

Journal of Visualized Experiments

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Article Type:	Invited Methods Collection - JoVE Produced Video
Manuscript Number:	JoVE62230R1
Full Title:	A large animal model for acute kidney injury by temporary bilateral renal artery occlusion
Corresponding Author:	Ilias Doulamis UNITED STATES
Corresponding Author's Institution:	
Corresponding Author E-Mail:	ilias.doulamis@childrens.harvard.edu
Order of Authors:	Ilias P. Doulamis Alvise Guariento Mossab Y. Saeed Rio S. Nomoto Thomas Duignan Pedro J. del Nido James D. McCully
Additional Information:	
Question	Response
Please specify the section of the submitted manuscript.	Medicine
Please indicate whether this article will be Standard Access or Open Access.	Standard Access (US\$2,400)
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TITLE:

A Large Animal Model for Acute Kidney Injury by Temporary Bilateral Renal Artery Occlusion

AUTHORS AND AFFILIATIONS:

Ilias P. Doulamis, Alvise Guariento, Mossab Y. Saeed, Rio S. Nomoto, Thomas Duignan, Pedro J. del Nido, James D. McCully*

Department of Cardiac Surgery, Boston Children's Hospital, Harvard Medical School, Boston, MA

Email Addresses of Co-authors:

Ilias P. Doulamis	(Ilias.Doulamis@childrens.harvard.edu)
Alvise Guariento	(alvise.guariento@sickkids.ca)
Mossab Y. Saeed	(Mossab.Saeed@cardio.chboston.org)
Rio S. Nomoto	(Rio.Nomoto@childrens.harvard.edu)
Thomas Duignan	(Thomas.Duignan@childrens.harvard.edu)
Pedro J. del Nido	(pedro.delnido@cardio.chboston.org)

***Corresponding Author:**

James D. McCully (James.McCully@childrens.harvard.edu)

KEYWORDS

acute kidney injury, ischemia reperfusion injury, percutaneous, bilateral renal artery occlusion

SUMMARY

This study presents a highly reproducible large animal model of renal ischemia-reperfusion injury in swine using temporary percutaneous bilateral balloon-catheter occlusion of the renal arteries for 60 min and reperfusion for 24 h.

ABSTRACT

Acute kidney injury (AKI) is associated with higher risk for morbidity and mortality post-operatively. Ischemia-reperfusion injury (IRI) is the most common cause of AKI. To mimic this clinical scenario, the study presents a highly reproducible large animal model of renal IRI in swine using temporary percutaneous bilateral balloon-catheter occlusion of the renal arteries. The renal arteries are occluded for 60 min by introducing the balloon-catheters through the femoral and carotid artery and advancing them into the proximal portion of the arteries. Iodinated contrast is injected in the aorta to assess any opacification of the kidney vessels and confirm the success of the artery occlusion. This is furtherly confirmed by the flattening of the pulse waveform at the tip of the balloon catheters. The balloons are deflated and removed after 60 min of bilateral renal artery occlusion, and the animals are allowed to recover for 24 h. At the end of the study, plasma creatinine and blood urea nitrogen significantly increase, while eGFR and urine output significantly decrease. The need for iodinated contrast is minimal and does not affect renal function. Bilateral renal artery occlusion better mimics the clinical scenario of perioperative renal hypoperfusion, and the percutaneous approach minimizes the impact of the inflammatory response and the risk of infection seen with an open approach, such as a

laparotomy. The ability to create and reproduce this clinically relevant swine model eases the clinical translation to humans.

INTRODUCTION:

Acute kidney injury (AKI) is a commonly diagnosed condition among surgical patients associated with significant morbidity and mortality^{1,2}. Available data show that AKI can affect even half of all hospitalized patients worldwide and leads to 50% mortality rate in patients in the intensive care unit^{1,3}. Despite its high prevalence, current AKI therapy remains limited to preventive strategies, such as fluid management and dialysis. Therefore, there is an ongoing interest in exploring alternative therapies for AKI⁴⁻⁶.

AKI is typically classified into pre-renal, intrinsic, and post-renal based on its etiology⁴⁻⁶. The majority of surgical patients with AKI are associated with pre-renal causes due to hypovolemia, resulting in ischemia-reperfusion injury (IRI) of the kidneys². Clinically, urine output decreases, and creatinine levels increase due to decreased renal function. The kidney is a high-metabolic-rate organ and susceptible to ischemia. A highly reproducible large animal model of renal IRI is necessary to obtain a better insight into the pathophysiology of AKI and its potential therapeutic approaches⁵.

To mimic the clinical scenario of kidney hypoperfusion peri-operatively, a model of bilateral renal artery occlusion is deemed suitable. Previously described models entailing unilateral renal artery occlusion with or without resection of the contralateral kidney do not provide sufficient clinical applicability^{7,8}. Although these models are sufficient for causing AKI, they do not resemble real-life clinical scenarios neither in terms of type nor duration of injury.

The aim of this paper is to present a porcine model of percutaneous bilateral temporary occlusion of the renal arteries by balloon-catheter occlusion under angiography. Bilateral renal artery occlusion mimics the clinical scenario of renal hypoperfusion, followed by the subsequent removal of the balloon for reperfusion^{9,10}. The technical steps are described, including cannulation, catheter guidance, angiography, and hemodynamic monitoring. The method not only allows for a highly controlled and replicable occlusion of the renal arteries, but the percutaneous approach minimizes the impact of the inflammatory response by limiting the amount of insult to the body compared to an open approach.

PROTOCOL

All in vivo studies were conducted in accordance with the National Institutes of Health's guidelines on animal care and use and was approved by the Boston Children's Hospital's Animal Care and Use Committee (Protocol 18-06-3715). All animals received humane care in compliance with the Guide for the Care and Use of Laboratory Animals. **Figure 1** shows the timeline including anesthesia, surgical preparation, and timepoints for primary outcome measurements of this study.

1. Induction, anesthesia and intubation

1.1. To prevent unnecessary stress and discomfort, sedate the swine by intramuscular injection of a mixture of tiletamine/zolazepam 4-6 mg/kg and xylazine 1.1–2.2 mg/kg as well as isoflurane 3% using a face mask.

1.2. Cannulate the ear vein to obtain venous access with a 20 G IV cannula after disinfecting the area with 95% ethanol. Start a maintenance infusion (0.9% NaCl at 5 mL/kg/h).

1.3. Intubate the pig with an endotracheal tube (size 7 for pigs weighing 40–50 kg) once anesthetic adequacy is confirmed. Perform balloon-ventilation with a frequency of 12 breaths/min and transport the pig to the operating theater.

1.4. Place placing the animal on the operating table in the supine position. Immediately start mechanical positive pressure ventilation with FiO₂ 0.50, 10 mL/kg tidal volume, and a frequency of 12 breaths/min under continuous capnography.

1.5. Place a pulse oximeter on the ear or the bottom lip for continuous monitoring.

1.6. Maintain normothermia (37 °C) using an air-heated pad.

1.7. To maintain general anesthesia, keep isoflurane administration at 0.5–4% through the endotracheal tube. Throughout the procedure, continuously monitor ECG, arterial blood pressure, temperature, and capnography to measure the depth of anesthesia.

1.8. Insert a Foley catheter to check the fluid status of the animal and monitor the urine output by collecting urine in a drainage bag.

NOTE: Female swine are preferred over males due to the anatomical features of their urethra which allows easier catheterization.

2. Surgical preparation and vascular access

2.1. Drape the animal in a sterile fashion.

2.2. Disinfect the right lateral area of the neck by applying betadine and then 95% ethanol for 3 times.

2.3. Perform a cut-down for the catheterization of the right carotid artery and the right jugular vein. Retract the sternocleidomastoid muscle laterally and dissect it down to the right carotid artery and the right jugular vein.

2.4. Insert a 5F angiography sheath in both the artery and the vein. Secure it with a silk 2-0 suture.

2.5. Insert a 5F angiography sheath using the Seldinger technique into the left femoral artery.

2.5.1. To perform the Seldinger technique puncture the femoral artery using a hollow needle. Insert a soft tip guidewire through the lumen and advance it into the femoral artery.

2.5.2. Hold the guidewire secure with the hand while removing the needle. Pass the angiography sheath over the guidewire into the femoral artery and withdraw the guidewire. Use ultrasound guidance, if necessary.

3. Induction of renal ischemia-reperfusion injury

3.1. Administer 200 IU/kg sodium heparin intravenously to achieve systemic anticoagulation (target activated clotting time (ACT) > 300 s).

