# **Journal of Visualized Experiments**

# Intraoperative assessment of resection margins in oral cavity cancer: this is the way --Manuscript Draft--

| Article Type:  | Invited Methods Collection - JoVE Produced Video   |  |  |  |
|--|--|--|--|--|
| Manuscript Number:   | JoVE62446R2  |  |  |  |
| Full Title:  | Intraoperative assessment of resection margins in oral cavity cancer: this is the way    |  |  |  |
| Corresponding Author:  | Senada Koljenovic Erasmus Medical Centre: Erasmus MC Rotterdam, Zuid Holland NETHERLANDS |  |  |  |
| Corresponding Author's Institution:  | Erasmus Medical Centre: Erasmus MC   |  |  |  |
| Corresponding Author E-Mail:   | s.koljenovic@erasmusmc.nl  |  |  |  |
| Order of Authors:  | Yassine Aaboubout  |  |  |  |
|  | Elisa M. Barroso   |  |  |  |
|  | Mahesh Algoe   |  |  |  |
|  | Patricia C. Ewing-Graham   |  |  |  |
|  | Ivo ten Hove   |  |  |  |
|  | Hetty Mast   |  |  |  |
|  | José A. Hardillo   |  |  |  |
|  | Aniel Sewnaik  |  |  |  |
|  | Dominiek A. Monserez   |  |  |  |
|  | Stijn Keereweer  |  |  |  |
|  | Brend P. Jonker  |  |  |  |
|  | Cornelia G. F. van Lanschot  |  |  |  |
|  | Roeland W. H. Smits  |  |  |  |
|  | Maria R. Nunes Soares  |  |  |  |
|  | Lars Ottevanger  |  |  |  |
|  | Sanne E. Matlung   |  |  |  |
|  | Paul A. Seegers  |  |  |  |
|  | Vera van Dis   |  |  |  |
|  | Robert M. Verdijk  |  |  |  |
|  | Eppo B. Wolvius  |  |  |  |
|  | Peter J. Caspers   |  |  |  |
|  | Tom C. Bakker Schut  |  |  |  |
|  | Robert J. Baatenburg de Jong   |  |  |  |
|  | Gerwin J. Puppels  |  |  |  |
|  | Senada Koljenović  |  |  |  |
| Additional Information:  |  |  |  |  |
| Question   | Response   |  |  |  |
| Please indicate whether this article will be Standard Access or Open Access. | Open Access (US\$4,200)  |  |  |  |

| Please specify the section of the submitted manuscript.  | Medicine   |
|--|--|
| Please indicate the <b>city, state/province, and country</b> where this article will be <b>filmed</b> . Please do not use abbreviations. | Rotterdam, Zuid Holland, the Netherlands                     |
| Please confirm that you have read and agree to the terms and conditions of the author license agreement that applies below:              | I agree to the Author License Agreement                      |
| Please provide any comments to the journal here.   | We had contact with Jaydev Upponi, who invited us to publish |
| Please indicate whether this article will be Standard Access or Open Access.   | Open Access (\$3900)   |

1 TITLE:

2 Intraoperative Assessment of Resection Margins in Oral Cavity Cancer: This is the Way

3 4

#### **AUTHORS AND AFFILIATIONS:**

- 5 Yassine Aaboubout<sup>1,2</sup>, Elisa M. Barroso<sup>1,3,4</sup>, Mahesh Algoe<sup>1</sup>, Patricia C. Ewing-Graham<sup>1</sup>, Ivo ten
- 6 Hove<sup>3,6</sup>, Hetty Mast<sup>3</sup>, José A. Hardillo<sup>2</sup>, Aniel Sewnaik<sup>2</sup>, Dominiek A. Monserez<sup>2</sup>, Stijn Keereweer<sup>2</sup>,
- 7 Brend P. Jonker<sup>3</sup>, Cornelia G. F. van Lanschot<sup>2</sup>, Roeland W. H. Smits<sup>2</sup>, Maria R. Nunes Soares<sup>1,4</sup>,
- 8 Lars Ottevanger<sup>1</sup>, Sanne E. Matlung<sup>1</sup>, Paul A. Seegers<sup>5</sup>, Vera van Dis<sup>1</sup>, Robert M. Verdijk<sup>1</sup>, Eppo B.
- 9 Wolvius<sup>3</sup>, Peter J. Caspers<sup>4</sup>, Tom C. Bakker Schut<sup>4</sup>, Robert J. Baatenburg de Jong<sup>2</sup>, Gerwin J.
- 10 Puppels<sup>4</sup>, Senada Koljenović<sup>1</sup>

11

- <sup>1</sup>Department of Pathology, Erasmus MC University Medical Center, Rotterdam, The Netherlands.
- 13 <sup>2</sup>Department of Otorhinolaryngology and Head and Neck Surgery, Erasmus MC University
- 14 Medical Center, Rotterdam, The Netherlands.
- 15 <sup>3</sup>Department of Oral and Maxillofacial Surgery, Erasmus MC University Medical Center,
- 16 Rotterdam, The Netherlands.
- 17 <sup>4</sup>Department of Dermatology, Erasmus MC University Medical Center, Rotterdam, The
- 18 Netherlands.
- 19 <sup>5</sup>PALGA foundation, The nationwide network and registry of histo- and cytopathology, Utrecht,
- 20 The Netherlands.
- 21 <sup>6</sup>Department of Oral and Maxillofacial Surgery, Leiden University Medical Center, Leiden, The
- 22 Netherlands.

- 24 Email addresses of co-authors:
- 25 Yassine Aaboubout (y.aaboubout@erasmusmc.nl)
- 26 Elisa M. Barroso (e.barroso@erasmusmc.nl)
- 27 Mahesh Algoe (m.algoe@erasmusmc.nl)
- 28 Patricia C. Ewing-Graham (p.ewing@erasmusmc.nl)
- 29 Ivo ten Hove (i.ten hove@lumc.nl)
- 30 Hetty Mast (h.mast@erasmusmc.nl)
- 31 José A. Hardillo (j.hardillo@erasmusmc.nl)
- 32 Aniel Sewnaik (a.sewnaik@erasmusmc.nl)
- 33 Dominiek A. Monserez (d.monserez@erasmusmc.nl)
- 34 Stijn Keereweer (s.keereweer@erasmusmc.nl)
- 35 Brend P. Jonker (b.jonker@erasmusmc.nl)
- 36 Cornelia G. F. van Lanschot (c.vanlanschot@erasmusmc.nl)
- 37 Roeland W. H. Smits (r.w.h.smits@erasmusmc.nl)
- 38 Maria R. Nunes Soares (m.nunessoares@erasmusmc.nl)
- 39 Lars Ottevanger (l.ottevanger@erasmusmc.nl)
- 40 Sanne E. Matlung (sannekem@gmail.com)
- 41 Paul A. Seegers (paul.seegers@palga.nl)
- 42 Vera van Dis (v.vandis@erasmusmc.nl

43 Robert M. Verdijk (r.verdijk@erasmusmc.nl)
44 Eppo B. Wolvius (e.wolvius@erasmusmc.nl)
45 Peter J. Caspers (p.caspers@erasmusmc.nl)
46 Tom C. Bakker Schut (t.bakkerschut@erasmusmc.nl)

47 Robert J. Baatenburg de Jong (r.i.baatenburgdejong@erasmusmc.nl)

48 Gerwin J. Puppels (g.puppels@erasmusmc.nl)
49 Senada Koljenović (s.koljenovic@erasmusmc.nl)

Corresponding author:

52 Senada Koljenović (s.koljenovic@erasmusmc.nl)

#### **KEYWORDS:**

oral cancer, squamous cell carcinoma, surgery, resection margin, intraoperative assessment, head and neck cancer

#### **SUMMARY:**

The goal of this protocol is to provide a clear overview of specimen-driven intraoperative assessment of resection margins. It is encouraged to implement this protocol to improve patient care at other institutes.

