information in the Representative Results and we have added representative data to make this clearer (figure 5).

“The approximate average delivery time in the infected mice was 40.3 h after *E. coli* administration (PBS control group mean 52.7 h), occurring on E18.5 compared to term delivery of the PBS control group on E19.5<sup>31</sup>” (lines 463-465)

### **Section 1.4**

**2. Please clearly state when bioluminescent imaging was conducted after bacterial inoculation.**

We have added the following information to address this.

“Following inoculation, image females at 0 hours, 24 hours and 48 hours to track the spread of infection. Pups can be imaged on postnatal day (P)0 up to P14 without the need for anesthesia.” (lines 174-176)

**3. Please specify the luminescence exposure range (min to max time).**

Thank you we have added the exposure range.

“exposure range: 1 min – 5 min” (186)

### **Section 2**

**1. Do authors detect E.Coli K1 colonization in the fetuses after vaginal inoculation via bioluminescent imaging? If yes, how much time after inoculation does the E.Coli colonize the fetal tissues (brain and lungs). Please clarify and add detailed information.**

Yes, we can see that some fetuses are infected but the imaging is not sensitive enough to detect it in specific organs. We have added a representative figure (Figure 6) from one of our previous publications, we have edited the text in the representative results section and added another citation. We have also added the imaging of pups up to P14 to the methods.

“Pups can be imaged on postnatal day (P)0 up to P14 without the need for anesthesia.” (line 175-176)

“Bacteria are observed in the uterus, fetal membranes, placenta and in some fetuses within 18 h of administration and inflammatory mediators, measured by qPCR and ELISA, are upregulated in these tissues, as well as in the perinatal pup tissues, such as the brain, lungs and gut<sup>24,28,29</sup>” (line 468-417)

**2. In section 2.2, the authors mentioned that protocols for the distinct techniques are readily available. Please include references.**

We have added references for these.

“Protocols for these techniques are readily available from the reagent manufacturers or in other publications<sup>24,29,30</sup>” (lines 233-234)

## **Section 2.4**

**1. Subsection 2.4.6: Please clarify in which solution the primary antibody was diluted.**

Thank you – we have now added this.

“the primary antibody diluted in BSA” (line 281)

**2. Subsection 2.4.8, 2.4.9, 2.4.11, and 2.4.12: For how long the washes were conducted? Please add information.**

Slides are immersed for these washes. We have approximated 3 seconds and added this to each of these sections and in the other relevant sections.

## **Section 7.1**

**1. Subsection 7.1.4 and 7.1.6: For how long the washes were conducted? Please add information.**

Slides are immersed for these washes. We have approximated 3 seconds and added this to each of these sections and in the other relevant sections.

## **Section 8.1**

**1. Subsection 8.1.2: For how long the slides were rinsed in tap water? Please add information.**

We have now added this information.

“Rinse slides in running tap water for 5 min” (line 366)

**2. Subsection 8.1.4: For how long the slides were dehydrated in each EtOH solution? Please add information.**

Thank you – we have now added this information.

“gradient of EtOH: 50 %, 70 %, 95 % and finally 100 % EtOH, each for 3 min.” (line 374-375)

## **Section 3**

**1. Is unclear the regions of the brain used for the IHC, Nissl staining, and TUNEL staining.**

**Do the authors look at all the regions of the brain depicted in Fig 2 or just some of the areas? Please clarify this point. If particular areas were selected for the staining procedures, please indicate the rationale for using the areas. Add the information in the appropriate sections and figure legends.**

Thank you for your comment. To clarify this, we have added additional references to figure 2 to the methods (lines 387, 398, 408, 415). We have also added an explanation to the discussion and the legend for figure 2.