3.2. Perform an angiography by injecting an iodinated contrast agent under fluoroscopy to identify the renal arteries.

NOTE: To reduce the risk for contrast-induced nephrotoxicity, dilute the iodinated contrast agent in a 1:1 solution with normal saline. Tabulate the dosage for all animals to ensure equivalent dosing.

3.3. Identify the renal arteries, manually advance the guidewire in the guiding catheter.

3.4. Position the 5F JL4 guiding catheter in the left renal artery through the right carotid artery (**Figure 1A**).

3.5. Position the second 5F JL4 guiding catheter in the right renal artery through the left femoral artery (**Figure 1A**).

3.6. Use the guidewires to direct a 5F percutaneous transluminal angioplasty (PTA) dilatation catheter in each renal artery.

NOTE: It is preferable to position the balloon at the proximal renal artery so that no branches or collaterals of the renal artery are left patent after balloon inflation.

3.7. Position each balloon catheter in place and connect a pressure line to each catheter.

3.8. Check the presence of arterial pulse waveforms in the pressure monitor to ensure the correct positioning of the catheter.

3.9. Inflate each balloon and aim for a pressure of approximately 2.5 atm inside the balloon (**Figure 1B**).

3.10. To confirm the cessation of blood flow to the kidneys observe the flattening of the pulse waveform at the tip of the balloon catheter.

3.11. Inject iodinated contrast medium (1:1 dilution) and check for any opacification of the renal vessels.

NOTE: It is also possible to fill the balloon with an iodinated contrast agent for visualization of the inflated balloon. However, this method is not as sensitive as pulse waveform flattening to confirm occlusion of the renal arteries.

3.12. After 60 min of occlusion, carefully deflate and remove the balloon catheters from the renal arteries.

3.13. Perform an angiography (using 1:1 diluted contrast medium) to confirm renal artery patency and the establishment of renal reperfusion (**Figure 1C**).

3.14. Remove the 5F angiography sheath from the left femoral artery.

3.15. Apply firm pressure at the site of catheterization for 30 min.

3.16. Reverse the effect of heparin by the administration of protamine (3 mg/kg) until ACT normalizes.

3.17. To sample urine during the post-operative period, secure a tube to the Foley catheter with a silk 2-0 suture using an interrupted stitch on the skin.

3.18. Leave the angiography sheaths in the right carotid artery and the right jugular vein in place and secure them with a silk 2-0 suture using an interrupted stitch to allow for blood sampling throughout the study.

3.19. Close the neck incision with a silk 2-0 suture using a continuous stitch in 2 layers.

3.20. Administer bupivacaine (3 mg/kg) at the incision site to minimize pain.

3.21. Continue to hydrate the animal with 0.9% NaCl at 5 mL/kg/h for a total of 2 h following the end of ischemia.

3.22. Place a fentanyl patch (25-50 µg/h) on the back of the animal to minimize post-operative pain.

3.23. Administer an intramuscular injection of buprenorphine (0.005-0.1 mg/kg) to minimize post-operative pain.

3.24. Monitor the animal and maintain it on mechanical ventilation until awaking.

4. Animal recovery

221
222 4.1. Following awaking, accommodate the animal in a temperature-controlled room.

223
224 4.2. Continue to turn the animal from one lateral side to the other until it regains full
225 consciousness and ability to ambulate.

226
227 4.3. Provide water and food ad libitum.

228 229 **5. Functional assessment**

230
231 5.1. Collect the blood and the urine samples according to the desired protocol.

232
233 **NOTE:** In this study, the following time points were designated: baseline (1 h following initiation
234 of the hydration protocol and before occlusion of the renal arteries), end of ischemia, and
235 reperfusion (2 h, 6 h, 24 h).

236
237 5.2. Collect the arterial and the venous blood samples. Store them in lithium heparin or EDTA
238 coated vacutainers for subsequent analysis.

239
240 **NOTE:** Draw blood directly from the catheters in the carotid artery and jugular vein.

241
242 5.3. Collect the urine samples from the Foley catheter and store them in 15 mL tubes for
243 analysis.

244
245 **NOTE:** Collect the urine from the drainage bag connected to the Foley catheter.

246
247 5.4. To determine urine output, empty the drainage bag and collect urine for 1 h.

248
249 **NOTE:** For the 6 h timepoint at which a drainage bag is not connected to the Foley catheter, close
250 the tube connected to the Foley catheter for 30 min and then collect the urine with a 60 mL
251 syringe to determine urine output.

252 253 **6. Euthanasia**

254
255 6.1. Following the end of the reperfusion period, perform anesthesia and monitor as
256 described above.

257
258 6.2. Continue hydration with 0.9% NaCl at 5 mL/kg/h.

259
260 6.3. Use the arterial and the venous catheters for blood sampling and the Foley catheter to
261 determine urine output. Collect the final blood and urine samples and calculate the urine output.

262
263 6.4. Perform a 15-cm midline laparotomy incision using a size 10 blade from the xiphoid down
264 to the mid pelvis.

265
266 6.5. Use a straight lateral retractor to retract the abdominal skin.

267
268 6.6. Dissect the lateral peritoneal attachments of the abdominal wall to expose the right and
269 left retroperitoneum.

270
271 6.7. Identify and bluntly dissect both renal arteries and veins.

272
273 6.8. Ligate both renal arteries and veins with a 2-0 silk suture and perform bilateral
274 nephrectomies to collect whole tissue specimens for histological and metabolic analysis.

275
276 6.9. Euthanize the animal with the preferred method of euthanasia (e.g., exsanguination,
277 pentobarbital)

278 279 **REPRESENTATIVE RESULTS**

280 **Functional analysis**

281 The representative results of this study arise from 6 animals and the data shown are mean \pm
282 standard error of the mean. Renal function is assessed by determining the urine output,
283 estimated glomerular filtration rate (eGFR), plasma creatine, and blood urea nitrogen (BUN). The
284 biomarkers of renal function are assessed using a portable chemistry analyzer. eGFR is calculated
285 according to the following formula: $eGFR = 1.879 \times BW^{1.092}/P_{Cr}^{0.6}$ (BW: body weight in kg; P_{Cr} :
286 plasma creatinine in mg/dL)^{10,11}.

287
288 Following 60 min of bilateral renal artery occlusion, urine output was significantly decreased from
289 3.6 mL/kg/h \pm 0.5 mL/kg/h to 0.2 mL/kg/h \pm 0.1 mL/kg/h ($p < 0.01$). This decrease remained
290 significant at 6 h (1.2 mL/kg/h \pm 0.1 mL/kg/h; $p = 0.02$ vs. baseline) and 24 h (1.3 mL/kg/h \pm 0.4
291 mL/kg/h; $p = 0.02$ vs. baseline) following reperfusion. Similarly, a significant decrease was
292 observed in eGFR, which dropped from 2.5 mL/kg/h \pm 0.1 mL/kg/h at baseline to 1.7 mL/kg/h \pm
293 0.1 mL/kg/h ($p < 0.001$) at the end of ischemia and to 1.5 mL/kg/h \pm 0.1 mL/kg/h ($p < 0.001$), 1.2
294 mL/kg/h \pm 0.1 mL/kg/h ($p < 0.001$) and 0.9 mL/kg/h \pm 0.1 mL/kg/h ($p < 0.001$) at 2 h, 6 h and 24
295 h reperfusion, respectively (**Figure 2A-B**).

296
297 Plasma creatinine was significantly increased at 2 h (2.7 mg/dL \pm 0.2 mg/dL; $p < 0.01$), 6 h (3.7
298 mg/dL \pm 0.3 mg/dL; $p < 0.001$) and 24 h (5.6 mg/dL \pm 0.7 mg/dL; $p < 0.001$) of reperfusion
299 compared to baseline (1.1 mg/dL \pm 0.1 mg/dL). BUN was 6.5 mg/dL \pm 0.8 mg/dL at baseline and
300 increased to 17.8 mg/dL \pm 3.3 mg/dL ($p < 0.001$) and 36.2 mg/dL \pm 2.9 mg/dL ($p < 0.001$) at 6 h
301 and 24 h of reperfusion, respectively (**Figure 2C-D**).

