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1 TITLE:

Detection of Cell-Free DNA in Blood Plasma Samples of Cancer Patients

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SUMMARY:

In this paper we present a detailed protocol for non-invasive liquid biopsy technique, including blood collection, plasma and buffy coat separation, cfDNA and germline DNA extraction, quantification of cfDNA or germline DNA, and cfDNA fragment enrichment analysis.

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ABSTRACT:

Identifying mutations in tumors of cancer patients is a very important step in disease management. These mutations serve as biomarkers for tumor diagnosis as well as for the treatment selection and its response in cancer patients. The current gold standard method for detecting tumor mutations involves a genetic test of tumor DNA by means of tumor biopsies. However, this invasive method is difficult to be performed repeatedly as a follow-up test of the tumor mutational repertoire. Liquid biopsy is a new and emerging technique for detecting tumor mutations as an easy-to-use and non-invasive biopsy approach.

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- Cancer cells multiply rapidly. In parallel, numerous cancer cells undergo apoptosis. Debris from these cells are released into a patient's circulatory system, together with finely fragmented DNA pieces, called cell-free DNA (cfDNA) fragments, which carry tumor DNA mutations. Therefore, for identifying cfDNA based biomarkers using liquid biopsy technique, blood samples are collected from the cancer patients, followed by the separation of plasma and buffy coat. Next, plasma is processed for the isolation of cfDNA, and the respective buffy coat is processed for the isolation of a patient's genomic DNA. Both nucleic acid samples are then checked for their quantity and quality; and analyzed for mutations using next generation sequencing (NGS)
- 44 techniques.

In this manuscript, we present a detailed protocol for liquid biopsy, including blood collection, plasma, and buffy coat separation, cfDNA and germline DNA extraction, quantification of cfDNA or germline DNA, and cfDNA fragment enrichment analysis.

INTRODUCTION:

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Technological advances have led to the sequencing of hundreds of cancer genomes and transcriptomes¹. This has contributed to understanding landscapes of molecular changes across different cancer types². Further studies on these landscapes have helped characterize sequential somatic alterations and gene-gene fusions³ that are involved in cancer or tumor progression, by serially disrupting apoptosis pathways⁴. Therefore, somatic mutations and gene-gene fusions can provide information about tumors by serving as biomarkers in individual patients for a particular tumor type⁵, identifying existing primary tumors prognosis⁶, categorizing secondary tumors based on molecular changes⁷, and identifying druggable tumor targets⁸. Such information may facilitate in selecting personalized treatment for cancer patients and in determining positive and negative treatment responses⁹. However, obtaining tumor material for identifying genomic profiling of tumor tissue is an invasive procedure 10. Moreover, a tumor biopsy comprises only a small part of a heterogenous tumor; and may, therefore, not be representative for the molecular profile of the whole tumor¹¹. Serial monitoring and tumor genotyping requires a repeated collection of tumor tissues, which, usually, is not feasible due to the invasiveness of tumor biopsy procedure and the safety issues that arises from such procedures¹².

Liquid biopsy technique, on the other hand, has gained tremendous attention in precision oncology over the last decade^{13,14}. This is mainly due to the non-invasiveness of this technique, and the possibility of it being repeated at multiple time points, thereby enabling an easy-to-use and safe monitoring technique for the disease courses 15,16. Liquid biopsy is based on a phenomenon that tumor cells multiply rapidly and simultaneously many of them undergo apoptosis and necrosis. This leads to the release of apoptotic cell debris into the patients' blood, together with the DNA fragments that are cut at precise sizes during apoptosis¹⁷. The apoptosis of non-cancerous cells also leads to the release of its cellular debris into the blood, however, apoptosis rate in these cells is relatively much lower than tumor cells¹⁸. The rational of the liquid biopsy technique is to capture tumor-associated molecules such as DNA, RNA, proteins and tumor cells^{14,19} which circulate continuously in the blood. Various techniques²⁰ can be used for the analysis of these molecules including Next Generation Sequencing (NGS), digital droplet polymerase chain reaction (ddPCR), real time PCR and enzyme-linked immunosorbent assay (ELISA). Liquid biopsy technique enables identifying biomarkers that are characteristics of tumor cells. These biomarker molecules are not just released from specific parts of a tumor, but rather from all parts of the tumor²¹. Hence, markers identified in liquid biopsy represents the molecular profiling of an entire heterogeneous tumor, in addition to other tumors in the body, thus, having advantages over the tissue biopsy-based technique²².

The cfDNA has a short half-life time in the circulating blood ranging from a few minutes to 1–2 hours²³. However, the short half-life time of cfDNA facilitates real-time analyses by evaluating treatment response and dynamic tumor assessments. The tumor-derived cfDNA levels indicate

prognostication of tumor stage/size evidenced by several studies, which showed a relationship between cfDNA levels and the survival outcomes²⁴. Moreover, studies have proved that the cfDNA has a better prediction capacity than existing tumor markers²⁵. The prognostication of cfDNA is even more pronounced after cancer treatment, higher levels of cfDNA following treatment correlates well with a reduced rate of survival and resistance to treatment. Whereas, lower levels of cfDNA following therapy generally corresponds with the positive treatment response. Additionally, the cfDNA facilitates early detection of treatment response than the traditional detection methods.

The cfDNA increases the possibility of early detection of cancer-associated mutations: during early-stage disease¹⁵, the onset of symptoms²⁶ and before cancer diagnosis up to 2 years²⁷. As cfDNA is released from multiple tumor regions or foci, its analysis provides a comprehensive view of the tumor genome it represents²⁸. Therefore, the cfDNA enables to detect somatic mutations that might have been missed in the tissue samples²⁹. Intra-tumor heterogeneity and subclonal mutations can be detected by deep sequencing of genomic regions spanning thousands of bases, hence the analysis of the cfDNA enables to uncover specific molecular subtypes with distinct genomic signatures¹³. To obtain a similar level of information through tissue sample many solid biopsies would have been needed.

Furthermore, the cfDNA levels in patients with a localized disease such as colon, ovarian, and lung cancer after a surgical treatment and/or chemotherapy, demonstrated to be a powerful prognostic marker for cancer recurrence and treatment outcomes²⁰. Moreover, in patients with colon, breast, and lung cancer, analyses of cfDNA from the blood could successfully detect the tumor-specific changes which led to the precise prediction of recurrence several months in advance¹³. Furthermore, the treatment resistance markers, such as *KRAS* mutations in patients with CRC receiving anti-EGFR therapy³⁰; VAFs for genes such as *PIK3CA*, *MED1* or *EGFR* in patients with breast cancer after the treatment with various therapies³¹; and *EGFR* T790M resistance mutation in lung cancer patients treated with EGFR-targeted TKIs³² can also be identified by cfDNA analysis.

