Standardized Data Acquisition for Neuromelanin-sensitive Magnetic Resonance Imaging of the Substantia Nigra
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1 **TITLE:**
2 Standardized Data Acquisition for Neuromelanin-sensitive Magnetic Resonance Imaging of the
3 Substantia Nigra
4
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20
21 **SUMMARY:**
22 This protocol shows how to acquire neuromelanin-sensitive magnetic resonance imaging data of
23 the substantia nigra.
24
25 **ABSTRACT:**
26 The dopaminergic system plays a crucial role in healthy cognition (e.g., reward learning and
27 uncertainty) and neuropsychiatric disorders (e.g., Parkinson's disease and schizophrenia).
28 Neuromelanin is a byproduct of dopamine synthesis that accumulates in dopaminergic neurons
29 of the substantia nigra. Neuromelanin-sensitive magnetic resonance imaging (NM-MRI) is a
30 noninvasive method for measuring neuromelanin in those dopaminergic neurons, providing a
31 direct measure of dopaminergic cell loss in the substantia nigra and a proxy measure of dopamine
32 function. Although NM-MRI has been shown to be useful for studying various neuropsychiatric
33 disorders, it is challenged by a limited field-of-view in the inferior–superior direction resulting in
34 the potential loss of data from the accidental exclusion of part of the substantia nigra. In addition,
35 the field is lacking a standardized protocol for the acquisition of NM-MRI data, a critical step in
36 facilitating large-scale multisite studies and translation into the clinic. This protocol describes a
37 step-by-step NM-MRI volume placement procedure and online quality control checks to ensure
38 the acquisition of good-quality data covering the entire substantia nigra.
39
40 **INTRODUCTION:**
41 Neuromelanin (NM) is a dark pigment found in dopaminergic neurons of the substantia nigra (SN)
42 and noradrenergic neurons of the locus coeruleus (LC)[1,2]. NM is synthesized by the iron-
43 dependent oxidation of cytosolic dopamine and norepinephrine and is stored in autophagic

44 vacuoles in the soma[3]. It first appears in humans around 2–3 years of age and accumulates with
45 age[1,4,5].

47 Within the NM-containing vacuoles of SN and LC neurons, NM forms complexes with iron. These
48 NM-iron complexes are paramagnetic, allowing for noninvasive visualization of NM using
49 magnetic resonance imaging (MRI)[6,7]. MRI scans that can visualize NM are known as NM-sensitive
50 MRI (NM-MRI) and use either direct or indirect magnetization transfer effects to provide contrast
51 between regions with high NM concentration (e.g., the SN) and the surrounding white matter[8,9].

53 Magnetization transfer contrast is the result of the interaction between macromolecular-bound
54 water protons (which are saturated by the magnetization transfer pulses) and the surrounding
55 free water protons. In NM-MRI, it is believed that the paramagnetic nature of NM-iron complexes
56 shortens the T_1 of the surrounding free water protons, resulting in reduced magnetization-
57 transfer effects so that regions with higher NM concentration appear hyperintense on NM-MRI
58 scans[10]. Conversely, the white matter surrounding the SN has a high macromolecular content,
59 resulting in large magnetization-transfer effects so that these regions appear hypointense on
60 NM-MRI scans, thus providing high contrast between the SN and surrounding white matter.

62 In the SN, NM-MRI can provide a marker of dopaminergic cell loss[11] and dopamine system
63 function[12]. These two processes are relevant for several neuropsychiatric disorders and are
64 supported by a vast body of clinical and preclinical work. For example, abnormalities in dopamine
65 function have been widely observed in schizophrenia; *in vivo* studies using positron emission
66 tomography (PET) have shown increased striatal dopamine release[13-16] and increased dopamine
67 synthesis capacity[17-22]. Furthermore, post-mortem studies have shown that patients with
68 schizophrenia have increased levels of tyrosine hydroxylase—the rate-limiting enzyme involved
69 in dopamine synthesis—in the basal ganglia[23] and SN[24,25].

71 Several studies have investigated patterns of dopaminergic cell loss, particularly in Parkinson's
72 disease. Post-mortem studies have revealed that the pigmented dopaminergic neurons of the SN
73 are the primary site of neurodegeneration in Parkinson's disease[26,27], and that, while SN cell loss
74 in Parkinson's disease is not correlated with cell loss in normal aging[28], it is correlated with the
75 duration of the disease[29]. Unlike most methods for investigating the dopaminergic system, the
76 non-invasiveness, cost-effectiveness, and lack of ionizing radiation make NM-MRI a versatile
77 biomarker[30].

79 The NM-MRI protocol described in this paper was developed to increase both within-subject and
80 across-subject reproducibility of NM-MRI. This protocol ensures full coverage of the SN despite
81 the limited coverage of NM-MRI scans in the inferior–superior direction. The protocol makes use
82 of sagittal, coronal, and axial three-dimensional (3D) T1-weighted (T1w) images, and the steps
83 should be followed to achieve proper slice stack placement. The protocol outlined in this paper
84 has been utilized in multiple studies[31,32] and was extensively tested. Wengler et al. completed a
85 study of the reliability of this protocol in which NM-MRI images were acquired twice in each
86 participant across multiple days[32]. Intra-class correlation coefficients demonstrated excellent