#### **ABSTRACT:**

The goal of head and neck oncological surgery is complete tumor resection with adequate resection margins while preserving acceptable function and appearance. For oral cavity squamous cell carcinoma (OCSCC), different studies showed that only 15%–26% of all resections are adequate. A major reason for the low number of adequate resections is the lack of information during surgery; the margin status is only available after the final histopathologic assessment, days after surgery.

The surgeons and pathologists at the Erasmus MC University Medical Center in Rotterdam started the implementation of specimen-driven intraoperative assessment of resection margins (IOARM) in 2013, which became the standard of care in 2015. This method enables the surgeon to turn an inadequate resection into an adequate resection by performing an additional resection during the initial surgery. Intraoperative assessment is supported by a relocation method procedure that allows accurate identification of inadequate margins (found on the specimen) in the wound bed.

The implementation of this protocol resulted in an improvement of adequate resections from 15%–40%. However, the specimen-driven IOARM is not widely adopted because grossing fresh tissue is counter-intuitive for pathologists. The fear exists that grossing fresh tissue will deteriorate the anatomical orientation, shape, and size of the specimen and therefore will affect the final histopathologic assessment. These possible negative effects are countered by the described protocol. Here, the protocol for specimen-driven IOARM is presented in detail, as performed at the institute.

#### INTRODUCTION:

Every year, around 350,000 new patients are diagnosed worldwide with cancer in the oral cavity; 90% of cases are squamous cell carcinoma<sup>1</sup>. The mortality rate is 175,000 worldwide per year and the 5-year survival is 50% to  $64.8\%^{1-4}$ .

The primary treatment of oral cavity squamous cell carcinoma (OCSCC) is surgery<sup>5</sup>. The goal of the surgery is the complete removal of the tumor with adequate margins, according to the Royal College of Pathologists<sup>6</sup>. Margins >5 mm (clear) are regarded as adequate, whereas margins from 0–5 mm are regarded as inadequate.

 Adequate resection margins lead to higher survival and a reduction in local recurrence-rates of OCSCC<sup>7–9</sup>. Tumor resections with inadequate margins result in the need for adjuvant therapy (postoperative radiotherapy and/or chemotherapy). This brings an additional burden for the patient, increasing morbidity and reducing the quality of life<sup>10</sup>. The resection margin is the only oncological prognostic factor that the surgeon and pathologist can influence.

Recent reports have shown that adequate resections are only achieved in 15%–26% of cases<sup>7,8,11</sup>. These poor results are caused by the complex anatomy of the oral cavity and the lack of intraoperative guidance. During surgery, the surgeon can only rely on inspection, palpation, and preoperative imaging.

The final margin status follows only several days after the operation. If an inadequate margin is encountered at the final pathologic assessment, a second operation is usually not an option, because the wound bed reconstruction has usually healed by that time. Moreover, a second operation is mostly not effective, because the relocation of the inadequate margin is even more difficult in the postoperative setting.

To overcome the lack of intra-operative information about margin status, specimen-driven intraoperative assessment of resection margins (IOARM) was implemented in 2013<sup>9</sup>. It became the standard of care in the institute in 2015. Described here is the IOARM method in detail to enable colleagues at other institutes to implement this protocol.

#### PROTOCOL:

This study was approved by the institutional Medical Ethics Committee (MEC-2015-150).

NOTE: All the patient and personnel information in the figures or examples are fictional (i.e., XXXXX and YYYYY).

# 1. Before surgery

1.1. Surgery department: Request for IOARM during the planning of surgery.

130 1.2. Pathology department: Ensure logistics/equipment (see **Table of Materials**) and the availability of personnel (pathologist/pathology resident and assistant).

132

132 133

During surgery

134

135 2.1. Operation room (OR)

136

2.1.1. Ensure that all involved personnel are familiar with the relocation protocol<sup>12</sup>.

138

139 2.1.2. Follow the relocation protocol.

140

2.1.3. Submerge the tags in chlorhexidine for at least 30 min before the start of the surgery.

142

- 2.1.4. Place the tags paired on either side of the intended line of resection (both superficial and deep), so that one tag is on the resection specimen and the other remains at the corresponding
- spot in the wound bed (**Figure 1A**) as described by Van Lanschot et al. 12.

146

147 2.1.5. Cut between each pair of tags.

148

149 2.1.6. Remove the specimen with the tumor (one tag from each pair remains in the wound bed,
 150 Figure 1B).

151

2.1.7. Fill out the pathology request form with a clear indication of the anatomical location of the tags (e.g., tag 1 = anterior, tag 2 = posterior).

154

2.1.8. Record the procedure-related defects in the specimen and their location in relation to the tags, on the pathology request form.

157158

NOTE: Procedure-related defects create false resection surfaces and can lead to incorrect allocation of inadequate margins during both IOARM and final pathology.

159 160

161 2.1.9. Bring the specimen to the pathology department.

162

163 [Place **Figure 1** here]

164

165 2.2. IOARM – Grossing room (GR), pathology department

166

2.2.1. Rinse the specimen with water and gently pat it dry with gauze or paper.

168

NOTE: Register every next step with photographs and store them in the Electronic Patient File (EPF).

171

2.2.2. Record the general information (date, patient id, pathology number, surgeon, pathologist, type of specimen, and tags used) on the anatomical template.

174 175 2.2.3. Indicate the locations of the tags on the anatomical template (Figure 2). 176 177 2.2.4. Place the specimen on the anatomical template. 178 179 [Place **Figure 2** here] 180 181 2.2.5. Ink the resection surface according to standard protocol (e.g., superior blue and inferior green). 182 183 184 2.2.6. Inspect the specimen visually and by palpation (pathologist and surgeon). 185 2.2.7. Indicate the location of any suspicious region (i.e., margin <5 mm) on the anatomical 186 187 template and relate it to the numbered tags (section Result of IOARM, Figure 2). 188 189 2.2.8. Perform an incision perpendicular to the resection surface at the suspicious region (Figure 190 **3A).** Depending on the size of the specimen and/or suspicious regions, make one or more incisions with a distance of about 5 mm. In case of more than one incision, number the incisions 191 192 as IOA1, IOA2, etc. 193 2.2.9. Measure the margins (i.e., the distance between resection surface and tumor border) on 194 195 the tissue sections (Figure 3B) and record the exact values in mm on the anatomical template 196 (section Result of IOARM, Figure 3C). 197 198 NOTE: If the tumor border is macroscopically not distinguishable (e.g., the tumor cannot be 199 distinguished from surrounding fibrotic or salivary gland tissue), microscopic analysis by frozen 200 section is indicated. 201 202 [Place **Figure 3** here] 203 204 2.2.10. Proceed with the completion of the operation, step 2.2.19. If an adequate margin is 205 detected (i.e., additional resection is not needed). 206 207 2.2.11. Indicate the exact location based on the tags if an inadequate margin is detected and 208 record it on the template. Proceed with an additional resection if surgically/technically 209 achievable, step 2.2.13. 210 2.2.12. Annotate the reason on the template, if an additional resection is not achievable (section 211 212 Additional comments, Figure 2) 213 214 2.2.13. Recommend (pathologist/surgeon) the additional resection based on the exact location

and indicate the thickness needed to achieve an adequate resection (Figure 3C).

