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Micromechanical Tension Testing of Additively Manufactured 17-4 PH Stainless Steel Specimens

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TITLE:

Micromechanical Tension Testing of Additively Manufactured 17-4 PH Stainless Steel Specimens

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KEYWORDS:

additive manufacturing, micromechanical testing, micro-tensile testing, focused ion beam (FIB), photolithography, wet etching.

SUMMARY:

Presented here is a procedure for measuring fundamental material properties through micromechanical tension testing. Described are the methods for micro-tensile specimen fabrication (allowing rapid micro-specimen fabrication from bulk material volumes by combining photolithography, chemical etching, and focused ion beam milling), indenter tip modification, and micromechanical tension testing (including an example).

ABSTRACT:

This study presents a methodology for the rapid fabrication and micro-tensile testing of additively manufactured (AM) 17-4PH stainless steels by combining photolithography, wet-etching, focused ion beam (FIB) milling, and modified nanoindentation. Detailed procedures for proper sample surface preparation, photo-resist placement, etchant preparation, and FIB sequencing are described herein to allow for high throughput (rapid) specimen fabrication from bulk AM 17-4PH stainless steel volumes. Additionally, procedures for the nano-indenter tip modification to allow tensile testing are presented and a representative micro specimen is fabricated and tested to failure in tension. Tensile-grip-to-specimen alignment and sample engagement were the main challenges of the micro-tensile testing; however, by reducing the indenter tip dimensions, alignment and engagement between the tensile grip and specimen were improved. Results from the representative micro-scale *in situ* SEM tensile test indicate a single slip plane specimen

fracture (typical of a ductile single crystal failure), differing from macro-scale AM 17-4PH post-yield tensile behavior.

INTRODUCTION:

Mechanical material testing at the micro- and nano-scales can provide important information on fundamental material behavior through identifying length-scale dependencies caused by void or inclusion effects in bulk material volumes. Additionally, micro- and nano-mechanical testing allows for structural component measurements in small-scale structures (such as those in micro electromechanical systems (MEMS))¹⁻⁵. Nanoindentation and micro compression are currently the most common micro- and nano-mechanical material testing approaches; however, the resulting compression and modulus measurements are often insufficient to characterize material failure mechanisms present in larger bulk material volumes. To identify differences between bulk and micro-mechanical material behavior, particularly for materials having many inclusions and void defects such as those created during additive manufacturing (AM) processes, efficient methods for micro-tension testing are needed.

Although several micromechanical tension testing studies exist for electronic and single-crystalline materials^{3,1}, specimen fabrication and tension testing procedures for additively manufactured (AM) steel materials are lacking. Material length-scale dependencies documented in²⁻⁶ suggest material hardening effects in single-crystalline materials at sub-micron length-scales. As an example, observations from micro-mechanical tension testing of single-crystal copper highlight material hardening due to dislocation starvation and truncation of spiral dislocation sources^{4,5,7}. Reichardt et al.⁸ identifies irradiation hardening effects at the micro scale, observable through micro-mechanical tension testing.

Micro-tensile material measurements requiring attachment of the indenter probe to the specimen are more complex than corresponding micro-compression tests but provide material fracture behavior applicable for bulk material volume predictions under more complex loading (axial tension, bending, etc.). Fabrication of micro-tensile specimens often relies heavily on Focused Ion Beam (FIB) milling from the bulk material volumes. Because FIB milling processes involve highly localized material removal (at the micro and nano-scales), large area removal through FIB milling often results in lengthy micro-specimen fabrication times. The work presented here explores a methodology to improve efficiency in micro-tensile specimen fabrication for AM 17-4PH stainless steels by combining photolithographic processes, chemical etching, and FIB milling. Additionally, procedures for the micro-mechanical tension testing of fabricated AM steel specimens are presented and testing results are discussed.

PROTOCOL:

1. Sample preparation for photolithography

1.1. Cut a sample from the area of interest and polish it using a semi-automatic polishing machine.

1.1.1. Use a slow dicing saw or a band saw to cut a section of ~6 mm from the area of interest to be studied. For this study, the material was cut from the gage section of an AM 17-4 PH fatigue specimen, as shown in **Figure 1**.

1.1.2. Prepare the cut sample in a metallographic mount for polishing.

1.1.3. Use a semi-automatic polisher to polish the sample to mirror-like surface (having a surface roughness on the order of 1 μm) starting from 400 grit abrasive paper and moving to 1 μm diamond particles. To ensure sufficient polish at each abrasion level and uniform surface abrasions, alternate the polishing direction by 90° following each grit level. Maintain a flat surface during polishing to avoid issues during a later spin coating process.

1.2. Section the material into a thin disk.

1.2.1. Protect the polished surface using an adhesive tape.

1.2.2. Use a slow speed saw to align and cut a thin section (0.5–1 mm).

NOTE: An even section will be important for the spin coating process.

2. Photolithography

2.1. Clean the sample.

2.1.1. Remove the protective adhesive tape from the polished surface and place the sample with the polished surface facing up in a beaker with acetone. Use an ultrasonic cleaner to clean the sample for 5 min. Use enough acetone to cover the sample.

2.1.2. Remove the sample from the acetone and dry it using compressed air.

2.1.3. Submerge the sample in isopropanol and use an ultrasonic cleaner to clean the sample for 5 min. Use enough isopropanol to cover the sample.

2.1.4. Remove the sample from the container with isopropanol and dry the sample with compressed air.

2.1.5. Place the sample in a holding container and perform an oxygen plasma cleaning for 1 min.

2.2. Prepare the photoresist solution in advance.

2.2.1. Using a mixer, mix 27.2 g (50 wt%) of liquid PGMEA and 25.1 g (50 wt%) of SU-8 3025 for 2 min.

2.2.2. De-foam the mixture for 1 min.

2.3. Perform the photo-resist patterning.

2.3.1. Place the sample (polished side up) on the spin-coater.

2.3.2. Use compressed air to remove any dust or particle on the surface of the sample.

2.3.3. Apply photoresist on the sample and run the spin-coater using the parameters shown in **Table 1**.

NOTE: The thickness of the resulting SU-8 photoresist used in this study was measured to be near 1.5 μm on average.

