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Step-by-step stapedotomy through transcanal exclusive endoscopic approach -- Manuscript Draft--

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1 TITLE:

2 Step-by-Step Stapedotomy through Transcanal Exclusive Endoscopic Approach

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21 **KEYWORDS**:

stapes; endoscopic ear surgery; endoscopic stapes surgery; stapedotomy; otosclerosis; otology; endoscopic view; surgical setting; transcanal

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SUMMARY:

The aim of this article is to provide a step-by-step method for endoscopic stapes surgery from operating room setting and patient positioning to post-operative care. This work would represent a guide for any otologic surgeon who is willing to treat otosclerosis with endoscopic transcanal technique.

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ABSTRACT:

In recent years there has been an increasing trend in the use of the endoscope to treat a variety of middle ear pathologies, including otosclerosis. Several studies comparing traditional microscopic and endoscopic stapes surgery have reported similar hearing results and an overall low rate of complications. The endoscope has unraveled its full potential in demanding settings of stapes surgery, such as unfavorable anatomy of the oval window niche or revision cases. Reduced manipulation of the chorda tympani and low rate of post-operative dysgeusia are further benefits to mention for endoscopic stapes surgery.

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Being a one-handed technique, management of bleeding, positioning, and crimping of the prosthesis may be challenging for novice endoscopic surgeons, so some training in endoscopic ear surgery is recommended before performing endoscopic stapedotomy. The problem of sharing the surgical field between the endoscope and the operating instruments could be easily overcome if proper instruments positioning is understood. One-handed bleeding control in the

narrow space of the ear canal may represent an issue during the elevation of the tympano-meatal flap, possibly discouraging the surgeon since the preliminary steps of surgery. Following appropriate technique to raise the flap and the collaboration with the anesthesiology team in keeping the blood pressure low guarantee an adequate bleeding control in most cases.

The aim of this article is to describe the entire surgical procedure of a transcanal exclusive endoscopic stapedotomy, from operating room set up and patient positioning to post-operative care. A step-by-step description of the surgical maneuvers with technical hints is reported, to guide the surgeon across the procedure and allow any ear surgeon to perform stapes surgery endoscopically.

INTRODUCTION:

The use of the endoscope in ear surgery has gradually increased since its first application in the 1990s, to treat a variety of middle ear pathologies, including otosclerosis¹. As compared to the microscope, the endoscope guarantees a wide field of exposure, high magnification and resolution images, reduced bone removal and a significantly improved quality of life after surgery^{2–4}.

The use of one hand has been mentioned as a limitation of the endoscopic technique, especially in functional procedures such as the stapes surgery^{5,6}. However, a growing number of papers have shown that endoscopic stapes surgery (EStS) is feasible, safe, and has favorable outcomes, similar to the traditional stapedotomy^{7,8}. Moreover, the endoscope has unraveled its full potential especially in patients with unfavorable anatomy or in revision cases, representing a valuable tool to support the surgeon in these demanding settings^{9,10}. Limited manipulation of the chorda tympani and low rate of post-operative dysgeusia are further benefits of this technique¹¹.

 EStS could be challenging for surgeons who do not have much experience in the use of the endoscope. The problem of sharing the surgical field between the endoscope and the operating instruments could be easily overcome if proper instruments handling is understood. One-handed bleeding control in the narrow space of the external auditory canal (EAC) and the tympanic cavity could be frustrating for a novice surgeon^{12,13}. Moreover, it is important to properly position the patient and set up the operating room in order to guarantee a comfortable setting for the surgeon across the entire operation.

The aim of this article is to show the surgical procedure of a transcanal exclusive endoscopic stapedotomy, from operating room set up and patient positioning to post-operative care. A step-by-step description of the procedure is reported, to allow any ear surgeon to understand and possibly reproduce such intervention.

We report the case of a 56-year-old female who underwent right transcanal EStS for bilateral otosclerosis. The patient reported slowly progressing and bilateral hearing loss (HL), without vertigo or tinnitus. While the right otoscopy was normal, the audiometric test demonstrated a right moderate mixed HL, with a mean preoperative bone conduction-pure tone average (BC-PTA) of 10 dB, a mean preoperative air-conduction pure tone average (AC-PTA) of 51 dB, and a

mean preoperative air-bone gap (ABG) of 41 dB. The tympanogram was bilateral type A and stapedial reflexes were absent. No CT scan was deemed necessary as preoperative assessment.