In summary, the cfDNA analysis can be used to identify precise biomarkers in the field of oncology^{13,33}. In this protocol, blood samples of 3 glioma patients and 3 healthy controls were processed to obtain genomic DNA from WBCs and cfDNA from the plasma. In glioma cancer, mutations in *IDH*, *TERT*, *ATRX*, *EGFR* and *TP53* serves as a diagnostic as well as a prognostic markers that may help in the early diagnosis of glioma tumors, classifying different types of glioma tumors, guiding the accurate treatment for individual patient and understanding the treatment response^{34,35}. Mutational status of these genes can be identified using blood-derived cfDNA. In this manuscript, we present a detailed protocol of of plasma derived cfDNA that has been used for studying mutational changes in glioma cancer¹². Such cfDNA-based liquid biopsy protocol explained in this article can be used for studying mutational changes in many other types of cancers. Moreover, recent study has shown that cfDNA-based liquid biopsy can detect 50 different types of cancers³⁶.

Blood sample collection, storage, and shipment are crucial steps in this protocol, as

uncontrolled temperature during these steps causes lysis of WBCs, leading to release of genomic DNA from the WBC into the plasma and causing contamination of the cfDNA sample, which affects the rest of the procedure³⁷. Hemolysis due to uncontrolled temperature can impair downstream sample preparation processes of cfDNA such as the PCR steps³⁸. The serum contains high proportion of germline cfDNA rather than plasma, however, it presents a large background noise for tumor-associated cfDNA³⁹. Therefore, for isolating tumor-associated cfDNA, plasma is an suitable sample³⁹. Blood drawn in an anti-coagulant containing blood collection tube should be centrifuged immediately or within up to two hours, to separate the plasma and to avoid cfDNA contamination. In this protocol, dedicated commercial cfDNA preservation blood collection tubes are used (see **Table of Materials**), which are an alternative to anticoagulant containing blood collection tubes. These dedicated blood collection tubes preserve cfDNA and cfRNA, and prevents lysis of WBCs for up to 30 days at ambient temperature, and up to 8 days at 37 °C. This facilitates maintaining the appropriate temperature during a blood sample shipment and until the plasma and WBC are separated⁴⁰.

There are three types of cfDNA extraction methodologies currently available: phase isolation, silicon-membrane based spin column, and magnetic bead-based isolation⁴¹. The silicon-membrane based spin column method yielded a high quantity of cfDNA with high integrity compared to other cfDNA extraction methods⁴².

The quantitative evaluation of DNA is a fundamental requirement in liquid biopsy, there is a need to develop a simple, affordable, and standardized procedure for their easy implementation and wide usage. Three commonly used methods for cfDNA quantification are spectrophotometric, fluorimetric, and qPCR. The fluorimetric method is proved better over the other methods concerning the accuracy, cost, and ease of conducttion⁴³.

The estimation of the integrity and purity of the cfDNA can be done by either agarose electrophoresis or capillary electrophoresis. Agarose electrophoresis neither shows sensitivity at low concentration of cfDNA nor has high resolution to show precise fragment size of cfDNA. On the other hand, capillary electrophoresis has an advantage over the agarose electrophoresis by overcoming the associated challenges and, therefore, widely used by the researchers for cfDNA fragment size analysis. In this protocol, fragment size distribution of isolated cfDNA was estimated using automated capillary electrophoresis instrument (see **Table of Materials**).

PROTOCOL:

Prior to blood collection, informed consent from subjects participating in the research is required and must be obtained. The research described in this manuscript was performed in accordance and compliance with the Rabin Medical Center, Israel ethic committee (ethic code: 0039-17-RMC) and the Faculty of Medicine Der Christian-Albrechts-Universität zu Kiel, Germany ethic committee (ethic code: D 405/14).

1. Blood sample collection and storage in cfDNA or cfRNA preservative tubes

1.1. Properly label the preservation tubes

- 1.2. Collect ~8 mL of blood into the cf-DNA preservation tube (see **Table of Materials**), using a
- blood collection set and a holder, as per the standard institutional protocol for venipuncture as
- 179 described below.

180

NOTE: The use of a blood collection set may prevent possible backflow of the blood from the tube.

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184 1.2.1. Align the patient with the arm in a downward position.

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1.2.2. Hold the tube upright, with the cap faced upward, while ensuring that the tube contents do not touch the cap or needle tip.

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1.2.3. As blood starts flowing into the tube, release the tourniquet slowly.

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1.3. Immediately after the tube is filled with blood (maximum capacity: 8.4 mL of whole blood), 192 gently invert the tube (turn the wrist of the arm that is holding the tube by 180° downward and 193 back) 5 times to stabilize the sample.

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NOTE: Inversion ensures the preservative is mixed uniformly with the sample. However, do not shake the contents again, even before plasma preparation. Insufficient mixing of preservatives with the blood sample leads to destabilization of the contents and the formation of micro clots or hemolysis. At this stage, protocol can be continued immediately for plasma separation or blood-filled tubes can wait for up to 30 days at ambient temperature (15-25 °C), and up to 8 days at 37 °C.

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2. Plasma and buffy coat separation and storage

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2.1. Centrifuge the blood-filled preservation tube at $425 \times g$ for 20 min at room temperature to separate plasma.

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NOTE: Steps 2.2 and 2.3 should be performed in a biosafety cabinet.

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2.2. Carefully pipette out the upper plasma layer to a fresh tube in 1 mL aliquots, without disturbing the lower layers.

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2.3. Carefully transfer the next layer of buffy coat to a fresh tube (the layer appears as a ring above the RBC pellets), while avoiding RBCs in the lower layer.

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2.4. Proceed to step 3 with plasma and step 4 with the buffy coat. If needed store the separated contents at -80 °C.

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218 3. Purification of circulating cfDNA from 1 mL of plasma

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220 NOTE: This step is performed with a commercial kit (see Table of Materials). All buffers are

provided with the kit.

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3.1. Preparation of buffers and reagents

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- 225 CAUTION: Do not add acidic solutions or bleach directly to the sample preparation waste.
- Guanidine salts present in Lysis buffer, Binding buffer, and Wash Buffer-1 when combined with
- bleach or acids can produce highly reactive compounds.