2.3.4. Place the sample on a hot plate and heat at 65 °C for 5 min.

2.3.5. Heat the sample at 95 °C for 10 min.

2.3.6. Remove the sample from the hot plate and allow the sample to cool to room temperature.

2.3.7. Using a photomask with an array of squares measuring 70 μm on each side, expose the sample for 10–15 s at a power density of $\sim 75 \text{ mJ}/\text{cm}^2$.

2.3.8. Heat the sample to 65 °C for 5 min on a hotplate.

2.3.9. Heat the sample to 95 °C for 10 min on a hotplate and then let the sample to cool to room temperature before continuing to the next step.

2.3.10. Submerge the sample (with the pattern facing up) in a clean container with propylene glycol monomethyl ether acetate (PGMEA) and agitate it for 10 min. Use enough PGMEA to cover the sample.

2.3.11. Remove the sample and splash with isopropanol before carefully drying with compressed air.

NOTE: **Figure 2** shows the final result of a patterned SU-8 on the sample. In **Figure 2**, there are locations on the steel surface having no photoresist (note the bottom-left specimen surface) likely due to uneven surface affecting the spin coat. For the purpose of this study (creating local micro-tensile specimens), it is considered a satisfactory pattern.

3. Wet-etching

3.1. Prepare the AM 17-4PH stainless steel aqueous etchant⁹ shown in **Table 2**.

175 3.2. Inside of a fume hood, place the sample in a beaker and place it on top of a hotplate at
176 ~65–70 °C.

177
178 3.3. Leave the sample on the hot plate for 5 min.

179
180 3.4. With the sample on the hot plate, place a few drops of the prepared etchant so that the
181 patterned surface is completely covered. Leave the etchant for 5 min.

182
183 3.5. Remove the sample from the beaker and neutralize the etchant with water.

184
185 NOTE: **Figure 3** shows the resulting sample after etching. Note in **Figure 3** that the remaining
186 photoresist prevents the etchant from reacting the steel surface, creating localized platform
187 areas of unremoved material.

188 189 4. Focused Ion Beam milling of specimen geometry

190
191 4.1. Prepare the sample for the FIB-milling process.

192
193 4.1.1. Place the sample in a container with isopropanol. Use an ultrasonic cleaner to clean the
194 sample for 5 min. Use enough isopropanol to cover the sample.

195
196 4.1.2. Remove and dry the sample with compressed air.

197
198 4.1.3. Using a conductive adhesive, mount the sample on a stub compatible with the
199 nanoindentation device to be used during later testing.

200
201 4.1.4. Drill a hole in a 45° SEM mounting stub and use a carbon tape to place the indenter stub
202 and specimen on a 45° SEM stub, as shown in **Figure 4**.

203
204 NOTE: This step is intended to reduce direct contact with the sample once the micro tensile
205 specimen is fabricated, decreasing the chance of damaging the sample.

206
207 4.1.5. Place the sample in an SEM and identify an etched square to perform the FIB milling.

208
209 NOTE: For this study, remaining material squares ~9 µm in height or larger were desired due to
210 the chosen specimen geometry.

211
212 4.1.6. Orient the chosen FIB location at the top of the SEM stub to avoid contact issues during
213 alignment in the SEM.

214
215 4.2. Perform FIB milling.

NOTE: A SEM operated at 30 kV was used in this study. Although a specific procedure cannot be outlined, as it requires adjustment based on specific equipment, milling from outside to inside is a good practice to avoid material re-deposition within the specimen location. Additionally, it is good practice to use maximum energy to remove bulk material, but reduce the FIB energy while approaching the final specimen dimensions.

4.2.1. Use the maximum power (20 mA, 30 kV) to remove any undesired bulk material from the remaining etched platform as shown in **Figure 5**.

4.2.2. Use lower power (7 mA, 30 kV) or (5 mA, 30 kV) to make a rectangle with slightly larger dimensions than needed for the final specimen geometry (see **Figure 6**).

4.2.3. With even lower power (1 mA, 30 kV) or (0.5 mA, 30 kV), perform cross section cuts near to the final micro-tensile specimen dimensions.

NOTE: Following this FIB step (shown in **Figure 7**), the sample should have the required outer dimensions but should be missing the dog-bone shape profile.

4.2.4. Rotate the sample at 180°.

4.2.5. Using low power (0.5 mA, 30 kV) or (0.3 mA, 30 kV), perform the final FIB milling step to create the specimen geometry desired. Create and use bitmap to control the FIB intensity and location for the repeatability in the creation of final geometry for multiple specimens.

NOTE: **Figure 8** shows an SEM image of the resulting micro-tensile specimen fabricated from the steps described in sections 4.2.1 through 4.2.5. Dimensions of the tensile specimen are shown in **Figure 9**.

5. Grip fabrication

5.1. Following the manufacture's recommendation, make alignment marks on the nanoindentation device's tip.

5.1.1. Mount the tip on the desired nanoindentation transducer.

5.1.2. Using a laser scribe, make two alignment marks near the tip, as shown in **Figure 10**, to allow for proper tip orientation prior to fabrication of the tensile grip through FIB milling. Use a circular notch and line-scribe as two alignment sources as the tip rotates during fabrication of tensile grip geometry.

5.2. FIB-mill the nanoindentation device's tip to make the tension grip.

5.2.1. Place the marked tip on a SEM stub and align the markings as shown in **Figure 10**.

5.2.2. Using the FIB, reduce the width of the indenter tip as shown in **Figure 11A**.

NOTE: Reducing the indenter tip width is helpful in the maneuverability and clearance of the final tensile grip during tension testing.

5.2.3. Remove the indenter tip from the SEM, use the alignment marks to rotate the tip at 90°. Use the FIB as shown in **Figure 11B** to reduce the thickness of the indenter tip.

5.2.4. Remove the indenter tip from the SEM. Use the alignment marks back to 0° (front view) and create the final tensile grip geometry with the FIB as shown in **Figure 11C**. To reduce re-deposition of the removed material during the FIB process, remove the narrow tensile grip area before removing the wider grip area.

