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## Surfactant depletion combined with injurious ventilation results in a reproducible model of the Acute Respiratory Distress Syndrome (ARDS) --Manuscript Draft--

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**TITLE:**

Surfactant Depletion Combined With Injurious Ventilation Results In A Reproducible Model of the Acute Respiratory Distress Syndrome (ARDS)

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**KEYWORDS:**

Acute Respiratory Distress Syndrome (ARDS), animal model, two-hit model, porcine model, pig, surfactant depletion, injurious ventilation, ventilator induced lung injury (VILI)

**SUMMARY:**

A combination of surfactant washout using 0.9% saline (35 mL/kg body weight, 37 °C) and high tidal volume ventilation with low PEEP to cause moderate ventilator induced lung injury (VILI) results in experimental acute respiratory distress syndrome (ARDS). This method provides a model of lung injury with low/limited recruitability to study the effect of various ventilation strategies for extended periods.

**ABSTRACT:**

Various animal models exist to study the complex pathomechanisms of the acute respiratory distress syndrome (ARDS). These models include pulmo-arterial infusion of oleic acid, infusion of endotoxins or bacteria, cecal ligation and puncture, various pneumonia models, lung ischemia/reperfusion models and, of course, surfactant depletion models, among others. Surfactant depletion produces a rapid, reproducible deterioration of pulmonary gas exchange and hemodynamics and can be induced in anesthetized pigs using repeated lung lavages with

0.9% saline (35 mL/kg body weight, 37 °C). The surfactant depletion model supports investigations with standard respiratory and hemodynamic monitoring with clinically applied devices. But the model suffers from a relatively high recruitability and ventilation with high airway pressures can immediately reduce the severity of the injury by reopening atelectatic lung areas. Thus, this model is not suitable for investigations of ventilator regimes that use high airway pressures. A combination of surfactant depletion and injurious ventilation with high tidal volume/low positive end-expiratory pressure (high Tv/low PEEP) to cause ventilator induced lung injury (VILI) will reduce the recruitability of the resulting lung injury. The advantages of a timely induction and the possibility to perform experimental research in a setting comparable to an intensive care unit are preserved.

## **INTRODUCTION:**

The mortality of the acute respiratory distress syndrome (ARDS) remains high with values above 40%<sup>1</sup> despite intensive research since its first description by Ashbough and Petty in 1967<sup>2</sup>. Naturally, the investigation of novel therapeutic approaches is limited in the clinic due to ethical concerns and the lack of standardization of the underlying pathologies, ambient conditions, and co-medications, whereas animal models enable systematic research under standardized conditions.

Thus, experimental ARDS has been induced in either large animals (e.g., pigs) or small animals (e.g., rodents) using various methods such as pulmo-arterial infusion of oleic acid, intravenous (i.v.) infusion of bacteria and endotoxins, or cecal ligation and puncture (CLP) models causing sepsis-induced ARDS. In addition, direct lung injuries caused by burns and smoke inhalation or lung ischemia/reperfusion (I/R) are used<sup>3</sup>. One frequently used model of direct lung injury is surfactant depletion with lung lavages as first described by Lachmann et al. in guinea pigs<sup>4</sup>.

Surfactant depletion is a highly reproducible method that results rapidly in compromises in gas exchange and hemodynamics<sup>5</sup>. A major advantage is the possibility to apply surfactant depletion in large species which enable support research with clinically used mechanical ventilators, catheters, and monitors. However, a major disadvantage of the surfactant depletion model is the instant recruitment of atelectatic lung areas whenever high airway pressures or recruiting maneuvers, such as prone positioning, are applied. Thus, the model is not suitable to investigate, e.g., automated ventilation with high PEEP levels for prolonged times<sup>6</sup>. Yoshida et al. described a combination of surfactant depletion and ventilation with high inspiratory airway pressures to induced experimental ARDS<sup>7</sup>, but their model requires an elaborate maintenance of partial pressure of oxygen (PaO<sub>2</sub>) in a predefined corridor via repeated blood gas sampling and adjustment of the driving pressure according to a sliding table of inspiratory pressure and PEEP.

Overall, a model with an overly aggressive injurious ventilation or a laborious, repeated adjustment of the ventilation regime can result in structural damage of the lungs, which is too severe and results in subsequent multiple organ failure. Thus, this article provides a detailed description of an easily feasible model of surfactant depletion plus injurious ventilation with high Tv/low PEEP for induction of experimental ARDS, which supports research with clinically used ventilation parameters for prolonged periods.

## **PROTOCOL:**

The experiments were conducted at the Department of Experimental Medicine, Charité – University Medicine, Berlin, Germany (certified according to the EN DIN ISO 9001:2000) and were approved by the federal authorities for animal research in Berlin, Germany, prior to the experiments (G0229/18). The principles of laboratory animal care were used in all experiments and are in accordance with the guidelines of the European and German Society of Laboratory Animal Sciences.

## **1. Laboratory animals and animal welfare**

1.1. Conduct all the experiments in deeply anesthetized male pigs (German Landrace × Large White) of 3–4 months of age with a body weight (bw) of 30–40 kg.

## **2. Anesthesia, intubation, and mechanical ventilation**

2.1. Do not provide dry food for 12 h prior to anesthesia to avoid a full stomach of the pigs. Allow free access to water and straw/hay to minimize stress.

2.2. Premedicate with an intramuscular injection of a combination of azaperone (3 mg/kg bw), atropine (0.03 mg/kg bw), ketamine (25 mg/kg bw), and xylazine (3.5 mg/kg bw) into the neck musculature of the pig, while the animals are still kept in their housing facility to minimize stress.

NOTE: Daily training of petting the animal's neck while feeding a few sugar cubes prior to the experiment and applying the injection while feeding sugar cubes in the trained fashion will facilitate a smooth premedication and reduce stress further.

2.2.1. Place the animal onto a stretcher and cover the eyes with a cloth for transportation once an adequate level of anesthesia is reached.

2.2.2. Transfer the pig to the surgical theater and always ensure sufficient spontaneous breathing.

2.2.3. Take an oxygen cylinder, fitting tubing, and mask to provide supplemental oxygen while transporting the pigs, if the housing facilities are not adjacent to the laboratory.

2.2.4. Place the pig in the prone position and preoxygenate with a mask that fits the animal's snout using a high flow of oxygen (e.g., 10 L/min).

2.3. Use a peripheral vein catheter (usually 18 or 20 G) to gain venous access. Place the peripheral vein catheter into one of the ear veins after a wipe-down procedure with alcohol swaps.

2.3.1. Start an infusion with a balanced crystalloid solution and ensure the correct placement of the catheter for subsequent infusion of anesthetics.

2.3.2. Infuse 500 mL of a balanced crystalloid solution as bolus i.v. followed by continuous infusion of 4 mL/kg/h for fluid support.

2.3.3. Start monitoring the peripheral oxygen saturation (SpO<sub>2</sub>) by securing the SpO<sub>2</sub>-Sensor at one of the ears or the tail.

2.4. Induce anesthesia by injecting propofol (about 5–10 mg/kg bw—the exact dose depends on the effect of the premedication and differs from animal to animal) for orotracheal intubation.

NOTE: Prior injection of an opioid will facilitate intubation further but requires ample experience to avoid a premature apnea of the animal. An injection of 100 µg of fentanyl (fentanyl citrate, 100 µg/mL) may be repeated until the spontaneous respiratory rate slows down to about 20/min before injecting propofol.

2.5. Intubate the animal with a cuffed endotracheal tube (7.5–8.0 ID) and a laryngoscope designed for large animals (straight blade of about 25 cm length).

NOTE: Intubation is easiest in the prone position as described in detail by Theisen et al.<sup>8</sup>.

2.5.1. Verify the placement of the endotracheal tube by observing the typical waveform of CO<sub>2</sub> during expiration on the CO<sub>2</sub>-monitor (capnograph).

2.5.2. Use auscultation to check for equal bilateral breath sounds.

NOTE: The pigs can be mechanically ventilated with manual compression of the rib cage from both sides while supplying oxygen with a high flow in case of failed or delayed intubation.