PROTOCOL:

This research has been conducted in accordance with ethical principles, including the World Medical Association Declaration of Helsinki (2002) and the institutional human research ethics committee's guidelines (Comitato Etico dell'Area Vasta Emilia Nord). The local ethical committee does not perform a formal ethical assessment for case reports.

1. Preparation of the patient

1.1. After oro-tracheal intubation and general anesthesia, position the patient supine with the head tilted toward the opposite side of the affected ear, and the chin slightly hyperextended. Pull down the same-side shoulder as much as possible, to create a wide angle between the head and the shoulder allowing easier access to the ear.

1.2. Using a 5 mL syringe with G25 0.50 x 42 mm needle, inject around 1–2 mL of local anesthetic diluted with a vasoconstrictor agent (e.g., 2% mepivacaine and epinephrine 1:200,000) in the four main points of the EAC skin (posterior wall, superior and inferior angle, retrotragal region).

2. Preparation of the sterile surgical site

2.1. Use an iodopovidone-soaked gauze to sterilize the whole external ear, to create an aseptic field.

2.2. Ensure that iodopovidone enters the EAC and reaches the tympanic membrane.

3. Preparation of the operating room and surgical instruments

3.1. Place the endoscopic tower or a high-resolution monitor when available, in front of the surgeon, at his/her eye level, at adequate distance to keep a comfortable position of the head and neck during the surgery.

3.2. Connect a 3 mm diameter, 15 cm length, 0° rigid endoscope to the high-definition digital camera and xenon light source. Keep the light source at 50% intensity to prevent heat damage to the inner ear.

3.3. Perform white balance by pushing the central button on the camera head and adjust thefocus by turning its gray wheel before starting the surgery.

3.4. Prepare a quality de-misting (anti-fog) solution to clean the endoscope tip. Dip a cotton pad
 in this solution and place it close to the external ear.

NOTE: The standard otological instruments set for stapes surgery is prepared on the instrument

table, as shown in Figure 1.

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4. Surgical steps

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NOTE: Hold the endoscope with the non-dominant hand, leaning it against the posterior wall of the EAC, and the surgical instruments with the dominant one. Surgical instruments, if any, should be introduced in the EAC before the endoscope and moved toward the middle ear under endoscopic vision, to prevent accidental damage to the external and middle ear structures. It is advisable to keep any working instrument above the endoscope and stabilize its movement keeping the fourth and fifth fingers on the patient's head (Figure 2).

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4.1. Under direct endoscopic vision, perform anesthesia by slowly injecting another 1–2 mL of local anesthetic diluted with a vasoconstrictor agent (e.g., 2% mepivacaine and epinephrine 1:200,000) in the subperiosteal plane of the posterior wall of the EAC.

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4.2. Use scissors to cut hair of the most lateral part of the EAC, to avoid contaminating the tip of the endoscope at each in and out passage of the canal.

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4.3. Use the monopolar (molecular resonance hook) with 15 W power to delineate the tympanomeatal flap (TMF) incision from 5 to 12 o'clock, about 8 to 10 mm far from the annulus (modified Rosen incision).

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4.4. Use the round knife to perform the actual skin incision following the previously marked line and raise the TMF with the help of epinephrine-soaked cottonoids.

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4.5. Once the annulus is identified as a white thickening of the tympanic membrane, continue the dissection under it. Detach the *pars flaccida* from the short process of the malleus with Hartmann forceps, keeping the *pars tensa* adherent to the umbus.

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NOTE: During this step, identify the chorda tympani as a whitish string emerging from the chordal eminence, and leave it untouched.

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4.6. Remove the posterior wall of the EAC and the scutum through the curette to achieve a good exposure of the oval window region. In some cases, even if the oval window region is fully exposed, some curettage is necessary to create a favorable working area on the stapes. Displace the chorda tympani medially with a hook to further expose the footplate.

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NOTE: Take some time to inspect the middle ear anatomy to check the important anatomical landmarks, such as the facial nerve, which could be dehiscent and/or prolapsed onto the footplate, as in the present case.