302 303 **Gross anatomy and histology**

304 There were evident necrotic and hemorrhagic areas which were unevenly distributed in both
305 kidneys at the end of the 60 min of bilateral renal ischemia and the 24 h of reperfusion (**Figure**
306 **3A**). Masson's Trichrome staining revealed confluent coagulative necrosis which was located at
307 the proximal tubules of the renal cortex. (**Figure 3B**). Plastic embedded sections (1 μ m) were also
308 assessed since they provide significant details of the histology (**Figure 3C**). All Masson's Trichrome

slides were evaluated for cell necrosis, loss of brush border, cast formation, and tubule dilatation. Then, a semi-quantitative scoring system for acute tubular necrosis (ATN) was implemented as follows: 0 if none; 1 if less than 10%; 2 if between 11%–25%; 3 if between 26%–45%; 4 if between 46%–75%; and 5 if greater than 76%. ATN scoring showed significant injury in the renal cortex (score of 4.5 ± 0.3) and considerable injury in the medulla (score of 2.7 ± 0.4).

FIGURE AND TABLE LEGENDS

Figure 1. Description of the experimental model. (A) Female Yorkshire pigs (40–60 kg) were sedated and intubated. The left femoral artery and the right carotid artery were cannulated with a 5F angiography sheath. Right jugular venous lines and a Foley urinary catheter were also placed. Selective catheterization of the renal arteries was performed using a 5F multipurpose guide-catheter. (B) Occlusion of the renal arteries was performed using a 5F percutaneous transluminal angioplasty (PTA) dilatation catheter inflated in the proximal portion of the renal artery, totally occluding the blood flow to the kidneys for 60 min. Confirmation of the occlusion was acquired by injection of iodinated contrast medium in the aorta and by checking for any opacification of the vessels of the kidneys. (C) Following 60 min of occlusion, the balloons were deflated and carefully removed. Angiography was performed to confirm renal artery patency and the establishment of renal reperfusion. The animals were then allowed to reperfuse the kidneys under physiological conditions for the next 24 h and were subsequently euthanized. Blood and urine samples were collected right before and after bilateral renal ischemia, at 2, 6, and 24 h after occlusion (timepoints indicated with triangles). This figure has been modified from Doulamis et al¹¹.

Figure 2. Renal function before and after renal ischemia-reperfusion injury. (A) Urine output; (B) Estimated glomerular filtration rate (eGFR); (C) Plasma creatinine and (D) Blood urea nitrogen (BUN). All results are shown as mean and standard deviation for each timepoint. A significant decrease can be seen in the urine output and the eGFR following ischemia-reperfusion injury. Accordingly, a significant increase is noted in plasma creatinine and BUN. Data were analyzed by two-way repeated measures ANOVA with the Benjamini and Hochberg's false discovery rate (n=6). *p < 0.05 vs Baseline; **p < 0.01 vs Baseline; ***p < 0.001 vs Baseline.

Figure 3. Gross kidney anatomy and renal tissue injury at 24 hours of reperfusion following renal ischemia-reperfusion injury. (A) Gross anatomy of the left kidney showing pale areas indicative of infarction and red hemorrhagic areas following 60 min of bilateral renal artery occlusion and 24 h of reperfusion. (B) Renal Cortex of vehicle shows extensive coagulative necrosis of primarily proximal tubules, following 60 min of ischemia and 24 h of reperfusion (Masson's Trichrome, original magnification 20x). (C) These 1 μ m plastic (araldite-epon) embedded sections demonstrate in greater detail the confluent tubular necrosis consisting primarily of matrix with swelling and degenerative changes of organelles (Toluidine blue, original magnification 40x). Scale bar = 200 μ m. This figure has been modified from Doulamis et al¹¹.

DISCUSSION

AKI is a common clinical disorder affecting up to 50% of hospitalized adult patients worldwide^{6,12}. A clinically relevant animal model is needed to further investigate the pathophysiology of the

disease and potential therapeutic targets. Although there are several murine models replicating AKI, these do not completely mimic their respective clinical scenarios and the anatomy of the human kidney. This study proposes a clinically relevant swine model to allow for translation to humans¹³.

Here, the protocol describes a percutaneous approach which is not only clinically relevant but also minimizes the inflammatory response and the risk for infection that accompanies an open approach. It should also be highlighted that a consistent hydration protocol should be used for all animals in order to achieve optimal hemodynamic control and avoid renal hypoperfusion¹¹. This can be easily done when the animal is anesthetized but cannot always be accurately performed during the recovery period when water is provided ad libitum.

Iodinated contrast medium should be used cautiously in order to avoid contrast-induced nephrotoxicity. This can be achieved by 1:1 or 1:2 dilution with normal saline. In this study, we used a dose which is 10 times lower than the estimated safety threshold for humans (3.33 mL/kg)^{9,14}.

Among others, the study uses eGFR for the assessment of renal function based on a formula accounting for the body weight and plasma creatinine levels^{10,11}. It should be noted that although the use of inulin for the determination of GFR has been previously documented, its use was deferred in the current protocol due to severe hypotensive vasospastic reaction after inulin infusion. This can be avoided by using steroids or epinephrine prior to inulin administration. However, the use of these drugs may not be appropriate according to each study design. For this reason, a validated formula to estimate the eGFR based on plasma creatinine and body weight was used¹¹. An alternative way for determining GFR would be using the formula: (urine creatinine x urine flow rate) / (plasma creatinine x kidney weight).

For the assessment of the ATN score, the use of Masson's Trichrome staining is preferable to conventional hematoxylin and eosin staining as it can better trace tissue injury. Another alternative may be the use of plastic embedded sections, which provide greater details as they allow for thinner slicing of the sample¹¹. This preclinical model of AKI can be used to mimic several clinical scenarios such as kidney transplantation, renal hypoperfusion following cardiogenic shock (e.g., myocardial infarction, aneurysm rupture, aortic dissection), transcatheter procedures at high risk of renal ischemia and cardiovascular procedures with prolonged cardiocirculatory arrest times.

This study has some limitations. The study used only female animals. This was done to reduce any possible effects related to urinary catheterization, which is less traumatic in females than males. In addition to this limitation, the study used young, otherwise healthy animals, thus eliminating confounding variables that may be related to coexisting diseases. In conclusion, the current study describes a highly reproducible large animal model of renal IRI, which can be used to decrease the burden of AKI.

ACKNOWLEDGMENTS

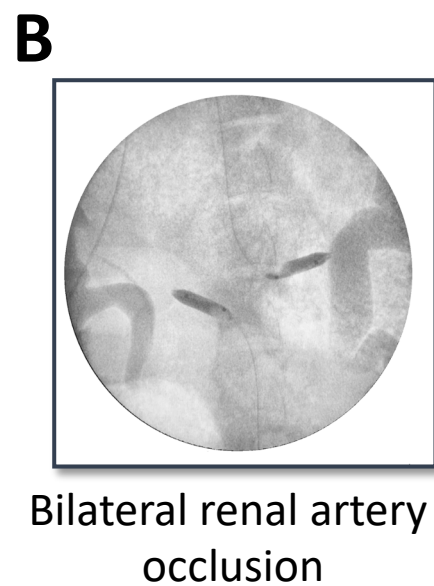
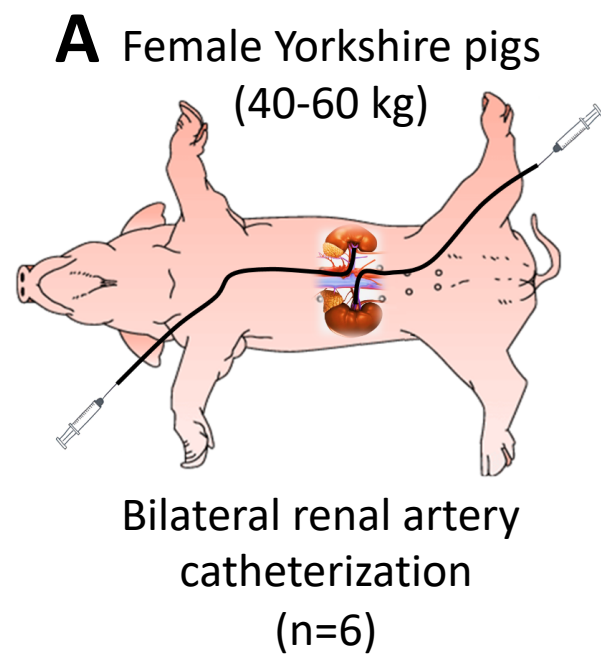
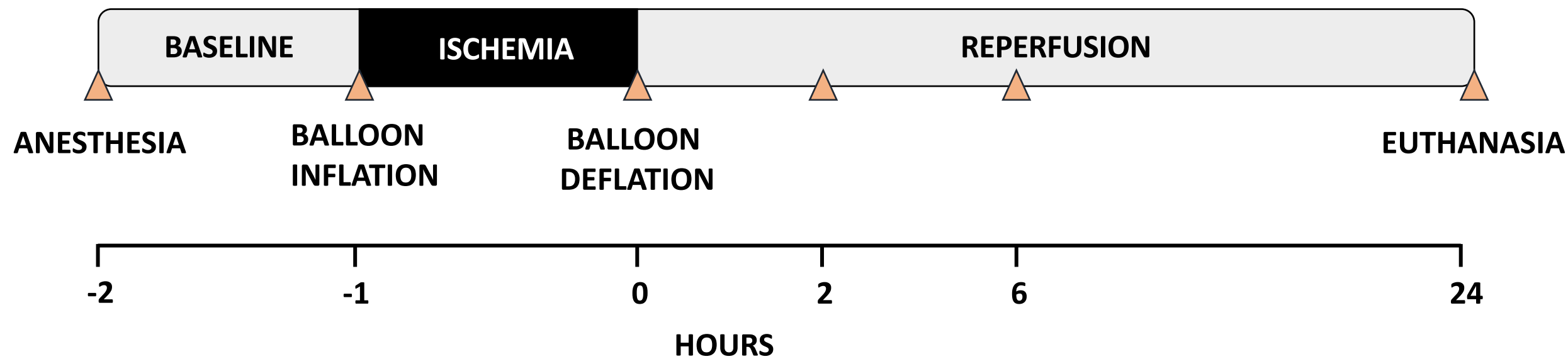
We would like to thank Dr. Arthur Nedder for his help and guidance. This work was supported by the Richard A. and Susan F. Smith President's Innovation Award, Michael B. Klein and Family, The Sidman Family Foundation, The Michael B. Rukin Charitable Foundation, The Kenneth C. Griffin Charitable Research Fund, and The Boston Investment Council.