6. Micro-tensile test

6.1. Mount the specimen and indenter tip on the nanoindenter device.

6.2. Install the nanoindentation machine in the SEM following the manufacturer's recommendations. To ensure adequate imaging during *in situ* testing, avoid significant machine tilt.

NOTE: For this test, a tilt of 5° was used. Excessive tilting will result in a perspective view and make it difficult to align the tensile grip with the test sample.

6.3. To prevent an unexpected event during the tensile testing, perform the desired displacement-based tensile loading protocol in air, away from the sample.

NOTE: This air displacement test will preserve the fabricated tensile grip in the event of unexpected displacements during the protocol.

6.4. With caution, slowly approach the tip to the sample's surface.

6.5. Move and align the tensile grip with the test sample, as shown in **Figure 12**.

6.6. Perform the tensile test.

NOTE: The test performed in this study considered a displacement-controlled protocol at a rate of 0.004 $\mu\text{m/s}$ (resulting in an applied strain rate of 0.001 $\mu\text{m}/\mu\text{m/s}$ for the 4 μm tall specimen), a maximum displacement of 2.5 μm , and a returning rate of 0.050 $\mu\text{m/s}$. To perform the tensile test in the transducer used for this test, a negative displacement indentation (-2.5 μm) and negative rate (-0.004 $\mu\text{m/s}$) was used.

REPRESENTATIVE RESULTS:

A material sample from an AM 17-4 PH stainless steel specimen (previously tested in low-cycle fatigue) was prepared and tested using the protocol described, to understand the fundamental material behavior of AM metals (independent of structural defect influence). Typical sample volumes used for material characterization can contain distributed fabrication/structural defects that make discerning between actual material behavior and structural fabrication effects difficult. Following the protocol described in sections 2 through 6 a micro specimen was fabricated and tested to failure in tension, successfully demonstrating the described techniques and producing material test data at scales free from volumetric defect influences. Prior to micro-mechanical testing, X-ray diffraction (XRD) spectra from the prepared steel surface (see **Figure 13**), show a mostly martensitic grain structure as would be expected from a previously strained material¹⁰.

Figure 14 shows the resulting load-displacement behavior of the micro-tensile AM 17-4PH steel sample, having a maximum tensile strength of 3,145 μN at a displacement of 418 nm. From in situ SEM observations during loading, fracture of the micro-specimen occurred along a single slip plane (typical of a ductile single crystal failure) and different from typical post-yield strain hardening behavior observed during macro-scale material tension testing of AM 17-4PH stainless steels. Frames 4–6 of **Figure 14** show the single failure slip plane during tension testing of the fabricated micro specimen.

FIGURE AND TABLE LEGENDS:

Figure 1: Bulk material where the sample was taken from. The material sample for micro-mechanical testing (~ 6 mm in thickness) was cut from the gage section of an AM 17-4 PH fatigue specimen.

Figure 2: Material section having an array of squares ($70\ \mu\text{m} \times 70\ \mu\text{m}$) patterned using photolithography. The $70\ \mu\text{m} \times 70\ \mu\text{m}$ photoresist array allows for selective etching of the steel surface for bulk surface material removal.

Figure 3: SEM images of the AM 17-4PH steel surface following etching. Surface high-relief locations created by the protective photoresist pattern following etching allow micro-specimen fabrication above the specimen surface elevation.

Figure 4: Sample holder set-up that helps the direct contact of the sample once the micro-tensile specimen is fabricated. The etched AM 17-4 PH sample is placed on the nanoindentation device stub before being mounted to a 45-degree SEM stub (using carbon tape) to reducing handling of the specimen after micro-specimen fabrication.

Figure 5: Illustration of first FIB milling step with area to be removed by FIB (left), and remaining material (right). The surface high-relief material remaining after etching is removed using FIB milling, leaving a rectangular volume of material.

Figure 6: Illustration of second FIB milling step. The rectangular volume of material is further reduced using FIB milling, approaching the desired specimen outer dimension tolerances.

Figure 7: Illustration of third FIB milling step. The remaining material volume is refined using FIB milling to the desired specimen outer dimension tolerances.

Figure 8: SEM image of a micro-tensile sample. Using FIB milling, the profile of the remaining material volume is reduced to create the final micro-tensile specimen geometry.

Figure 9: Micro-tensile specimen dimensions. Between the specimen grip areas, a reduced cross-sectional dimension measuring $1\text{ }\mu\text{m}$ by $1\text{ }\mu\text{m}$ is located within a $4\text{ }\mu\text{m}$ gauge length.

Figure 10: Alignment marks performed in the tip for reference. A semi-circular edge hole and circumferential scribe mark provide two sources of indenter tip alignment prior to fabrication of the tensile grip.

Figure 11: Sequential tensile grip fabrication steps. (A) Formation of tensile grip outer profile using FIB milling. (B) Reduction in tensile grip thickness following 90° rotation. (C) Formation of tensile grip inner profile from original orientation.

Figure 12: Grip and sample aligned to perform the tensile test. The fabricated tensile grip is positioned around the micro-tensile specimen such that an upward movement of the tensile grip will engage with the specimen.

Figure 13: XRD spectra of tested sample. Shown is the relationship between X-ray scatter intensity and sample angle.

Figure 14: Tensile load-displacement curve of AM 17-4 PH Steel. (Top) Frame-by-frame progression of applied specimen displacement. (Bottom) Resulting sample behavior comparing measured load (in μN of force) and applied displacement (in nm), indicating a material ultimate strength of $3,145\text{ }\mu\text{N}$ at an applied displacement of 418 nm .

Table 1: Parameters used for the spin-coating. Process steps are to be performed consecutively.

Table 2: Chemical composition of the etchant used for AM 17-4PH Stainless Steel⁹. All solution chemical quantities are listed as percentage by weight.

DISCUSSION:

A verified methodology for AM 17-4PH stainless steel micro-specimen fabrication and tension testing were presented, including a detailed protocol for fabrication of a micro-tensile grip.