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3.1.1. Binding buffer: Mix 300 mL of Binding buffer concentrate with 200 mL of 100% isopropanol to make 500 mL of working Binding buffer. Store at room temperature.

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NOTE: Binding buffer allows optimal binding of the circulating nucleic acids to the silica membrane. 500 mL of binding buffer is sufficient for processing 276, 138, 92, 69 or 55 samples of 1, 2, 3, 4 or 5 mL of plasma respectively and is stable for 1 year at room temperature.

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3.1.2. Wash Buffer-1: Mix 19 mL of Wash Buffer-1 concentrate with 25 mL of 96–100% ethanol to make 44 mL of working Wash Buffer-1. Store at room temperature.

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NOTE: Wash Buffer-1 eliminates the contaminants bound to silica membrane. 44 mL of working Wash Buffer-1 is sufficient for processing 73 samples of 1/2/3/4/5 mL of plasma and is stable for 1 year at room temperature.

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3.1.3. Wash Buffer-2: Mix well 13 mL Wash Buffer-2 concentrate with 30 mL of 96–100% ethanol to make 43 mL of working Wash Buffer-2. Store at room temperature.

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NOTE: Wash Buffer-2 eliminates the contaminants bound to silica membrane. 43 mL of working Wash Buffer-2 is sufficient for processing ~56 samples of 1/2/3/4/5 mL of plasma and is stable for 1 year at room temperature.

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3.1.4. To a tube containing 310 μ g lyophilized carrier RNA, add 1,550 μ L of Elution buffer, to prepare a carrier RNA solution of 0.2 μ g/ μ L. After thoroughly dissolving the carrier RNA, divide the solution to suitable aliquots, and store at -30 °C to -15 °C. Do not freeze-thaw these aliquots more than 3 times. To the Lysis buffer, as shown in **Table S1**, add the reconstituted carrier RNA dissolved in Elution buffer.

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NOTE: Because carrier RNA does not dissolve directly in Lysis buffer, it needs to be dissolved first in an Elution buffer and then in Lysis Buffer. Firstly, silica membrane-nucleic acids binding is enhanced when there are very few target molecules present in the sample. Secondly, the risk of RNA degradation is reduced because of the presence of large amounts of carrier RNA.

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3.2. Before starting the isolation bring the columns and samples to room temperature and adjust the sample volumes to 1 mL with sterile phosphate buffered saline (PBS), if needed. Pre heat 2 water baths or heating blocks that contain 50 mL centrifuge tubes and 2 mL collection tubes to 60 °C and 56 °C, respectively.

3.3. To a 50 mL centrifuge tube, add 100 μL of Proteinase K, 1 mL plasma and 0.8 mL of Lysis
 buffer containing 1.0 μg of carrier RNA (prepared in step 3.1.4). Close the centrifuge tube with a
 cap and mix the contents by pulse-vortexing for 30 s, while ensuring a visible vortex in the tube.
 Thorough mixing of the contents is important for efficient lysis.

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NOTE: Immediately after vortexing, proceed to step 3.4, without delay.

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3.4. Incubate the solution at 60 °C for 30 min.

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274 3.5. Remove the cap, add 1.8 mL of the binding buffer to the tube, and thoroughly mix with pulse vortexing for 15–30 s after placing the cap.

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3.6. Incubate the resulting mixture for 5 min on ice and insert the silica membrane column into the vacuum apparatus that is connected to the vacuum pump. Then, firmly insert a 20 mL tube extender into the open column to prevent sample leakage.

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3.7. Carefully pour the incubated mixture into the tube extender of the column and switch on the vacuum pump. After all the lysate mixture completely runs through the columns, switch off the vacuum pump, release the pressure to 0 mbar, and remove and discard the tube extender.

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NOTE: To avoid cross-contamination, the tube extender should be discarded carefully, to prevent its spreading over adjacent columns.

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3.8. Remove the column from vacuum apparatus, insert into the collection tube, and centrifuge at $11,000 \times g$ for 30 s at room temperature, to remove any residual lysate. Discard the flow-through.

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3.9. Add 600 μL of Wash Buffer-1 into the column, centrifuge at 11,000 x g for 1 min at room
 temperature, discard the flow-through.

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3.10. Add 750 μ L of Wash Buffer-2 to the column, centrifuge at 11,000 x g for 1 min at room temperature and discard the flow-through.

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3.11. Add 750 μ L of ethanol (96–100%) to the column, centrifuge at 11,000 x g for 1 min at room temperature and discard the flow-through.

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3.12. Centrifuge the column at 20,000 x g for 3 min, by placing it in a clean 2 mL collection tube.

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303 3.13. Dry the membrane column assembly completely by placing it into a new 2 mL collection tube with the lid open and incubating at 56 °C for 10 min.

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3.14. Place the column in a clean 1.5 mL elution tube. To the center of the column membrane,
 apply 20–150 μL of Elution buffer and incubate at room temperature for 3 min with the lid
 closed.

- NOTE: Ensure that Elution buffer is equilibrated to room temperature. In case if using elution
- 310 buffer less than 50 μL, ensure that it is dispensed carefully onto the center of the membrane.
- 311 This helps with complete elution of the bound DNA. However, the elution volume is not fixed
- and can be changed as per the downstream applications. The recovered eluate can be up to 5
- 313 µL and certainly less than the elution volume applied to the column.

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3.15. Centrifuge the recovered eluate in a microcentrifuge at 20,000 x g for 1 min to elute the nucleic acids, and store at -20 °C.

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4. Purification of genomic DNA from buffy coat

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- NOTE: Commercial kit used in this protocol is mentioned in the **Table of Materials**. Buffers and
- reagents mentioned in the below protocol i.e., Lysis buffer A, Lysis buffer B, Wash buffer X,
- Wash Buffer Y, Proteinase Buffer, Elution buffer and Proteinase K are part of this commercial
- 323 kit.

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325 4.1. Preparation of the buffers and reagents

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- 327 CAUTION: Do not add acidic solutions or bleach directly to the sample preparation waste.
- 328 Guanidine salts present in Lysis buffer B and Wash buffer X when combined with bleach or acids
- 329 can produce highly reactive compounds.

330

- 4.1.1. Wash Buffer Y: Mix well 12 mL of Wash Buffer Y concentrate with 48 mL ethanol (96–
- 332 100%) to obtain 60 mL of working Wash Buffer Y. Store at room temperature.