87 test-retest reliability of this method for region of interest (ROI)-based and voxelwise analyses, as
88 well as high contrast in the images.
89
90 **PROTOCOL:**
91
92 NOTE: The research conducted to develop this protocol was performed in compliance with New
93 York State Psychiatric Institute Institutional Review Board guidelines (IRB #7655). One subject
94 was scanned for recording the protocol video, and written informed consent was obtained. Refer
95 to the **Table of Materials** for details about the MRI scanner used in this protocol.
96
97 **1.   MRI acquisition parameters**
98
99 1.1.   Acquire high-resolution T1w images using a 3D magnetization prepared rapid acquisition
100 gradient echo (MPRAGE) sequence with the following parameters: spatial resolution = 0.8 x 0.8
101 x 0.8 mm^3; field-of-view (FOV) = 176 x 240 x 240 mm^3; echo time (TE) = 3.43 ms; repetition time
102 (TR) = 2462 ms; inversion time (TI) = 1060 ms; flip angle = 8°; in-plane parallel imaging factor
103 (ARC) = 2; through-plane parallel imaging factor (ARC) = 2^{33}; bandwidth = 208 Hz/pixel; total
104 acquisition time = 6 min 39 s.
105
106 1.2.   Acquire NM-MRI images using a two-dimensional (2D) gradient recalled echo sequence
107 with magnetization transfer contrast (2D GRE-MTC) with the following parameters: resolution =
108 0.43 x 0.43 mm^2; FOV = 220 x 220 mm^2; slice-thickness = 1.5 mm; 20 slices; slice gap = 0 mm; TE
109 = 4.8 ms; TR = 500 ms; flip angle = 40°; bandwidth = 122 Hz/pixel; MT frequency offset = 1.2 kHz;
110 MT pulse duration = 8 ms; MT flip angle = 670°; number of averages = 5; total acquisition time =
111 10 min 4 s.
112
113 NOTE: Although the displayed results used these MRI acquisition parameters, this protocol is
114 valid for various T1w and NM-MRI imaging protocols. The NM-MRI protocol should cover ~25
115 mm in the inferior–superior direction to guarantee complete coverage of the SN.
116
117 ==2.   **Placement of NM-MRI volume**==
118
119 ==2.1.   Acquire a high-resolution T1w image (≤1 mm isotropic voxel size) that is aligned along the==
120 ==anterior commissure-posterior commissure (AC-PC) line and the midline. Perform the alignment==
121 ==of the high-resolution T1w image through online reformatting directly after image acquisition.==
122
123 ==2.1.1.   Carry out online reformatting using the vendor-provided software (e.g., if acquiring data==
124 ==on a GE scanner: MultiPlanar Reconstruction (MPR) in **Planning**; if acquiring data on a Siemens==
125 ==scanner: MPR in the **3D Task Card**; if acquiring data on a Philips scanner: MPR in the **Render**==
126 ==**Mode** of the **VolumeView Package**).==
127
128 2.1.1.1.   Create multiplanar reconstructions of the 3D T1w image in the axial plane
129 perpendicular to the AC-PC line to cover the whole brain with minimal slice-gap.
130

131 2.1.1.2.   Create multiplanar reconstructions of the 3D T1w image in the coronal plane
132 perpendicular to the AC-PC line to cover the whole brain with minimal slice-gap.
133
134 2.1.1.3.   Create multiplanar reconstructions of the 3D T1w image in the sagittal plane
135 parallel   to   the   AC-PC   line   to   cover   the   whole   brain   with   minimal   slice-gap.
136
137 2.2.   Load the sagittal, coronal, and axial views of the AC-PC aligned high-resolution T1w image
138 and ensure that reference lines depicting the location of each displayed slice are present.
139
140 2.3.   Identify the sagittal image that shows the largest separation between the midbrain and
141 thalamus (**Figure 1A**). To do this, visually inspect the sagittal slices of the reformatted T1w image
142 until the slice showing this greatest separation is identified.
143
144 2.4.   Using the sagittal image from the end of step 2.3, visually identify the coronal plane that
145 delineates the most anterior aspect of the midbrain (**Figure 1B**).
146
147 2.5.   Using the coronal image from the end of step 2.4, visually identify the axial plane that
148 delineates the inferior aspect of the third ventricle (**Figure 1C**).
149
150 2.6.   On the sagittal image from the end of step 2.3, align the superior boundary of the NM-
151 MRI volume to the axial plane identified in step 2.5 (**Figure 1D**).
152
153 2.7.   Move the superior boundary of the NM-MRI volume 3 mm in the superior direction
154 (**Figure 1E**).
155
156 2.8.   Align the NM-MRI volume to the midline in the axial and coronal images (**Figure 1F**).
157
158 [Place **Figure 1** here]
159
160 **3.   Quality control checks**
161
162 3.1.   Ensure that the acquired NM-MRI images cover the entire SN and that the SN is visible in
163 the central images but not in the most superior or most inferior images of the NM-MRI volume.
164 Otherwise (**Figure 2**), repeat steps 2.3–2.8 to ensure correct NM-MRI volume placement. If the
165 participant has moved significantly since the acquisition of the high-resolution T1w scan, repeat
166 steps 2.1–2.8.
167
168 [Place **Figure 2** here]
169
170 3.2.   Check for artifacts, particularly ones that go through the SN and the surrounding white
171 matter, by visually inspecting each slice of the acquired NM-MRI scan.
172

173 3.2.1. Look for abrupt changes in signal intensity with a linear pattern that does not respect
174 normal anatomical boundaries. For example, this may appear as a low-intensity region that is
175 flanked by two high-intensity regions.
176
177 3.2.2.   If the artifact is the result of blood vessels (**Figure 3A**), retain the NM-MRI images because
178 these artifacts will most likely always be present.
179
180 3.2.3.   If the artifacts are the result of participant head motion (**Figure 3B**), remind the
181 participant to stay as still as possible and reacquire the NM-MRI images.
182
183 3.2.4.   If the artifacts are ambiguous (**Figure 3C**), reacquire the NM-MRI images. Upon
184 reacquisition, if the artifacts remain present, proceed with these images as they are likely
185 biological rather than a result of motion.
186
187 3.2.5.   If the NM-MRI images pass the quality control check in step 3.1, copy the previous NM-
188 MRI volume placement. If the NM-MRI images fail the quality control check in step 3.1, repeat
189 steps 2.3–2.8 to ensure correct NM-MRI volume placement (or steps 2.1–2.8 if the participant
190 moved significantly).
191
192 [Place **Figure 3** here]
193
194 **REPRESENTATIVE RESULTS:**
195 **Figure 4** shows the representative results from a 28-year-old female participant with no
196 psychiatric or neurological disorders. The NM-MRI protocol ensures complete coverage of the
197 SN, achieved by following the steps outlined in **Figure 1**, and satisfactory NM-MRI images.
198 Excellent contrast between the SN and neighboring white matter regions with negligible NM
199 concentration (i.e., crus cerebri) can be seen. These images were checked immediately after
200 acquisition to ensure the proper coverage of the SN and to check for artifacts. Because full
201 coverage of the SN was achieved without any artifacts, the scan passed the quality checks and
202 did not need to be repeated.
203
204 [Place **Figure 4** here]
205
206 **Figure 2** shows the representative results from a 28-year-old female participant with no
207 psychiatric or neurological disorders whose images failed the first quality control check (step 3.1).
208 The SN is visible in the most superior slice (slice 20), indicating that full coverage of the SN was
209 not achieved. In this instance, the data must be reacquired by repeating steps 2.3–2.8 of the
210 protocol, as shown in **Figure 1**. If the participant has moved significantly since the acquisition of
211 the initial T1w image, then the researcher should return to step 1.1 to reacquire the T1w image.
212
213 **Figure 3** shows example images that failed the second quality control check (step 3.2). As outlined
214 in step 3.2, scans containing artifacts due to blood vessels (**Figure 3A**) do not need to be repeated,
215 as those artifacts will likely be present in every acquisition. Scans that contain artifacts resulting
216 from motion (**Figure 3B**) or ambiguous artifacts (**Figure 3C**) should be repeated. If the artifacts