Specimen fabrication protocols described result in improved fabrication efficiency by combining photolithography, wet-etching, and FIB milling procedures. Material etching prior to FIB milling helped to remove bulk material and reduce material re-deposition that often occurs during FIB use. The described photolithography and etching procedures allowed for fabrication of the micro-tensile specimens above the surrounding material surface, providing clear access for the tensile grip prior to testing. While this protocol was described and performed for micro-tensile testing, the same procedures would be helpful for micro-compression testing.

During the development of this process, variation within the photo-resist mask patterning was noticed, as shown in **Figure 2**. This is likely caused by surface inconsistencies created during dicing or poor adhesion of the photoresist to the sample surface. It was noticed that when wet etching was performed at room temperature, much of the photoresist was removed, due to under etching or poor adhesion; therefore, it is recommended to warm the sample before and during the etching process, as mentioned in the protocol. If significant under-etching (etching below the photoresist) is noticed, increasing the sample temperature may help. The provided protocol uses an SU-8 photoresist due to availability; however, other photoresist and etchant combinations may also be effective.

Tensile-grip-to-specimen alignment and sample engagement were the main challenges of micro-tensile testing. By reducing the indenter tip dimensions as described in the protocol, alignment and engagement between the tensile grip and specimen was improved. Due to SEM view perspective limitations, it was often difficult to tell whether the sample was within the tensile grip. Reducing the grip thickness will likely provide better perspective control.

Micro-specimen preparation and micro-tensile material testing is often a lengthy process, requiring several hours of FIB fabrication time and indenter alignment. The methods and protocols prepared herein serve as a verified guide for efficient micro-tensile fabrication and testing. Note that the micro specimen protocol allows for high throughput (rapid) specimen fabrication from bulk AM 17-4PH stainless steel volumes by combining photolithography, chemical etching, and focused ion beam milling.

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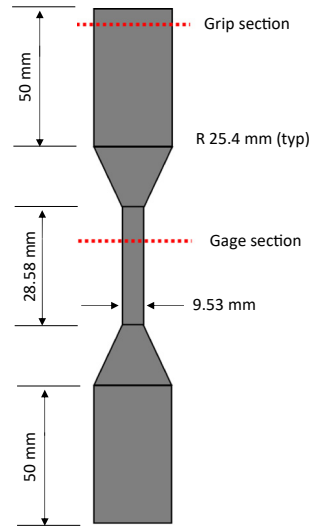
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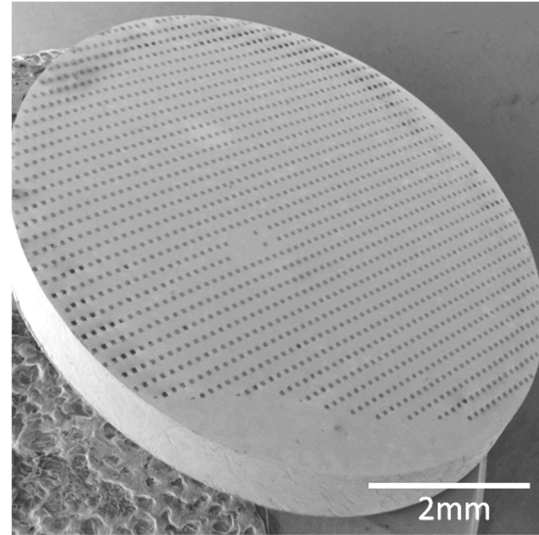
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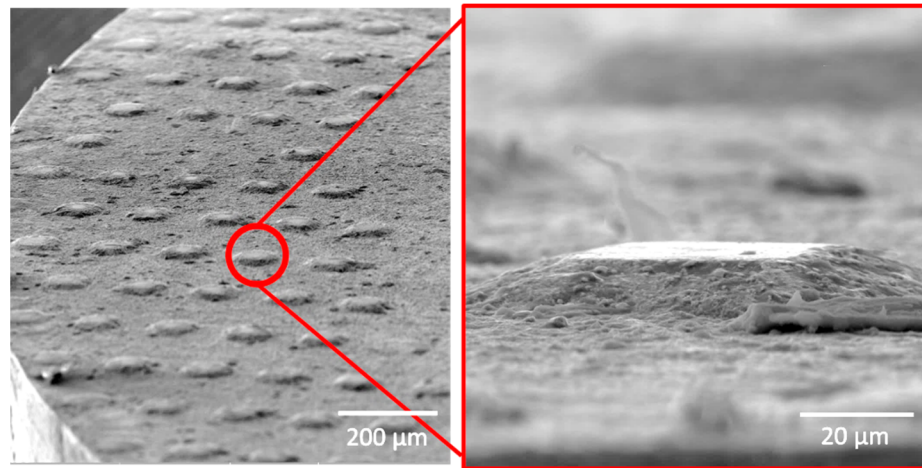
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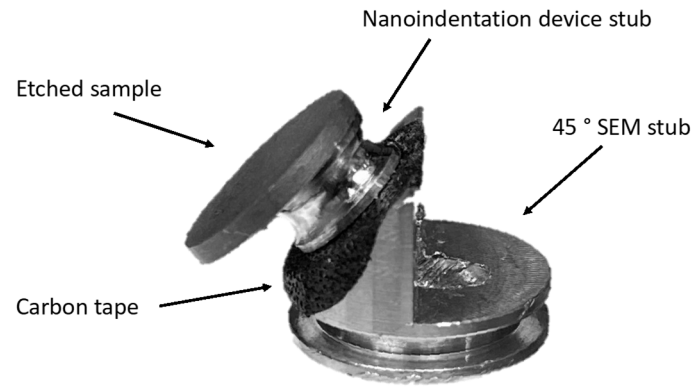
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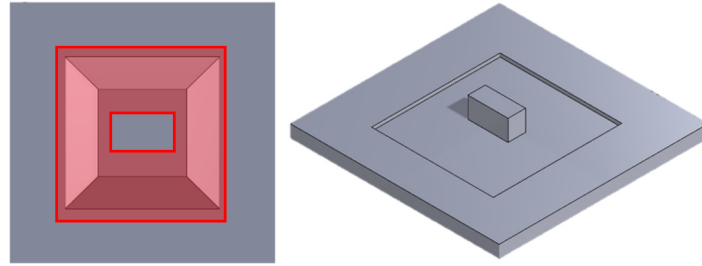
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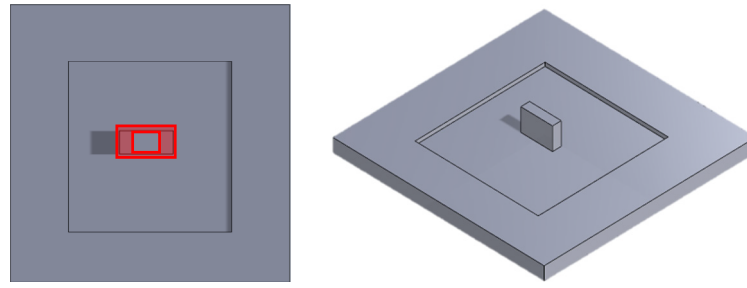