2.6. Set the fraction of inspired oxygen (F<sub>I</sub>O<sub>2</sub>) to 1.0, respirator frequency to 15–20/min, tidal volume to 8–9 mL/kg bw, inspiration to expiration ratio (I:E) to 1:1.5, and apply a positive end-expiratory pressure (PEEP) of 5 cmH<sub>2</sub>O to start mechanical ventilation. Adjust the settings to target an end-expiratory partial pressure of carbon dioxide (P<sub>et</sub>CO<sub>2</sub>) of 35–40 mmHg and a SpO<sub>2</sub> above 95%.

2.6.1. Use a continuous i.v. infusion of thiopentone (20 mg/kg/h) and fentanyl (7 µg/kg/h) to maintain anesthesia.

NOTE: The necessary dosage may vary from animal to animal and between experimental settings. It is essential to maintain a sufficient depth of anesthesia during the course of the experiment for animal welfare and scientific reasons.

2.6.2. Monitor the animal closely for stress/pain reactions (such as an increase in heart rate, blood pressure, or respiratory rate) during instrumentation.

NOTE: Instrumentation should be possible without administering a muscle relaxant if the depth of anesthesia is sufficient.

2.6.3. Administer a muscle relaxant, e.g., pancuronium bromide (0.15 mg/kg bw i.v. bolus, followed by a continuous infusion of 0.15 mg/kg bw/h or repeated bolus injections), if muscle relaxation is necessary for the experiment (e.g., before a surfactant depletion, before injurious ventilation lung compliance measurements).

2.7. Instrumentation techniques

2.7.1. Turn the animal into the supine position.

2.7.2. Secure the endotracheal tube and i.v. line while turning the animal.

2.7.3. Retract the legs using bandages to stretch the skin above the planned incision sites.

2.7.4. Sterilize the operating areas with an appropriate skin disinfectant such as an alcohol and iodine 1% solution.

2.8. Cannulate the external jugular vein with a central venous catheter and, in addition, introduce the introducer sheath of the pulmonary arterial catheter (PAC) into the same vein.

2.8.1. Perform a 10 cm skin incision on the line connecting the mandible and the sternum (left or right side possible).

2.8.2. Always reassess the depth of anesthesia and adjust the dosage, if necessary.

2.8.3. Separate the subcutaneous tissue and the platysma with tissue forceps and surgical scissors until the brachiocephalic and the sternocephalic muscles are visible.

2.8.4. Continue with a blunt cut down procedure to separate the fascia between the muscles until the external jugular vein is visible.

2.8.5. Use the Seldinger technique<sup>9</sup> to cannulate the external jugular vein with the central venous catheter and the introducer sheath for later insertion of the PAC.

NOTE: Do not dilate the vein with a dilator as it is done in case of a percutaneous approach. This would tear the vein. Close with standard sutures. The sizes of the sheath depend on the size of the chosen PAC. A 6F introducer sheath (10 cm length) and a 5F PAC of 75 cm length in pigs of 30–40 kg body weight is typically used.

2.9. Cannulate the femoral artery for invasive blood pressure monitoring.

2.9.1. Identify the fold between the gracilis and sartorius muscle of the hind leg (left or right is possible) to place an arterial line.

NOTE: The pulsation of the femoral artery should be easily palpable.

2.9.2. Cannulate the artery percutaneously with the Seldinger technique<sup>9</sup>.

2.9.3. Use a direct approach if the artery is not easily palpated.

2.9.3.1. Cut through the skin with a 5 cm long incision and separate the subcutaneous tissue with tissue forceps and surgical scissors.

2.9.3.2. Use a blunt cut down procedure separating the fascia between the muscles to the level of the femoral artery.

NOTE :Do not injure the saphenous vessels by performing the cut down procedure cranial of them.

2.9.3.3. Loop a ligature around the femoral artery so that the vessel can be closed in case of bleeding at the site of puncture. Avoid this step whenever possible, as it compromises blood flow to the hind leg.

2.9.3.4. Cannulate the artery with the Seldinger technique<sup>9</sup>.

**2.10. Calibrate the transducers against the atmosphere (zero) and either 200 mmHg (arterial line) or 50 mmHg (central venous line) and connect them to the arterial catheter and the central venous line to start monitoring.**

2.10.1. Place the pressure transducers about half the height of the thorax at the estimated position of the right atrium.

2.11. Perform a small (4–5 cm) incision cutting through the skin above the bladder for catheterization of the urinary bladder.

2.11.1. Separate the subcutaneous tissue using blunt instruments.

2.11.2. Place a purse-string suture (1–2 cm in diameter) in the wall of the bladder.

NOTE: The sutures should not penetrate through all layers of the bladder wall, which would result in the loss of urine through the punctures.

2.11.3. Perform a small incision in the middle of the suture and introduce the urinary catheter.

2.11.4. Immediately, block the balloon with 10 mL of distilled water and pull the catheter toward the bladder wall until a light resistance is felt.

2.11.5. Close the purse-string suture around the catheter. Close the skin using standard sutures.

### **3. Introduction of the pulmonary artery catheter (PAC)**

3.1. Check the patency of the balloon of the PAC with 0.5–1 mL of air depending on the size of the catheter and deflate the balloon again.

**3.2. Connect the PAC to the pressure transducer system and calibrate the transducer against the atmosphere (zero) and 100 mmHg (Figure 2 and Figure 3).**

**3.3. Introduce the PAC through the introducer sheath with a deflated balloon for 10–15 cm (depending on the sheath length).**

3.3.1. Inflate the balloon after it has left the sheath and advance the PAC further while monitoring the pressure and the typical wave forms on the pressure monitor.

3.3.2. Push the PAC forward while the waveforms typical of the right atrium, right ventricle, and the pulmonary artery appear and stop advancing the PAC when the pulmonary capillary wedge pressure (PCWP) waveform is seen.

3.3.3. Record the PCWP at end-expiration and deflate the balloon (see **Figure 1** for the respective curves).

NOTE: After deflation of the balloon, the PCWP-waveform must disappear, and the pulmonary arterial pressure waveform must be visible. If the pulmonary arterial pressure waveform cannot be seen, the catheter is most likely inserted too far into a pulmonary artery and has reached an auto-wedge position. This results in a permanent occlusion of a pulmonary vessel and must be corrected by pulling the catheter back until the pulmonary arterial pressure waveform reappears thereby avoiding complications, e.g., rupture of the pulmonary vessel<sup>10</sup>. The PAC catheters are often accidentally advanced into liver veins via the inferior caval vein in pigs. Thus, if the right ventricle pressure signal is not reached after about 30 cm, pull the catheter back and start all over again.

#### **4. Pulmonary artery thermodilution technique for hemodynamic measurements**

##### **4.1. Measure cardiac output (CO) with the thermodilution technique<sup>11</sup>.**

4.1.1. Connect the thermistor and a flow through housing to the respective lumen of the PAC.

4.1.2. Next, connect the hemodynamic monitor with the distal temperature port of the PAC (red cap).

4.1.3. Adjust the hemodynamic monitor to the necessary mode compensating for catheter size, catheter length, injected volume, and temperature of the injected saline solution.

4.1.4. Inject the appropriate volume of 0.9% saline as quickly as possible (usually 5 or 10 mL of 0.9% saline with a temperature of 4 °C).

4.1.5. Wait until the measurement is completed.

4.2. Randomize five measurements in quick succession over the respiratory cycle of the ventilator.

4.2.1. Delete the highest and the lowest values and use the remaining three values to calculate the mean.

4.2.2. Note this mean value as the cardiac output.

4.2.3. Measure the PCWP afterwards by inflating the catheter balloon, and deflate it after the measurement.



4.2.4. Use the mean arterial pressure (MAP), pulmonary arterial pressure (PAP), central venous pressure (CVP), PCWP, and the CO for all further hemodynamic calculations.

NOTE: The volume of saline as well as the temperature have to be entered into the monitor before the measurements. The normal saline has to be kept at the same temperature (usually  $<5^{\circ}\text{C}$ ) for correct measurements. The size and length of the catheter have to be entered as well. Some monitors require the entry of a correction factor.

4.2.5. For studies involving exact measurements of electrolyte balance, use 5 % glucose solution instead of 0.9% saline.

4.3. Ensure to record all the parameters. Take simultaneous arterial and mixed venous blood samples shortly before or after CO measurements to enable calculation of the intra-pulmonary right-to-left shunt.

4.3.1. Record all needed respiratory settings and measurements to complete the data set, e.g., peak, plateau end-expiratory pressure.