“Assessments can be performed on the following regions: cortex, pyriform cortex, external capsule, striatum, hippocampus and thalamus (Figure 2).” (lines 379-380)

“We chose to assess the cortex and the hippocampus, as reduced cortical thickness and reduced hippocampal volume have been reported in human premature neonates and other animal models but other regions could be assessed for morphology changes<sup>43-46</sup>” (lines 620-623)

“All brain regions can be assessed for TUNEL, IBA-1 and GFAP. We chose to assess the cortex and hippocampus morphology using Nissl. TUNEL: terminal deoxynucleotidyl transferase dUTP nick end labeling; IBA-1: allograft inflammatory factor 1; GFAP: glial fibrillary acidic protein.” (Figure 2 legend)

**2. Do authors use another marker to assess neutrophil infiltration and activation (e.g., myeloperoxidase, cd11b, or L-selectin)? Although Ly6g marker is commonly used to detect neutrophils, it also can be used as a marker for monocytes and granulocytes. Assessment of other markers via IHC or qPCR will strengthen the application of the current protocol to measure neutrophil presence in the lungs. In addition, the authors should include a statement about other markers that can be used in this section for the assessment of neutrophil activation and infiltration.**

Thank you for your comment. We have only used Ly6G. We have added a statement about the use of other markers and provided references (661-663).

“Further to this, other markers can be assessed for neutrophils and microglia to better understand the phenotype and behavior of these cells following exposure to infection *in utero*<sup>48,49</sup>” (lines 643-645)

## **Data analysis section**

**1. Please indicate the number (n) of animals used for experimentation.**

We have now added this information.

“For sufficient statistical power, we recommend using a minimum of 5 dams per treatment group” (line 503-504)

## **Representative Result Section**

**1. Please add images or graphs for average delivering time, pup survival, and presence/detection of bioluminescent bacteria in the reproductive tract and in fetal tissues after inoculation.**

Thank you for your suggestion. We have now added these data (Figures 5, 6).



**2. Is unclear the controls used in the experiments. Please clarify if controls mean inoculation of non-pathogenic bacteria or inoculation of PBS.**

The control group is PBS. We have added this to the text below for clarification (lines 511-512) and the addition of Figures 5 hopefully adds further clarification.

“The approximate average delivery time in the infected mice was 40.3 h after *E. coli* administration (PBS control group mean 52.7 h), occurring on E18.5 compared to term delivery of the PBS control group on E19.5<sup>31</sup>” (lines 463-465)

**3. Please add representative staining images for controls in the figures. Present the results/findings relative to the controls after inoculation with bacteria.**

We have added additional figures to the results to show both control and infected results (figure 8).

**4. Please clearly indicate other types of neuropathology and lung assessment that can be used to further evaluate inflammatory-mediated damage after bacterial infection.**

We have suggested other assessments in the discussion (lines 659-664).

“Other neuropathology assessments we have performed include myelin basic protein (MBP) to investigate myelination, NeuN to stain neuronal nuclei and CD86/CD206 for M1/M2 macrophages<sup>24,29</sup>. Further to this, other markers can be assessed for neutrophils and microglia to better understand the phenotype and behavior of these cells following exposure to infection *in utero*<sup>48,49</sup>. Additionally, behavioral tests could be performed to determine the long-term impact on offspring.” (lines 642-646)

**5. Is unclear the areas of the brain used for the staining procedures.**

Thank you for your comment. To clarify this, we have added additional references to figure 2 to the methods (lines 387, 398, 408, 415). We have also added an explanation to the discussion and the legend for figure 2.

“Assessments can be performed on the following regions: cortex, pyriform cortex, external capsule, striatum, hippocampus and thalamus (Figure 2).” (lines 379-380)

“We chose to assess the cortex and the hippocampus, as reduced cortical thickness and reduced hippocampal volume have been reported in human premature neonates and other animal models but other regions could be assessed for morphology changes<sup>43-46</sup>” (lines 620-623)

“All brain regions can be assessed for TUNEL, IBA-1 and GFAP. We chose to assess the cortex and hippocampus morphology using Nissl. TUNEL: terminal deoxynucleotidyl transferase dUTP nick end labeling; IBA-1: allograft inflammatory factor 1; GFAP: glial fibrillary acidic protein.” (Figure 2 legend)

**6. Based on the current assessment, how authors can differentiate between tissue-resident neutrophils and recruited (influx of marginated) neutrophils in the lungs after E.Coli infection? Please clarify and consider changing the statement in lines 435-436.**

From our understanding of the literature, neutrophils are recruited and are not tissue resident. We have edited the sentence to reflect that there was an increase in the number of neutrophils (line 535).

“as well as an increase in the number of Ly6G<sup>+</sup> neutrophils, an indication of inflammation” (lines 491-492)

## **Discussion**

### **1. Please add information to compare timing and CFU between models.**

Thank you for this suggestion. In the discussion, we have added additional information regarding the importance of performing dose experiments and considering these variables when setting up such models and we have also added a note to the methods.