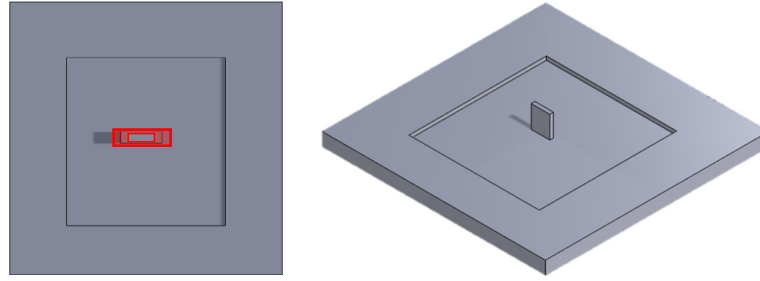
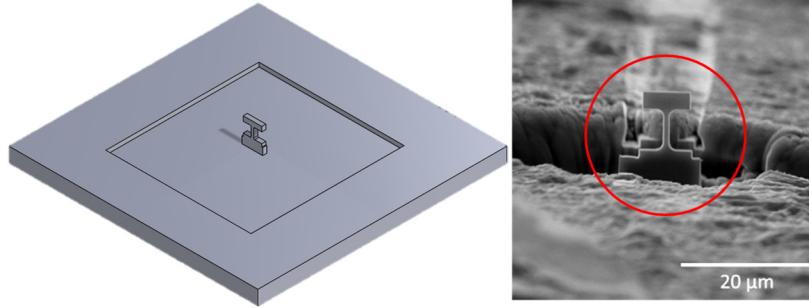
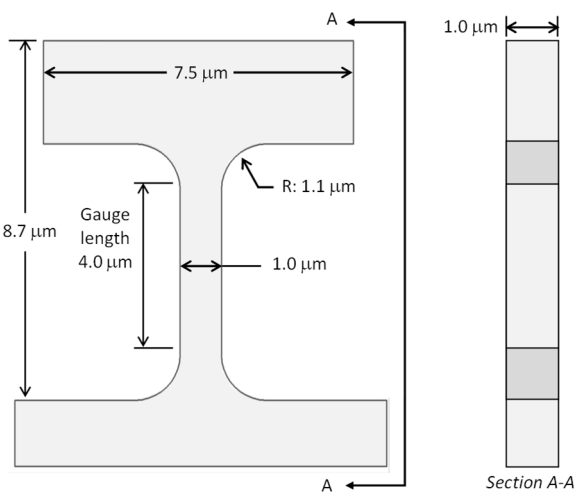
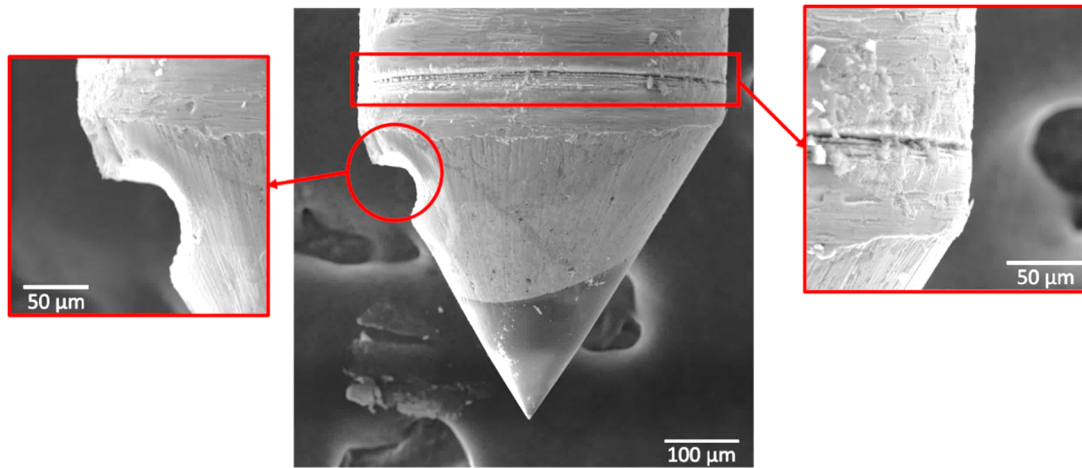