| 216                               |                  |   |
|-----------------------------------|------------------|---|
| 217                               | NOTE: If the in  | adequate margin concerns a positive margin, a minimal thickness of 6 mm should          |
| 218                               | be recommend     | ded for the additional resection.   |
| 219                               |                  |   |
| 220                               |                  | pathologist) the main resection specimen in the refrigerator until the additional       |
| 221                               | resection is re  | <mark>ceived.</mark>  |
| 222                               | 0045 01          |   |
| 223                               |                  | te (surgeon) the area of additional resection in relation to the tags, in the wound     |
| 224<br>225                        | ped, based on    | the record of IOARM (Figure 3C).  |
| 226                               | 2 2 16 Parform   | n the additional resection.   |
| 227                               | 2.2.10. FEITOIT  | in the additional resection.  |
| 228                               | 2.2.17. Send th  | ne additional resection to the GR.  |
| 229                               |                  |   |
| 230                               | 2.2.18. Verify ( | (pathologist) the accuracy of the additional resection regarding its location (based    |
| 231                               | on tags) and it  | <mark>s size.</mark>  |
| 232                               |                  |   |
| 233                               |                  | ove steps are applicable in the case of a close margin. In the case of a positive       |
| 234                               |                  | ARM of the additional resection is necessary (pathologist). The surgeon waits for       |
| 235                               | the result of th | ne second IOARM before completing the operation.  |
| 236                               | 2.2.40 Damay     | a the grandining to be fuent the consumal head and consulate the engagetic of (consuma) |
| <ul><li>237</li><li>238</li></ul> | 2.2.19. Remov    | e the remaining tags from the wound bed and complete the operation (surgeon).           |
| 239                               | 2 2 20 Conv a    | II data from the anatomical template to the EPF (pathologist).                          |
| 240                               | 2.2.20. copy a   | adda from the dilatorifical template to the Err (patriologist).                         |
| 241                               | 3. After I       | OARM – Grossing room (GR), pathology department   |
| 242                               |                  |   |
| 243                               | NOTE: To pres    | erve the anatomical orientation and shape of the specimen the following steps are       |
| 244                               | performed.       |   |
| 245                               |                  |   |
| 246                               |                  | mble the specimen by the correct orientation of all tissue sections (cross-sections     |
| 247                               | and the polar of | ends) based on the tags and the photographs recorded during IOARM.                      |
| 248                               | NOTE Comme       |   |
| 249                               |                  | ections are in the middle of the specimen and the polar ends are the outer parts of     |
| <ul><li>250</li><li>251</li></ul> | the specimen.    |   |
| 252                               | 3.2. Cut the     | e pieces of cork slightly larger than the tissue sections.                              |
| 253                               | 5.2. Cut the     | process of controlled trial the disue sections.   |
| 254                               | 3.3. Place e     | ach tissue section on a piece of cork.  |

Draw a line on the cork around the tissue section with a permanent marker and take a

3.5. Place another piece of cork on top of all tissue sections except the polar ends (Figure 4B).

255256

257

258

259

photograph (Figure 4A).

260 261 Keep the upper and lower cork together, with the tissue section in between, by placing 262 pins through both corks next to the edge of the tissue section, but not through the tissue section 263 (Figure 4B). 264 265 [Place **Figure 4** here] 266 267 Place the polar ends on a separate piece of cork (Figure 4A). 268 269 Keep the polar ends attached to the cork by obliquely placing pins over the tissue and 270 piercing the cork just beside the edge of the tissue (Figure 4B).

271272 CAUTION: Do not puncture the specimen with the pins.

273

276277

278

281

283284

285

286

289

292293

294295

296

297

300

301

274 3.9. Reassemble the whole specimen: put all the tissue sections including the polar ends together in the correct anatomical orientation.

3.10. Keep all the tissue sections together by puncturing the adjacent corks (Figure 4C).

279 3.11. Position the specimen with the correct orientation on the anatomical template and take a photograph.

282 3.12. Place the specimen in formaldehyde solution (formalin 4%).

NOTE: For proper fixation, pieces of paper can be placed on top of the specimen to keep it submerged in formalin.

287 3.13. Make a clear and visible warning note on the container with the specimen (e.g., caution needles/pins), to avoid accidents.

3.14. Store the container with the specimen for further processing, according to the standard pathology protocol.

# 4. Grossing of the fixed specimen after IOARM

NOTE: After formalin fixation, the specimen should be grossed preferably by the pathologist/resident/assistant, who performed the IOARM.

298 CAUTION: Be careful with the needles/pins when removing the specimen from the container. 299

4.1. Follow the institutional grossing protocol.

NOTE: Take additional measures to ensure the correct orientation and to facilitate the comparison of the margin status between IOARM and final pathologic assessment.

4.1.1. Consult the pictures of the IOARM. 4.1.2. Take the specimen out of the container. 4.1.3. Check whether all the tissue sections are present. 4.1.4. Position the specimen with the correct orientation on the anatomical template and take a photograph (Figure 4D). 4.1.5. Remove the pins. 4.1.6. Separate the individual tissue sections with corresponding cork. 4.1.7. Take photographs of each tissue section with their corresponding corks, focusing on the lines that were drawn around the tissue section to assess possible shrinkage of tissue after fixation (Figure 5). [Place **Figure 5** here] 4.1.8. Detach all tissue sections systematically from the cork (e.g., start from anterior to posterior or left to right). 4.1.9. Gross the IOARM-tissue sections to the standard final tissue sections (2–3 mm thick). 4.1.10. Place all final tissue sections, in the correct anatomical orientation (e.g., from anterior to posterior), on a paper on the grossing table. 4.1.11. Number all the final tissue sections consecutively with a permanent marker on the paper (Figure 6). 4.1.12. Annotate the location of IOARM with a permanent marker (Figure 6). 4.1.13. Take photographs, including all final tissue sections and store them in the EPF (Figure 6). 4.1.14. Select the relevant final tissue sections and IOARM sections to be further processed for final pathologic assessment. [Place **Figure 6** here] 5. The final pathologic assessment – Impact of IOARM on final margin status 

Follow the local standardized protocol. The protocol followed here is the PALGA

(Pathologisch-Anatomisch Landelijk Geautomatiseerd Archief, the nationwide network and

registry of histo- and cytopathology in the Netherlands) national Head-Neck protocol for the final standardized structured pathology report.

350

NOTE: This protocol is based on the up-to-date standards of the American Joint Committee on Cancer (AJCC), Union for International Cancer Control (UICC), and the World Health Organisation (WHO).

354

355 5.1.1. Assess all the margins in millimeters, including mucosa, submucosa, and bone.

356

5.1.2. If an inadequate margin is found, annotate its extent (e.g., submucosal margin anterior is 3.5 mm, extending over a trajectory of 6 mm).

359

360 5.1.3. Assess the presence of dysplasia and its grade for mucosal resection margins.

361

5.1.4. Indicate the final margin by adding the dimensions of the additional resection (if performed) to the margin measured on the main specimen.