333

NOTE: 60 mL of working Wash Buffer Y is sufficient for processing 100 buffy coat samples and is stable for 1 year.

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4.1.2. Proteinase K: Prepare Proteinase K solution by dissolving 30 mg lyophilized Proteinase Kinto 1.35 mL of Proteinase Buffer.

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- NOTE: Total working solution of Proteinase K is sufficient for processing 52 buffy coat samples.
 - Proteinase K working solution can be stored for at least 6 months at -20 °C.

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343 4.2. Steps before initiation of the procedure

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345 4.2.1. Equilibrate the buffy coat to room temperature.

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4.2.2. Set the heat block or water bath at 56 °C.

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- 4.3. Suspend buffy coat in Lysis buffer A to obtain a final volume of 200 μ L. Then add 25 μ L of
- $\,$ 250 $\,$ Proteinase K solution, and 200 μL of Lysis buffer B. Mix by vortexing and incubate at 70 $^{\circ} C$ for
- 351 10–15 min. Ensure that the samples are completely covered with the lysis solution.

NOTE: For processing series of samples, Proteinase K and Lysis buffer A may be premixed 10–15 minutes before the procedure, but no longer before that, as Proteinase K self-digests in Lysis buffer A without substrate.

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4.4. Add 210 μ L of 96–100% ethanol to the above mixture and vortex vigorously.

358

NOTE: The addition of ethanol may form a stringy precipitate; however, this will not affect the DNA isolation. Be sure to load the precipitate also on the column, as shown in the following steps.

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363 4.5. Load the entire sample onto the silica column placed in a collection tube. Centrifuge for 1 min at 11,000 *x g*. Place the column in a new collection tube and discard the previous tube along with flow-through.

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NOTE: Repeat the centrifugation step if the sample is not drawn completely through the matrix.

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4.6. Add 500 μ L of Wash buffer X, centrifuge for 1 min at 11,000 x g, and discard the flow-through.

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4.7. Place the column into the collection tube, add 600 μ L of Wash Buffer Y onto the column, centrifuge for 1 min at 11,000 x q, and discard the flow-through.

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4.8. Again, place the column into the collection tube, and centrifuge the column for 1 min at $20,000 \times q$ to dry the silica membrane.

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4.9. Incubate the column at room temperature for 1 min, placed into a 1.5 mL microcentrifuge tube and then add 100 μ L of Elution buffer. Then, elute the DNA by centrifuging for 1 min at 11,000 x g and store at -20 °C.

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5. Quantification of cfDNA and genomic DNA using fluorometer

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384 5.1. Before starting the protocol, perform the following steps.

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5.1.1. Dilute 2 μ L of eluted genomic DNA (from step 4.9) in 1:10 proportions with ultrapure nuclease-free water. Due to expected low concentrations, do not dilute cfDNA samples from step 3.15.

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390 5.1.2. Equilibrate the assay Standard #1 and assay Standard #2 to room temperature.

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392 5.2. Prepare a total of 6 thin walled clear tubes of 0.5 mL size.

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NOTE: The protocol presented is for quantification of 2 cfDNA and 2 genomic DNA samples, therefore, 4 tubes for 4 samples and this assay requires 2 standards.

5.3. Label the lids of the tube.

NOTE: Labeling on the side of the tube could interfere with the reading. Additionally, the assay standard tubes are labeled carefully, since calibration of the fluorometer requires that the standards are in the correct order.

5.4. Dilute the assay reagent in 1:200 with the Assay buffer to prepare the working solution. For 4 samples and 2 standards, use 6 μ L of assay reagent plus 1,194 μ L of Assay buffer to make 1,200 μ L (200 μ L in each tube) of working solution.

NOTE: Do not use a glass container, instead use a clean plastic tube. Each tube must contain approximately 200 μ L of the final volume (an assay standard tube must contain 190 μ L of working solution, and the sample tube must contain 180–199 μ L of working solution). Sufficient working solution must be prepared to accommodate all assay standards and samples.

5.5. In the working assay standard tubes, add 190 μ L of working solution and 10 μ L assay standard and mix the solution by vortexing for 2–3 s. Avoid the formation of bubbles within the solution.

5.6. In the sample tubes, add 198 μ L working solution and 2 μ L of cfDNA or genomic DNA. Mix the solution by vortexing for 2–3 s, and keep it incubated at room temperature for 2 min.

5.7. In the 'Home' screen of the fluorometer instrument, press 'DNA' and select 'dsDNA High Sensitivity Assay', to display the 'Standards' screen, then press 'Yes' on the fluorometer 'Standards' screen to read the standards.

5.8. In the sample chamber, insert the assay Standard #1 tube, close the lid, and press 'Read'. Remove the tube once the reading is completed (approximately 3 s) and repeat the same step for Standard #2.

5.9. A sample screen is displayed after the completion of calibration process, then insert a sample tube and repeat step '5.8'. The "sample screen" will then display a value that correspond to the concentration of the sample after dilution in the sample tube.

5.10. For each sample, repeat step '5.9', until all samples are read.

5.11. Use the following equation to calculate the actual concentration of the sample.

435 concentration of the sample = QF value
$$\times \frac{200}{x}$$

NOTE: The assay values are in ng/mL and correspond to the concentration after dilution in the assay tube. Equation mentioned in above step 5.11, QF value is the value given by the fluorometer instrument, and x is the number of microliters of sample added to the assay tube. The units for QF value that are generated by the equation are the same as for the value

provided by the fluorometer. For example, if the value of the fluorometer is in ng/mL, the units for the concentration calculated by the equation are ng/mL.

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6. DNA fragment size distribution of cfDNA by fragment analyzer

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446 6.1. The step before initiating the procedure: Equilibrate the DNA dye concentrate and DNA gel matrix to room temperature for 30 min.

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449 6.2. Preparation of the gel-dye mix

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451 CAUTION: Handle solutions with caution as DMSO is known to facilitate the entry of organic molecules into tissues.

453

6.2.1. Thoroughly thaw the DMSO by vortexing the DNA dye concentrate vial for 10 s. Pipette out 15 μ L of this concentrate into a DNA gel matrix vial and store at 4 °C in the dark.

456

457 6.2.2. Again, vortex the capped vial for 10 s until mixing of the gel and dye is visualized.

458

459 6.2.3. Pour the mix on a spin filter to the top receptacle.

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461 6.2.4. Microcentrifuge the spin filter at 2,240 x $q \pm 20\%$ for 10 min at room temperature.