NOTE: The induction of anesthesia, intubation, and full instrumentation may require 1.5 h depending on the experience and number of the investigators.

## 5. Surfactant depletion

5.1. Ventilate the animal with a  $\text{F}_{\text{I}}\text{O}_2$  of 1.0.

5.1.1. Disconnect the animal from the ventilator.

5.2. Fill the lungs with prewarmed 0.9 saline ( $37^{\circ}\text{C}$ , 35 mL/kg) with a funnel connected to the endotracheal tube.

5.2.1. For this, raise the funnel about 1 m above the animal.

NOTE: The hydrostatic pressure will allocate the saline into all pulmonary sections.

5.2.2. Immediately stop filling when the MAP decreases below  $<50$  mmHg.

5.3. Lower the funnel to ground level to drain the lavage fluid. Reconnect the animal to the ventilator for oxygenation.

5.4. Wait until the animal recuperates and repeat the lavage as soon as possible, if required.

NOTE: The necessity for a further lavage is defined by the  $\text{P}_{\text{a}}\text{O}_2/\text{F}_{\text{I}}\text{O}_2$  ratio.

5.4.1. Take an arterial blood gas sample after 5 min following each lavage.

5.4.2. Repeat lavages until the  $\text{P}_{\text{a}}\text{O}_2/\text{F}_{\text{I}}\text{O}_2$  ratio (Horowitz index) decreases below 100 mmHg for at least 5 min at  $\text{F}_{\text{I}}\text{O}_2$  1.0 and PEEP  $> 5$  cmH<sub>2</sub>O.

NOTE: The respiratory rate must be adjusted during the period of lavages to keep the arterial pH above 7.25 in order to prevent hemodynamic decompensation.

5.5. Be aware that this animal model is based on a combination of surfactant depletion and VILI.

NOTE: The lavages will be stopped after the  $P_{aO_2}/F_{IO_2}$  ratio remains below 100 for 5 min NOT after 60 min as published previously for a model of surfactant washout without VILI<sup>5</sup>.

5.5.1. Commence with high  $T_v$ /low PEEP ventilation after the targeted  $P_{aO_2}/F_{IO_2}$  has been reached.

NOTE: Otherwise, an overly aggressive surfactant depletion combined with VILI will result in multiple organ failure and compromise the experiment. The duration of surfactant depletion varies between animals, since a defined  $P_{aO_2}/F_{IO_2}$  is targeted. It may take 45 min to 1.5 h.

## **6. Injurious ventilation with high tidal volume/low PEEP (high $T_v$ /low PEEP)**

6.1. Keep an  $F_{IO_2}$  of 1.0.

6.2. Set the ventilator on a volume guaranteed, pressure-controlled ventilation mode.

6.3. Increase the alarm threshold for peak inspiratory pressure to 60 mbar.

NOTE: The ventilator should apply an inspiratory pressure up to 60 mbar, but not higher.

6.4. Lower the respiratory rate to 12/min and set the inspiration to expiration (I:E) ratio to 1:1.5 (resulting in an inspiration time of 2 s and expiration time of 3 s).

6.5. Increase the tidal volume slowly up to 17 mL/kg bw over at least 2 min.

6.5.1. Do not increase the tidal volume further if an inspiratory pressure of 60 mbar is reached.

NOTE: The limited inspiratory pressure can result in tidal volume below 17 mL/kg body weight depending on the lung injury after surfactant washout. A sudden increase in tidal volume may result in barotrauma or hemodynamic decompensation. Therefore, it is of utmost importance to increase tidal volumes slowly over several minutes.

6.6. Reduce the PEEP to 2 mbar.

6.7. Ventilate the animal for up to 2 h (see **Figure 2** for the ventilator settings and the flow curve).

NOTE: Ventilation with high tidal volumes will result in good oxygenation of the animal, but the cyclic near-complete inflation and deflation results in structural injury of the lungs. Structural damage cannot be reversed with recruitment maneuvers, prone positioning, high PEEP, etc. The resulting injury has to be tolerated throughout the investigation. A shorter high

Tv/low PEEP ventilation time may be required depending on the following experiment and duration of the investigation.

#### REPRESENTATIVE RESULTS:

The  $P_{aO_2}/F_{iO_2}$ -ratio decreased during surfactant washout in all animals (**Figure 3**). The resulting hypoxemia, hypercapnia, and atelectasis caused an increase in pulmonary artery pressure. The details of the lung lavages are already described elsewhere<sup>6</sup>.

The surfactant depletion was repeated until the  $P_{aO_2}/F_{iO_2}$  ratio remained below 100 mmHg despite mechanical ventilation with a PEEP of 5 mbar for at least 5 min. Afterwards, ventilation with high tidal volumes, low PEEP, and nearly complete inflation/deflation was commenced for 2 h to cause VILI. Of note, parameters of gas exchange (oxygen saturation,  $P_{aO_2}$ ) can improve during ventilation with high tidal volumes due to the cyclic recruitment while mPAP usually remains elevated due to high intrathoracic pressures and hypercapnia (**Figure 3B**). On average, induction of anesthesia, instrumentation, surfactant depletion, and injurious ventilation require about 5 h depending on experience of the investigator and the number of lavages required to achieve the targeted  $P_{aO_2}/F_{iO_2}$  ratio.

The recruitability of the lungs was tested after each experimental step with a recruitment maneuver (inspiratory pressure of 50 mbar and PEEP 24 mbar for five breaths). An arterial blood gas sample was taken 5 min after the recruitment maneuver while ventilation was commenced with a tidal volume of 6 mL/kg bw, a PEEP of 15 mbar and an  $F_{iO_2}$  of 1.0. This recruitment maneuver resulted in a notable increase in the oxygenation in all the animals after surfactant washout (**Figure 3a**), whereas 2 h of injurious ventilation diminished lung recruitability with respect to gas exchange and mPAP (**Figure 3, Table 1**). The lung injury induced with the protocol was not prone to recruitment even when ventilation was performed according to the ARDS-Network high PEEP table for 3 h after an additional recruitment maneuver.

Computer tomographic (CT) imaging of one animal showed atelectasis of the dependent areas of the lung during ventilation with a PEEP of 6 mbar, which resolved largely when ventilation was escalated to a PEEP of 15 mbar (**Figure 4**), whereas the substantial ubiquitous ground glass opacities did not resolve. Furthermore, some CT findings such as alveolar opacities indicated structural damage of the lungs corresponding with post-mortem examination of the lungs (**Figure 4**).

#### FIGURE LEGENDS:

**Figure 1: Pulmonary artery catheter placement.** Sketch of the heart, a properly placed pulmonary artery catheter (PAC; yellow catheter) and the respective waveforms that can be seen while advancing a PAC. PCWP means pulmonary capillary wedge pressure. The PCWP waveform can only be seen in wedge position while the balloon is inflated. The PCWP curve should disappear and the pulmonary artery curve should be visible if the balloon is deflated and the PAC is placed properly.

**Figure 2: Ventilator settings of injurious ventilation.** Displayed are the ventilator settings during ventilation to provoke ventilator-induced lung injury (VILI). The tidal volume

corresponds to 17 mL/kg body weight in the respective animal. The flow pattern decreases to zero flow on expiration (red star). Zero flow is maintained for a relevant period of the respiratory cycle. Thus, almost complete inflation and deflation of the lungs is achieved to promote baro- and atelectrauma.

**Figure 3: Systemic oxygenation and pulmonary artery pressure.** (A) Individual results of the partial arterial pressure of oxygen. (B) Mean pulmonary arterial pressure of four animals are displayed as representative values for the induced lung injury. Test for statistical significance were not performed due to the small number of animals (n = 4). A recruitment maneuver was performed after each intervention (yellow arrows) to test for recruitability of the model. Note that  $P_{aO_2}$  increases after lung lavage and after recruitment by at least 150 mmHg, but not after VILI ventilation.

**Figure 4: Computed tomography of the lungs.** Representative computer tomographic scans (CT) of one animal after surfactant washout and mechanical ventilation with high tidal volumes and low PEEP to cause ventilator-induced lung injury (VILI). The scans were taken during ventilation with high positive end expiratory pressure of 15 mbar (PEEP 15 mbar) and low PEEP of 6 mbar (PEEP 6 mbar) with a tidal volume of 6 mL/kg body weight. The upper panels show the same apical region of the lungs. The lower panels show the same region of the lung at height of the heart. The # marks the dependent lung areas with basal atelectasis; the → marks the dependent lung areas/former atelectasis, which are recruited under ventilation with a PEEP 15 mbar; the \* marks extensive ground glass opacities with superimposed inter- and intralobular septal thickening, which are not resolved during ventilation with PEEP 15; the + marks diffuse alveolar opacifications, which indicate alveolar hemorrhage and are not visible during ventilation with a PEEP of 6 mbar due to the extensive atelectasis.