364

5.1.5. Record the unique pathology number of the additional resection in the pathology report of the main specimen (e.g., Margins: anterior 6 mm, posterior 8 mm, superior 6 mm (including 3mm of the additional resection, H20-2021), inferior 7 mm, medial 5.3 mm).

368

369 5.1.6. Verify the margins found during IOARM.

370

5.1.7. Annotate the result of this verification (e.g., NB Margins found during the intraoperative assessment are in concordance with margins based on the final pathology).

373374

375

376

NOTE: The time needed for IOARM should be limited in order to not interfere with the surgical workflow. At the institute, the IOARM takes about 10 min. The surgeon and pathologist perform the IOARM together. For the relocation method (placing the tags during surgery) an additional time of 5 min is needed. This will differ for each institute depending on the logistics.

377378379

**REPRESENTATIVE RESULTS:** 

380 381

**Example of IOARM resulting in an adequate resection** 

The patient presents with a cT2N0M0 SCC of the left side of the tongue with no medical history.

- 383 The patient undergoes hemiglossectomy supported by IOARM. The specimen is inspected and
- palpated; the mucosal margins are measured as >5 mm. One area in the submucosal resection surface is suspicious for an inadequate margin, located around tag 5. The submucosal margin is
- 386 3–4 mm at tag 5. All the information is recorded on the template and copied to the EPF (**Figure**
- 387 **7A**)

388

389 [Place Figure 7 here]

390

391 The surgeon returns to the OR and performs the additional resection. The pathologist verifies the

accuracy and dimensions, including the thickness of the additional resection.

The final pathology report shows the presence of moderately differentiated pT2 squamous cell carcinoma on the left side of tongue. The tumor diameter is 2.5 cm and the depth of invasion is 6.0 mm. The worst pattern of invasion (WPOI) is category 3. Perineural invasion (PNI) is not present and the lymphovascular invasion (LVI) is present. The minimal margins (mucosal and submucosal) at the inferior, superior, anterior, and posterior location are 5.8 mm (including additional resection (PA number: XXXXXX) of 3 mm thickness), 6.2 mm (including additional resection (PA number: XXXXXX) of 3 mm thickness), 5.2 mm, and 5.5 mm, respectively. IOARM is in concordance with final pathology.

[Place **Table 1** here]

## Example of IOARM not resulting in an adequate resection

The patient presents with a cT1NOMO SCC of the right side of the tongue with no medical history. The patient underwent a resection supported by IOARM. The surgeon takes the specimen to the pathologist at the pathology department. The mucosa is visually inspected, and the mucosal margins are measured with a transparent ruler, all mucosal margins are >5 mm. The submucosal margins are visually inspected and palpated and all margins seem >5 mm. A suspicious area is found at tag 1 (anterior resection surface) and tag 3 (posterior resection surface). A grossing knife is placed perpendicular to the resection surface from anterior to posterior (tag 1 to tag 3) and an incision is made. The pathologist measures the margin on the cross-section and the margins are >5 mm. All the information is recorded on the template and copied to the EPF (Figure 7B).

The final pathology report shows a well-differentiated pT1 squamous cell carcinoma on the right side of the tongue. The diameter of the tumor is 1.8 cm, and the depth of invasion is 3.8 mm. The worst pattern of invasion (WPOI) is category 2. Perineural invasion (PNI), lymphovascular invasion (LVI), and dysplasia are not present. The minimal margins (mucosal and submucosal) at the inferior, superior, anterior, and posterior locations are 4.0 mm, 6.1 mm, 6.4 mm, and 7.8 mm, respectively. IOARM is not in concordance with final pathology, margin inferior was missed.

[Place **Table 2** here]

# **FIGURE AND TABLE LEGENDS:**

**Figure 1: Illustration of the relocation protocol**. **(A)** Application of tags in a pair-wise manner. **(B)** Wound bed and specimen both with one tag of each pair.

Figure 2: Example of anatomical template for IOARM.

**Figure 3: Illustration of IOARM.** (A) Perpendicular incision performed after identification of suspicious region by palpation. (B) The margin is measured. (C) The result of IOARM and the recommendation are recorded.

**Figure 4: Illustration of the method to preserve the anatomical orientation and shape of cross-sections.** (A) Tissue sections are placed on a piece of cork with a line drawn on the cork around the tissue section with a permanent marker. (B) Pins are obliquely placed over the polar ends and another piece of cork is placed over the tissue section. (C) Illustration of a reassembled fresh specimen kept together with pins that puncture the adjacent corks. (D) Illustration of a reassembled fixed specimen kept together with pins that puncture adjacent corks.

Figure 5: Illustration of a polar end with the cut surface facing the cork, held against the cork by tilted pins. (A) Fresh specimen. (B) After fixation. (C) The cut surface of the polar end is flat after fixation.

**Figure 6: Grossed specimen with the location of the IOARM marked**. Corresponding numbers 1–5 refer to tissue sections from left to right. A–E corresponds with tissue sections included for histopathologic evaluation. Note that the remaining piece of tissue that was evaluated by frozen section (FS) is indicated to enable direct comparison with the permanent HE-stained section.

Figure 7: Examples of two different IOARMs recorded on the anatomical template. (A) IOARM resulting in an adequate resection. (B) IOARM not resulting in an adequate resection.

Table 1: Example of resection margins during IOARM resulting in an adequate resection at final pathology, after additional resection.

Table 2: Example of resection margins during IOARM not resulting in an adequate resection at final pathology.

### **DISCUSSION:**

The goal of surgical treatment of OCSCC patients is the complete removal of the tumor with adequate margins. This is too often not achieved, which inspired to design a new approach to oral cancer surgery with a focus on the resection margin status. Aside from resection margins, other adverse tumor factors such as the pattern of invasion, perineural invasion, and lymphovascular invasion also affect the local recurrence. However, of all adverse tumor factors, surgeons and pathologists can only influence the resection margins<sup>7,8,11</sup>.

 The specimen-driven IOARM method was implemented in 2013; this was eventually supported by the evidence that specimen-driven IOARM is superior to defect-driven IOARM<sup>7,13–17</sup>. This resulted in its recommendation by AJCC in 2017<sup>18</sup>. Noteworthy, the specimen-driven IOARM method became the standard of care in the institute in 2015. From 2013 until 2020 the IOARM was performed in 304 cases with a steep increase from 2018.

It is important to realize that developing and implementing an IOARM method involves many personnel (pathologists/surgeons/assistants/trainees/researchers), in order to make it standard of care. Many professionals were involved, during many years, in the development of this protocol, which is actually the strength of the method. The development of this method started in 2013 and reached a consensus in 2015. This was achieved based on the two-weekly meetings

during which discussions regarding all the patients treated with surgery, including IOARM, took place. In this way, it was possible to timely adjust and refine the procedure. Besides, the two-weekly meetings enabled prospective data collection, which provides the basis for the performance and follow-up studies<sup>9</sup>. Moreover, for every case, the team ensured that the final pathology was not compromised due to IOARM. Finally, it is important to realize that this kind of assessment is a dynamic process and will always undergo changes toward improvement.