217 remain present after reacquisition, then the scan does not need to be reacquired further as the
218 artifacts are likely biological and therefore, will be present in every acquisition.
219
220 **FIGURE LEGENDS:**
221
222 **Figure 1:** Images displaying the step-by-step NM-MRI volume placement procedure. Yellow lines
223 indicate the location of the slices used for volume placement as described in the protocol. (**A**)
224 First, the sagittal image with the greatest separation between the midbrain and thalamus is
225 identified (step 2.3 of the protocol). (**B**) Second, using the image from **A**, the coronal plane
226 delineating the most anterior aspect of the midbrain is identified (step 2.4). (**C**) Third, on the
227 coronal image from the plane identified in **B,** the axial plane delineating the inferior aspect of the
228 third ventricle is identified (step 2.5). (**D**) Fourth, the axial plane identified in **C** is displayed on the
229 sagittal image from **A** (step 2.6). (**E**) Fifth, the axial plane from **D** is shifted 3 mm in the superior
230 direction, and this plane indicates the superior boundary of the NM-MRI volume (step 2.7). (**F**)
231 The final NM-MRI volume placement where the coronal image corresponds to **C**, the sagittal
232 image corresponds to **A**, and the axial image corresponds to the axial plane in **E**. The NM-MRI
233 volume is aligned to the brain midline in the coronal and axial images and the AC-PC line in the
234 sagittal image (step 2.8). Part of this figure has been reprinted with permission from Elsevier from
235 [30]. Abbreviations: NM-MRI = neuromelanin-sensitive magnetic resonance imaging; AC-PC =
236 anterior commissure-posterior commissure.
237
238 **Figure 2:** Example of an NM-MRI acquisition that failed the first quality control check (step 3.1 of
239 the protocol). Each of the 20 NM-MRI slices displayed from most inferior (top left image) to most
240 superior (bottom right image); the image window/level was set to exaggerate the contrast
241 between the substantia nigra and crus cerebri. The orange arrows in slices 15–19 show the
242 location of the substantia nigra in those slices. The red arrow in the most superior slice (slice 20)
243 shows that the substantia nigra is still visible in this slice, and thus, the acquisition fails the quality
244 check. Abbreviation: NM-MRI = neuromelanin-sensitive magnetic resonance imaging.
245
246 **Figure 3:** Examples of NM-MRI acquisitions that failed the second quality control check (step 3.2
247 of the protocol). Only one representative slice is shown for each case. (**A**) An NM-MRI acquisition
248 that fails the quality control check due to a blood vessel artifact (red arrows) that is the result of
249 the blood vessel identified by the blue arrows. (**B**) An NM-MRI acquisition that fails the quality
250 control check due to motion artifacts (red arrows). (**C**) An NM-MRI acquisition that fails the
251 quality control check due to an ambiguous artifact (red arrows). Abbreviation: NM-MRI =
252 neuromelanin-sensitive magnetic resonance imaging.
253
254 **Figure 4:** Example of a representative NM-MRI acquisition. Each of the 20 NM-MRI slices
255 displayed from most inferior (top left image) to most superior (bottom right image); the image
256 window/level was set to exaggerate the contrast between the substantia nigra and crus cerebri
257 from a 28-year-old female participant with no psychiatric or neurological disorders. The NM-MRI
258 protocol ensures complete coverage of the substantia nigra, partial coverage of the locus
259 coeruleus, and satisfactory NM-MRI images. Excellent contrast between the substantia nigra and
260 neighboring white matter regions with no neuromelanin concentration (i.e., crus cerebrus) can