**Figure 5: Postmortem examination of the lungs.** Representative pathology of the unfixed lungs of one animal right after the experiment. The basal area of the lungs faces toward the reader. The # marks atelectasis; the + marks diffuse alveolar hemorrhage; the → marks distended, edematous peribronchial spaces.

**Table 1: Arterial blood gases, hemodynamic data, and lung compliance.** The table presents the respective arterial blood gases and hemodynamic data. RM: recruitment maneuver,  $P_{aO_2}$ : arterial partial pressure of oxygen,  $P_{aCO_2}$ : arterial partial pressure of carbon dioxide, CO: cardiac output, MAP: mean arterial pressure, SRV: systemic vascular resistance, mPAP: mean pulmonary arterial pressure, PVR: pulmonary vascular resistance, PCWP: pulmonary capillary wedge pressure. Data presented as mean  $\pm$  SD.

**Table 2: Arterial blood gases and hemodynamic data during implementation of the protocol.** The table presents the respective arterial blood gases and hemodynamic data of two animals, which died prematurely during the implementation of the protocol. Gray background highlights the last results before death. RM: recruitment maneuver,  $P_{aO_2}$ : arterial partial pressure of oxygen,  $P_{aCO_2}$ : arterial partial pressure of carbon dioxide, CO: cardiac output, MAP: mean arterial pressure, mPAP: mean pulmonary arterial pressure, PCWP: pulmonary capillary wedge pressure, PEEP: positive end-expiratory pressure, Ppeak: peak inspiratory pressure.

## DISCUSSION:

This article describes the induction of experimental ARDS in pigs combining surfactant depletion by repeated lung lavages and ventilation with high tidal volumes, low PEEP, and complete inflation/deflation of the lungs. This combination causes a reproducible and comparable deterioration in gas exchange and the resulting hemodynamic compromise but limits the recruitability of the lungs. Thus, this model mimics clinical ARDS with low recruitability and allows the investigation of new ventilation regimes.

There are a few limitations of the protocol. First, repeated lavages result in some of the histopathological properties of clinical (human) ARDS, including the formation of major atelectasis, perivascular edema formation, and an increase of the alveolar-capillary membrane thickness. High  $T_v$ /low PEEP ventilation adds some properties such as diffuse alveolar hemorrhage, which are not vulnerable to recruitment. Nevertheless, important features of human ARDS such as the formation of hyaline membranes cannot be induced within hours and are therefore missing in this model<sup>2,3</sup>. Second, the structural damage of the lungs is irreversible for hours or possibly days. But care must be taken to avoid an excessive baro-, volu-, and atelectrauma of the lungs, which would render the following experiment impossible. Using the ventilator settings described in the article, the protocol started with initially 3 h of VILI to test automated ventilation modes, which integrate recent clinical evidence regarding ventilation of ARDS patients. Unfortunately, some animals deteriorated during the course of the experiment and one case of a severe pneumothorax (**Table 2**) was observed. Reducing the VILI period to 2 h was suitable for the experimental design, but this time period may be adapted in other experimental settings. Third, lung lavages can result in abrupt right heart failure and death of the animal. About 10%–15% of the animals may die during the induction period. This number can be reduced following the recommendations published previously<sup>5</sup>. Finally, the study only presented the results of four animals and two further animals, which died prematurely during the implementation of the model. Strict local animal protection laws do not support experiments in further animals once the model is sufficiently implemented, but two-hit models consisting of surfactant depletion and injurious ventilation have been used by other research groups<sup>7</sup>.

Of importance, the aggravation of lung injury by ventilation with high  $T_v$ /low PEEP ventilation can result in uncontrollable structural damage of the lungs or hemodynamic decompensation. Hence, the tidal volumes have to be increased in steps over several minutes and an upper threshold for peak inspiratory pressure has to be set to avoid pneumothorax and hemodynamic instability. It was found that an upper threshold of 60 mbar was most suitable to cause VILI without losing animals prematurely.

The cyclic recruitment with high tidal volumes will result in sufficient oxygenation despite low PEEP. After the lung lavages, the PEEP was decreased to 2 mbar in a stepwise fashion parallel to increasing tidal volume in order to avoid intolerable hypoxemia.

Some investigators use higher respiratory rates to generate VILI<sup>7</sup> due to a faster onset of VILI, but high respiratory rates can result in air trapping if the flow curve of the ventilator is not monitored closely. Air trapping may reduce VILI due to incomplete deflation of the lungs for one thing, while it also promotes hemodynamic instability caused by sustained high intrathoracic pressures. Thus, a slower respiratory rate was used with an ensured deflation of the lungs and longer VILI period in the described model.

Of note, markers of pulmonary inflammation such as interleukin 8 in the brochoalveolar fluid were not measured since prolonged ventilation in a reproducible model of low recruitability is the main application of the model. For research concerning specific inflammatory patterns (such as the hyper-inflammatory subphenotype of ARDS) a multiple hit model combining an inflammatory first hit such as i.v. lipopolysaccharide infusion with injurious ventilation could be favourable<sup>12</sup>.

The combination of surfactant washout and high Tv/low PEEP ventilation results in a time-efficient and reproducible model of human ARDS with respect to gas exchange and hemodynamic changes. The lung injury induced in this model presents low recruitability and permits the experimental investigation of therapeutic strategies, including mechanical ventilation.

#### **DISCLOSURES:**

All authors disclose no financial or any other conflict of interests.

#### **ACKNOWLEDGMENTS:**

We gratefully acknowledge the excellent technical assistance of Birgit Brandt. This study was supported by a grant of the German Federal Ministry of Education and Research (FKZ 13GW0240A-D).

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628 *Research*. **21** (1), 288 (2020).
- 629