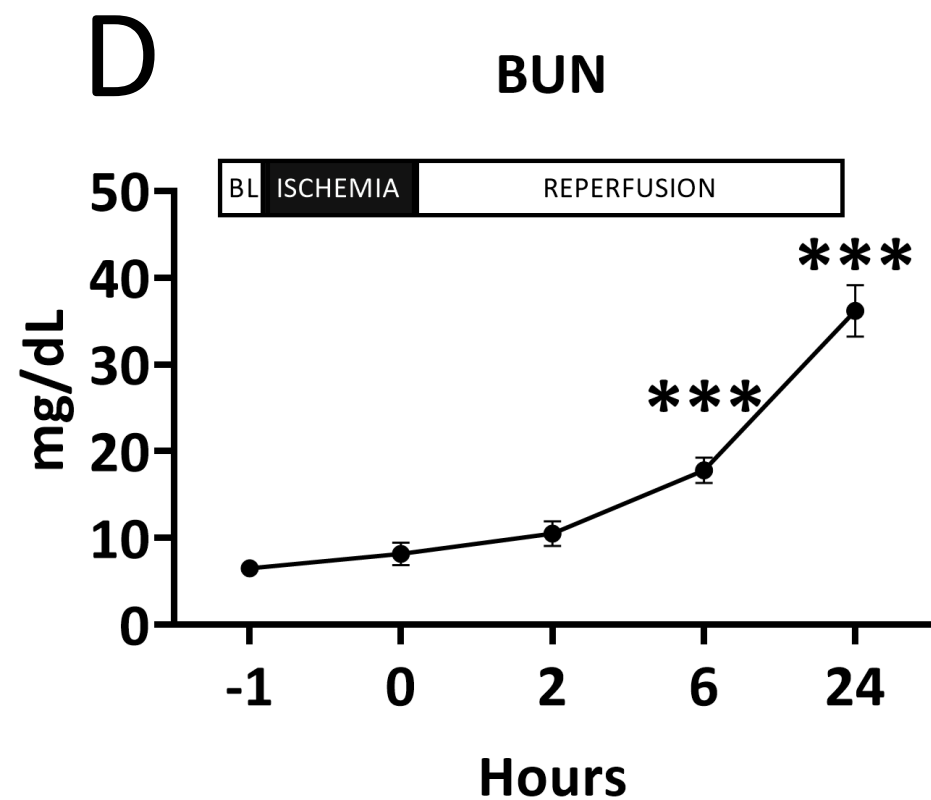
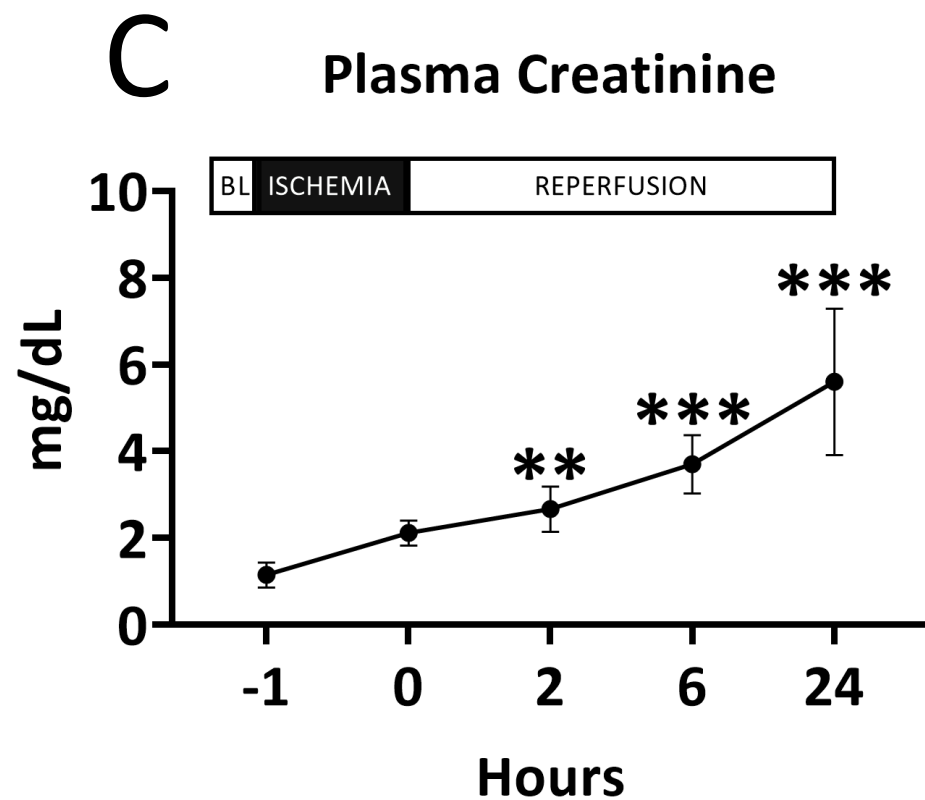
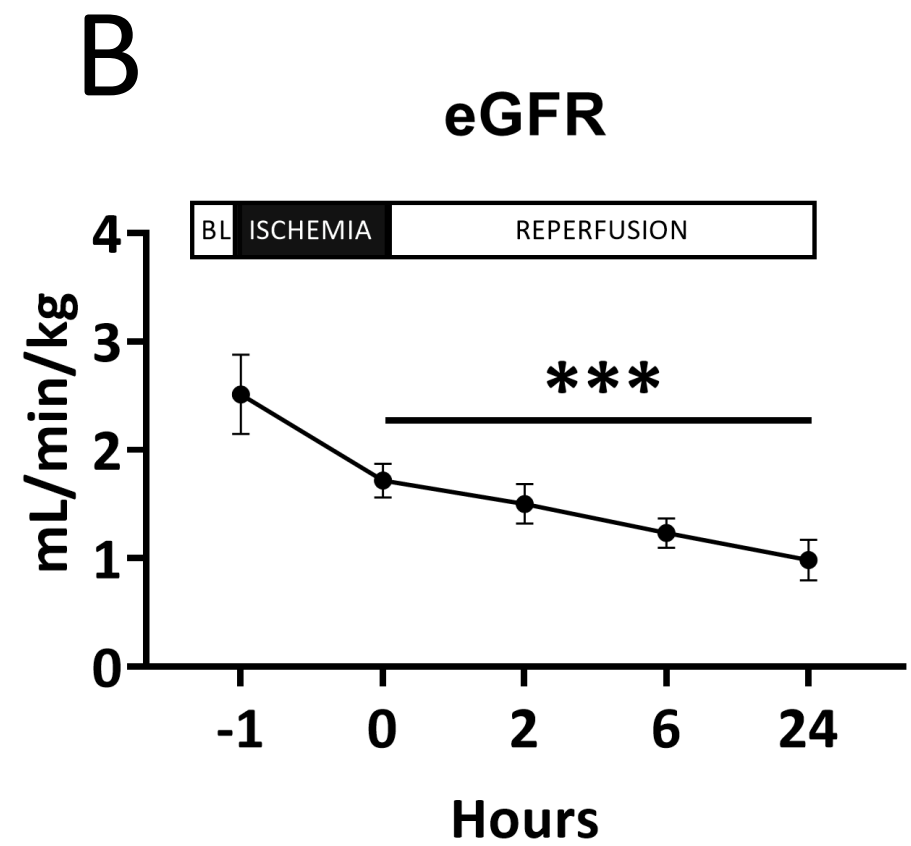
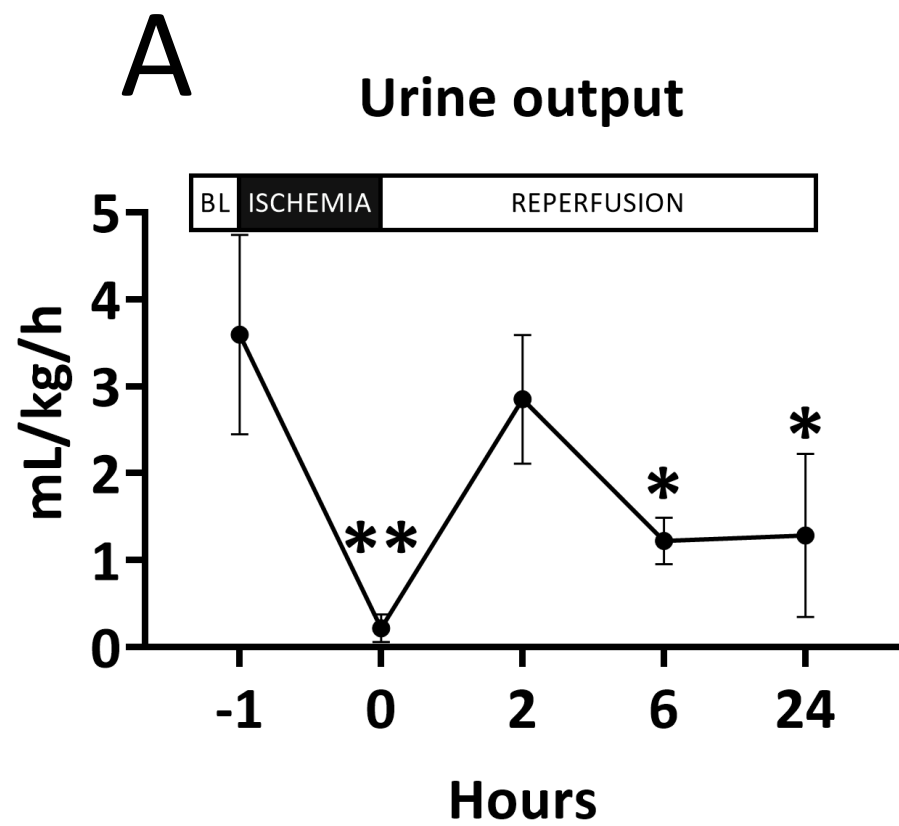
DISCLOSURES