261   be seen on slices 9–16. The image at the bottom shows a zoomed-in view of the midbrain from
262   slice 13. Abbreviation: NM-MRI = neuromelanin-sensitive magnetic resonance imaging.
263
264   **DISCUSSION:**
265   The dopaminergic system plays a crucial role in healthy cognition and neuropsychiatric disorders.
266   The development of noninvasive methods that can be used to repeatedly investigate the
267   dopaminergic system *in vivo* is critical for the development of clinically meaningful biomarkers.
268   The protocol described here supplies step-by-step instructions for acquiring good-quality NM-
269   MRI images of the SN, including placement of the NM-MRI volume and quality control checks to
270   ensure usable data.
271
272   **Notes on analysis of NM-MRI data**
273   Even though detailed protocols for analysis of NM-MRI data have been discussed elsewhere, for
274   completeness, we provide a brief summary of our previous work and recommendations for
275   preprocessing of NM-MRI images and voxelwise analyses. This approach has been validated
276   previously in conjunction with the acquisition protocol described in this paper. Previous studies
277   discuss the advantages of this method in more detail and provide data supporting its
278   reproducibility[6,12,32]. Note however that the standardized acquisition protocol described herein
279   is applicable to any processing and analysis strategy (including ROI-based analysis in native or
280   MNI space[8,32]) and not just the one described here.
281
282   For analysis of NM-MRI images, preprocessing can be performed to correct for motion and to
283   spatially normalize individual subject data to a standard anatomical template. We recommend
284   the following pipeline combining Statistical Parametric Mapping (SPM) and Advanced
285   Normalization Tools (ANTs) to use the following tools in the following steps: (1) **SPM-Realign** to
286   realign and correct separately acquired averages for motion, and **SPM-ImCalc** to average the
287   realigned images; (2) **antsBrainExtraction.sh** for brain extraction of the T1w image; (3)
288   **antsRegistrationSyN.sh** (rigid + affine + deformable syn) for spatial normalization of the brain-
289   extracted T1w image to the MNI152NLin2009cAsym template space; (4) **antsRegistrationSyN.sh**
290   (rigid) to coregister the NM-MRI image to the T1w (in native space) image; (5)
291   **antsApplyTransforms** by combining the transformations estimated in steps 3 and 4 into a single-
292   step transformation for spatial normalization of the NM-MRI images to MNI space; and (6) **SPM-**
293   **Smooth** with a 1 mm full-width-at-half-maximum Gaussian kernel for spatial smoothing of the
294   spatially normalized NM-MRI image. This processing pipeline was previously shown to achieve
295   the highest test-retest reliability in the literature, with an average intra-class correlation
296   coefficient (ICC) within the SN of ~0.90[32]. Furthermore, several previous studies have used similar
297   preprocessing pipelines[12,31,34-37].
298
299   After spatial normalization, the NM-MRI images should be analyzed by calculating the contrast-
300   to-noise ratio at each voxel (CNR_V). The CNR measures the percent signal difference between
301   each voxel (I_V) and a reference white matter region known to have little NM content[12] (crus
302   cerebri, I_{CC}), given by the following formula: $CNR_V = \{[I_V - \text{mode}(I_{CC})] / \text{mode}(I_{CC})\}*100$. CNR_V
303   values can be averaged for each participant to determine the CNR of the entire SN or can be
304   analyzed at the voxelwise level within the SN. Higher CNR values reflect increased NM content in

305   that voxel or ROI. Unlike some other analysis methods that define the SN ROI as the hyperintense
306   region in a NM-MRI image, this recommended method uses predefined template ROIs that can
307   be obtained from the literature[12] or drawn on the average of NM-MRI images in MNI space across
308   all subjects in the study (using a study-specific template). Not only is this method fully automated,
309   it also removes circularity in the analysis, accounts for heterogeneity within the SN-VTA complex,
310   and does not limit analysis to the whole-ROI level.
311
312   When acquiring NM-MRI images, it is critical that the T1w images used to place the NM-MRI
313   volume are aligned along the AC-PC line. Doing so will improve the reproducibility of the scans.
314   It is also important to acquire the T1w images as close in time before acquiring the NM-MRI
315   images as possible. Because the T1w image is used for NM-MRI volume placement, it is important
316   that it accurately represents the location of the participant's head in the scanner. If the
317   participant has moved between the T1w scan and the NM-MRI scan, then the NM-MRI volume
318   will not be appropriately placed. Minimizing the amount of time between acquisition of the T1w
319   images and the NM-MRI images will decrease the likelihood that the participant has moved
320   between scans and therefore decrease the likelihood that part of the SN is not included in the
321   NM-MRI volume.
322
323   Some modifications to the protocol may be required if issues with the NM-MRI acquisition arise.
324   If the whole SN is not consistently covered, even after correcting the volume placement, then the
325   number of slices in the NM-MRI protocol may need to be increased to capture the entire SN.
326   Additionally, if the participant has difficulty staying still for the entirety of the NM-MRI scan,
327   resulting in consistent motion artifacts, individual repetitions could be acquired and averaged
328   offline. For example, instead of completing one 10-min scan that acquires five repetitions
329   averaged online, five 2-min scans could be acquired and averaged offline. This would give the
330   participant opportunities for breaks in between repetitions and may help them remain still for
331   the duration of the individual scans.
332
333   One limitation of this protocol is that it does not provide full coverage of the LC with standard
334   NM-MRI acquisition protocols, preventing the noradrenergic system from being thoroughly
335   investigated using this method. While the LC is a structure that can be imaged using NM-MRI,
336   including the LC in this protocol would increase the number of slices required to reliably capture
337   both the SN and LC in their entirety. Increasing the number of slices would, in turn, increase the
338   scan time for this protocol. Because these scans are sensitive to motion, an increase in scan time
339   may produce lower-quality images as participants may find it more difficult to remain still for
340   longer periods—particularly problematic in clinical populations. Thus, we chose not to include
341   the LC in this protocol to minimize the potential for motion artifacts in the data. Future studies
342   should investigate the reliability of NM-MRI protocols with a greater number of slices to
343   simultaneously image the SN and LC.
344
345   A second limitation of this protocol is that the AC-PC alignment of the NM-MRI volume may not
346   provide the optimal orientation for imaging the SN. While the AC-PC line is easy to identify, this
347   orientation does not fully minimize partial volume effects as it is not perfectly perpendicular to
348   the SN. Previous work has utilized an oblique axial section perpendicular to the floor of the fourth