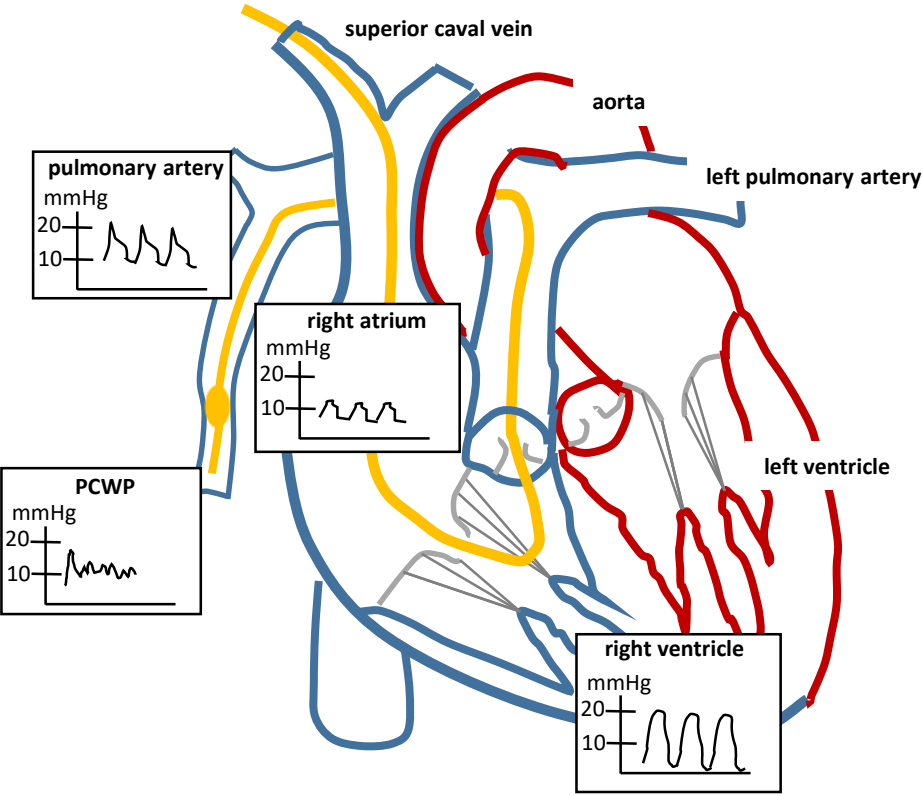


Fig. 1



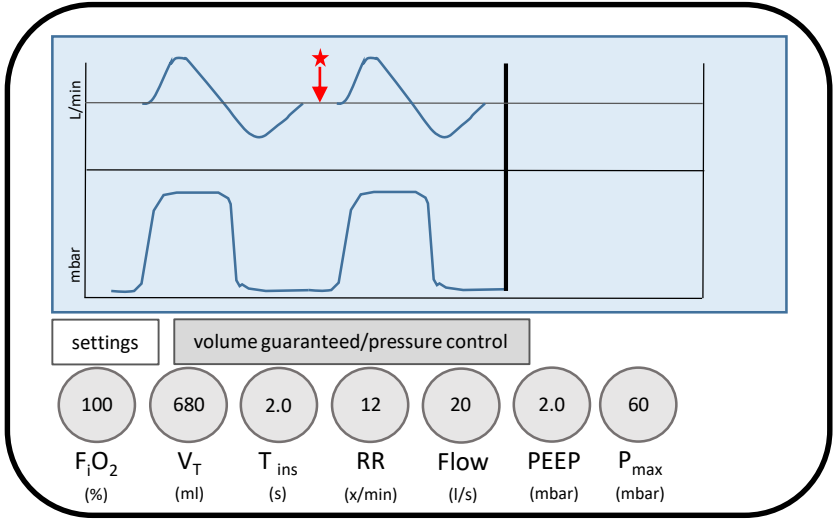
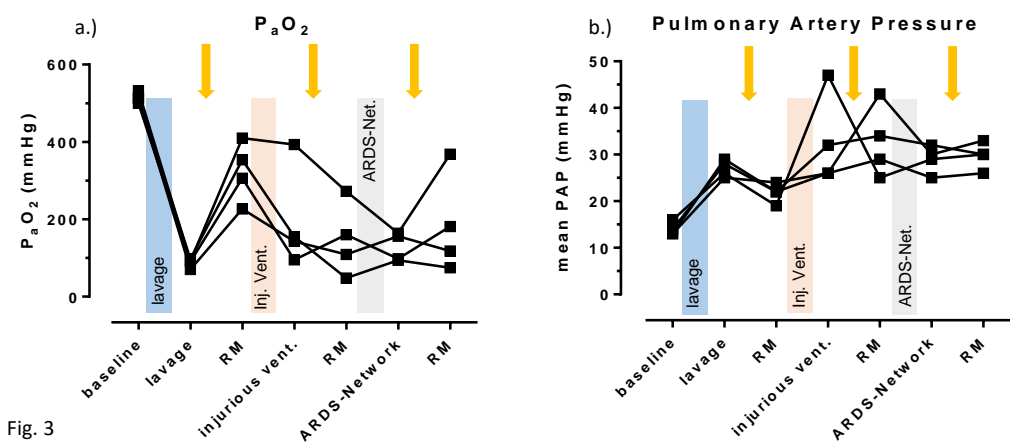


Fig. 2



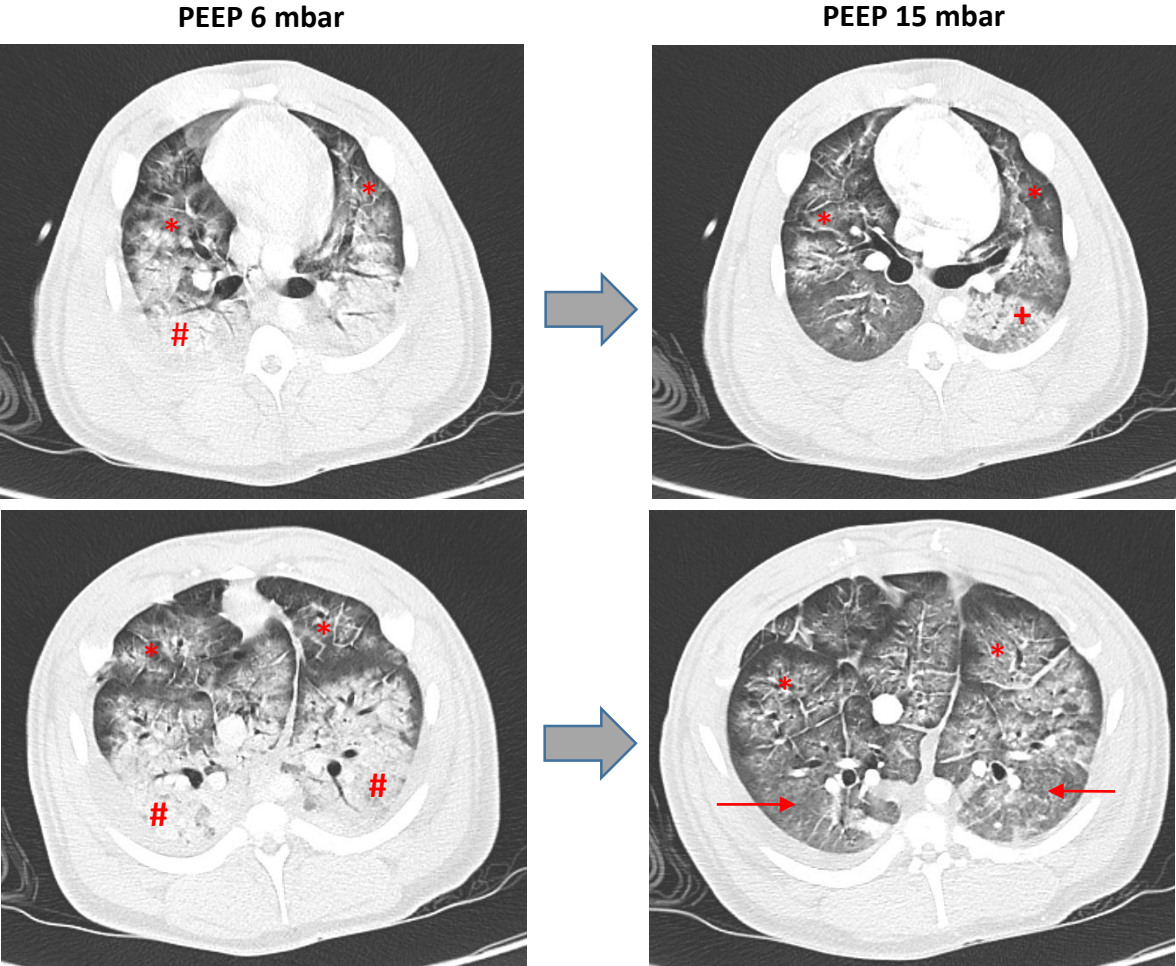


Fig. 4

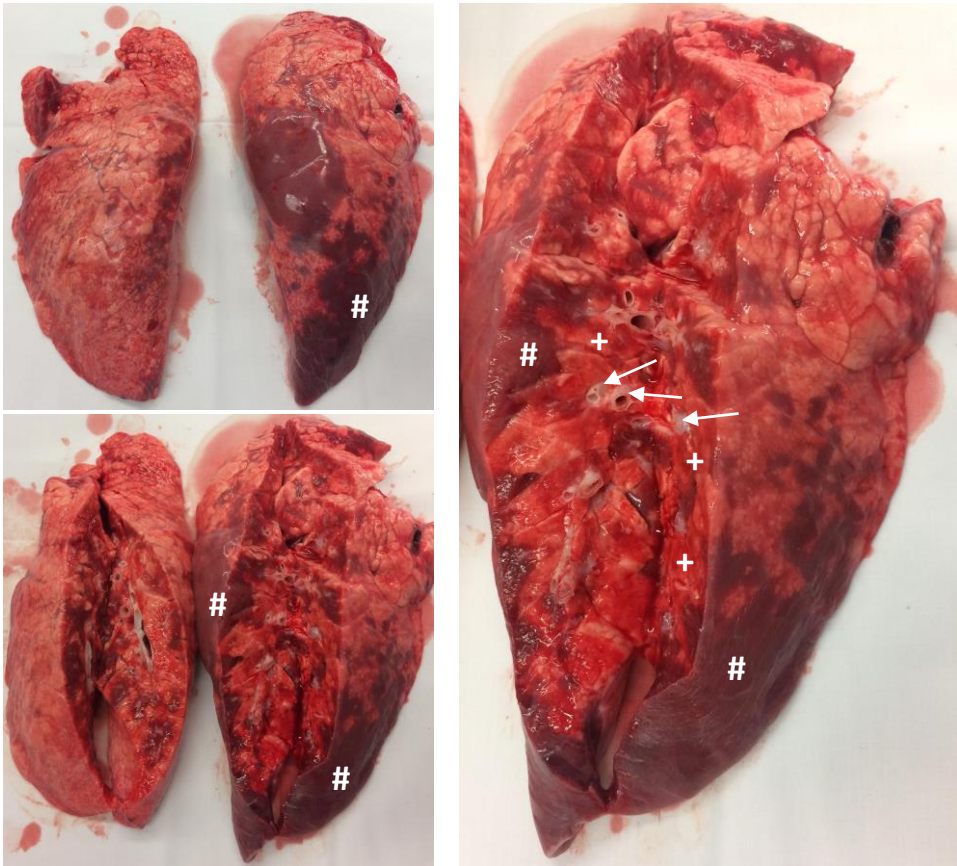


Fig. 5

Table 1. Arterial blood gases, hemodynamic data and lung compliance.