The authors declare no competing financial interests.

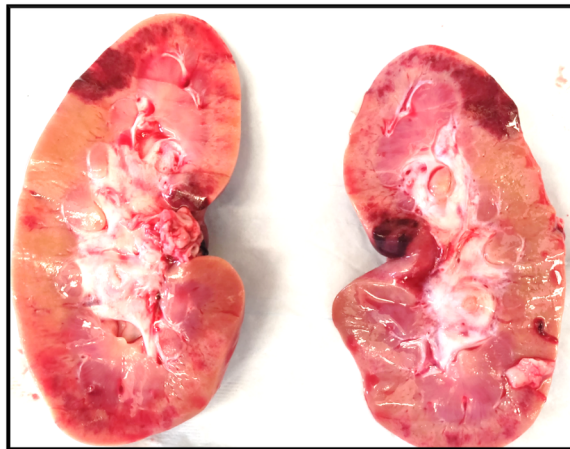
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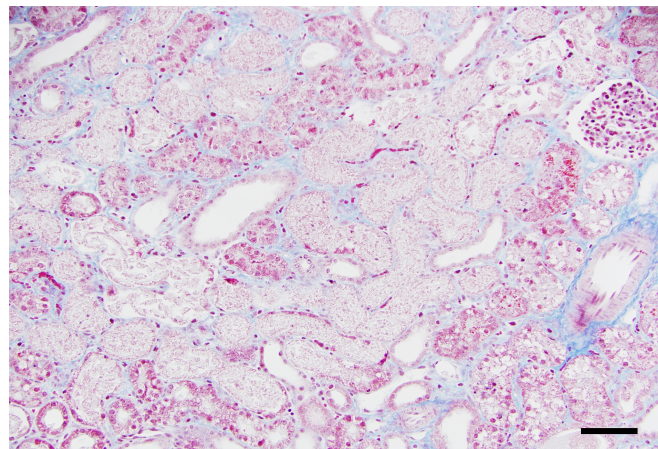




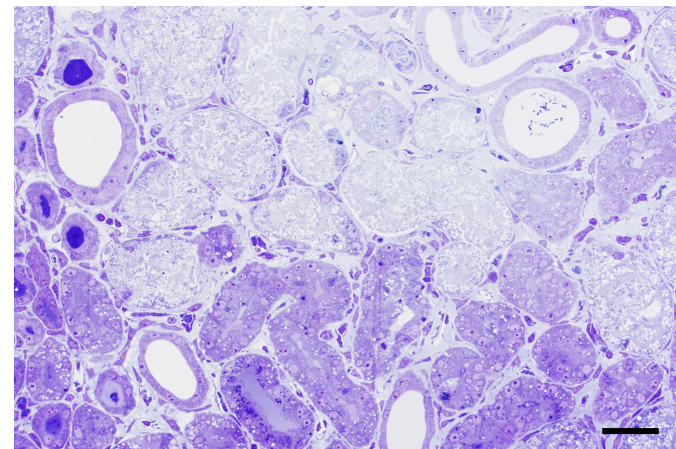
A Gross anatomy



B Masson's Trichrome



C 1-um plastic



Name of Material/ Equipment

0.9% sodium chloride injection, usp, 100 ml viaflex plastic container

Agent contrast 100.0ml injection media btl ioversal 74%

Bard Bardia Closed System Urinary Drainage Bag

BD Vacutainer K2 EDTA

BD Vacutainer Lithium Heparin

Betadine

Bookwalker retractor

Bupivacaine 0.25%

Buprenorphine SR

Cath angio 5.0 Fr x100.0 cm 0.038 in JR4

Cuffed endotracheal tube

EKG Medtronics- Physiocontrol LifePak 20 Oxygen saturation monitor

Encore 26 inflator

Ethanol 95% (Ethyl alcohol)

Fentanyl patch

Gold silicone coated Foley

Heparin sodium

i33 ultrasound machine

Inqwire diagnostic guide wire - 0.035" (0.89 mm) - 260 cm (102") - 1.5 mm j-tip

Intravenous catheter, size 20 gauge

Isoflurane

Metzenbaum blunt curved 14.5 cm - 5(3/4)"

Neonatal disposable transducer kit with 30ml/hr flush device and double 4-way stopcocks for continuous monitoring

Powerflex pro PTA dilatation catheter 6 x 20 mm - shaft length (135cm)

Pressure monitoring lines mll/ml - 12" clear, mll/ml

Procedure pack

Protamine

Scalpel blade - size #10

Stopcock iv 4 way lrg bore rotg male ll adptr strl

Straight lateral retractor

Suture perma hnd 18in 2-0 braid silk blk

Syringe contrast injection 10ml fixed male luer red

Syringe medical 60ml ll plst strl ltx free disp

Tilzolan (tiletamine/zolazepam)