349 ventricle to image the SN[38-40]. While this volume placement, or one perpendicular to the cerebral
350 aqueduct, may provide less partial volume effects than AC-PC alignment, we chose to use the AC-
351 PC line given its clearly defined landmarks. The validity of this alignment was shown in previous
352 work utilizing the protocol outlined above, in which excellent test-retest reliability was
353 achieved[32]. AC-PC alignment has also been used in several other studies. Cassidy et al. found that
354 patients with cocaine addiction had higher SN CNR values than controls[35]. In a study of patients
355 with late-life depression, Wengler et al. found that psychomotor function was correlated with SN
356 CNR values[36]. A third paper also found that Parkinson's patients had reduced CNR in the SN while
357 patients with psychosis had increased CNR in the SN[12].
358
359 However, no study has directly compared different volume placement methods, and this is an
360 area that future research should explore to determine which method provides the best test-
361 retest reliability across multiple acquisitions. 3D NM-MRI sequences could provide an alternative
362 solution because they provide greater flexibility in reformatting after acquisition. Furthermore,
363 3D sequences achieve a higher signal-to-noise ratio than 2D sequences, potentially allowing for
364 higher spatial resolution but come at the cost of increased sensitivity to motion. Currently, 2D-
365 GRE MT is the only extensively validated NM-MRI sequence—the motivating factor for using it
366 for this protocol. Future studies should compare NM-MRI signal from 3D sequences to NM
367 concentration and striatal dopamine function, and reproducibility in comparison to 2D-GRE MT
368 before widespread adoption.
369
370 This protocol has advantages over other NM-MRI protocols because it provides easily identifiable
371 landmarks for NM-MRI volume placement, making it highly reproducible. It also provides online
372 quality checks, which no other NM-MRI protocol has included. These quality checks allow the
373 experimenter to reacquire images if they are of poor quality rather than simply excluding that
374 subject from the analysis.
375
376 NM-MRI is a valuable tool that has been used to investigate several neuropsychiatric disorders.
377 NM-MRI is a proxy measure of dopamine function in the nigrostriatal pathway[12], thus offering a
378 method of examining the *in vivo* dopaminergic system that does not require invasive procedures
379 such as PET. Patients with schizophrenia have increased NM signal in the SN[38,41], supporting
380 previous studies that have revealed increased dopaminergic function in schizophrenia. NM-MRI
381 signal in the SN also correlates with psychosis severity in patients with schizophrenia and those
382 at high risk for schizophrenia[12]. Research has also shown that individuals with cocaine use
383 disorder have increased NM-MRI signal in the ventrolateral regions of the SN[35], and that in
384 patients with late-life depression, lower NM-MRI signal in the SN is correlated with motor
385 slowing[36]. Additionally, NM-MRI has been used to study dopaminergic cell loss in conditions such
386 as Parkinson's disease.
387
388 Kitao and colleagues established that NM-MRI signal in the SN is correlated with the number of
389 pigmented dopaminergic neurons in the SN[11], and others have shown that NM-MRI signal in SN
390 dopaminergic neurons is decreased in Parkinson's disease[6,9,39,40]. Further research in Parkinson's
391 patients has used NM-MRI to map the topographical pattern of SN cell loss[12] and the progression
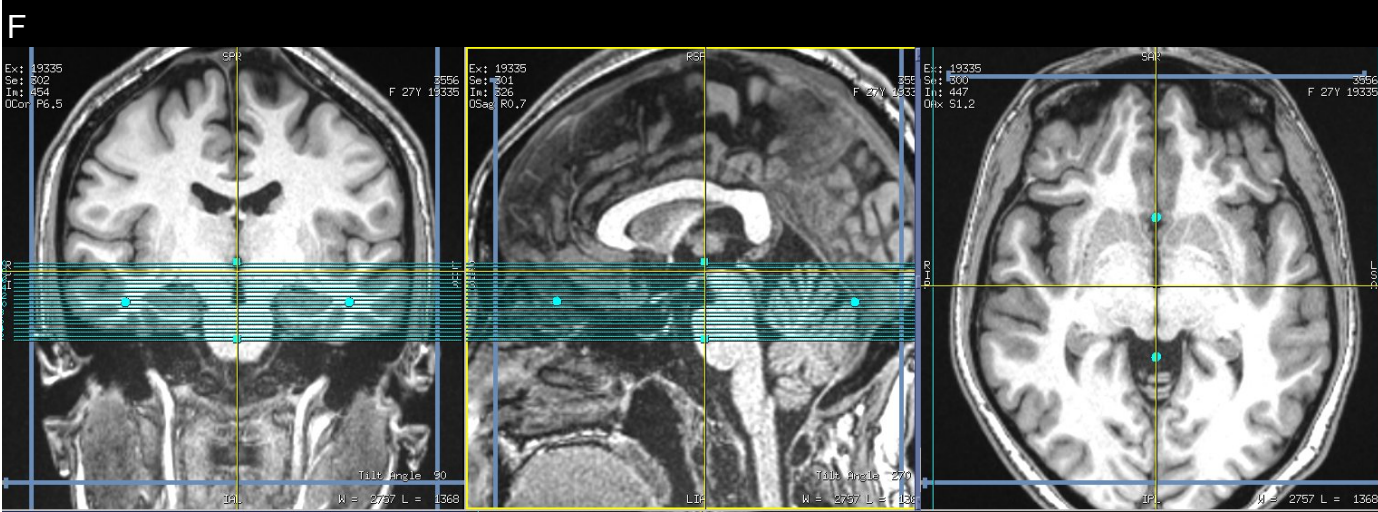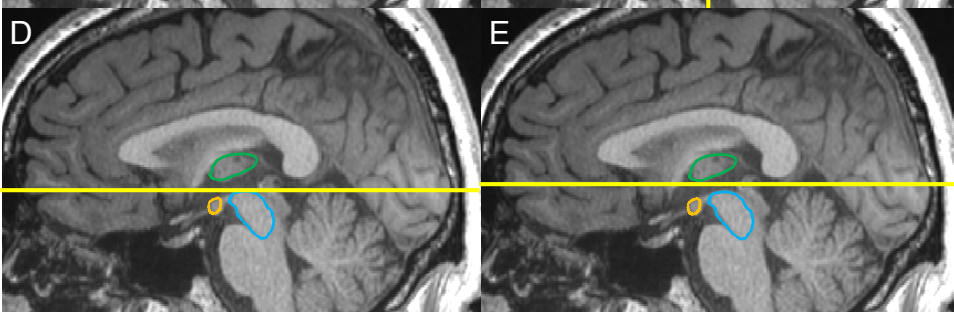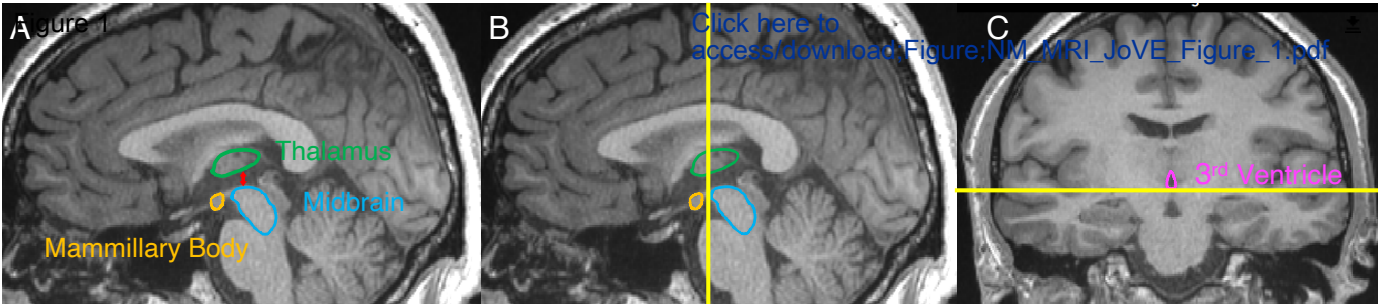392 of SN cell loss over the course of the disease[37]. Altogether, this suggests that not only does NM-