	base- line	after lavage	RM	after injurios ventilation	RM	after ARDS- Net	RM
P <sub>a</sub> O <sub>2</sub> (mmHg)	514 ±13	87 ±12	324 ±78	197 ±134	147 ±95	128 ±37	185 ±129
P <sub>a</sub> CO <sub>2</sub> (mmHg)	48 ±6	86 ±10	82 ±12	66 ±5	96 ±4	92 ±5	123 ±10
pH	7.39 ±0.09	7.14 ±0.05	7.17 ±0.08	7.26 ±0.06	7.11 ±0.04	7.14 ±0.04	7.04 ±0.03
lactate (mg/dL)	4 ±3.9	6 ±5.0	6 ±5.9	4 ±3.6	4 ±3.5	4 ±3.6	6 ±5.3
heart rate (beats/min)	86 ±8	90 ±11	92 ±12	104 ±18	129 ±30	147 ±13	149 ±5
CO (L/min)	4.0 ±0.8		3.7 ±1.4	3.6 ±0.8	5.2 ±0.8	5.1 ±0.8	6.9 ±1.0
mAP (mmHg)	93 ±4	101 ±21	108 ±31	78 ±8	96 ±31	65 ±12	72 ±9
SVR (dyn·sec·cm <sup>-5</sup> )	1856 ±302		2552 ±777	1624 ±468	1179 ±237	903 ±292	711 ±166
mPAP (mmHg)	14 ±1	27 ±2	22 ±2	33 ±10	33 ±8	29 ±3	30 ±3
PVR (dyn·sec·cm <sup>-5</sup> )	106 ±170		267 ±442	170 ±258	92 ±126	108 ±160	66 ±88
PCWP (mmHg)	6 ±2		10 ±2	8 ±2	9 ±1	10 ±4	11 ±5
Cdyn (mL/mbar)	33 ±4	12 ±2	21 ±4	23 ±8	20 ±2	26 ±8	24 ±5

The table presents the respective arterial blood gases and hemodynamic data. RM:

recruitment maneuver, P<sub>a</sub>O<sub>2</sub>: arterial partial pressure of oxygen, P<sub>a</sub>CO<sub>2</sub>: arterial partial

pressure of carbon dioxide, CO: cardiac output, mAP: mean arterial pressure, SRV: systemic

vascular resistance, mPAP: mean pulmonary arterial pressure, PVR: pulmonary vascular

resistance, PCWP: pulmonary capillary wedge pressure, Data presented as mean ± SD.

Table 2. Arterial blood gases and hemodynamic data during implementation of the protocol

		base- line	after lavage	RM				
I	P <sub>a</sub> O <sub>2</sub> (mmHg)	540	81.3	270	21.9	-the recruitment maneuver after surfactant depletion was preformed without prior injection of a muscle relaxant -the recruitment maneuver (RM) resulted in a tension pneumothorax with rapid cardiopulmonary deterioration (grey background) despite immediate chest drain insertion - following animals received a bolus injection of a muscle relaxant prior to a RM and the problem was not observed again		
	P <sub>a</sub> CO <sub>2</sub> (mmHg)	42.6	69.4	84.9	93.9			
	pH	7.44	7.17	7.01	6.99			
	Lactate (mmol/L)	11	17	67	56			
	heart rate (beats/min)	138	155	141	221			
	CO (L/min)	7.7		3.6	1.6			
	mAP (mmHg)	82	60	143	53			
	mPAP (mmHg)	26	18	22	22			
	PCWP (mmHg)	10	12	12	17			
	Cdyn (mbar/mL)	35	11	19	13			
	PCWP (mmHg)	10	12	12	17			
	Cdyn (mbar/mL)	35	11	19	13			
			base- line	after lavage	RM			
II	P <sub>a</sub> O <sub>2</sub> (mmHg)	638	60	84	83.2	61.4	82.7	-injurious ventilation was performed with tidal a volume of 17 ml/kg body weight for 3 hours -after injurious ventilation the animal deteriorated rapidly and could not be stabilized with e.g. bolus injections of epinephrine -the last blood gas analysis was obtained under ventilation with PEEP: 20 mbar. Ppeak: 35 mbar. resulting in a tidal volume of only 187 ml (4ml/kg body weight) - reduction of the injurious ventilation period was necessary in following experiments
	P <sub>a</sub> CO <sub>2</sub> (mmHg)	41	78	77	85.1	120	183	
	pH	7.37	7.17	7.16	7.13	1.02	6.81	
	Lactate (mmol/L)	16	18	20	17	30	65	
	heart rate (beats/min)	86	64	109	133	150	185	
	CO (L/min)	4.3		3.3	3.7	5.6	2.4	
	mAP (mmHg)	77	82	61	53	77	40	
	mPAP (mmHg)	15	30	24	35	35	32	
	PCWP (mmHg)	7	8	9	8	9		
	Cdyn (mbar/mL)	34	9	12	17	14	13	

The table presents the respective arterial blood gases and hemodynamic data of two animals which died prematurely during the implementation of the protocol. Gray background highlights the last results before death. RM: recruitment maneuver, P<sub>a</sub>O<sub>2</sub>: arterial partial pressure of oxygen, P<sub>a</sub>CO<sub>2</sub>: arterial partial pressure of carbon dioxide, CO: cardiac output, mAP: mean arterial pressure, mPAP: mean pulmonary arterial pressure, PCWP: pulmonary

capillary wedge pressure, PEEP: positive end-expiratory pressure, Ppeak: peak inspiratory pressure.

<b>Name of Material/ Equipment</b>	<b>Company</b>	<b>Catalog Number</b>	<b>Comments/Description</b>
Evita Infinity V500	Dräger		intensive care ventilator
Flow through chamber thermistor	Baxter	93-505	for measuring cardiac output
Leader Cath Set	Vygon	115,805	arterial catheter
Mallinckrodt Tracheal Tube Cuffed	Covidien	107-80	8.0 mm ID
MultiCath3	Vygon	157,300	3 lumen central venous catheter, 2
Percutaneous Sheath Introducer Set	Arrow	SI-09600	introducer sheath for pulmonary ar
Swan-Ganz True Size Thermodilution Catheter	Edwards	132F5	pulmonary artery catheter, 75 cm l
urinary catheter			no specific model requiered
Vasofix Braunüle 20G	B Braun	4268113B	peripheral vein catheter
Vigilance I	Edwards		monitor



0 cm length

tery catheter of 4-6 Fr., 10 cm length

ength

## Rebuttal letter concerning JoVE62327

Dear Dr. Iyer,

we thank you and all reviewers for the thorough review of our manuscript. We used your helpful suggestions and the time to clarify the manuscript. We further included some additional data, which were not included in the first version of the article. The additional data and the revised version of the manuscript should enable the readers to avoid some pitfalls when implementing the model in their laboratory.

Please, note strict local animal protection laws do not allow the further experiments for the sole purpose of implementation of a model, once it is already implemented. Hence, we are looking forward to further suggestions on your or the reviewers' part to improve the article. But, we cannot provide data from additional animals, since new experiments have to be coupled to a genuine research question.