Xylazine

Company	Catalog Number	Comments/Description
Baxter	2B1302	For animal hydration
CARDINAL HEALTH	133311	For visualizing the vasculature
BARD Inc	802001	For urine collection
BD	367841	For blood sample storage
BD	366667	For blood sample storage
Henry Schein	6906950	For skin disinfection
Codman		For skin retraction
Hospira		Administer at incision site for analgesia
Zoo Pharm		10mg/ml bottle, Dose: 0.2mg/kg SC
MERIT MEDICAL SYSTEM INC	7523-21	For identification of the renal arteries
Emdamed		To establish a secure airway for the duration of the operation
GE Healthcare Madison WI		For oxygen saturation monitoring
BOSTON SCIENTIFIC	710113	For inflating the balloon catheters
Henry Schein		For skin disinfection
Mylan		Dose: 25-50mcg/hr, TD
TELEFLEX MEDICAL INC	180730160	For urine collection
LEO Pharma A/S		Dose: 200 IU/kg IV
Phillips		Use ultrasonographic guidance for femoral catheterization if necessary
MERIT MEDICAL SYSTEM INC	6609-33	For guiding the balloon catheters to the renal arteries
Santa Cruz Biotechnology	Inc SC-360097	For fluid administration
Patterson Veterinary Supply, Inc.	21283620	Dose: 3%, INH
Rudolf Medical	RU-1311-14M	For tissue dissection and cutting
Argon Medical	041588505A	For pressure measurement
CARDINAL HEALTH	4400602X	For occlusion of the renal arteries
Smiths Medical	B1571/MX571	For pressure measurement
Molnlycke Health Care	97027809	Surgical drape, gauze pads, syringes, beaker etc
Henry Schein	1044148	For heparin reversal
Cardinal Health (Allegiance)	32295-010	For the skin incisions
Peoplesoft	1550	For connecting tubings
Codman		For skin retraction

CARDINAL HEALTH 1
MERIT MEDICAL SYSTEM INC
CARDINAL HEALTH 1
Patterson Veterinary Supply, Inc.
Putney, INC

A185H
MSS111-R
BF309653
07-893-1467

For suturing incision site and securing catheters
To administer the contrast agent
For urine collection and flushing of the angiocath
Dose: 4-6 mg/kg, IM
Dose: 1.1-2.2 mg/kg, IM

RESPONSE TO EDITOR'S COMMENTS

We thank the Editor and the Reviewers for their time and efforts to improve our manuscript.

Editor

Comment 1: Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammar issues.

In response to the editor: We meticulously proofread the entire manuscript in order to eliminate spelling or grammar errors.

Comment 2: Please revise the following lines to avoid previously published work: 36-41, 52-54, 62-63, 250-256, 302-305, 309-314, 331-339.

In response to the editor: The above-mentioned lines have been revised as follows:

Lines 36-41:

ABSTRACT: Acute kidney injury (AKI) is associated with higher risk for morbidity and mortality post-operatively. Ischemia-reperfusion injury (IRI) is the most common cause of AKI. To mimic this clinical scenario, we present a highly reproducible large animal model of renal IRI in swine using temporary percutaneous bilateral balloon-catheter occlusion of the renal arteries. The renal arteries are occluded for 60 minutes by introducing the balloon-catheters through the femoral and carotid artery and advancing them into the proximal portion of the arteries. Iodinated contrast is injected in the aorta to assess any opacification of the kidney vessels and confirm the success of the artery occlusion. This is further confirmed by the flattening of the pulse waveform at the tip of the balloon catheters. The balloons are deflated and removed after 60 minutes of bilateral renal artery occlusion, and the animals are allowed to recover for 24 hours.

Lines 52-54:

Available data show that AKI can affect even half of all hospitalized patients worldwide and leads to 50% mortality rate in patients in the intensive care unit^{1, 3}.

Lines 62-63:

The kidney is a high-metabolic-rate organ and susceptible to ischemia.

Lines 250-256:

Gross anatomy and histology

There were evident necrotic and hemorrhagic areas in both kidneys at the end of the 60 minutes of bilateral renal ischemia and the 24 hours of reperfusion (**Figure 3A**). Masson's Trichrome staining revealed confluent coagulative necrosis which was located at the proximal tubules of the renal cortex. (**Figure 3B**). 1-micron plastic embedded sections were also assessed since they provide greater details of the histology (**Figure 3C**). All Masson's Trichrome slides were evaluated for cell necrosis, loss of brush border, cast formation, and tubule dilatation. Then a semi-quantitative scoring system for acute tubular necrosis (ATN) was implemented as follows: 0 if none; 1 if less than 10%; 2 if between 11% and 25%; 3 if between 26% and 45%; 4 if between 46% and 75%; and 5 if higher than 76%. ATN scoring showed significant injury in the renal cortex (score of 4.5 ± 0.3) and considerable injury in the medulla (score of 2.7 ± 0.4).

Lines 302-305:

Herein, we describe a percutaneous approach which is not only clinically relevant but also minimizes the inflammatory response and the risk for infection that would accompany an open approach. It should also be highlighted that a consistent hydration protocol should be used for all animals in order to achieve optimal hemodynamic control and avoid renal hypoperfusion¹¹.

Lines 309-314:

Iodinated contrast medium should be used cautiously in order to avoid contrast-induced nephrotoxicity. This can be achieved by 1:1 or 1:2 dilution with normal saline. In our studies, we used a dose which is 10 times lower than the estimated safety threshold for humans (3.33 mL/kg)⁹,

¹⁴.

Lines 331-339:

This preclinical model of AKI can be used to mimic several clinical scenarios such as kidney transplantation, renal hypoperfusion following cardiogenic shock (e.g. myocardial infarction, aneurysm rupture, aortic dissection), transcatheter procedures at high risk of renal ischemia and cardiovascular procedures with prolonged cardiocirculatory arrest times.

Comment 3: Please obtain explicit copyright permission to reuse any figures from a previous publication. Explicit permission can be expressed in the form of a letter from the editor or a link to the editorial policy that allows re-prints. Please upload this information as a .doc or .docx file to your Editorial Manager account. The Figure must be cited appropriately in the Figure Legend, i.e. "This figure has been modified from [citation]."

In response to the editor: All figures that have been reused bring the “This figure has been modified from [citation].” sentence in their respective figure legend. The link to the journal’s editorial policy that allows re-prints has been uploaded.

Comment 4: Figure 2: The median values are plotted, whereas the statistical comparisons are made on the mean values (using two-way repeated measures ANOVA). Please specify if a non-parametric approach was used. If not, then plotting a mean \pm SD would be more appropriate.

In response to the editor: A parametric approach was used indeed (two-way repeated measures ANOVA) since data followed a normal distribution. Data are now plotted as mean/SD for each time point according to the editor’s suggestion.

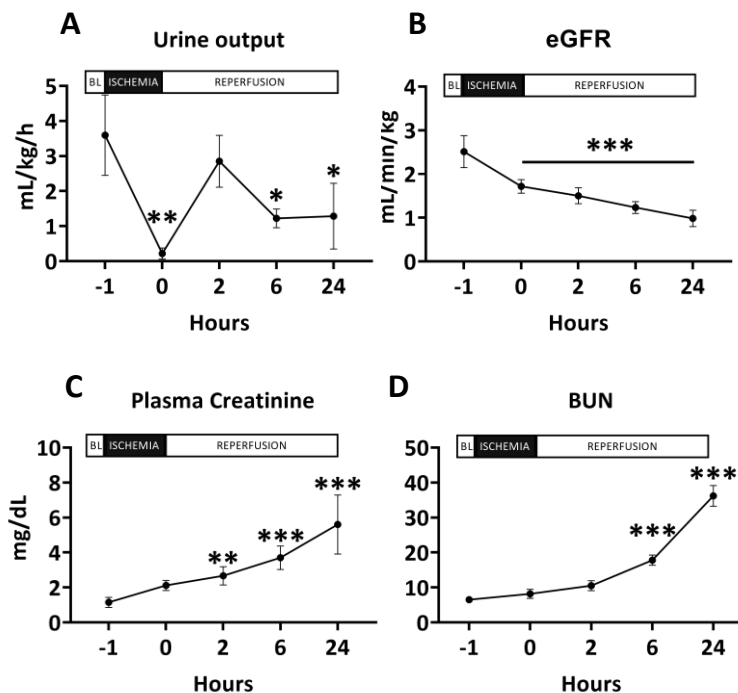


Figure 2. Renal function before and after renal ischemia-reperfusion injury. A. Urine output; **B.** Estimated glomerular filtration rate (eGFR); **C.** Plasma creatinine and **D.** Blood urea nitrogen (BUN). All results are shown as mean and standard deviation for each timepoint. A significant decrease can be seen in urine output and eGFR following ischemia-reperfusion injury. Accordingly, a significant increase is noted in plasma creatinine and BUN. Data were analyzed by two-way repeated measures ANOVA with the Benjamini and Hochberg's false discovery rate (n=6).

*p<0.05 vs Baseline; **p<0.01 vs Baseline; ***p<0.001 vs Baseline.