MRI provide insight into the underlying chemical components of neuropsychiatric disorders, but it may also be useful as a biomarker in predicting disease onset and severity. We hope that the standardized protocol presented here will facilitate future work to develop clinically useful biomarkers based on NM-MRI[30].

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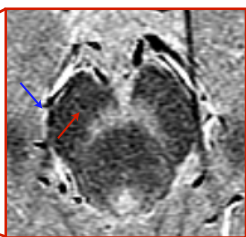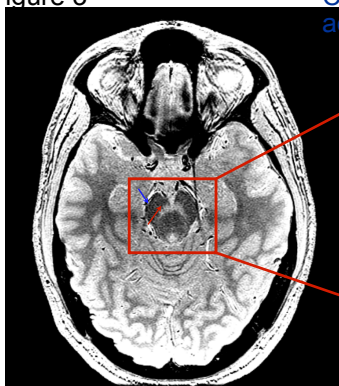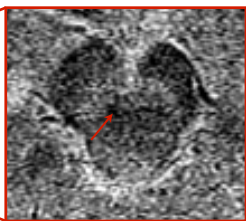503 (8), e104619 (2014).
504

Figure 1

A

Thalamus

Midbrain

Mammillary Body

B

C

3rd Ventricle
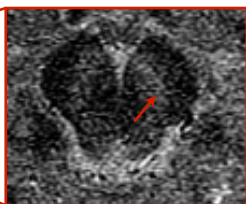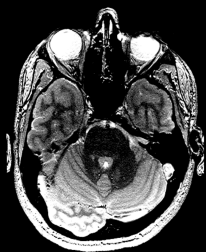
D

E

F

Figure 3

Siice 1 (bottom)   Slice 2   Slice 3   Slice 4   Slice 5
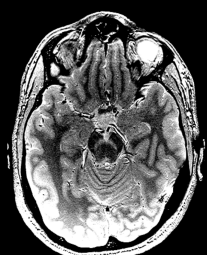
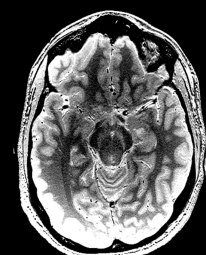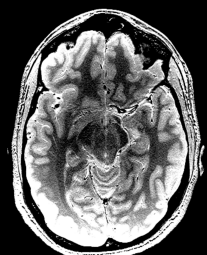Slice 6   Slice 7   Slice 8   Slice 9   Slice 10

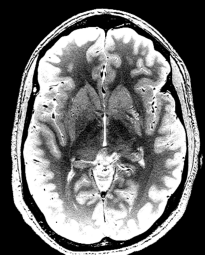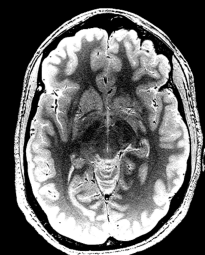Slice 11   Slice 12   Slice 13   Slice 14   Slice 15
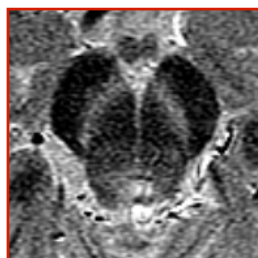
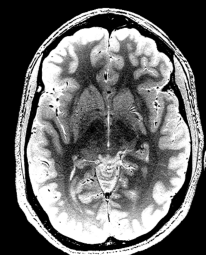Slice 16   Slice 17   Slice 18   Slice 19   Slice 20 (top)

Click here to access/download
Table of Materials
NM_MRI_JoVE_Table_of_Materials.xls

Dear Editor,

We appreciate the constructive feedback from the reviewers and the opportunity to submit a revised manuscript. We have incorporated or addressed all of the recommended changes into a revised version of the manuscript, which we feel is substantially improved. Changes in the manuscript are indicated as *xxx*. Below we provide point-by-point detailed replies to the reviewers' comments. We hope this revised manuscript is now suitable for publication.

Thank you for your time and consideration.

Sincerely,
Kenneth Wengler, PhD, and Guillermo Horga, MD, PhD

General Response:
We thank the reviewers for their time reviewing our manuscript and their helpful and critical feedback. We have noticed that many of the reviewers' comments, both in this round and the previous round of revisions, has focused on the processing and analysis methods for NM-MRI. The goal of this manuscript was to present a standardized method of acquiring NM-MRI with thorough quality control checks to ensure useable data (rather than to discuss analyses methods in detail that are published elsewhere). NM-MRI has been steadily gaining popularity in the research community and is even gaining significant traction for clinical applications, e.g. in Parkinson's disease. In order to translate NM-MRI into the clinic and for expanded multi-site studies, it is imperative that a standardized acquisition method is used across sites, and the literature to-date has not addressed this issue. We apologize if our overall goal was not fully clear and have made changes to make this more obvious.

A key issue we have with further expanding the manuscript with respect to the processing and analysis methods is that it falls outside the goals of this manuscript and, most importantly, the NM-MRI acquisition protocol that we present can be used for any processing and analysis method, not just the one that we recommend (which was only included at the behest of a reviewer in the previous round of revisions). As such, we would like to avoid adding further details of the processing and analysis approach into this manuscript—all of which have been extensively validated and tested in our previous work (Cassify et al., PNAS 2019; Wengler et al., NeuroImage 2020). We have instead changed the title of the manuscript to "Standardized Data Acquisition for Neuromelanin-sensitive Magnetic Resonance Imaging of the Substantia Nigra," to better reflect the goal of the paper.

