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## A standardized murine model of extracorporeal shockwave therapy induced soft tissue regeneration. --Manuscript Draft--

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**TITLE:**

A Standardized Murine Model of Extracorporeal Shockwave Therapy Induced Soft Tissue Regeneration

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**KEYWORDS:**

shockwave therapy, bio engineering, mechanotransduction, *in vivo*, murine hind limb ischemia, animal model, angiogenic therapy, *in vivo* shockwave trials, innovative therapeutic option, angiogenesis

**SUMMARY:**

This article describes a standardized murine model of tissue regeneration via shockwave treatment.

**ABSTRACT:**

Shockwave therapy (SWT) shows promising regenerative effects in several different tissues. However, the underlying molecular mechanisms are poorly understood. Angiogenesis, a process of new blood vessel formation is a leading driver of regeneration in softer tissues as well as a recently discovered effect of SWT. How the mechanical stimulus of SWT induces angiogenesis and regeneration and which pathways are involved is not fully understood. To further improve the clinical use of SWT and gain valuable information about how mechanical stimulation can affect tissue and tissue regeneration, a standardized model of SWT is needed. We, hereby, describe a standardized, easy to implement murine model of shockwave therapy induced regeneration, utilizing the hind-limb ischemia model.

## INTRODUCTION:

Shockwave therapy (SWT) was first introduced in clinical practice as a means of disintegrating kidney stones via extracorporeal application. In the 1990's, an incidental finding of iliac crest thickening in X-ray recordings following repeated lithotripsy revealed a bone morphogenic effect of SWT<sup>1</sup>. This prompted a surge of new applications in orthopedic use. SWT, thereby evolved into an acknowledged treatment option for, long bone non-unions, lateral epicondylitis, as well as achilles tendonitis<sup>2-5</sup>. Recent evidence now again broadens the spectrum of appliances beyond orthopedics, into softer tissues and wound healing disorders<sup>6,7</sup>. Here studies could show effectiveness of SWT in a heterogeneous assembly of conditions including for example erectile dysfunction or spasticity after stroke<sup>8,9,10</sup>.

However, the molecular mechanisms underlying SWT are still not fully understood and require further research. With a focus on cardiovascular disease our previous work demonstrates a promising effect of SWT in a murine model of myocardial infarction. Thereby angiogenesis was discovered as a core driver of myocardial regeneration following SWT<sup>11</sup>.

Angiogenesis describes the development of new vessels through sprouting and splitting of preexisting vessels. In the case of injury these new vessels facilitate the restoration of blood flow to the damaged area and thereby regeneration<sup>12</sup>.

Angiogenesis, therefore, represents a hallmark of tissue regeneration and a potential explanation for SWT effects in softer tissues. However, regeneration is a complex process with numerous inductor and effector mechanisms. Albeit the possibility to investigate them in an isolated cell culture setting, animal models are best suited to emulate these complex processes. Hind limb ischemia is a well-established model to investigate angiogenesis and regeneration *in vivo*<sup>13</sup>. To support further research of the regenerative effect of SWT we hereby present a feasible, standardized, murine model of SWT in hind limb ischemia.

## PROTOCOL:

The experiments were approved by the institutional animal care and use committee at Innsbruck Medical University and by the Austrian ministry of science (BMWF-66.011/0110-V/3b/2019).

### 1. Induction of anesthesia and operational set-up

1.1. Prepare a suitable environment for animal procedures: sterilize equipment, disinfect surfaces, make use of disposable masks, isolation gowns and gloves.

1.2. Sedate a 18-12-weeks old mouse (strain and sex depending on the experimental setting) in a chamber attached to an isoflurane vaporizer at 4%.

1.3. Check for the sufficient sedation via pedal or pinna reflex as indicators for deep pain recognition.

1.4. When the animal is sufficiently sedated, turn off isoflurane flow and administer analgesia

and anesthetics as per the approved animal care and use protocol use e.g., ketamine hydrochloride (80 mg/kg body weight) as an anesthetic and xylazine hydrochloride (5 mg/kg body weight) as an analgetic intra-peritoneally.