On behalf of all co-authors

Yours sincerely

Martin Russ

### **Editorial comments:**

1. Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammar issues.

#### Answer:

We proofread the manuscript.

2. Please provide an institutional email address for each author (Burkhard Lachmann).

#### Answer:

Prof. Lachmann is retired and does not use his former institutional email addresses anymore. Nonetheless, we are still fortunate to have him in the lab once in a while and can rely on his expertise whenever needed.

3. Please revise the following lines to avoid previously published work: 53-54, 57-59, 73-74, 80-83, 85-86, 118-119, 131-134, 134-143, 145-145, 152-154, 158-159, 161-168, 171-177, 184-185, 191-203, 205-206, 210-215, 217-219, 226-233, 236-237, 242-248, 254-257, 259-264, 309-310, 399-401).

4. Please define all abbreviations before use (PEEP, FIO<sub>2</sub>, PaO<sub>2</sub>, VILI, Tv/low etc.)

#### Answer:

We revised the entire manuscript.

5. JoVE cannot publish manuscripts containing commercial language. Please remove all commercial language from your manuscript and use generic terms instead. All commercial products should be sufficiently referenced in the Table of Materials: e.g., Aqua dest, etc. We must maintain our scientific integrity and prevent the subsequent video from becoming a commercial advertisement.

Answer:

We did not use any commercial language.

6. Please adjust the numbering of the Protocol to follow the JoVE Instructions for Authors. For example, 1 should be followed by 1.1 and then 1.1.1 and 1.1.2 if necessary. Please refrain from using bullets or dashes.

Answer:

The manuscript is already numbered as mentioned.

7. Please add more details to your protocol steps. Please ensure you answer the “how” question, i.e., how is the step performed? Alternatively, add references to published material specifying how to perform the protocol action.

Answer:

We revised the entire manuscript.

8. For SI units, please use standard abbreviations when the unit is preceded by a numeral throughout the protocol. Abbreviate liters to L to avoid confusion. For time units use abbreviated forms for durations of less than one day when the unit is preceded by a numeral. Do not abbreviate day, week, month, and year. Examples: 10 mL, 8 µL, 7 cm<sup>2</sup>, 8 min.

Answer:

We revised the entire manuscript.

9. Line 142-143: Please mention the rate of infusion. How is the correct placement of the catheter ensured?

Answer:

2. Infuse 500 ml of a balanced crystalloid solution as bolus i.v. followed by continuous infusion of 4 ml/kg/h for fluid support.

10. Line 144: Please elaborate on the monitoring of peripheral oxygen saturation. How is it done?

Answer:

3. Start monitoring the peripheral oxygen saturation (SpO<sub>2</sub>) by securing the SpO<sub>2</sub>-Sensor at one of the ears or the tail.

11. Line 148: Please include the details of opioid. What concentration is to be used?

Answer:

2. An injection of 100 µg of fentanyl (fentanyl citrate, 100µg/ml) may be repeated until the spontaneous respiratory rate slows down to about 20/min before injecting propofol.

12. Line 157: Please mention how is the verification of the placement of the endotracheal tube done? How does capnography and auscultation help?

Answer:

2. Verify the placement of the endotracheal tube by observing the typical waveform of CO<sub>2</sub> during expiration on the CO<sub>2</sub>-monitor (capnograph).

3. Use auscultation to check for equal bilateral breath sounds.

13. Line 188-190: Please include the details of central venous catheter and the sheath of pulmonary arterial catheter used?

Answer:

Note, the size of the sheath depends on the size of the chosen PAC. We typically use a 6F introducer sheath (10 cm length) and a 5F PAC of 75 cm length in pigs of 35-45 kg body weight.

14. Line 200: Please provide the details of the Seldinger technique. A citation will suffice.

Answer:

The Seldinger technique is a commonly known procedure. Every medical student knows it. Anyhow:

8. Seldinger SI. Catheter Replacement of the Needle in Percutaneous Arteriography: A new technique. *Acta Radiologica*, 1953. 39 (5): 368-376.

15. Please include a one line space between each protocol step and highlight up to 3 pages of protocol text for inclusion in the protocol section of the video. This will clarify what needs to be filmed.

16. Please ensure that the corresponding reference numbers appear as numbered superscripts after the appropriate statement(s) for in-text formatting.

Answer:

Done.

17. Please ensure that the references appear as the following: [Lastname, F.I., LastName, F.I., LastName, F.I. Article Title. Source. Volume (Issue), FirstPage – LastPage (YEAR).] For more than 6 authors, list only the first author then et al. Please do not use any abbreviations for journal titles and book titles. Article titles should start with a capital letter and end with a period and should appear exactly as they were published in the original work, without any abbreviations or truncations.

Answer:

We checked the references.

18. Please include a title and a description of each figure and/or table. All figures and/or tables showing data must include measurement definitions, scale bars, and error bars (if applicable). Please include all the Figure Legends together at the end of the Representative Results in the manuscript text.

Answer:

This is already the case.

19. Figure 1: Please define the X-axis in all the graphs represented as insets.

Answer:

Done.

20. Figure 4: Please mention what the top and the bottom panels represent in the figure and the Figure Legends.

Answer:

We clarified the legend of the figure.

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**Reviewers'****comments:****Reviewer****#1:**

Manuscript

Summary:

Russ et al. describes a model of acute respiratory distress syndrom induced by a two-hits injury in swine. The first hit was a surfactant depletion induced by lung lavage with warm saline. The second hits is an episode of « non-protective » ventilation with low level of positive end-expiratory pressure.

The subject is of importance since the animal modeling of ARDS is a challenge with sometimes hardly reproducible conditions due to technical variations. The method and the protocol are very comprehensively described, as well as materials and equipment needed. The steps are well presented. To me, the description is therefore very well conducted.

Major

Concerns:

My main comment is that the result section should be expanded to better show the expected results in Control conditions with this model :

- How long was each step of the experiments ?

Answer:

The duration of instrumentation depends on the experience of the investigator. Full induction of anesthesia, intubation and instrumentation usually requires one to one and a half hours

The duration of the lavages varies, since a defined Pao<sub>2</sub> is targeted. Some animals require two lavages, some five.

The injurious ventilation was performed for a defined period of two hours.

We clarified the duration of each step in the respective section of the revised manuscript:

2.5.4. Note, induction of anesthesia, intubation and full instrumentation may require 1.5 hours depending on the experience and number of the investigators.

6.5.3. 3. Note, the duration of surfactant depletion varies between animals, since a defined P<sub>a</sub>O<sub>2</sub>/F<sub>I</sub>O<sub>2</sub> is targeted. It may take 45 min to 1.5 hours.

- How long is the entire protocol ?

Answer:

We clarified this part in the results section:

On average, induction of anesthesia, instrumentation, surfactant depletion and injurious ventilation require about 5 hours depending on experience of the investigator and the number of lavages required to achieve the targeted P<sub>a</sub>O<sub>2</sub>/F<sub>I</sub>O<sub>2</sub> ratio.

- Did the authors excluded any animal before successfully enrolling the 4 swines ?

Answer:

Indeed, while implementing the model at our laboratory, we lost two animals due to an overly aggressive injurious ventilation and one recruitment maneuver without prior muscle relaxation. These results are presented and discussed in the revised version of the article.

- Can they present at least data from 6 animals to present more consistent results ?

Answer:

We presented the results of the animals which were required to implement the model in our laboratory based on multiple works in animals with surfactant depletion. The strict animal protection laws of Germany do allow 'unnecessary' further experiments without separate research question once the model is established. Thus, we cannot 'increase' the number to six. Instead, we present data of two animals which died prematurely due to complications or prolonged injurious ventilation as well as the changes implanted afterwards (Table 2).

- Can they provide data regarding other important parameters : blood pH, blood CO<sub>2</sub>, lactates, lung compliance, other hemodynamic parameters (diastolic PAP, PCWP, systemic blood pressure, cardiac output...) ? All these data should be available with the initial instrumentation of the animals ?

- What is the inflammatory response in this model, as it is a key phenomenon in ARDS model ? Inflammation is indeed an differential event between lung lavage model, oleic acid model, septic shock models, etc

Answer:

Yes, we can. We provided a table with the respective hemodynamic data and blood gases. Please, see table 1.

We cannot provide data concerning the inflammatory response. We established this model for further research of prolonged, automated ventilation. Inflammatory parameters will be measured in future works, but was not during implementation of the model since this will not be the main focus of our coming investigations. We discussed the limitation thoroughly in the revised discussion section.