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4.7. Check the ossicular chain by gently pushing the stapes and the malleus with a hook, and confirm stapes fixation.

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4.8. Use the microdrill with a 0.6 mm diamond burr to perform posterior crurotomy. Then, create
 a hole in the mid or posterior portion of the footplate secondly.

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4.9. Cut the stapedial tendon with Bellucci scissors. Then, disarticulate the incus from the stapes
 with the help of a hook and remove the stapes superstructure.

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NOTE: Avoid using suction on the hole to prevent perilymphatic fluid removal and minimize postoperative vertigo or inner ear damage.

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4.10. Use the Fisch hook to regularize the footplate hole and check its adequate calibration, as
 well as to remove possible small bone fragments from the vestibule.

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4.11. Insert the stapes prosthesis (0.6 mm x 4.75 mm - total length 5.75 mm platinum/polytetrafluoroethylene prosthesis) into the EAC using the suction tube.

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4.12. Gently position it into the footplate hole with the hook. Crimp the prosthesis hook after anchoring it to the long process of the incus.

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4.13. Check the proper movement of the prosthesis, by gently pushing on the handle of the malleus.

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198 4.14. Replace the TMF and pack the EAC with resorbable hemostatic pledgets.

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5. Ear dressing

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5.1. Cover the auricle with an adhesive plaster. No compression is needed.

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6. Post operative care

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6.1. Allow the patient to drink, eat, and stand up at least 8 h post-operatively, if no nausea or vomiting occurred.

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6.2 The following day assess the facial nerve function, using the House-Brackman scale¹⁴ and the presence of spontaneous nystagmus with Frenzel googgles. Perform bone conduction pure tone audiometry to exclude sensorineural HL¹⁵.

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NOTE: A two week-follow up visit is planned to remove residual pledgets of hemostatic material and check the healing process. Complete audiometric evaluation and otoendoscopy are usually performed between 1 to 2 months post-operatively.

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REPRESENTATIVE RESULTS:

- 218 The patient had a normal post-operative course, without facial palsy or vertigo. The 6-month
- 219 post-operative hearing test¹⁶ revealed a mean BC-PTA of 10 dB, a mean AC-PTA of 11 dB and an
- ABG of 1 dB, as shown in Figure 3. The otoendoscopy showed regular healing of the tympanic

membrane. The patient denied any taste impairment.

FIGURE AND TABLE LEGENDS:

Figure 1: Standard otological instruments set for endoscopic stapes surgery. Most commonly used instruments are marked with numbers. 1: monopolar (molecular resonance hook); 2: Skeeter drill with 0.6 diamond burr; 3: suction tubes; 4: round knives; 5: sickle knife; 6: resorbable hemostatic sponge; 7: Hartmann forceps; 8: curettes; 9: 5 mL syringe with needle for intraoperative local anesthesia; 10: hooks; 11: crimping forceps; 12: 0° optic lens; 13: 20 mL syringe for irrigation; 14: cottonoids; 15: sterile isotonic water for irrigation; 16: adrenaline to soak cottonoids; 17: Bellucci scissors.

Figure 2: Position of the endoscope and of the instrument (a suction tube in this case) during the surgical procedure. Note that the fourth and fifth fingers are leaning on the patient's head to stabilize the movements of the dominant hand. The endoscope leans against the posterior wall of the external auditory canal and the suction tube is inserted above it.

Figure 3: Pre-operative and post-operative pure tone audiometry¹⁷. dB = decibel Hz = Hertz.

DISCUSSION:

A protocol for totally EStS is herein proposed, to guide any otologic surgeon in performing stapes surgery endoscopically.

The first surgical step (elevation of the TMF) could be the bloodiest phase of the whole procedure, and it represents a challenge for the surgeon in relation to one-hand bleeding control¹³. Moreover, in otosclerosis cases, the tympanic membrane is intact; so maximal care should be paid not to damage it. As reported in our protocol, some technical hints could be followed to reduce bleeding and guarantee a smooth elevation of the flap: 1) use the monopolar to delineate the incision for the TMF; 2) use cotonoid pledgets soaked in epinephrine to help raising the TMF, both to protect the flap and at the same time absorb excess blood¹⁸; 3) use the suction tube on the cotonoid pledgets to remove blood and proceed with dissection; 4) use the monopolar to cauterize any bleeding point identified in the EAC skin. Since the monopolar could result in some retraction of TMF and no graft is used to reinforce the flap in stapes surgery, we suggest making a modified Rosen incision, keeping 8–10 mm far from the annulus.