NOTE: Prepare syringe with intra-peritoneal medication before placing the animal in anesthesia chamber.

1.5. Examine the depth of anesthesia 5 min after injection by assessing the pedal withdrawal reflex.

1.6. Apply eye ointment (e.g., 0.5 g Retinolpalmitat) to avoid corneal damage.

1.7. Remove hair in and in the proximity to the surgical area, in particular the left hind limb and groin. Depilatory cream can be used instead of razors or trimmers to avoid skin injury.

1.8. Fixate the animal in a supine position with extended limbs on a heating plate using adhesive tape.

1.9. Disinfect and clean the area of surgery with 10% povidone-iodine or similar disinfectant. Use sterile field drape.

## **2. Procedure**

2.1. Use a microscope between 10x and 20x magnification to perform the surgery.

2.2. Make a skin incision (~1.5 cm) proximal to the knee joint using surgical scissors.

2.3. Gently separate the skin from underlying tissue using blunt forceps.

2.4. Identify the femoral vessels. Carefully separate artery, vein, and nerve using forceps and scissors.

2.5. Starting proximally at the level of the inguinal ligament, carefully remove the surrounding connective tissue until the artery is optimally displayed. As a distal endpoint, the arterial branching into the saphenous and popliteal artery should be visible.

2.6. Ligate the proximal femoral artery at the level of the inguinal ligament using a 7-0 polypropylene suture.

2.7. Occlude the distal end of the femoral artery proximal to the branching into saphenous and popliteal artery using a 7-0 polypropylene suture.

2.8. Excise the femoral artery segment between the distal and proximal knots using the diathermy.

NOTE: Excising the femoral artery by cutting with surgical scissor is also possible. However, using a diathermy occludes the vessel in addition to the suture in case the knots fail.

2.9. Make sure the femoral artery is safely occluded and no bleedings are visible in the field of operation.

NOTE: Narrow distance between skin sutures is recommended to avoid ultrasonic gel decontamination of the wound during SWT application.

2.10. Suture the skin incision using 5-0 non-absorbable nylon sutures with single knots.

2.11. Disinfect the surgical area with cotton swabs.

### **3 Shockwave therapy application**

3.1. Make sure skin incision is fully closed.

3.2. Define treatment parameters at shockwave device. In this experimental setting, an energy flux density of  $0.1 \text{ mJ/mm}^2$  at a frequency of 3 Hz for a total of 300 impulses was used.

NOTE: The energy levels were adopted from previous results<sup>14</sup> utilizing focused extracorporeal shockwave treatment.

3.3. Apply ultrasonic gel to the treatment area on the inner thigh for proper coupling.

3.4. Make sure no air bubbles are trapped within the gel.

NOTE: Proper coupling with enough gel is essential for adequate SWT application. Small air bubbles within the gel will absorb shockwaves and decrease their effect.

3.5. Apply 300 impulses by toggling the foot switch while slowly moving the applicator over the thigh.

NOTE: If SWT is not applied immediately after surgery, avoid possible shockwave energy absorption due to re-grown hair by removal prior to treatment.

3.6. After treatment, wipe off any residual ultrasonic gel to prevent cooling of the thigh.

3.7. Move the animal into a recovery cage exposed to a heating lamp to avoid hypothermia.

3.8. Monitor the animal carefully until awake and administer a dose of 0.05 mg/kg body weight of buprenorphine, subcutaneously for adequate analgesia.

3.9. Monitor the health and wellbeing of animals daily until the surgical incision is healed fully.

NOTE: Treatment can be limited to one session or be repeated multiple times. In this example, a single application was performed.

#### 4 Blood flow measurement

4.1. Perform blood flow measurement immediately after surgery and at various timepoints afterwards depending on the experimental setting.

4.2. Sedate the animal in a chamber attached to an isoflurane vaporizer at 4%.

4.3. When the animal is sedated, turn off isoflurane flow and administer anesthetics and analgesics. As per the approved animal care and use protocol apply ketamine hydrochloride (80 mg/kg body weight) and xylazine hydrochloride (5 mg/kg body weight) intra-peritoneally.