With the specimen-driven IOARM method, the margins are assessed by inspection, palpation, and perpendicular incisions (grossing). This approach provides an as accurate as possible estimation of margins in millimeters and enables feedback on whether an additional resection is needed and what the dimensions should be. Kubik et al. described several reasons (e.g., additional resection at an incorrect location, the incorrect orientation of the additional resection, incorrect dimensions of the additional resection) for additional resections to be inadequate<sup>17</sup>. The IOARM is a valuable method but only when accompanied by an as accurate as possible relocation method of inadequate margins to enable the surgeon to perform an adequate additional resection. The spatial relationship between the additional resection and the main specimen is the key factor. Therefore, a simple but elegant relocation method as shown in **Figure 1** was developed and described in detail by Van Lanschot et al.<sup>12</sup>. This method allows the surgeon to perform an additional resection based on the relocation of the inadequate margin defined by the tags in the wound bed. For example, a margin of 2 mm is found between tags 1–2–3, the surgeon performs an additional resection around tags 1–2–3 with a thickness of 4 mm. This relocation method is shown to be effective by the results of Smits et al.<sup>9</sup>.

This IOARM method is supported by frozen section procedure only if the tumor cannot be distinguished macroscopically from surrounding tissue (e.g., fibrosis of tissue after radiotherapy or scar formation after previous surgery, or salivary gland tissue). Some institutes use another approach, in which frozen sections are taken from the specimen from all quadrants<sup>13,19</sup>. This method enables a more standardized protocol. However, the comprehensiveness of this method might not be always efficient. Moreover, multiple frozen sections are needed which is costly, time-consuming, and not accessible for all institutes. The described method is more efficient because the region of interest is preselected (i.e., region of suspicious inadequate margin) and is therefore cheaper, faster, and available for every institute. This is in accordance with previous findings that frozen section analysis does not improve the accuracy of specimen-driven IOARM based on grossing in most cases and is not cost-effective<sup>20–22</sup>.

According to the literature >93% of all inadequate margins are found at the submucosal resection margins<sup>23</sup>. This is in line with the findings of the institute. Mucosal alterations with high-grade dysplasia/CIS are often easy to detect during IOARM, only in a few cases, a frozen section is recommended. Until now in the IOARM cohort, any mucosal positive margins regarding cancer or high-grade dysplasia/CIS have not been encountered.

Even though specimen-driven IOARM significantly improves the rate of adequate resections in OCSCC patients and consequently improves patient outcome<sup>7,9,22,21</sup>, its wide implementation is lagging. The main cause of this is the fact that the grossing of fresh tissue is counter-intuitive for

pathologists. The pathologists are fearful that grossing fresh tissue will deteriorate the anatomical orientation, shape, and size of the specimen, and therefore will affect the final histopathologic assessment<sup>24,25</sup>. However, the measures prescribed in the protocol prevent these possible negative effects. Since the implementation of this protocol, the anatomical orientation, shape, and size of the specimen have never been altered nor was the final pathologic assessment ever compromised (manuscript in preparation).

530 531

532

533

534

535

536

537

538

539

542

543544

Although little additional time is required to perform IOARM, it is clear that no real obstacles exist to implement IOARM, but there must be a willingness to go through a learning curve, regarding the grossing of fresh tissue and identifying inadequate margins. The most important prerequisite is a dedicated and cooperative team of surgeons and pathologists. In this study, an IOARM method for head and neck cancer surgery has been described, that can easily be implemented in every institute and during any other cancer surgery. This protocol significantly improves the rate of adequate resections while concomitantly reducing the need for postoperative radiotherapy and improving the patient outcome. The specimen-driven IOARM method will help surgeons to achieve first-time-right surgery and patients will benefit.

540541

#### **ACKNOWLEDGMENTS:**

We thank Bas J. van Brakel and Roxanna Haak for their help in performing IOARM. Klara A. Bouman-Zevenbergen, Ian Overduin, and Silvy L. Sabiran – Singoredjo for their assistance and supportive role in ensuring logistics, equipment, and availability of personnel at the pathology department.

545546547

### **DISCLOSURES:**

548 The authors have nothing to disclose.

549 550

# **REFERENCES:**

- 551 1. Bray, F. et al. Global cancer statistics 2018: GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185 countries. *CA: A Cancer Journal for Clinicians*. **68** (6), 394–424 (2018).
- 554 2. Sharma, S. M., Prasad, B. R., Pushparaj, S., Poojary, D. Accuracy of intraoperative 555 frozensection in assessing margins in oral cancer resection. *Journal of Maxillofacial and Oral* 556 *Surgery*. **8** (4), 357–361 (2009).
- 557 3. van der Ploeg, T., Datema, F., Baatenburg de Jong, R., Steyerberg, E. W. Prediction of 558 survival with alternative modeling techniques using pseudo values. *PLoS One*. **9** (6), e100234 559 (2014).
- 560 4. Chen, S. W. et al. Trends in clinical features and survival of oral cavity cancer: fifty years of experience with 3,362 consecutive cases from a single institution. *Cancer Management and Research.* **10**, 4523–4535 (2018).
- 563 5. Shah, J. P., Gil, Z. Current concepts in management of oral cancer--surgery. *Oral Oncology*. **45** (4–5), 394–401 (2009).
- 6. Helliwell, T., Woolgar, J. *Dataset for histopathology reporting of mucosal malignancies of the oral cavity*. Royal College of Pathologists, London, UK (2013).
- 567 7. Varvares, M. A., Poti, S., Kenyon, B., Christopher, K., Walker, R. J. Surgical margins and

- primary site resection in achieving local control in oral cancer resections. *The Laryngoscope*. **125**
- 569 (10), 2298–2307 (2015).
- 570 8. Smits, R. W. et al. Resection margins in oral cancer surgery: Room for improvement. *Head*
- 571 & Neck. **38 Suppl 1**, E2197–E2203 (2016).
- 572 9. Smits, R. W. H. et al. Intraoperative assessment of the resection specimen facilitates
- achievement of adequate margins in oral carcinoma. *Frontiers in Oncology*. **10**, 614593 (2020).
- 574 10. Lin, A. Radiation therapy for oral cavity and oropharyngeal cancers. *Dental Clinics of North*
- 575 *America*. **62** (1), 99–109 (2018).
- 576 11. Dik, E. A. et al. Resection of early oral squamous cell carcinoma with positive or close
- 577 margins: relevance of adjuvant treatment in relation to local recurrence: margins of 3 mm as safe
- 578 as 5 mm. *Oral Oncology*. **50** (6), 611–615 (2014).
- 579 12. van Lanschot, C. G. F. et al. Relocation of inadequate resection margins in the wound bed
- during oral cavity oncological surgery: A feasibility study. Head & Neck. 41 (7), 2159–2166 (2019).
- 581 13. Amit, M. et al. Improving the rate of negative margins after surgery for oral cavity
- 582 squamous cell carcinoma: A prospective randomized controlled study. Head & Neck. 38 Suppl 1
- 583 E1803-1809 (2016).
- 584 14. Maxwell, J. H. et al. Early oral tongue squamous cell carcinoma: Sampling of margins from
- tumor bed and worse local control. JAMA Otolaryngology-- Head & Neck Surgery. 141 (12), 1104–
- 586 1110 (2015).
- 587 15. Hinni, M. L., Zarka, M. A., Hoxworth, J. M. Margin mapping in transoral surgery for head
- 588 and neck cancer. *Laryngoscope*. **123** (5), 1190–1198 (2013).
- 589 16. Kain, J. J. et al. Surgical margins in oral cavity squamous cell carcinoma: Current practices
- 590 and future directions. *Laryngoscope*. **130** (1), 128–138 (2020).
- 591 17. Kubik, M. W. et al. Intraoperative margin assessment in head and neck cancer: a case of
- 592 misuse and abuse? *Head and Neck Pathology*. **14** (2), 291–302 (2020).
- 593 18. Amin, M. B. et al. The Eighth edition AJCC cancer staging manual: Continuing to build a
- 594 bridge from a population-based to a more "personalized" approach to cancer staging. CA: A
- 595 *Cancer Journal for Clinicians*. **67** (2), 93–99 (2017).
- 596 19. Gokavarapu, S. et al. Revision of margins under frozen section in oral cancer: a
- retrospective study of involved margins in pT1 and pT2 oral cancers. The British Journal of Oral &
- 598 *Maxillofacial Surgery*. **53** (9), 875–879 (2015).
- 599 20. Chaturvedi, P. et al. Gross examination by the surgeon as an alternative to frozen section
- for assessment of adequacy of surgical margin in head and neck squamous cell carcinoma. Head
- 601 & Neck. **36** (4), 557–563 (2014).
- 602 21. Mair, M. et al. Intraoperative gross examination vs frozen section for achievement of
- adequate margin in oral cancer surgery. Oral Surgery, Oral Medicine, Oral Pathology and Oral
- 604 Radiology. **123** (5), 544–549 (2017).
- Datta, S. et al. Frozen section is not cost beneficial for the assessment of margins in oral
- 606 cancer. *Indian Journal of Cancer.* **56** (1), 19–23 (2019).
- 607 23. Woolgar, J. A., Triantafyllou, A. A histopathological appraisal of surgical margins in oral
- and oropharyngeal cancer resection specimens. *Oral Oncology*. **41** (10), 1034–1043 (2005).
- 609 24. Umstattd, L. A., Mills, J. C., Critchlow, W. A., Renner, G. J., Zitsch, R. P., 3rd. Shrinkage in
- oral squamous cell carcinoma: An analysis of tumor and margin measurements in vivo, post-
- resection, and post-formalin fixation. American Journal of Otolaryngology. 38 (6), 660-662