Reviewer #2:
Manuscript Summary:

I have no further comments.

<u>Reviewer #3:</u>
Manuscript Summary:
Recent years have wittedness an increased number of NM-MRI papers. This technique has also been used in clinical environments to help the diagnosis of Parkinson's disease. However, we don't often see how the NM volume is placed or how the image quality is checked in published work. Therefore, I appreciate the authors' effort that may lead to better NM-MRI practice. I only have minor comments on the NM-MRI acquisition, but I would like to read more about the preprocessing and ROI analysis.

Major Concerns:
1. Page 9, paragraph 2: Regarding the preprocessing of NM images, could the authors show the quality of coregistration and normalization as figures? Previous studies often measured NM signals on the individual space. It's unclear whether SPM preprocessing works with NM images. It's worth sharing the knowledge.

Response: We appreciate the reviewer pointing this out. Much of our work has indeed been dedicated to the development of the preprocessing pipeline. The pipeline for preprocessing NM-MRI images was extensively tested in our previous work (Wengler et al., NeuroImage, 2020) and was shown to shown to provide excellent test-retest reliability. It is also worth mentioning that multiple recent studies have also used similar voxelwise approaches in MNI space using similar preprocessing pipelines (Cassidy et al., PNAS, 2019; Biondetti et al., Brain, 2020; Sung et al., Human Brain Mapping, 2021; Cassidy et al., AJP, 2021; van der Pluijm et al., JMRI, 2021; Wengler et al., NPP, 2021; Wengler et al., JMRI 2021). The corresponding citations are given in the revised manuscript on page 7.

Furthermore, several previous studies have used similar preprocessing pipelines[12,31,34-37].

Minor Concerns:
2. Page 4, paragraph 1: The authors claim that NM accumulates linearly with age. This claim is inconsistent with recent research on the age effect on NM signals (e.g., Xing et al. 2018, Movement Disorders). I suggest leaving 'linearly' out.

Response: We thank the reviewer for catching this as there does appear to be a nonlinear accumulation, especially in older age (potentially due to neurodegeneration). We have modified this sentence in the revised manuscript accordingly.

It first appears in humans around 2–3 years of age and accumulates with age[1,4,5].

3. Page 4, paragraph 3: The first sentence, "in the SN, NM-MRI can investigate two aspects…", is somewhat misleading. Nm-MRI measures the structural integrity of the SN, but it can not provide a direct measurement of function (e.g., the role of dopamine in reinforcement learning) and cell loss (e.g., counting the number of neurons).

Response: We thank the reviewer for pointing this out as the sentence was a bit misleading. We have revised this statement to more clearly reflect the results in the literature which has shown the NM-MRI contrast in the SN to (1) correlate with the density of neuromelanin-containing neurons in the SN (Kitao et al., Neuroradiology, 2013) and (2) correlate with dopamine-release capacity in the associative striatum (Cassidy et al., PNAS, 2019).

In the SN, NM-MRI can provide a marker of dopaminergic cell loss[11] and indirectly dopamine system function[12].

4. Page 5, paragraph 2: The authors claim that the protocol has been used in several studies. It would be helpful to cite these studies.

Response: We apologize for this oversight. This statement was revised to include the citations and changed to 'multiple' instead of 'several' since some studies have not yet been published.

The protocol outlined in this paper has been utilized in multiple studies[30,31] and was extensively tested.

5. Page 5, paragraph 3: Was it a GE 3T scanner? How long does it take to complete the NM scan with current parameters?

Response: We apologize for forgetting to include this information. Yes, it was a 3T GE scanner and the total acquisition time for the NM-MRI scan was 10 min 4 s. The total acquisition time has been added for both the T1w and NM-MRI sequences. Additional details regarding the MRI scanner are now in the Table of Materials.

T1w: *total acquisition time = 6 min 39 s.*
NM-MRI: *total acquisition time = 10 min 4 s.*
6. Page 6, 1.1: The images were acquired on a GE scanner, but the reformatting has to be done with Siemens or Philips packages? I don't think many institutions have multiple scanners of different producers. Is there a solution with GE or open-source packages?

Response: We apologize for the confusion. This protocol is not specific to any one MRI vendor. Acquisition and reformatting are both done on the same console, examples for

the on-console software were given for both Siemens and Philips, this info has been added for GE.

2.1.1. Carry out online reformatting using the vendor-provided software (e.g., if acquiring data on a GE scanner: MultiPlanar Reconstruction in Planning; if acquiring data on a Siemens scanner: MPR in the 3D Task Card; if acquiring data on a Philips scanner: MPR in the Render Mode of the VolumeView Package).

7. Page 7, 2.1: In Figure 2, the SN is better identified on slices 16-19. Maybe the authors should place red arrows on these slices?

Response: We agree with the reviewer that the SN is better identified on slices 16–19, but the purpose of Figure 2 is to demonstrate the failure of the quality control check detailed in step 3.1 of the protocol ("Ensure that the acquired NM-MRI images cover the entire SN and that the SN is visible in the central images but not in the most superior or most inferior images of the NM-MRI volume."), not to identify out the SN. The red arrow is to denote that the SN is present in the top slice (slice 20); orange arrows have been added to slices 15–19 to denote its location in the other slices.

8. Page 9, paragraph 3: Could the authors recommend an SN template? Speaking from my own experience, SPM extensions such as PickAtlas does not provide a good template of SN, and FreeSurfer subcortical atlas has 'brain-stem' as a whole.

Response: The SN template can either be taken from the literature (e.g., Cassidy et al. PNAS, 2019) or can be hand-drawn on the average NM-MRI image in MNI space across all subjects in the study. The manuscript has been updated for clarity.

Unlike some other analysis methods which define the SN ROI as the hyperintense region in a NM-MRI image, our recommended method uses pre-defined template ROIs that can be obtained from the literature[12] or drawn on the average of NM-MRI images in MNI space across all subjects in the study (using a study-specific template).