Another relevant step is the curetting of the bony structures possibly covering the oval window region (the posterior wall of the EAC, the posterior spine, the chordal eminence, and the posterior canaliculus opening) to both achieve a good visualization of the footplate and the contiguous structures (such as the facial nerve), and to create adequate working space on the stapes. For the same reasons, the chorda tympani has usually to be displaced, most commonly on the medial side (i.e. toward the middle ear cavity). No correlation of post-operative dysgeusia with the number of curetted structures or the pattern of chorda tympani manipulation has been found¹¹, suggesting that the surgeon should remove enough bone to gain a proper area of maneuvering for the most delicate parts of the procedure, without worrying for the chorda

tympani dysfunction.

The main advantage in the use of endoscope in ear surgery is the superior visualization of the mesotympanic and retrotympanic areas¹⁹. The complete visualization of the oval window region and the modifiable angle of the view achievable by simply moving the 0° endoscope is particularly useful in unfavorable anatomical conditions that could increase the technical difficulty and the risk of complications, such as facial nerve palsy when the facial nerve is dehiscent⁹.

The presented protocol could present some variations in the sequence of the steps. This case report shows a partial reversal surgical step technique, where the hole in the footplate is performed before disarticulation of the stapes from the incus and removal of the stapes superstructure. In the authors' experience, this sequence guarantees more stability to the footplate during the creation of the hole, reducing the risks of accidental mobilization of the footplate, and in case of footplate fracture or floating footplate, aiding the surgeon in removing the footplate (stapedectomy). For the same reason, the sectioning of the tendon is preferably performed after posterior crurotomy and footplate hole. However, other techniques, originally proposed in microscopic stapes surgery, could be followed in the endoscopic setting⁷, such as Fisch's stapedotomy (disarticulation of the incudo-stapedial joint, stapedial tendon sectioning, posterior crurotomy, removal of stapes superstructure and making a hole in the footplate) or Fisch's reversal steps stapedotomy (after posterior crurotomy, hole in the footplate is performed and the prosthesis is placed and fixed to the incus before removing the stapes superstructure).

Regarding the stapedotomy technique, the stapedial tendon sectioning, the posterior crurotomy and the footplate hole could be performed with CO_2 laser, if available. According to the literature, hearing results and complications are similar between CO_2 laser and microdrill groups, despite these techniques not having been directly compared in the endoscopic setting yet²⁰.

The positioning of the prosthesis is another difficult step of EStS: the suction tube allows to insert it into the tympanic cavity, and then the actual placement in the footplate hole should be performed with a hook. The direct and close visualization of the endoscope helps the surgeon to follow the prosthesis from the EAC to the footplate with no need of specific instruments to properly position it (i.e., self-retaining forceps). Excessive strength during crimping should be avoided to prevent incus long process necrosis and prosthesis dislocation.

Finally, during the repositioning of the TMF, particular attention should be paid to roll it out so that the cutaneous layer of the tympanic membrane is toward the ear canal, and the bony surface is completely covered. This prevents the formation of iatrogenic cholesteatoma.

In conclusion, this protocol could guide any otologic surgeon in safely performing EStS. Being a one-handed technique, management of bleeding, positioning and crimping of the prosthesis may be challenging for novice endoscopic surgeons, so the authors suggest some training in endoscopic ear surgery (either *in vivo* or *ex vivo*) before performing endoscopic stapedotomy. Indeed, according to a previously published five-staged endoscopic ear surgery training program, stapes surgery is considered "Level III", after basic procedures as myringotomy and

myringoplasty²¹. Moreover, the ovine model has been validated as an *ex vivo* animal model for endoscopic training, including stapes surgery²².