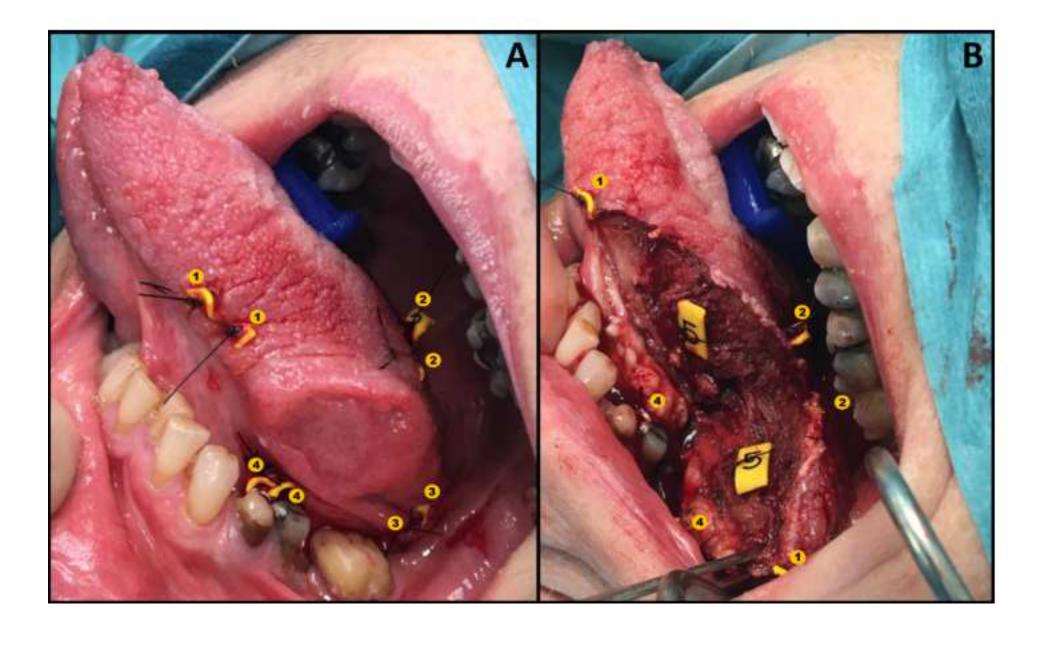
612 (2017).