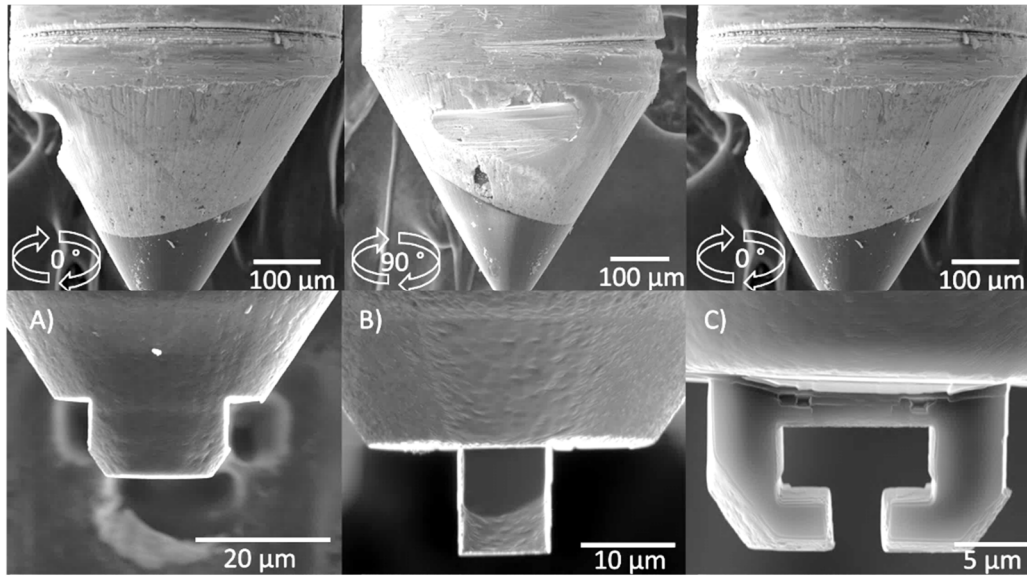
Figure 8

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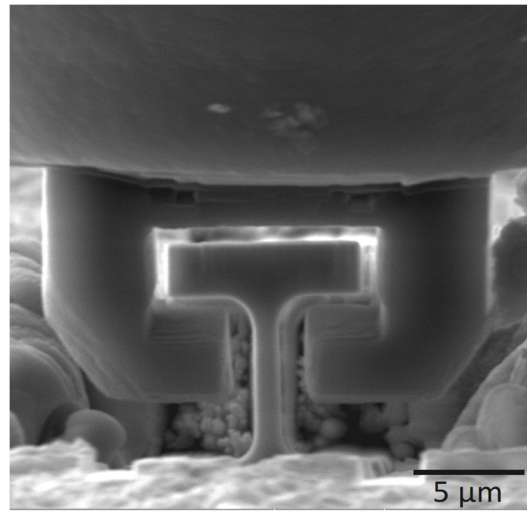


Figure 13

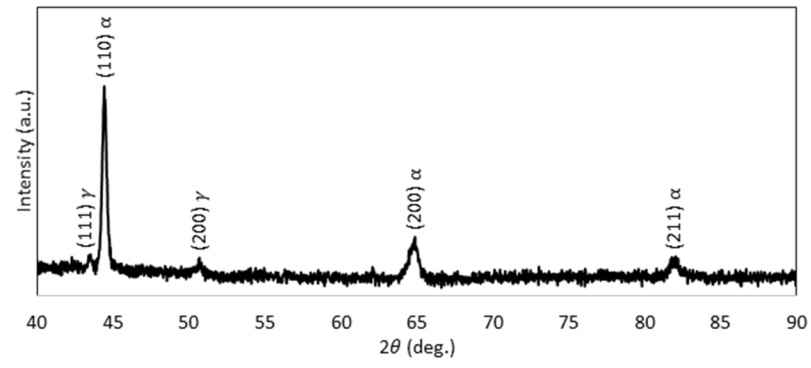
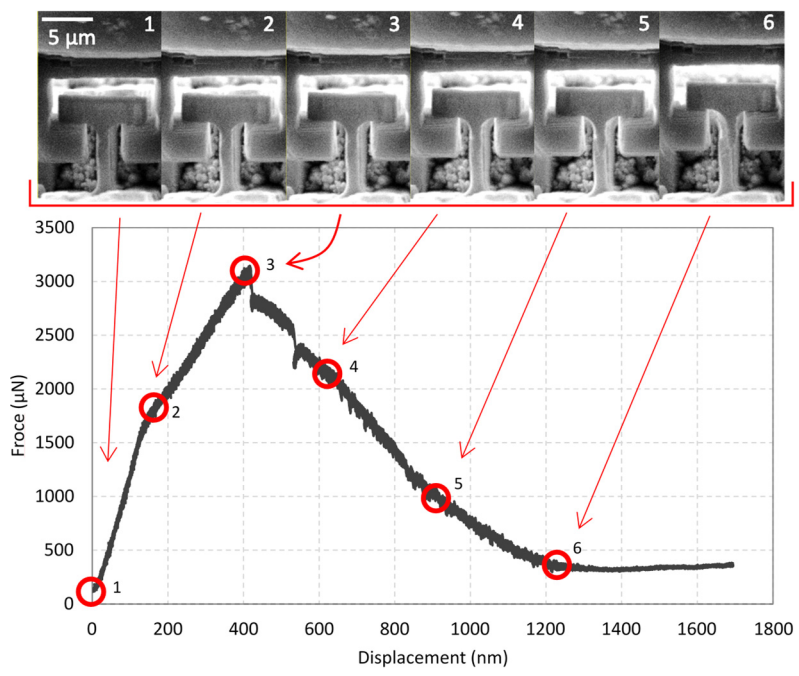


Figure 14



Process	Details	Time (s)
Acceleration	From 0 to 500 rpm at 100 rpm/s	5
Spin	500 rpms	5
Acceleration	From 500 rpm to 3,000 rpm at 500 rpm/s	5
Spin	3,000 rpm	25