Reviewer #4:
Manuscript Summary:
This is my first revision of a manuscript that has been previously reviewed by two other anonymous reviewers. Therefore, in addition to the manuscript, I have also read the

author's rebuttal letter, which has resolved some of my initial doubts. In my opinion, the authors have satisfactorily addressed those original concerns. Likewise, the manuscript is well-written, as well as well-suited and of interest for the journal. However, I have some additional comments that the authors may want to address in a re-revised version of the manuscript.

Major Concerns:
1. Firstly, the authors describe NM contrast as related to the paramagnetic properties of NM, both in isolation or when bound to metals. Although such T1 shortening effects may suffice to explain the bases of NM contrast in SE images, the authors used a GRE sequence with a MT pulse. In this case, in the introduction section, I think the authors should expand their explanation on the bases of the NM signal by discussing MT contrast as the result of the interaction between macromolecular-bound water protons and surrounding free water protons, and how this contrast originates from the selective saturation of macromolecular protons by MT pulses.

Response: We thank the reviewer for pointing this out and apologize for not including greater detail regarding the NM-MRI contrast mechanism. This section has been expanded to include a more detailed description of the MT contrast mechanism believed to drive the NM-MRI signal.

MRI scans that can visualize NM are known as NM-sensitive MRI (NM-MRI) and use either direct or indirect magnetization transfer effects to provide contrast between regions with high NM concentration (e.g., the SN) and the surrounding white matter[8,9]. Magnetization transfer contrast is the result of the interaction between macromolecular-bound water protons (which are saturated by the magnetization transfer pulses) and the surrounding free water protons. In NM-MRI, it is believed that the paramagnetic nature of NM-iron complexes shortens the T1 of the surrounding free water protons resulting in reduced magnetization-transfer effects so that regions with higher NM concentration appear hyperintense on NM-MRI scans[10]. Conversely, the white matter surrounding the SN has a high macromolecular content resulting in large magnetization-transfer effects so the these regions appear hypointense on NM-MRI scans, thus providing high contrast between the SN and surrounding white matter.

2. In the protocol description, please add total acquisition time for each sequence.

Response: We apologize for forgetting to include this information. The total acquisition time for the T1w sequence was 6 min 39 s and for the NM-MRI scan was 10 min 4 s. The total acquisition time has been added for both the T1w and NM-MRI sequences.

T1w: *total acquisition time = 6 min 39 s.*
NM-MRI: *total acquisition time = 10 min 4 s.*

3. I agree with one of the previous reviewers in that the artifact depicted in Figure 3c is quite difficult to notice. Since in this image there are no other apparent signals of acquisition problems (e.g., movement lines, as in Figure 3b) I doubt if re-scanning is needed in this case, since this will, after all, increase acquisition time and therefore increase the likelihood of movement.

Response: We agree that re-acquiring these data are most likely not needed but it is suggested in the event that it was from acquisition problems (e.g., movement lines, as in Figure 3b). Furthermore, if re-acquiring was not necessary, and the re-acquired image has motion artifacts then it would be excluded anyways so there is no practical risk of increased likelihood of movement unless it was necessary to re-acquire the image in the first place. In this case, the experimenter is replacing bad quality data with (potentially) bad quality data, at the cost of increased scan time, but with the potential benefit of getting usable data.

4. The authors recommend realigning the different volumes if averages have been acquired separately. Realign is a function thought for functional time series, and I think here it will be better to co-register the different averages. It should produce similar results, since both algorithms are based on rigid-body transformations.

Response: We thank the reviewer for pointing this out and they are correct that both are rigid-body transformations. While the reviewer is correct that 'Realign' is typically used for functional time series, the appropriate application of this algorithm is for images with the same contrast (like we have here since all are NM-MRI images). Also, the NM-MRI data acquired here can also be thought of as time series, just with much longer separation (typically ~2 min for NM-MRI but ~2 s for fMRI).

5. Also regarding preprocessing, I think steps 3 and 4 should by swapped. I think the logic order is first to co-register T1 and NM images in native space, then normalizing the T1 sequence, and, finally, use this normalization parameters for the co-registered NM sequence.

Response: The current order reflects the steps that the reviewer suggests. The NM-MRI are co-registered to the T1 in T1 native space (not MNI space). This section has been updated for clarity.

(4) coregistration of the NM-MRI image to the T1w (in native space) image using 'antsRegistrationSyN.sh' (rigid);

6. What is the rationale for using a 1mm FWHM smoothing kernel?

Response: Based on previous work (Wengler et al. NeuroImage, 2020), we systematically compared different smoothing kernels and found 1 mm FWHM smoothing kernel to provide the best tradeoff between CNR and ICC.

7. What SN template do the authors suggest for the analysis part?

Response: The SN template can either be taken from the literature (e.g., Cassidy et al. PNAS, 2019) or can be drawn on the average NM-MRI image in MNI space across all subjects in the study. The manuscript has been updated for clarity.

Unlike some other analysis methods which define the SN ROI as the hyperintense region in a NM-MRI image, our recommended method uses pre-defined template ROIs that can be obtained from the literature[12] or drawn on the average of NM-MRI images in MNI space across all subjects in the study (using a study-specific template).

8. How is the reference white matter region defined? How do the authors ensure to avoid including any SN voxel in this reference region? Should the values of this reference region be always averaged and SN values (average or voxel-wise values) compared with this average value? How do the authors suggest to do this comparison if a voxel-wise analysis is preferred? Should each SN be contrasted with an ipsilateral reference region? Does this reference region span a similar number of slices in the rostral-caudal axis (there might be subtle intensity changes across slices acquired at different rostral-caudal levels)? If the reference region only covers a fraction of the SN cluster, this may result in false positive or false negative results.

Response: The reference white matter region (Crus Cerberus; CC) is defined by hand drawing the region surrounding the SN on an average NM-MRI image in MNI space. To overcome the potential issues described by the reviewer, we do not use the average value within the CC (which would be sensitive to including SN edge-voxels or other non-CC voxels as well as the rostral-caudal gradient in the GRE-MT signal) but instead use the mode of the kernel distribution of the CC values. Our previous work showed this method to be the most robust option.

3/31/21, 2:06 PM

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