4.4. Examine the depth of anesthesia 5 min after the injection by assessing the pedal withdrawal reflex.

4.5. Utilize eye ointment (e.g., 0.5 g Retinolpalmitat) to avoid corneal damage.

4.6. Fixate the animal in a supine position with extended limbs on a heating plate using adhesive tape.

4.7. Remove hair from both hind limbs meticulously.

4.8. Measure limb perfusion via laser doppler according to manufacturer's instruction.

NOTE: The ratio of ischemic limb versus non-ischemic limb blood flow should be used as the prime parameter.

#### REPRESENTATIVE RESULTS:

Utilizing this protocol significant differences in hind limb perfusion can be observed and monitored after SWT intervention. Representative images show a marked difference in limbs treated with SWT (**Figure 1B**) compared to untreated control limbs (**Figure 1A**). Here, perfusion is portrayed via thermal flaring with cold colors representing low perfusion and warm colors representing high perfusion. Quantification of laser doppler readings show a significant increase in perfusion 4 weeks after surgery. (**Figure 1C**), Concomitantly less necrosis can be observed in SWT treated animals (**Figure 1D**). Necrosis was assessed as described previously<sup>16</sup>.

#### FIGURE AND TABLE LEGENDS:

**Figure 1: Improvement of blood perfusion upon shock wave therapy in a murine model of hind limb ischemia.** Representative laser doppler images of (A) untreated animal and (B) ischemic

limbs 4 weeks after SW. (C) Quantification of weekly-performed laser doppler imaging revealed increased limb perfusion upon SWT after 4 weeks. Blood flow is expressed in the ratio of ischemic limb versus not ischemic limb. \* $p < 0.05$ . (D) Evaluation of necrosis shows a significant improvement in animals treated with shockwave therapy after 4 weeks. \* $p < 0.05$ . This figure has been modified from Holfeld et al<sup>15</sup>. CTR = untreated control, SWT = shockwave therapy. Results are expressed as mean  $\pm$  SEM (standard error of the mean). Statistical comparisons were performed by student's t-test. P-values  $< .05$  were considered statistically significant.

## DISCUSSION:

Shockwave treatment shows promising results in several soft tissue regeneration settings. However, to further augment, improve or isolate these regenerative capability's, first the basics of SWT induced regeneration should be uncovered on a molecular level. Tissue regeneration is complex and involves many biological processes including, innate and acquired immunity, inflammation, cell cycle progression, apoptosis, cellular differentiation, angiogenesis and others<sup>17,18</sup>. Isolated mechanisms of SWT may be studied *in vitro*, utilizing a water bath application, but fall short to comprehensively emulate *in vivo* regeneration. Thereby the correct investigation of Pathways activated by SWT can only be achieved *in vivo*.

The hind limb ischemia mouse model is well established, easy to implement. Additionally, it shows a low mortality rate and a low severity compared to other surgical means to investigate tissue regeneration. Furthermore, the hind limb ischemia model provides easy access to treated tissue for tissue collection or other means of evaluation (e.g., ultrasonic evaluation, laser doppler etc.). This model has the following limitations. One major limitation is the acute nature of the ischemia induced by removing the femoral artery while most ischemic diseases are chronic processes. Further, due to young age and healthy collateral tissue, rodents tend to heal to a great extent after ischemia even without therapeutic interventions.

Evidence for the effects of SWT are mostly gained through medical studies but usually lack in-depth research and evaluation of molecular mechanisms. A standardized protocol could, thereby present a means for researchers to compare their work surrounding SWT regeneration. In this regard, this protocol was designed to represent a modifiable foundation, easily adjustable to different tissues, SWT applicators, treatment regimes, or readouts. Accordingly, only a few steps in this protocol can be deemed crucial (see below)

This protocol thereby presents an easy, feasibly, and standardized way to induce and study regeneration via shockwave therapy *in vivo*.