## **Reviewer #2:**

Manuscript Summary:

Russ et al. provide a detailed description of experimentally induced ARDS using a double-hit model with surfactant depletion using lung lavage and injurious ventilation using low PEEP and high VT. The technique is well described with great detail and the research group seems to have adequate experience in this field. Although not innovative, the protocol provides details on the steps to guarantee the accomplishment of a reproducible model of ARDS for experimental research purposes.

Major Concerns:

Title and overall message:

I would not use abbreviations in the title; PEEP should be spelt out.

Instead, I recommend shortening the title and replace "high tidal volume and low PEEP ventilation" by "injurious ventilation". Furthermore, I do not recommend the term "stable". The term "stable" is an overstatement as, to my understanding, 1) no statistical analysis was applied to assess that; 2)

PaO<sub>2</sub>/FiO<sub>2</sub> tends to increase over time after injury; 3) the current work evaluated a relatively short period of ventilation (3-hours); and 4) the authors state that some animals deteriorated during the course of the experiment and one experienced a severe pneumothorax.

A more accurate description would be a "reproducible" model of ARDS.

Answer:

We revised the title to:

Surfactant depletion combined with injurious ventilation results in a reproducible model of the Acute Respiratory Distress Syndrome (ARDS).

Abstract:

In line 62 the authors state that "The lung injury will inadvertently be alleviated when high airway pressures are applied after surfactant depletion". It is probably unprecise to say that the lung injury is alleviated, instead the airspace collapse is reversed, and atelectasis regions are recruited. Please correct.

Answer:

Ventilation with high inspiratory pressures can recruit atelectatic regions of the lung, whereas PEEP does not recruit – it 'merely keeps open'. Alveolar type II cells start producing surfactant as soon as in contact with air. Thus, re-opening a lung after surfactant washout indeed alleviates the injury. We agree with the reviewer, that sentence might be misunderstood and rephrased as follows:

But, the model suffers from a relatively high recruitability and ventilation with high airway pressures can immediately reduce the severity of the injury by reopening atelectatic lung areas.

Introduction:

There is extensive literature on ARDS models using double-hit injury (surfactant lavage plus injurious ventilation) or even triple-hit (lavage, injurious ventilation plus infusion of endotoxin), as used in this paper, for example: Dos Santos Rocha et al. *Respir Res* 21, 288 (2020).

<https://doi.org/10.1186/s12931-020-01559-x>. The authors point out the limitations of the work from Yoshida et al. but a broader view of the existing literature would be important.

Answer:

We would like discuss Yoshida et al., since Takeshi Yoshida has a longstanding record of thorough pulmonary research and the methods of the research group are well described.

We included the suggested article into the discussion section of the revised version:

Of note, we did not measure markers of pulmonary inflammation like interleukin 8 in the bronchoalveolar fluid since prolonged ventilation in a reproducible model of low recruitability is the main application of the model in our laboratory. For research concerning specific inflammatory patterns (like the hyper-inflammatory subphenotype of ARDS) a multiple hit model combining an inflammatory first hit like i.v. lipopolysaccharide infusion with injurious ventilation could be favourable [10].

Having said this, the authors mention in line 100: "To our experience, a model with an overly aggressive induction of VILI [...] can result in structural damage of the lungs which is too severe and results in subsequent multiple organ failure.". Please provide references. Indeed, there is plenty of data published where severe ARDS models provoke multiple organ failure, precluding long-term ventilation studies. Please replace "To our experience" and cite the literature instead.

Answer:

We included data from two animals, which died prematurely during the implementation of the model (Table 2).

PROTOCOL

Line 135: pigs are not "insufflated" with oxygen, as no ventilation is applied. Please correct to "supplemental oxygen is provided via a mask..."

Answer:

Thank you. We corrected the sentence:

Take an oxygen cylinder, fitting tubing and mask to provide supplemental oxygen while transporting the pigs, if the housing facilities are not adjacent to the laboratory.

Line 136: Please replace "stables" (a building adapted for keeping horses) by "housing facility"

Answer:

Thank you. We corrected the sentence (see above).

Line 152: Please specify if the endotracheal tube is cuffed or uncuffed.

Answer:

Thank you. We clarified:

The animal is intubated with a cuffed endotracheal tube for clinical application (7.5 – 8.0 ID) and a laryngoscope designed for large animals (straight blade of about 25 cm length).

Line 168: "It is essential to maintain a sufficient depth of anesthesia..." Please specify how to assess (haemodynamic parameters, pupils, pain stimulus...). This is a legal issue and of utmost importance before administering a muscle relaxant.

Answer:

We agree and clarified:

Monitor the animal closely for stress/pain reactions (like an increase in heart rate, blood pressure or respiratory rate) during instrumentation. Instrumentation should be possible without administering a muscle relaxant if the depth of anesthesia is sufficient.

Line 377: "The lung injury, induced with our protocol, remained 'stable' even when ventilation was performed according to the ARDS-Network high PEEP table for 3 hours and an additional recruitment maneuver." The "stability" of the injury is not supported by the data presented in figure 3, as it only presents statistics in comparison to baseline. Figure 3 shows the changes in PaO<sub>2</sub> and mPAP over time. Figure legend says that the \* highlights a significant difference compared to baseline values. From eyeball analysis I would say that PaO<sub>2</sub> is statistically different from baseline at all 3 last steps. Please verify and correct accordingly. Furthermore, it would be interesting to apply statistics to compare these parameters not with baseline but between after-lavage, after-VILI and after 3h. Only this statistical analysis would allow you to state that you induced a "stable" ARDS model. Statistical analysis will be limited with 4 animals and so must be the conclusions.

Answer:

We completely agree. Unfortunately, due to the low number of animals various tests do not reach significance ( $p > 0.05$ ), except for the mentioned tests. Stating a significance level in a limited number of subjects is always prone to error. Thus, after internal review we spared stating a significance level and discussed this shortcoming in the discussion section.

Line 435: We use male pigs in our experiments because hypoxic pulmonary vasoconstriction is more pronounced in male mammals compared to females. Please provide reference.

Answer:

Estrogen exerts various genomic and nongenomic actions on the pulmonary vasculature which increase the NO-mediated pulmonary relaxation. These mechanisms are complicated and not fully understood.



Lahm et al. The effects of estrogen on pulmonary artery vasoreactivity and hypoxic pulmonary vasoconstriction: potential new clinical implications for an old hormone. Crit Care Med. 2008 Jul;36(7):2174-83. doi: 10.1097/CCM.0b013e31817d1a92.

We usually use male pigs in our laboratory, but we have used female pigs before. Since the subtle differences, concerning HPV between male and female pigs may not be as important to an investigator compared to other concerns (like the local supply) we deleted this statement.

Line 440 to 442. The conclusion sentence needs clarification and rephrasing. What do the authors mean by "automated ventilation"? Mechanical ventilation?

Answer:

We referred to mechanical ventilation with closed loop controllers (hence automated). We clarified and used the suggested wording:

The lung injury induced in this model presents low recruitability and permits the experimental investigation of therapeutic strategies, including mechanical ventilation.

Minor Concerns:

Keywords:

Please provide a list of keywords that are not a simple repetition of the title. Adequate keywords will make your paper easier to search and will ensure that you get more citations. Therefore, it is important that you include more relevant keywords.

Answer:

We extended the keyword list:

Acute Respiratory Distress Syndrome, ARDS; animal model; two-hit model; porcine model; pig; surfactant depletion; injurious ventilation; ventilator induced lung injury; VILI

Line 73: "remains high with values above 40 %". The mortality rate provided is in agreement with the literature but please provide reference.

Answer:

We included the Lung Safe study as reference.

REPRESENTATIVE RESULTS

Line 383: "Interestingly, the substantial ubiquitous ground glass opacities did not resolve during ventilation with high PEEP". I would not use the word interestingly while describing a result. Furthermore, this is a most expected finding.

Answer:

True, we corrected this unfortunate wording:

Computertomographic (CT) imaging of one animal showed atelectasis of the dependent areas of the lung during ventilation with a PEEP of 6 mbar which resolved largely when ventilation was escalated to a PEEP of 15 mbar (fig 4), whereas the substantial ubiquitous ground glass opacities did not resolve.