Comment 5: Is Figure 3C necessary? It is not clear what the modification from the original figure (Doulamis et al) is. If the intent is to solely indicate the Acute Tubular Necrosis score, it could be mentioned in text as a quantity e.g. “...the mean ATN score was 4.5 ± 0.3 .” Alternatively, if the modification from the previous study needs to be shown, two bars could be plotted corresponding to the previous and current findings.

In response to the editor: Figure 3C does not provide any new information, thus it was removed and Figure 3 was revised accordingly.

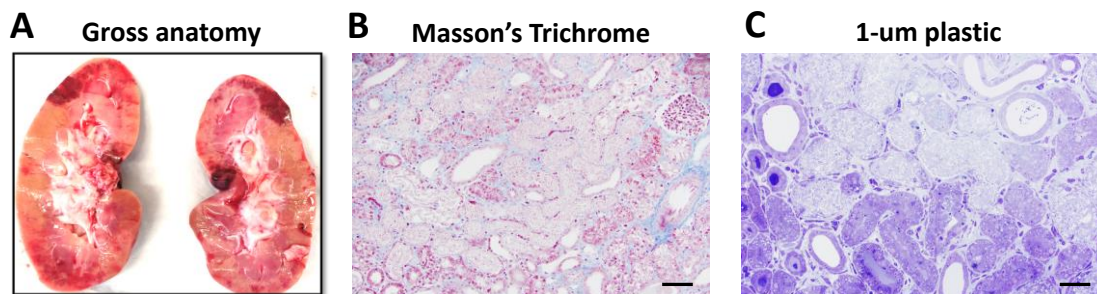


Figure 3. Gross kidney anatomy and renal tissue injury at 24 hours of reperfusion following renal ischemia-reperfusion injury. **A.** Gross anatomy of the left kidney showing pale areas indicative of infarction and red hemorrhagic areas following 60 minutes of bilateral renal artery occlusion and 24 hours of reperfusion. **B.** Renal Cortex of vehicle shows extensive coagulative necrosis of primarily proximal tubules, following 60 minutes of ischemia and 24 hours of reperfusion (Masson's Trichrome, original magnification 20X). **C.** These 1-micron plastic (araldite-epon) embedded sections demonstrate in greater detail the confluent tubular necrosis consisting primarily of matrix with swelling and degenerative changes of organelles (Toluidine blue, original magnification 40X). Scale bar = 200um. This figure has been modified from Doulamis *et al*¹¹.

Comment 6: Please highlight up to 3 pages of the Protocol (including headings and spacing) that identifies the essential steps of the protocol for the video, i.e., the steps that should be visualized

to tell the most cohesive story of the Protocol. Remember that non-highlighted Protocol steps will remain in the manuscript, and therefore will still be available to the reader.

In response to the editor: The respective parts of the manuscript have been highlighted.

Comment 7: Please ensure that the highlighted steps form a cohesive narrative with a logical flow from one highlighted step to the next. Please highlight complete sentences (not parts of sentences). Please ensure that the highlighted part of the step includes at least one action that is written in imperative tense.

In response to the editor: The respective parts of the manuscript have been highlighted.

Comment 8: In the results section, please specify:

the total number of animals used for this study (n).

if the quantities mentioned are the means or the medians (lines 236-246).

In response to the editor: The total number of the animals used is 6 and the quantities mentioned are means.

Moreover, the following changes have been made:

Our representative results arise from 6 animals and the data shown are mean \pm standard error of the mean. [*Representative results, Functional analysis*]

Comment 9: In the disclosures section, please provide information regarding the authors' competing financial interests or other conflicts of interest. If authors have no competing financial interests, then a statement indicating no competing financial interests must be included.

In response to the editor: The following statement has been added in the disclosures section:

The authors declare no competing financial interests. [*Disclosures*]

Comment 10: The funding information should be included in the acknowledgements section.

In response to the editor: The funding information is now included in the acknowledgements section.

Reviewer #1

Comment 1: The severity of IRI-induced AKI is very sensitive to the temperature during the ischemic stage. Please describe how the body temperature is maintained and report the body temperature during the surgery.

In response to the reviewer: This is indeed an interesting point. Normothermia (37°C) was maintained using an air-heated pad. The following changes have been made:

Maintain normothermia (37°C) using an air-heated pad. [Protocol, Induction, anesthesia and intubation]

Comment 2: According to the results of Crs, BUN and eGFR, 24h seems not be the peak of AKI. I suggest to extend the observation to at least 48 hours.

In response to the reviewer: This is an acute phase study. The 24-hour timepoint is sufficient for significant decrease in renal function and also for identifying a significant difference between a treatment and a control group as suggested by our prior publication (*Doulamis IP, et al. Mitochondrial transplantation by intra-arterial injection for acute kidney injury. Am J Physiol Renal Physiol. 2020;319(3):403–413*).

Comment 3: Masson's Trichrome is usually used for CKD (fibrosis), while PAS staining is most commonly for the histology of AKI.

In response to the reviewer: We do acknowledge that Masson's trichrome is usually for CKD, but it has also been used in AKI studies (*Dong Y, et al. Ischemic Duration and Frequency Determines AKI-to-CKD Progression Monitored by Dynamic Changes of Tubular Biomarkers in IRI Mice. Front Physiol. 2019;26(10):153*). Moreover, the histopathological assessment based on Masson's trichrome staining was confirmed by 1-micron plastic (araldite-epon) embedded sections which show higher detail of the tissue.

In addition the following changes have been made:

Gross anatomy and histology

There were evident necrotic and hemorrhagic areas in both kidneys at the end of the 60 minutes of bilateral renal ischemia and the 24 hours of reperfusion (**Figure 3A**). Masson's Trichrome staining revealed confluent coagulative necrosis which was located at the proximal tubules of the renal cortex. (**Figure 3B**). 1-micron plastic embedded sections were also assessed since they provide greater details of the histology (**Figure 3C**). *[Representative results]*

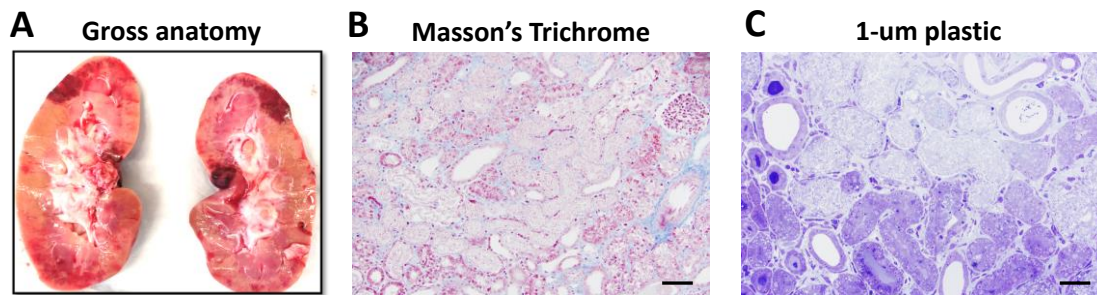


Figure 3. Gross kidney anatomy and renal tissue injury at 24 hours of reperfusion following renal ischemia-reperfusion injury. **A.** Gross anatomy of the left kidney showing pale areas indicative of infarction and red hemorrhagic areas following 60 minutes of bilateral renal artery occlusion and 24 hours of reperfusion. **B.** Renal Cortex of vehicle shows extensive coagulative necrosis of primarily proximal tubules, following 60 minutes of ischemia and 24 hours of reperfusion (Masson's Trichrome, original magnification 20X). **C.** These 1-micron plastic (araldite-epon) embedded sections demonstrate in greater detail the confluent tubular necrosis consisting primarily of matrix with swelling and degenerative changes of organelles (Toluidine blue, original magnification 40X). Scale bar = 200um. This figure has been modified from Doulamis *et al*¹¹.

Comment 4: Clamping of renal artery is a widely-used method for AKI in pigs. Please compare and discuss the similarity and differences between these two approaches. Especially, what's the advantage of balloon-catheter occlusion under angiography.

In response to the reviewer: The similarity between the two models is the cessation of blood flow to the kidney and the subsequent ischemic injury. However, the use of a transcatheter approach does not require a surgical incision which is associated with increased risk for infection and inflammatory response (Hague KK, *et al. Reduced inflammatory response by transcatheter, as compared to surgical aortic valve replacement. Scand Cardiovasc J.* 2017;52(1):43-50; Hong ZN, *et al. Comparison of Postoperative Changes in Inflammatory Marker Levels Between Transthoracic and Transcatheter Device Closures of Atrial Septal Defects in Children. Braz J Cardiovasc Surg* 2020;35(4):498-503).