“We suggest performing preliminary dose experiments with different CFUs when using new bacterial serotypes/strains to determine the minimum concentration needed for the desired phenotype and setting strict humane end points to prevent suffering. Extreme caution should be used when delivering a live pathogen; allow the literature to guide appropriate use of these organisms when modelling ascending infection.” (lines 585-590)

“NOTE: CFU used were based on titration experiments to achieve the desired phenotype (preterm birth with live pups). CFU can be determined by performing serial dilutions and plating on LB agar plates<sup>28</sup>.” (lines 142-144)

**2. Other groups have used the vaginal inoculation of bioluminescent bacteria to induce PTB and look for inflammatory/damage responses. Authors should include these studies in the section to further emphasize the reproducibility and relevance of the methodology (e.g., Spencer et al. (2021) Development of a mouse model of ascending infection and PTB. PLoS ONE; Zhang et al.(2023) Cerclage prevents ascending intrauterine infection in pregnant mice. Am J Obstet Gynecol)**

We thank the reviewer for this comment. We have added a statement to reflect this and we have cited these publications.

“Following our initial publication of this model, others have replicated or produced similar models, further emphasizing the reproducibility and relevance of this methodology<sup>18,50</sup>” (lines 659-661)

## **Reviewer #4:**

### **Manuscript Summary:**

The authors should be congratulated on a meticulous and well conducted study that demonstrates preterm labor and neonatal pathology resulting from intravaginal inoculation with E Coil using a mouse model. I support the authors comments that they propose dose experiments with different bacterial subtypes to understand factors mediating ascending infection.

### **Major Concerns:**

none

#### Minor Concerns:

**1. With regards to lines 64-67 (Introduction) and 531-535 (Discussion), the authors comment on ascending infection in humans and reference the Goldenberg articles from 2008 and Jones article from 2009. However, neither firmly establishes that ascending infection occurs. The Goldenberg article, although widely accepted and cited offers no primary evidence to support ascending infection, while the Jones article provides observational data that there is greater diversity and spread of bacteria with preterm labor than term labor or scheduled CS deliveries, but acknowledges that these findings may be due in part at least to contamination and colonization. I think it is appropriate for the authors of this submission to alter the wording in those sections to include the concept that "ascending vaginal infection resulting in preterm labor has been hypothesized in humans and widely accepted, however supporting animal models of ascending infection causing preterm birth have been lacking and those that exist are largely of direct inoculation in the uterus. Several references from animal models of intraamniotic infection in the mouse, rat and non-human primate may be cited here.** We thank the reviewer for this feedback. We have edited the wording and citations regarding ascending infection to reflect this.

"Infection ascending from the vagina through the cervix to the uterus is hypothesized and widely accepted as a common route of infection due the correlation between microbes isolated from the amniotic fluid and those resident in the vaginal microbiota<sup>11-13</sup>" (lines 58-60)

"This model recapitulates the postulated human condition whereby bacterial infection ascends from the vagina into the uterus, stimulating early delivery" (line 658-659)

**2. The study could be strengthened by having a control arm of anesthetic exposed mice with pellet insertion but no bacterial inoculation, as well as a histologist who is blinded to the E Coli exposed mice versus controls. The authors should comment on these limitations in their discussion. This may also alleviate any concerns with bias relating to subjective quantification and inconsistent staining of tissue samples.**

Thank you for this suggestion. Our immunohistochemistry analyses are blinded and this is mentioned in the methods (line 382). To the discussion, we have added another reference to the importance of blinding.

"To further reduce any bias, it is important that the assessor is blinded to the treatment groups." (lines 631-632)

Regarding the pellet query, bacterial pellets (the supernatant containing LB broth removed) are resuspended in PBS (20  $\mu$ L) to deliver vaginally. For our vehicle control group, we deliver PBS (20  $\mu$ L) under the same anaesthetic conditions. To our understanding, we don't believe it is possible to deliver a bacterial pellet without the bacteria but please advise if we have misunderstood. We have added an additional control suggestion, which could address this comment (line 605). We have also removed the word "pellet" from the Pluronic gel preparation (line 103) in case this was causing confusion and clarified where we are referring to bacterial pellets in the methods (line 121)

“intravaginal delivery of Pluronic gel only” (line 605)