FeCl ₃ (wt%)	HCl (wt%)	HNO ₃ (wt%)
10	10	5

Name of Material/Equipment	Company	Catalog Number	Comments/Description
45 ° SEM stub	TED Pella	16104	https://www.tedpella.com/SEM_html/SEMpinn
Acetone	VWR	CAS: 67-64-1	https://us.vwr.com/store/product/4533063/acc
Branson 1510 Ultrasonic Cleaner	Branson Ultrasonic		
Carbon conductive tabs	PELCO image tabs	16084-20	https://www.tedpella.com/SEMmisc_html/sem
CrystalBond			
FEI Nova Nanolab 200 Dual-Beam Workstation			
Ferric Chloride	VWR	CAS: 7705-08-0	https://us.vwr.com/store/product/7516265/iron-iii-
Hydrochloric Acid (12.1M)	EMD	CAS: 7647-01-0, HX0603	https://www.emdmillipore.com/US/en/product
Hysitron PI-88	Bruker		
ISOMET Low Speed Saw	Buehler	11-1180-160	
Isopropanol	VWR	CAS: 67-63-0	https://us.vwr.com/store/product/4549282/2-p
ISOTEMP Hot Plate	Fisher Scientific		https://www.fishersci.com/shop/products/fisherbra
Kapton Tape			
Metaserv 2000 Grinder/Polisher	Buehler	CAS:7697-37-2MW, BDH3130	https://us.vwr.com/store/catalog/product.jsp?c
Nitric Acid (68-70%)	VWR	BDH3130	https://us.vwr.com/store/catalog/product.jsp?c
PE-25 Serie Plasma System	Plasma Etch	PE-25	https://www.plasmaetch.com/pe-25-plasma-cl
PGMEA	J.T. Baker	CAS: 108-65-6	https://us.vwr.com/store/product/4539301/2-r
PhenoCure Compression Mounting Compound	Buehler	20-3100-080	https://shop.buehler.com/phenocure-blk-powd
PI-88 Sample mount	Bruker	5-2238-10	
PI-FIB STOCK	Bruker	TI-0280	
SimpliMet 4000 Mounting Press	Buehler		https://www.buehler.com/simpliMet-4000-mou
	Laurell		
Spin Coater	Technologies Copr.	WS-650MZ-23NPPB	

SU-8 3025	Kayaku Advanced Materials (MicroChem)	Y311072 0500L1GL	https://www.fishersci.com/shop/products/su-8-302
Tescan VEGA 3 SEM			
Thinky AR-1000 Conditioning Mixer	Thinky	AR-100	https://www.thinkymixer.com/en-us/product/a

nount.htm

etone-99-5-acv-vwr-chemicals-bdh

adhes.htm.aspx#16084-4

[.chloride-anhydrous-98-pure](#)

:/Hydrochloric-Acid,EMD_CHEM-HX0603

opropanol-99-5-acv-vwr-chemicals-bdh

[ind-isotemp-hot-plate-stirrer-ambient-540-c-ceramic/p-9078002](#)

atalog_number=BDH3130-2.5LP

eaner.php

methoxy-1-methylethyl-acetate-pgmea-99-0-by-gc-stabilized-bts-220-j-t-baker

ler-5lbs

unting-press.php

[5-500ml/nc0057282](#)

ir-100/

Reviewer Comments and Author Response for:
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We have found all of the comments provided by the reviewers to be very helpful in improving and clarifying the revised manuscript. We are grateful for the time and effort by the reviewers in providing feedback, and have addressed each comment individually. A summary of the manuscript changes and addressed reviewer comments is provided below.

Response to Editorial Comments:

Editor: Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammar issues.

Response: *A proofread for spelling and grammar issues was done.*

Editor: Please include a Summary to clearly describe the protocol and its applications in complete sentences between 10-50 words: “Presented here is a protocol ...”

Response: *A summary section was added at the beginning of the manuscript (after the keywords section).*

Editor: Please ensure that the Abstract is between 150-300 words.

Response: *Abstract was reviewed to ensure that includes 150-300 words.*

Editor: For in-text formatting, corresponding reference numbers should appear as numbered superscripts after the appropriate statement(s).

Response: Reference numbers were changed to superscript.

Editor: JoVE cannot publish manuscripts containing commercial language. This includes trademark symbols (™), registered symbols (®), and company names before an instrument or reagent. Please remove all commercial language from your manuscript and use generic terms instead. All commercial products should be sufficiently referenced in the Table of Materials and Reagents.

Response: *All commercial language and references were removed from the manuscript.*

Editor: Line 66: Please change proposed protocol to Protocol instead.

Response: *Name of the section was changed to “Protocol”.*

Editor: We cannot have non-numbered paragraphs/steps/headings/subheadings.

Response: *All non-numbered paragraphs/step/heading/subheading were numbered or added to another section. Paragraph on line 123 was added to the step 2.3.10. Paragraph on line 138 was added to step 3.4.*

Editor: Please add more details to your protocol steps. Please ensure you answer the “how” question, i.e., how is the step performed?

Response: *More details were added to steps.*

For step 1.1, details about where the sample were obtained, the dimensions, and machine used to cut the sample, were added.

For step 1.1.3, details of how the polishing was made was added.

For step 2.1, “sonicate” was changed to “using an ultrasonic cleaner” for clarity.

For the tensile test section, parameters such as maximum displacement, returning rate and we execute the micro-tensile test (performing a negative displacement indentation), were added.

Editor: Please include all details associated with a step in complete sentences.

Response: *All steps were changed to complete sentences.*

Editor: How big is the sample- dimensions? How much sample is cut? What do you use for polishing?

Response: *Figure 1 was added to explain from where the sample was obtained from and its dimensions. Also, a suggested material sample thickness of 6 mm was added. In the step 1.1.3 “using a semi-automatic polisher” was added.*

Editor: 2.1: Volume of solutions used? How do you perform sonication? Do you do this on ice? How do you take care of heating?

Response: *The “sonicate” word was changed to “using an ultrasonic cleaner” for clarity. Also, there is not specific volume, so, it was specified that the solvent just need to cover the sample.*

Editor: 7: How do you perform tensile testing?

Response: *Step 6: Micro-tensile test, contains all the steps and recommendation that we could provide to perform the testing. Since specific procedure may depend on the machine used, not a lot of details can be provided. However, test parameters such as displacement rate, maximum displacement, returning rate, and how we did the test (performing a negative displacement indentation and using a negative displacement rate) were added (see section 6.6) to provide added detail.*

Editor: Please ensure the numbering of the Protocol follow the JoVE Instructions for Authors. For example, 1 should be followed by 1.1 and then 1.1.1 and 1.1.2 if necessary. Please refrain from using bullets, alphabets, or dashes. Please do not go beyond third substep.

Response: *Protocol was revised and all steps that were beyond the third sub-step were formatted. Spin coating parameters, which were in a fourth sub-step, were formatted in a table.*

Editor: Please remove the embedded figure(s) and tables from the manuscript. All figures should be uploaded separately to your Editorial Manager account and all tables must be uploaded as .xlsx file. Each figure/table must be accompanied by a title and a description after the Representative Results of the manuscript text.