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463 6.2.5. Label the prepared gel-dye in the tube and discard the filter, as per good laboratory 464 practices. Label the tube and record the date of preparation.

465

NOTE: Discard the filtrate as per good laboratory practices. The gel-dye mix can be used for 5 High Sensitivity (HS) DNA chips. If it is not used for more than 1 h, store at 4 °C. Storage in the dark is possible for up to 6 weeks.

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6.3. To load the gel-dye mix, ensure the position of the base plate of the chip priming station and adjust the clip at the lowest position.

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6.3.1. Equilibrate the gel-dye mix to room temperature for 30 min, while monitoring light exposure.

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476 6.3.2. Take a new HS DNA chip from a sealed bag and place it on the chip priming station, then remove 9.0 μL of the gel-dye mix and dispense it at the bottom of the chip well, marked as 'G'.

478 479

480 481 NOTE: Draw up the gel-dye mix, avoiding particles that may accumulate at the bottom of the vial. While dispensing the gel-dye mix into the HS DNA chip well, insert the tip of the pipette completely, to prevent the formation of large air bubbles. Moreover, touching the pipette at the edges of the well will produce poor results.

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484 6.3.3. Position the plunger at 1 mL and close the chip priming station. Ensure the lock of the

latch clicks and set the timer to 60 s, then press the plunger down until it is held by the clip, and exactly after 60 s, release the plunger with the clip-release mechanism.

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- 488 6.3.4. When the plunger retreats at least to the 0.3 mL mark, wait for 5 s, and then slowly pull back to the 1 mL position, then open the chip priming station and again remove 9.0 μ L of the gel- dye mix and dispense at the bottom of the HS DNA chip well, marked as 'G'.
- 492 6.4. To load the DNA marker, dispense 5 μL of the DNA marker into the well, marked with the
 493 ladder symbol. Repeat the procedure for all the 11 sample wells.
- 495 6.5. To load the ladder and samples, dispense 1 μ L of the DNA ladder in the well, marked with 496 the ladder symbol and then add 1 μ L of sample (used wells) or 1 μ L of marker (unused wells) in 497 all the 11 sample wells.
- 6.6. Vortex the HS DNA chip for 60 s at 2,400 rpm by placing the chip horizontally in the adapter. Ensure that the bulge that fixes the HS DNA chip is not damaged during vortexing.
- 502 6.7. To insert the HS DNA chip in the fragment analyzer instrument, open the lid and ensure 503 that the electrode cartridge is properly inserted, and the chip selector is positioned to 'dsHigh 504 Sensitivity DNA' in the fragment analyzer instrument.
- 506 6.8. Carefully mount the HS DNA chip into the receptacle, which fits one way only, then lose the lid by ensuring that the electrode cartridge fits exactly into the wells of the HS DNA chip.
- 509 6.9. The display on the fragment analyzer software screen indicates the inserted HS DNA chip and the closed lid, through the chip icon at the top left of the screen.
 - 6.10. To initiate the HS DNA chip run, select the dsDNA High Sensitivity Assay from the 'Assay' menu on the instrument screen, then properly fill the table of sample names by feeding information such as sample names and comments and start the chip run by clicking the 'Start' button at the upper right of the screen.
 - 6.11. Electrode cleaning after an HS DNA chip run: Immediately remove the used HS DNA chip, as soon as the assay is completed and dispose it according to good laboratory practices. Perform the following procedure to ensure the electrodes are clean, without leftover residues from the previous assay.
 - 6.11.1. Fill slowly 350 μ L of deionized analysis-grade water into one of the electrode cleaner wells and place the electrode cleaner in the fragment analyzer instrument by opening the lid and then close the lid and wait for about 10 s.
- 526 6.11.2. Remove the electrode cleaner by opening the lid and wait for another 10 s, for the water on the electrodes to evaporate before closing the lid.
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REPRESENTATIVE RESULTS:

Plasma Separation

8.5-9 mL blood collected in cfDNA or cfRNA preservative tubes yields around ~4 mL plasma in volume. The volume of plasma separated from blood collected in EDTA tubes may vary depending on the temperature. Exposure of EDTA tubes containing blood at a temperature higher than 37 °C leads to decreased plasma volume yield⁴⁴.

Fluorometer Assay Results

cfDNA concentration in 1 mL plasma of each of glioma patients #1 and #3 and healthy controls #H1, #H2 and #H3 were 137 ng, 12.6 ng, 6.52 ng, 2.26 ng, and 2.48 ng, respectively. However, in glioma patient #2 cfDNA concentration was too low to be detected in fluorometer. cfDNA concentration in a cancer patient's 1 mL plasma ranges from 0.5 to >1000 ng¹⁸, with a mean value of 20 ng. In healthy persons, cfDNA concentration ranges from an undetectable concentration to 16 ng, with a mean value of 8 ng⁴⁵. Genomic DNA isolated from 200 μ L buffy coat (PBMCs) yields around 25-50 μ g of DNA.

DNA fragment analyzer assay results

According to a previous study, cfDNA is enriched in 166-bp long fragments, which account for ~85% of the total cfDNA in a patient's plasma. In contrast, 332-bp long fragments account for 10%, and 498 bp long fragments account for 5% of cfDNA⁴⁶. Two main factors that affect the cfDNA fragment analyzer assay results are genomic DNA contamination from PBMCs and low concentration of cfDNA. Figure 1 shows the expected cfDNA bioanalyzer graph of glioma patient #1, in which cfDNA fragments are enriched at 166 bp and there is no genomic DNA contamination in the sample²¹. Figure 2 shows the fragment enrichment graph after the NGS library preparation of the cfDNA of glioma patient #1 and fragment enrichment is shifted from 166 bp to 291 bp, due to attachment of 125 bp indexes and adapters to it. Figure 3 shows very slight enrichment of the cfDNA fragments of glioma patient #2. Despite the low cfDNA concentration in glioma patient #2, the addition of NGS adapters to the cfDNA and PCR amplification steps leads to the visible cfDNA library peak on the fragment enrichment graph Figure 4. Therefore, the library was prepared successfully from this low concentration cfDNA sample, as shown in Figure 4. In Figure 5, the cfDNA fragments of glioma patient #3 are shown to peak near 166 bp but genomic DNA contamination is also apparent near the 10380 bp reference ladder peak.

FIGURE AND TABLE LEGENDS:

Figure 1. An ideal cfDNA fragment analyzer assay graph of glioma sample #1 cfDNA. A major peak was observed at 166 bp and a smaller peak was observed at 332 bp. There was no genomic contamination seen in this sample, which occurs close to the 10,380 bp upper marker.