As in all animal models, it is crucial to avoid infections, unnecessary suffering of the animals and promote reproduceable clean data. Therefore, instruments should be disinfected properly. All work including research animals should be performed by capable, trained individuals. Insufficiency of either mentioned points must be avoided. Make sure not to mix up the femoral vein with artery. Avoid thermal muscle injury while using the diathermy, as it might bias the blood flow results.

Familiarization with this tool before usage in an animal model is highly recommended. Make sure not to affect the surrounding tissue, by double checking for quenched tissue in the forceps part of the diathermia prior to activation. When conducting SWT keep in mind that different SWT devices work differently and that therapy should be conducted in accordance with the user manual of the used device.

#### ACKNOWLEDGMENTS:

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#### DISCLOSURES:

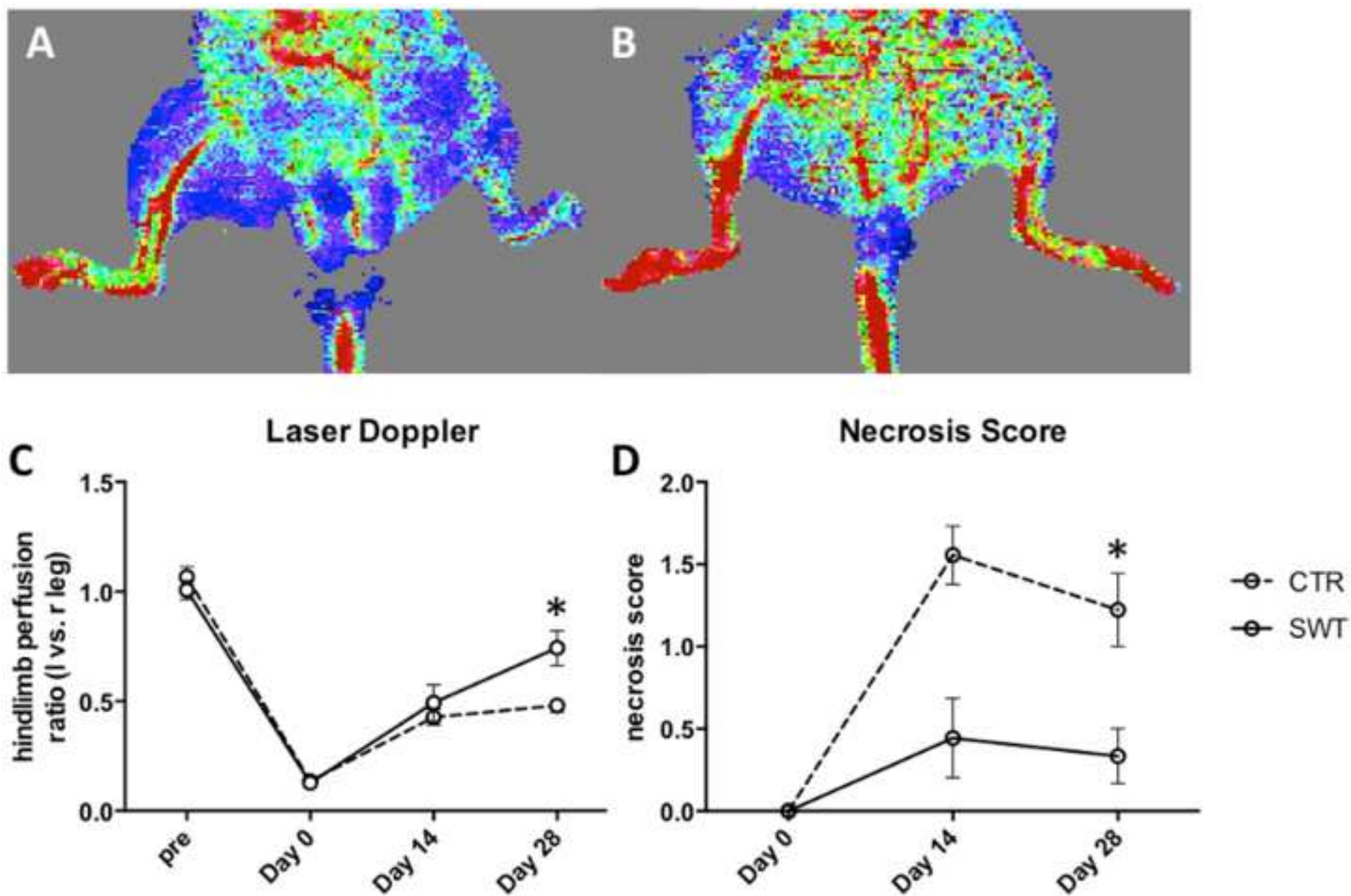
Holfeld J. and Grimm M. are shareholders of Heart Regeneration Technologies GmbH, an Innsbruck Medical University spin-off aiming to promote cardiac shockwave therapy ([www.heart-regeneration.com](http://www.heart-regeneration.com)). All other authors have nothing to disclose.