Response: *The tables and Figures have been removed from the manuscript for individual upload.*

Editor: Please include a single line space between each step and substep and highlight 3 pages or less of the Protocol (including headings and spacing) that identifies the essential steps of the protocol for the video, i.e., the steps that should be visualized to tell the most cohesive story of the Protocol.

Response: *A space has been added between each step/sub-step as suggested, and the essential steps for the video have been highlighted in green in the manuscript.*

Editor: Please describe the result with respect to your experiment, you performed an experiment, how did it help you to conclude what you wanted to and how is it in line with the title.

Response: *Language was added and the Representative Result section was modified to better describe the experiment and result within the context of the procedures presented. The section now begins by stating:*

“A material sample from an AM 17-4 PH stainless steel specimen (previously tested in low-cycle fatigue) was prepared and tested using the protocol described, to understand the fundamental material behavior of AM metals (independent of structural defect influence). Typical sample volumes used for material characterization can contain distributed fabrication/structural defects that make discerning between actual material behavior and structural fabrication effects difficult. Following the protocol described in Sections 2 through 7, a micro specimen was fabricated and tested to failure in tension, successfully demonstrating the described techniques and producing material test data at scales free from volumetric defect influences.”

Editor: We do not have conclusion section. Please include a discussion section instead. Please ensure that the Discussion explicitly cover the following in detail in 3-6 paragraphs with citations:

- a) Critical steps within the protocol
- b) Any modifications and troubleshooting of the technique

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- c) Any limitations of the technique
- d) The significance with respect to existing methods
- e) Any future applications of the technique

Response: *The previous conclusions section has been re-named “Discussion” and various aspects of developed procedures (including troubleshooting, limitations, significance, etc.) have been added.*

Editor: Please sort the Materials table in alphabetical order.

Response: *The materials table has been sorted in alphabetical order as suggested.*

Editor: Please include an Acknowledgements section, containing any acknowledgments and all funding sources for this work.

Response: *An acknowledgement section was added.*

Editor: Please include a Disclosures section, providing information regarding the authors' competing financial interests or other conflicts of interest. If authors have no competing financial interests, then a statement indicating no competing financial interests must be included.

Response: *A disclosures section was added.*

Response to Comments from Reviewer #1:

Reviewer #1: Could the authors provide the surface roughness of the sample after polishing?

Response: *This is a good suggestion that deserves further clarity in the manuscript protocol description. Section 1.1.3 now has the expected surface roughness described from the polishing step:*

“Starting from 400 grit abrasive paper and moving to 1 μm diamond particles, polish the sample to mirror-like surface (having a surface roughness on the order of 1 μm), using a semi-automatic polisher.”

Reviewer #1: What is the thickness of the SU-8 photoresist? Why did the authors choose SU-8? Is it possible to use a positive photoresist?

Response: *The thickness of the SU-8 photoresist was measured to be near 1.5 microns on average. The choice to use the SU-8 was based on lab availability and its robustness. Other photoresist and etching combinations could also be effective; however, the combination presented in the manuscript was found to be the most effective for our purposes. The following discussion was added to the “discussion” section of the manuscript to clarify these points:*

*“During the development of this process, variation within the photo-resist mask patterning was noticed, as shown in **Figure 2**. This is likely caused by surface inconsistencies created during dicing or poor adhesion of the photoresist to the sample surface. It was noticed that when wet etching was performed at room temperature, much of the photoresist was removed, due to under etching or poor adhesion; therefore, it is recommended to warm the sample before and during the etching process, as mentioned in the protocol. If significant under-etching (etching below the photoresist) is noticed, increasing the sample temperature may help. The provided protocol uses an SU-8 photoresist due to availability; however, other photoresist and etchant combinations may also be effective.”*

The following phrase was added at the end of section 2.3.3:

“Note that the thickness of the resulting SU-8 photoresist used in this study was measured to be near 1.5 microns on average.”

Reviewer #1: The authors suggested that some SU-8 microstructures were detached from the surface of the sample because of the uneven surface (Figure 1). Does this happen often? I suspect that it was because of the poor adhesion between SU-8 and the sample.

Response: *This is a good point. We originally thought that the detachment was only due to uneven surface because we saw that after the spin coat there were spots with no photoresist. Also, SU-8 have been used previously in other steels in the lab with no problem. However, we noticed that some of the patterned photoresist were washing out during the etching process. Although some detachment of photoresist was seen, the result that were getting was good enough for the purpose. A text was added in the discussion section, to talk about this issue.*

*“During the development of this process, it was noticed that the pattern was not always perfect, as shown in **Error! Reference source not found.** This can be attribute to a possible lack of surface level caused by the dicing process, allowing a non-uniform photoresist distribution on the surface. Also, this could be due a possible poor adhesion to the sample. It was noticed that when wet etching was performed at room temperature, most of the photoresist washed out, due to under etching or poor adhesion. Therefore, it is recommended to warm the sample before and during the etching process, as mentioned in the protocol.*

This protocol uses SU-8 due to availability and because it is well known to be robust. However, this does not mean that another photoresist – etchant combination cannot be explored. Although some challenging were faced during the photolithography and wet-etching process, the result were good enough for this purpose.”

Response to Comments from Reviewer #2:

Reviewer #2 I'm interested to see a more complete data set if you intend to complete additional tests. Modeling could be useful in corrections for alignment/orientation which you acknowledge is a particularly challenging aspect of these tests.:

Response: *We are grateful for your comments and interest in our project. We are currently working on developing a robust material behavior database from these tests; however, the scope of the current manuscript was limited to providing a proof of concept methodology for fabricating and performing the micro-tensile tests on AM17-4PH steels. We plan on publishing further works related to the microscale material behavior and fracture prediction upscaling models.*

Response to Comments from Reviewer #3:

Reviewer #3: I found the paper interesting and well-developed. The description of the protocol is exhaustive and detailed. The protocol efficacy has scientific soundness. Further, its efficacy has been achieved and supported by sufficient data.

Best Regards

Response: *We appreciate your comment and the time that you dedicated to review our work.*