Figure 2. An ideal cfDNA fragment analyzer assay graph of glioma sample #1 cfDNA library. After ligating 125 bp next generation sequencing library adapters, 166 bp and 332 bp cfDNA fragments showed major peak at 291 bp and a smaller peak at 457 bp respectively.

Figure 3. cfDNA fragment analyzer assay graph of glioma sample #2 cfDNA, showing a very small peak at 166 bp. Due to the low concentration of cfDNA, peak at 166 bp was barely visible.

Figure 4. cfDNA fragment analyzer assay graph of cfDNA library of glioma sample #2. After ligating 125 bp next generation sequencing library adapters and performing PCR cycles during library preparation, the barely visible cfDNA peak at 166 bp or completely invisible peaks at 332 bp and 498 bp, were clearly visible. A major peak was present close to 291 bp and smaller peak at 457 bp, 623 bp, 789 bp and near 2,500 bp were observed.

Figure 5. cfDNA fragment analyzer assay showing genomic DNA contamination in gloma sample #3 cfDNA. Small peak at 166 bp indicated the presence of cfDNA and enrichment near upper marker (10,380 bp) was genomic DNA contamination.

Table S1. Volumes of the lysis buffer and carrier RNA (dissolved in Buffer AVE) required for processing 1 mL of plasma samples.

DISCUSSION:

Collection of a patient's blood in a tube, shipment and storage are crucial initial steps in liquid biopsy. Improper handling can impair the quality of the plasma and, therefore, can interfere with the results of the liquid biopsy⁴⁷. If a blood sample is collected in an EDTA blood tube, the plasma must be separated within two hours of blood collection to avoid lysis of WBCs and release of its genomic DNA into the plasma⁴⁸. WBCs can also undergo apoptosis in an EDTA tube if kept for a longer time, and the resulting cfDNA fragments can contaminate original cfDNA in the plasma⁴⁸. Exposure of a blood sample to a high temperature (>37 °C) or excessive shaking of the tube before plasma separation causes hemolysis. The hemoglobin that consequently remains as a contaminant in plasma affects the downstream processes of cfDNA such as during library preparation⁴⁹. High temperature (>37 °C) also affects the yield of plasma in an EDTA blood tube. Therefore, prompt separation of plasma after its collection in an EDTA tube⁵⁰ is a significant step in the quality control of the method. If blood is collected in commercial cfDNA or cfRNA Preservation Tube, the preservative chemical should be mixed with the blood immediately after the blood collection⁴⁰. Failure to properly mix the preservative chemical leads to the formation of microclots in the plasma. These clog the silica membranes in a column during the cfDNA extraction process and lowers the yield of cfDNA. Moderate shaking of blood in the tube does not cause hemolysis; however, excessive shaking can cause hemolysis even in these tubes⁴⁰. Therefore, during the shipment or handling of these tubes, excessive shaking should be avoided. Blood tubes are recommended to be shipped in their upright position.

 The accurate quantification and assessment of cfDNA concentration per unit plasma is an important step in liquid biopsy, since plasma cfDNA concentration is directly associated with physiological and pathological processes⁵¹. The cfDNA concentration is higher in patients with cancer than in healthy subjects⁵². Increased cfDNA concentration in human blood is not specific to cancer; pregnant women and patients who receive transplantations also tend to have elevated cfDNA concentrations in their blood²¹. Associations have also been shown for

inflammation, tissue trauma, diabetes, myocardial infarction and sepsis with increased level of cfDNA concentration⁵³. The concentration of plasma cfDNA in early stage cancer patients tends to be lower than in patients with advanced or metastatic tumors. This supports a direct association of cfDNA with a tumor burden⁵⁴. The cfDNA concentration in the blood varies significantly ranged between 0.5 and >1000 ng/mL in cancer patients and between 0 and 100 ng/mL in healthy subjects¹⁸. For the library preparation, a minimum of 0.5 ng of cfDNA required. To achieve the minimum demand quantity of cfDNA for sequencing, one can start with a minimum of 2 mL of a blood sample or 1 mL plasma sample. Moreover, technical errors during the plasma cfDNA extraction also reduce the cfDNA yield, and the resultant concentration, thereby deviating from the actual plasma cfDNA concentration. cfDNA degradation may occur due to anticoagulants in EDTA tubes or due to the process of multiple thawing and freezing of plasma samples. A poor activity of proteinase K during the lysis process also results in a low yield of cfDNA. Proteinase K activity decreases due to its prolonged exposure to high temperature. The use of low concentration ethanol instead of 96-100% during the cfDNA extraction washing step also causes a low yield of cfDNA. Therefore, avoiding technical errors in the liquid biopsy technique is important for achieving accurate and reliable results.

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Qualitative analysis of cfDNA is a central step, during which the actual distribution of DNA fragments present in the extracted cfDNA sample are estimated. Quantitative results of cfDNA are reliable only after confirming its purity by qualitative analysis. Therefore, an ideal graph of cfDNA generated in the DNA fragment analyzer assay shows enrichment of the major peak of cfDNA fragments near 166 bp, and a smaller peak near 332 bp length. This indicates that the extracted cfDNA sample is not contaminated with genomic DNA from WBCs. If a fragment is enriched from 7,000 bp to the reference ladder (10,380bp) and beyond, this indicates contamination of a cfDNA sample with WBC genomic DNA. If genomic DNA contamination is seen in a cfDNA sample after the DNA fragment size estimation assay, the sample can be run on 2% agarose gel with a 100 bp ladder, and gel can be excised between the range ~150-200 bp, followed by a gel extraction assay. Some amount of cfDNA is lost during the agarose gel size selection process. However, NGS library preparation step requires a minimum of 0.5 ng and a maximum of 1,000 ng of input DNA material to prepare libraries for sequencing. Therefore, if the total quantity of cfDNA is equal to or more than 0.5 ng, it is enough quantity for library preparation step. Moreover, such samples pass the library preparation process successfully and the resulting library shows a major peak close to 291 bp and a smaller peak at 457 bp, due to the addition of 125 bp indexes and adapters.