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Name of Material/ Equipment	Company	Catalog Number	Comments/Description
10% Povidone			
5-0 Nylon suture	Ethicon Inc.		
7-0 silk suture	Ethicon Inc.		
Cautery	Martin	ME-102	
depilatory cream	Nivea		
Gauze	Gazin		
Heating Plate			
Ketamine hydrochloride			anesthesia
Laser Doppler	Moor instruments		
Surgical Tools	Fine Science Tools		
Xylazine hydrochloride			anesthesia

## **Rebuttal letter**

Ref: Submission ID JoVE62338

### **Editorial comments**

**Response:** We thank the editorial board for their comments. We reviewed and rewritten the article and have addressed comments 1-17 and 19. In regards to comment 18 we would like to reference the PLOSone Licenses and Copyright statements, which allows further use of the augmented images and figures.: “Under this Open Access license, you as the author agree that anyone can reuse your article in whole or part for any purpose, for free, even for commercial purposes. Anyone may copy, distribute, or reuse the content as long as the author and original source are properly cited.” (see <https://journals.plos.org/plosone/s/licenses-and-copyright>) .

### **Reviewer’s comments, Reviewer #1:**

**Comment 1:** *In my opinion is necessary to add "extracorporeal". "Extracorporeal shock waves therapy".*

**Response:** We thank the reviewer for this important hint. We have changed the title accordingly.

**Comment 2:** *Keywords: The "angiogenesis" could be added.*

**Response:** We agree and therefore added the keyword “angiogenesis”.

**Comment 3:** *In the Introduction first paragraph could be added "including neurological conditions as stroke" there are several systematic reviews and meta-analysis.*

**Response:** We thank the reviewer for this comment. We have added neurological indications and the associated references to the first paragraph.

**Comment 4:** *How many times the Extracorporeal shock waves therapy was applied, is not clear.*

**Response:** We added the numbers accordingly for our example and further made clear, that multiple applications can be performed.

**Comment 5:** *What kind of extracorporeal shock wave therapy was used, radial or focused?*

**Response:** We made clear that focused extracorporeal shockwave therapy was used.

### **Reviewer’s comments, Reviewer #2:**

**Comment 1** *1.Please provide relevant pictures or videos in the process of mouse modeling, which is very important for an article about methods protocol;*

**Response:** We thank the reviewer for this comment and fully agree. Since JoVE is a video journal we are looking forward to provide detailed guidance in the form of professional videos in cooperation with the journal.

**Comment 2:** *2.The references in this paper are too few and the latest research is less, which can not fully reflect the current innovation and advanced nature of the research.*

**Response:** We thank the reviewer for this important observation. We added further relevant references.

***Comment 3: Is it the focused shock wave or the radial shock wave that used in this paper? How are frequencies and energies determined? This part should be explained in detail and the corresponding references should be added;***

***Response: Detailed descriptions were added and referenced.***

***Comment 4: Statistical analysis was performed in this study (legend in Figure 1), but no relevant data were provided. For statistics, it is not enough to provide only P value. Please provide the detailed table to show the relevant data.***

***Response: Information about the detailed statistical analysis were added and more visibly referenced.***