We quote the following in the text: “*Herein, we describe a percutaneous approach in order to provide clinical relevance and to minimize the impact of a laparotomy on the inflammatory response of the animals and the risk for infection without requiring the administration of antibiotics*”.

Comment 5: The images of gross anatomy shows the kidney injury seems uneven distribution. Please discuss in details.

In response to the reviewer: The distribution of the kidney injury cannot be precisely predicted since it is unique for each animal and organ. This also depends on the anatomy and the vascular system of each kidney. Moreover, within the same kidney different areas may respond in a different way to ischemia due to variations in pathophysiology and vascular anatomy. Nonetheless, this is a representative image showing the extensive injury of the kidney macroscopically.

Moreover, the following sentence has been added:

There were evident necrotic and hemorrhagic areas which were unevenly distributed in both kidneys at the end of the 60 minutes of bilateral renal ischemia and the 24 hours of reperfusion (Figure 3A). [*Representative results, Gross anatomy and histology*]

Comment 6: ATN scoring is only for the renal cortex, but not for medulla or juxtamedullary region.

In response to the reviewer: Scoring was also performed for the medulla and it was 2.7 ± 0.4 .

The following change has been made:

ATN scoring showed significant injury in the renal cortex (score of 4.5 ± 0.3) and considerable injury in the medulla (score of 2.7 ± 0.4). [*Representative results, Gross anatomy and histology*]

Reviewer #2

Comment 1: The main reason for presenting this large animal model of AKI via percutaneous approach has been mentioned as "minimizing the impact of a laparotomy on the inflammatory response of the animals". However, this statement should be proved by including another group of porcine subjecting to bilateral renal arterial occlusion by clamps after laparotomy with similar timeline of anesthesia, surgical preparation, and reperfusion. Then, some inflammatory markers are measured and compared in both groups.

In response to the reviewer: An additional group is not required since it is well-established that the use of a transcatheter approach does not require a surgical incision which is associated with increased risk for infection and inflammatory response (*Hague KK, et al. Reduced inflammatory response by transcatheter, as compared to surgical aortic valve replacement. Scand Cardiovasc J. 2017;52(1):43-50; Hong ZN, et al. Comparison of Postoperative Changes in Inflammatory Marker Levels Between Transthoracic and Transcatheter Device Closures of Atrial Septal Defects in Children. Braz J Cardiovasc Surg 2020;35(4):498-503*). We quote the following in the text: “*Herein, we describe a percutaneous approach in order to provide clinical relevance and to minimize the impact of a laparotomy on the inflammatory response of the animals and the risk for infection without requiring the administration of antibiotics*”.

Comment 2: It has been explained that eGFR was used because of vasospastic reaction of inulin. However, the determination of GFR by measuring urine creatinine and using the formula of “(urine creatinine × urine flow rate) / (plasma creatinine × kidney weight)” is much reliable than eGFR.

In response to the reviewer: We would like to thank the reviewer for this thoughtful comment. We chose to use the eGFR because it is an established model for assessing renal function, and it does not require the measurement of urine creatinine and kidney weight which hinders the real-time evaluation of renal function (*Gasthuys E, et al. Postnatal maturation of the glomerular filtration rate in conventional growing piglets as potential juvenile animal model for preclinical pharmaceutical research. Frontiers in Pharmacology. 2017;8(6):1–7*).

Moreover, acknowledging reviewer’s comment, the following sentence has been added:

An alternative way for determining GFR would be using the formula of (urine creatinine × urine flow rate) / (plasma creatinine × kidney weight). *[Discussion]*

Comment 3: Masson's Trichrome staining is usually used for showing fibrosis in chronic injuries. Actually, H&E-staining shows renal tissue injury much better than Masson's Trichrome staining. It is also very hard to recognize cell necrosis, loss of brush border, cast formation, and tubule dilatation in the Figure 3B. In addition, it is necessary to add the kidney microphotographs of normal animals.

In response to the reviewer: In response to the reviewer this is a model of AKI and changes at 24 hours would not be easily evident with H&E. We do acknowledge that Masson’s trichrome is

usually for CKD, but it has also been used in AKI studies (*Dong Y, et al. Ischemic Duration and Frequency Determines AKI-to-CKD Progression Monitored by Dynamic Changes of Tubular Biomarkers in IRI Mice. Front Physiol. 2019;26(10):153*). Moreover, the histopathological assessment based on Masson's trichrome staining was confirmed by 1-micron plastic (araldite-epon) embedded sections which show higher detail of the tissue.

In addition the following changes have been made:

Gross anatomy and histology

There were evident necrotic and hemorrhagic areas in both kidneys at the end of the 60 minutes of bilateral renal ischemia and the 24 hours of reperfusion (**Figure 3A**). Masson's Trichrome staining revealed confluent coagulative necrosis which was located at the proximal tubules of the renal cortex. (**Figure 3B**). 1-micron plastic embedded sections were also assessed since they provide greater details of the histology (**Figure 3C**).

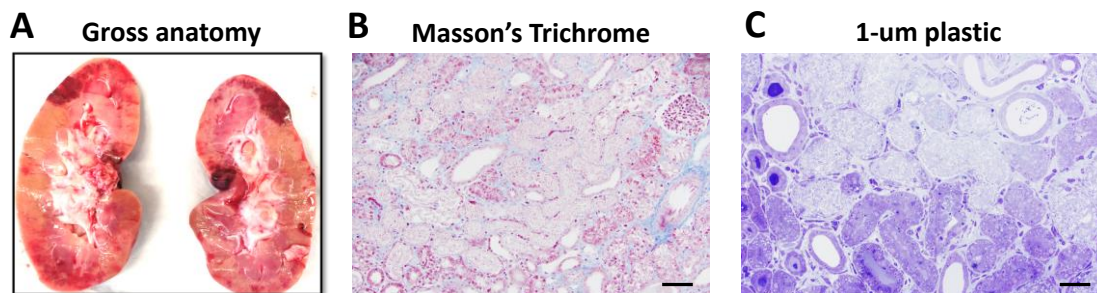


Figure 3. Gross kidney anatomy and renal tissue injury at 24 hours of reperfusion following renal ischemia-reperfusion injury. A. Gross anatomy of the left kidney showing pale areas indicative of infarction and red hemorrhagic areas following 60 minutes of bilateral renal artery occlusion and 24 hours of reperfusion. **B.** Renal Cortex of vehicle shows extensive coagulative necrosis of primarily proximal tubules, following 60 minutes of ischemia and 24 hours of reperfusion (Masson's Trichrome, original magnification 20X). **C.** These 1-micron plastic (araldite-epon) embedded sections demonstrate in greater detail the confluent tubular necrosis consisting primarily of matrix with swelling and degenerative changes of organelles (Toluidine

blue, original magnification 40X). Scale bar = 200um. This figure has been modified from Doulamis *et al*¹¹.

Comment 4: It has been written in the introduction that "Although these models are sufficient for causing AKI, they do not resemble real clinical scenarios neither in terms of type nor duration of injury." However, there are so many studies on AKI induced by bilateral renal arterial occlusion for 60 min and with 24 h reperfusion in rodents.

In response to the reviewer: Although there are rodent models of 60-min bilateral renal arterial occlusion; the rodent model does not provide clinical mimicry similar to those of the swine. Apart from the different size, rats have unilobular and unipapillary kidneys which do not resemble the human anatomy. The swine on the other hand have a similar size to humans and their kidneys have a high degree of proximity with the human kidney which has a multilobular and multipapillary architecture (*Giraud S, et al. Contribution of Large Pig for Renal Ischemia-Reperfusion and Transplantation Studies: The Preclinical Model. J Biomed Biotechnol. 2011; 2011:532127*).

Moreover, the following change has been made:

Although there are several murine models replicating AKI, these do not completely mimic their respective clinical scenarios and the anatomy of the human kidney. *[Discussion]*

Comment 5: It has been mentioned in the section of Induction of renal ischemia-reperfusion injury that "(Note: It is preferable to position the balloon at the proximal renal artery so that no branches or collaterals of the renal artery are left patent after balloon inflation)." However, the distal part of the renal artery's occlusion is suggested for preventing blood flow through branches or collaterals of the renal artery.

In response to the reviewer: The proximal part of the renal artery is the one closest to the aorta; thus, occluding the proximal part also ceases blood flow to any branches arising from the renal artery.

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