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The NGS data analysis is the last step in the NGS-based liquid biopsy technique, and lists of mutations and gene-gene fusions^{3,55–57} are generated in this step. Mutations and gene-gene fusions that are identified can be assessed according to previous studies on cancer mutation landscapes^{58,59}, previously characterized gene mutations⁶⁰ or gene-gene fusions^{61–63}. These may serve as prognostic⁶⁴ and diagnostic⁷ biomarkers in cancer patients. For example, the R132H mutation in the isocitrate dehydrogenase 1 (*IDH1*) gene is a decisive signpost of secondary glioblastoma cancer, and also distinguishes it from primary glioblastoma in which this mutation is normally absent⁶⁵. Moreover, chronic myelogenous leukemia (CML) is characterized by α

BCR/ABL1 gene fusion resulting from a balanced translocation between chromosome 9 and 22⁶¹. The *BCR/ABL1* gene and its mRNA and fusion protein are unique to CML progenitors and, therefore, constitute a good target for therapy⁶⁶.

Advanced diagnosis, the correct staging of the tumor and treatment monitoring is a current strategy for the efficient management of cancer. Until recently, tissue biopsy has been the gold standard for histological evaluation of tumor tissues. Yet, following vast research in this area and the advent of technology it have proven that a single biopsy is unable to provide the whole mutational landscape of a tumor and that serial biopsies are usually impractical to be performed due to their invasiveness, particularly in glioblastoma and lymphoma, where biopsies are highly invasive. Additionally, approximately 16% of needle biopsies are associated with procedural complications⁶⁷. Moreover, high-quality genomic profiling requires a sufficient amount of tissue, a demand that is usually not fulfilled by the needle biopsy⁶⁸.

The liquid biopsies, from a routine blood sample by analyzing tumor-derived DNA, is found to replace or adjunct the routine needle tissue biopsy procedures¹². Analysis of tumor-derived DNA/ cfDNA through plasma by liquid biopsy is a minimally invasive and effective procedure for molecular profiling of tumors. It can essentially allow treatment monitoring by serial sampling over time without the potential risks and complications usually associated with traditional tumor tissue biopsy due to its invasive nature⁶⁹. Moreover, studies comparing the needle biopsy with cfDNA analysis by liquid biopsy of a single tumor lesion found that cfDNA profiling can offer a full molecular heterogeneity that a tumor can be harbored by multiple distinct clonal populations⁷⁰.

However, although plasma cfDNA based diagnosis has many advantages over tumor tissue biopsy, there are still some crucial limitations that hamper its applicability in the general clinical practice. Limitations of cfDNA based liquid biopsy diagnosis includes higher cost of overall procedure, need for high-quality DNA and a dedicated bioinformatician for the necessity of a vast data analysis⁷¹. Due to data management issue associated with cfDNA analysis, NGS also poses problems in its immediate application in the clinic. The challenges of the data management that NGS poses is primarily due to the difficulty in distinguishing between the intrinsic background noise of deep sequencing and aberrations that associates with the tumour⁷². Lastly, due to the unavailability of the clinical validity and utility data for the majority of the liquid biopsy assays, they are currently confined only to the clinical studies and basic researches¹³.

The future developments in the liquid biopsy technique, are expected through combined efforts by basic research in the field of biology, physiology, molecular biology, assay techniques, statistical analysis for data management, and machine-learn technology^{73,74}. Additionally, numerous clinical trials based on cfDNA biomarker evaluation are ongoing, and their results will help establish the validity of the technique. These combined efforts hopefully will establish the liquid biopsy platform through cfDNA analysis as a powerful prognostic, diagnostic, and treatment monitoring tool in the oncology clinical setting within the next five years^{70,75}.

To conclude, the cfDNA based liquid biopsy offers a safe and non-invasive approach for identifying genomic biomarkers that are useful in cancer disease management. However, to achieve reliable and accurate results, standardized protocols for blood collection, plasma/WBC separation, cfDNA extraction, and cfDNA quantitative and qualitative analysis are particularly required^{74,75}.

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The authors declare that they have no competing financial interests.