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315 **DISCLOSURES**:

316 All the authors declare no conflicts of interest.

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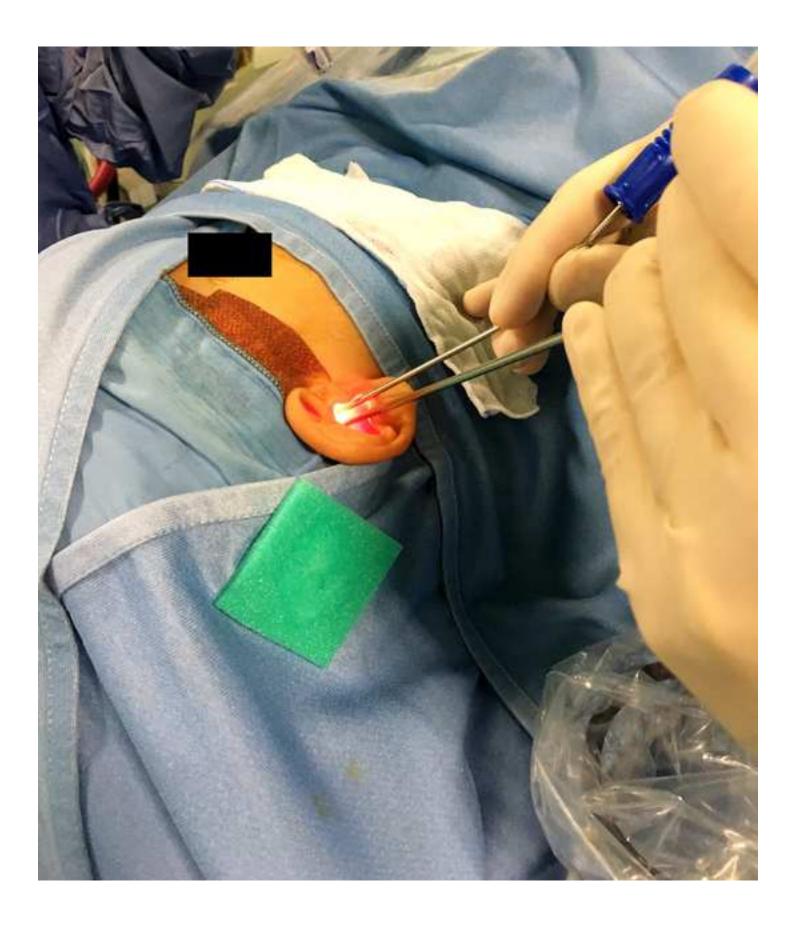
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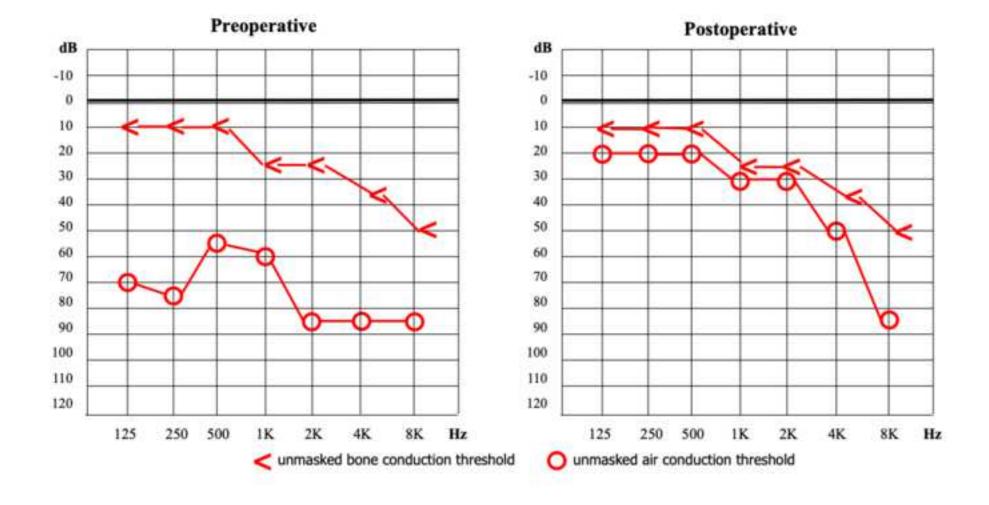


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