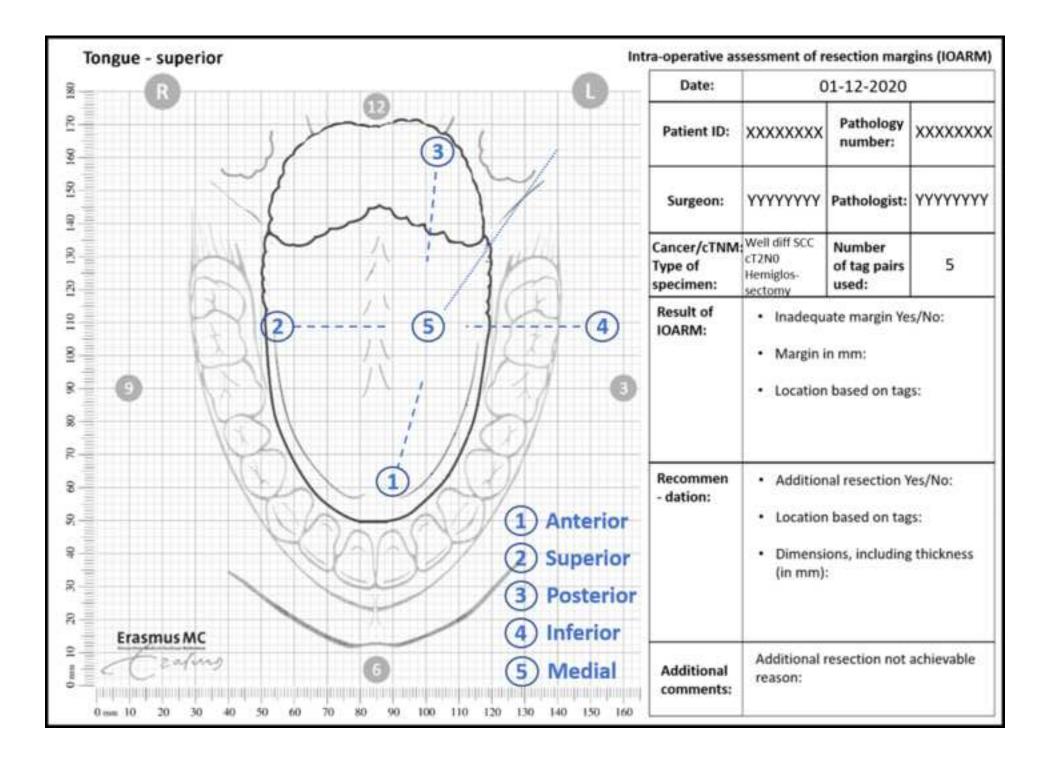
616

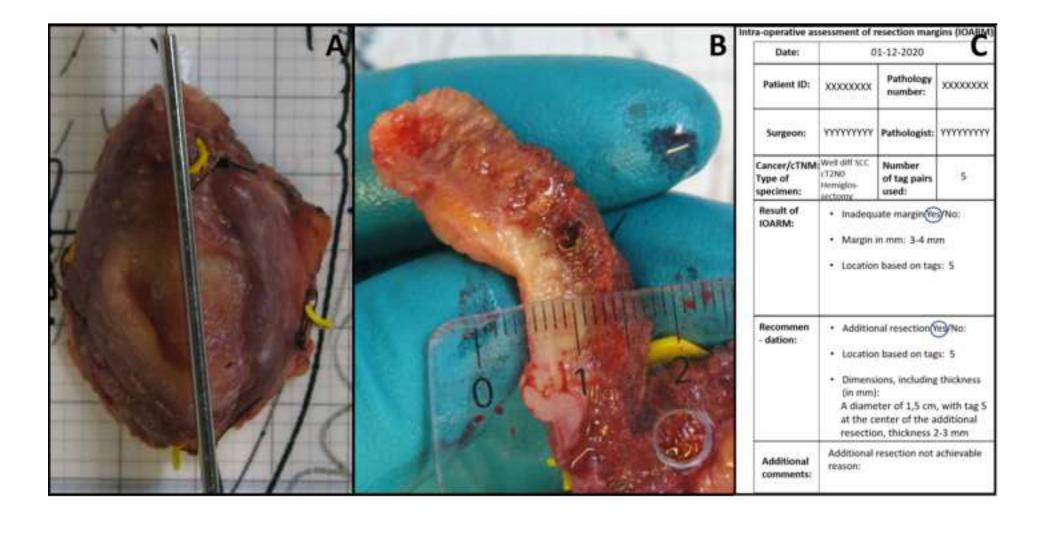
Pangare, T. B. et al. Effect of Formalin Fixation on Surgical Margins in Patients With Oral

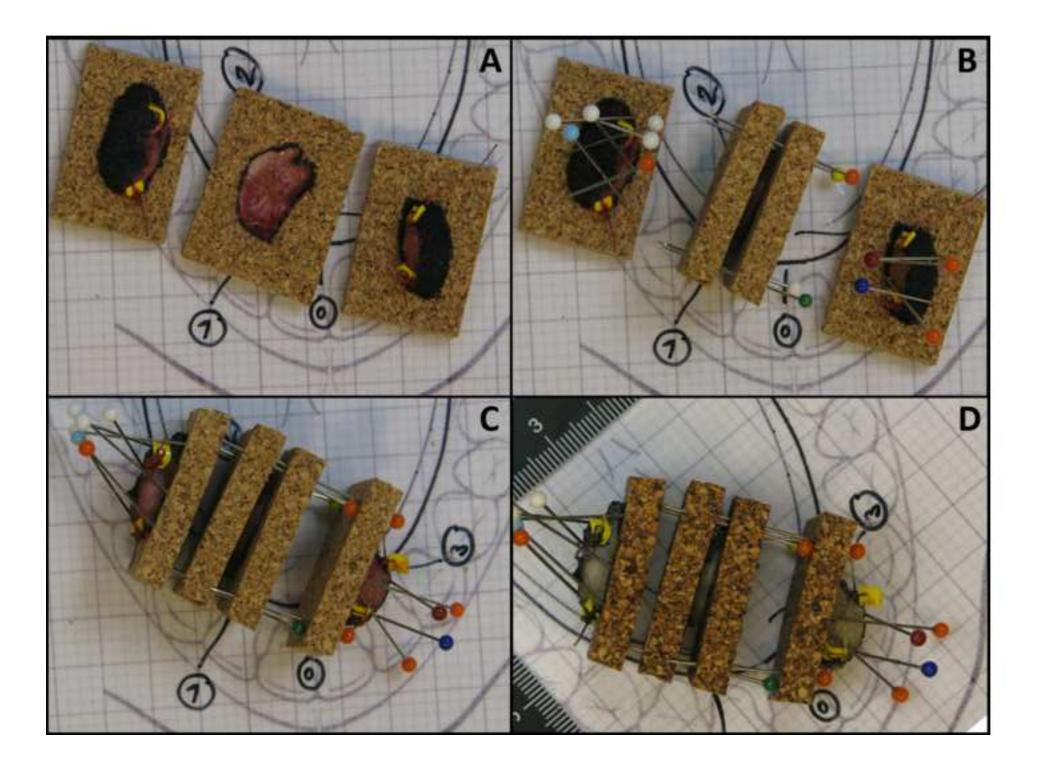
614 Squamous Cell Carcinoma. Journal of Oral Maxillofacial Surgery: Official Journal of the American

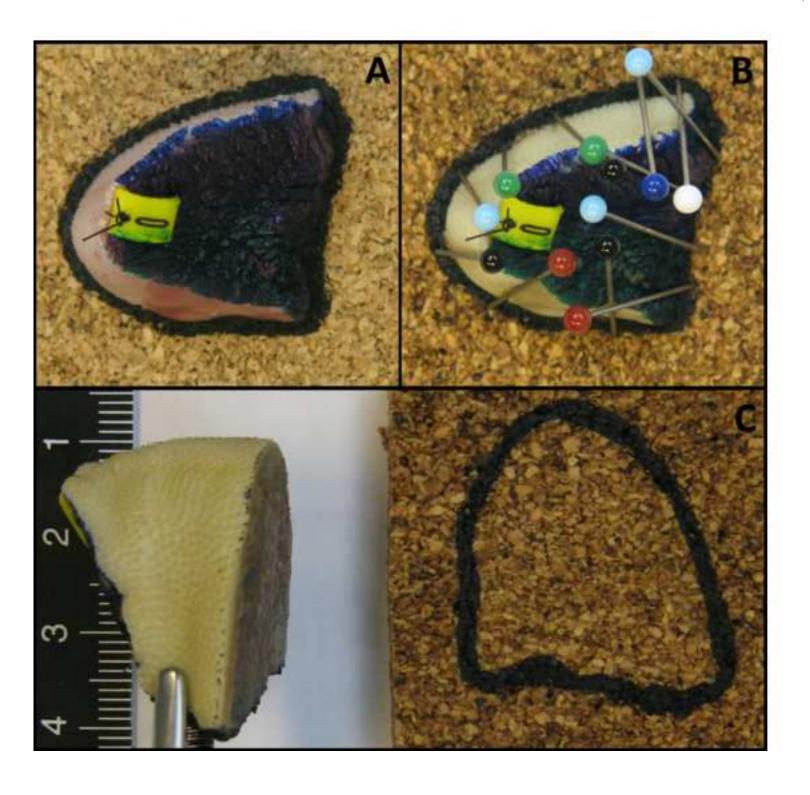
Association of Oral and Maxillofacial Surgeons. **75** (6), 1293–1298 (2017).

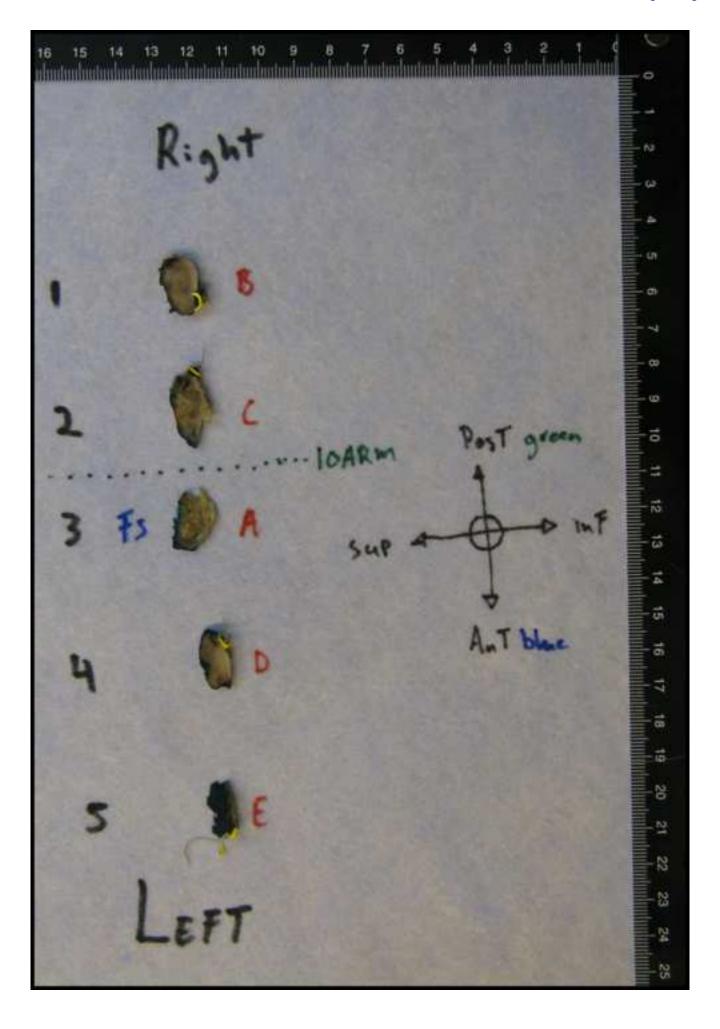












| Date:                               | Date: 01-12-2020  |                                 |          | В          | Date: 01-12-2020                    |  |  |            |
|-------------------------------------|---|---------------------------------|----------|------------|-------------------------------------|--|--|------------|
| Date: 01-12-2020                    |   | D                               | Date.    | 01-12-2020 |                                     |  |  |            |
| Patient ID:                         | xxxxxxx   | Pathology<br>number:            | xxxxxxxx |            | Patient ID:                         | xxxxxxx  | Pathology<br>number:                                 | xxxxxxx    |
| Surgeon:                            | YYYYYYYY  | Pathologist:                    | YYYYYYYY |            | Surgeon:                            | YYYYYYYY   | Pathologist:   | YYYYYYY    |
| Cancer/cTNM<br>Type of<br>specimen: | Mod diff SCC<br>cT2N0M0<br>Hemiglos-<br>sectomy   | Number<br>of tag pairs<br>used: | 5        | 9          | Cancer/cTNM<br>Type of<br>specimen: | Well diff SCC<br>cT1N0M0<br>Hemiglos-<br>sectomy                               | Number<br>of tag pairs<br>used:                      | 5          |
| Result of IOARM:                    | Inadequate margin Yes/No:     Margin in mm: 3-4 mm     Location based on tags: 5  |                                 |          |            | Result of IOARM:                    | Inadequate margin Yes No     Margin in mm: >5 mm     Location based on tags: 5 |  | m          |
| Recommen<br>- dation:               | Additional resection (Yes)/No:     Location based on tags: 5      Dimensions, including thickness (in mm):     A diameter of 1,5 cm, with tag 5 at the center of the additional resection, thickness 2-3 mm |                                 |          |            | Recommen<br>- dation:               | Location   | nal resection Y<br>n based on tag<br>ions, including | įs:        |
| Additional comments:                | Additional resection not achievable reason:   |                                 |          |            | Additional comments:                | Additional reason:   | resection not  | achievable |

| Margins (mm) |                   |                                  |                          |  |
|--------------|-------------------|----------------------------------|--------------------------|--|
| Location     | Based on<br>IOARM | After<br>additional<br>resection | Based on Final pathology |  |
| Inferior     | 3-4               | 6-7                              | 5.8                      |  |
| Superior     | 3-4               | 6-7                              | 6.2                      |  |
| Anterior     | >5                |                                  | 5.2                      |  |
| Posterior    | >5                |                                  | 5.5                      |  |

| Margins (mm) |                   |                            |                          |  |  |
|--------------|-------------------|----------------------------|--------------------------|--|--|
| Location     | Based on<br>IOARM | After additional resection | Based on Final pathology |  |  |
| Inferior     | >5                | Not                        | 4.0                      |  |  |
| Superior     | >5                | recommended                | 6.1                      |  |  |
| Anterior     | 6                 | all margins >              | 6.4                      |  |  |
| Posterior    | 8                 | 5mm                        | 7.8                      |  |  |

| Name of Material/ Equipment  | Company    | <b>Catalog Number</b>  | Comments/Description  |
|------------------------------|------------|------------------------|---|
| Anatomical templates         |            |                        | https://www.palga.nl/assets/uploads/Protocollen/HoofdHalstume |
| Anatomical tweezers          |            |                        |   |
| Brush                        |            |                        | to apply the inc to the tissue                                |
| Bucket for formalin fixation |            |                        | Size of the container depends on the size fo the tissue       |
| Buffered formalin 4%         |            |                        |   |
| Camera                       |            |                        |   |
| Computer                     |            |                        |   |
| Cork                         |            |                        | Thin plates of cork   |
| Ethanol 70%                  |            |                        |   |
| Examination gloves           |            |                        |   |
| Gauze or Paper               |            |                        | That wont leave particles on the specimen                     |
| Grossing knife 15cm          |            |                        |   |
| Grossing knife 30cm          |            |                        |   |
| Grossing tabel               |            |                        |   |
| Inc for tissue               |            |                        | 3 or more different colors                                    |
| Labcoat                      |            |                        |   |
| Long pins/Sewing pins        |            |                        |   |
| Paper                        |            |                        | To place the tissue sections on during the grossing           |
| Permanent markers            |            |                        | Different colors (black/blue/red/green)                       |
| Relocation tags              | Premier Fa | rnell Limited BV, Utre | e Numbered froimn 0-9, cut to a size of 5 mm x 7 mm x 2 mm    |
| Scalpel                      |            |                        |   |
| Surgical tweezers            |            |                        |   |
| Sutures                      | Ethicon    | Ethilon 3.0            | To suture in the tags   |
| Tap water                    |            |                        |   |
| Transparant ruler 30 cm      |            |                        | 2 rulers needed   |
|                              |            |                        |   |

# oren.pdf

Dear Amit Krishnan,

Regarding our JoVE submission JoVE62446R1 Intraoperative assessment of resection margins in oral cavity cancer: this is the way.

- 1. The title can be made shorter into "Intraoperative assessment of resection margins in oral cavity cancer" However, we feel that "this is the way" adds urgency to fellow surgeons and pathologists to follow this protocol and make the step towards the improvement of patient care. We would prefer to keep the title as it is, if of course possible.
- 2. We have included the following on page 2 line 122 "This study was approved by the institutional Medical Ethics Committee (MEC-2015-150)"
- 3. We have removed some highlighted lines to fit the 3 pages.
- 4. This is not the usual format of a real pathology report, therefore we want to know if and why this adjustment of our original text is really necessary. If so then we will kindly accept it. We have made some minor corrections to the adjusted text.
- 5. We have made some minor corrections to the titles of both tables.

We noticed that 2.1 and 2.2 are not bold, could these 2 steps be made bold or be underlined?

Yours sincerely,

Yassine Aaboubout and Senada Koljenovic