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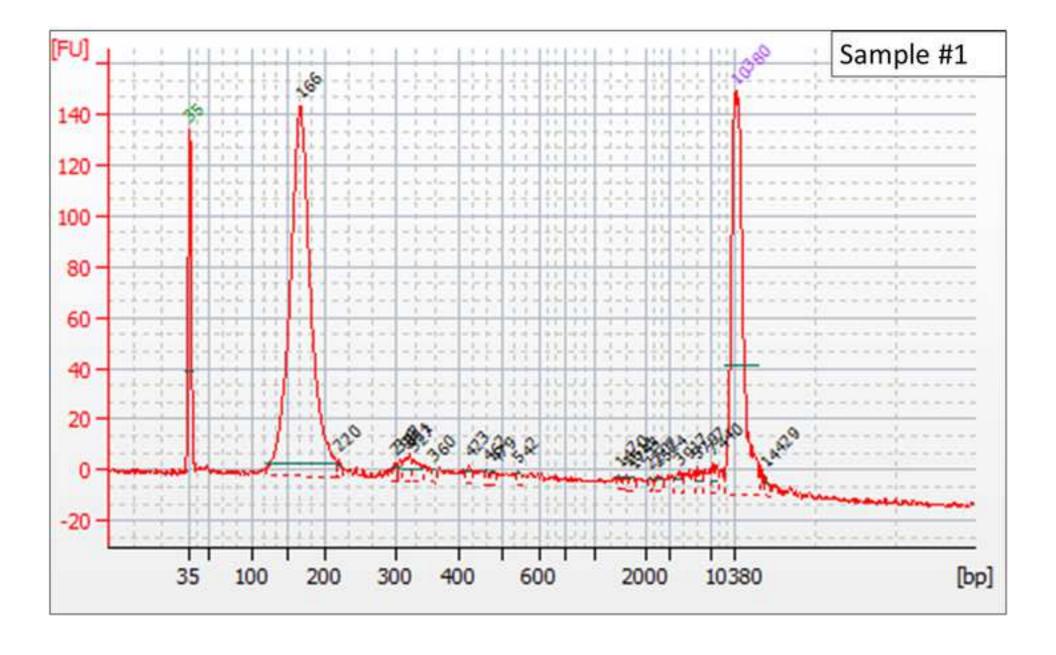
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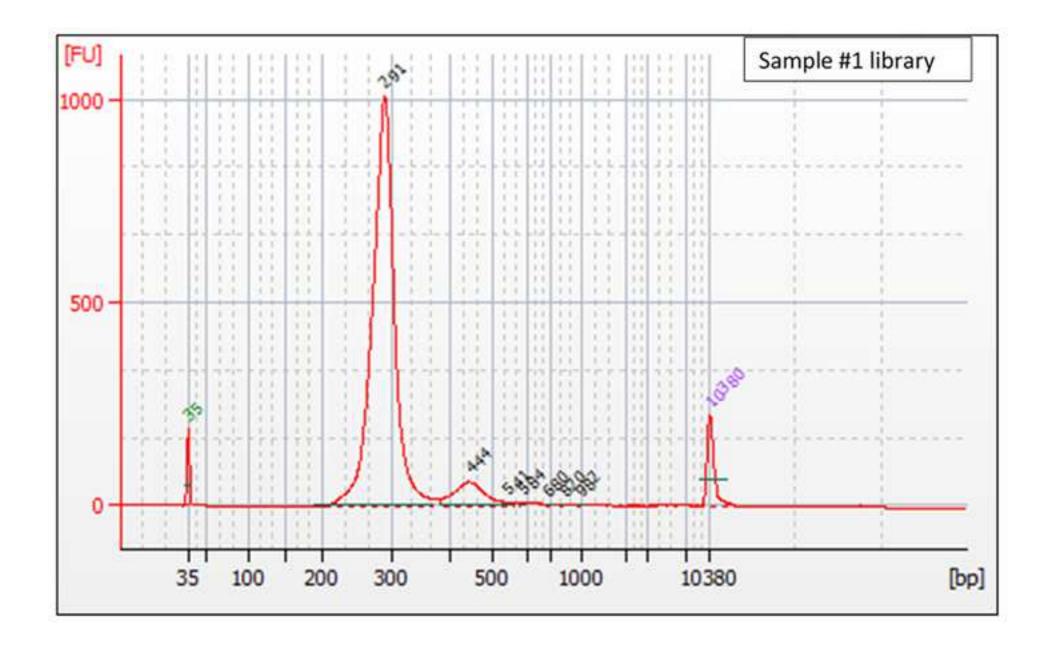
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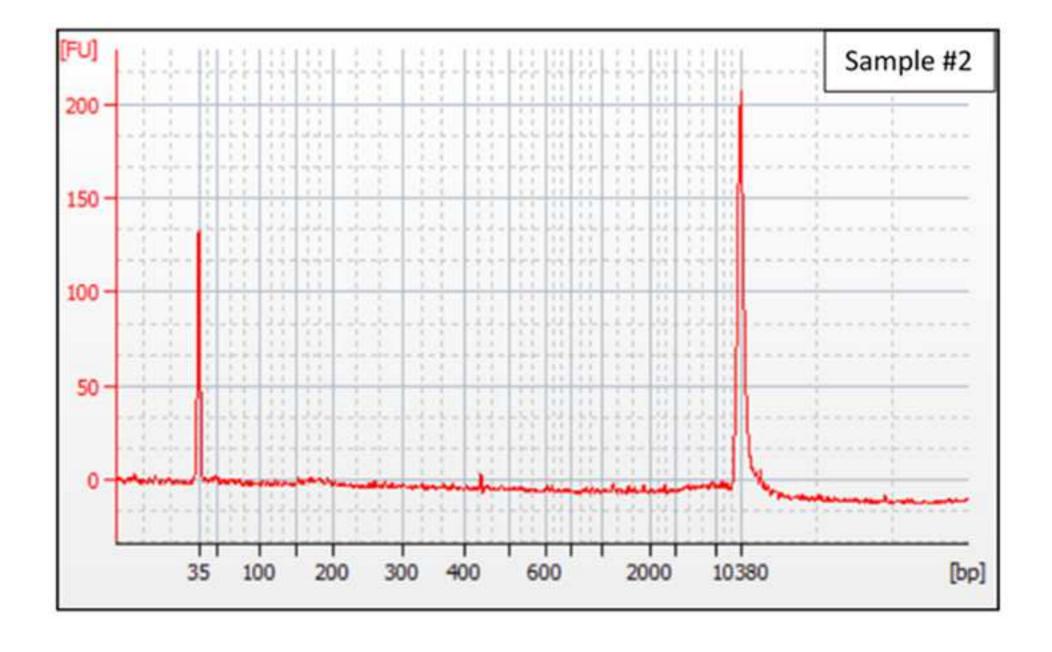
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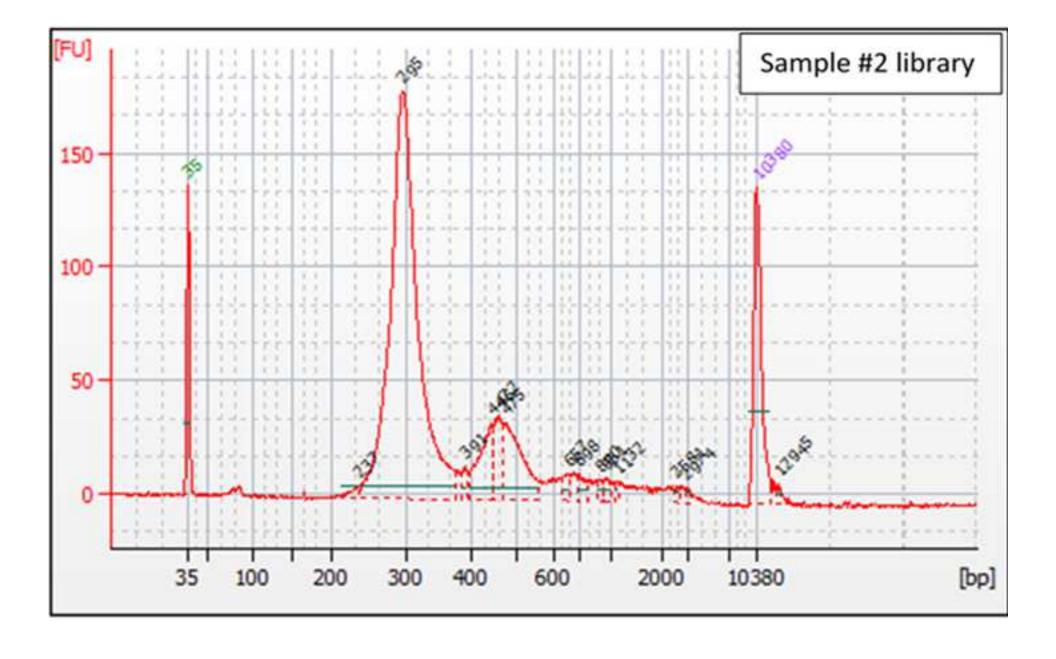
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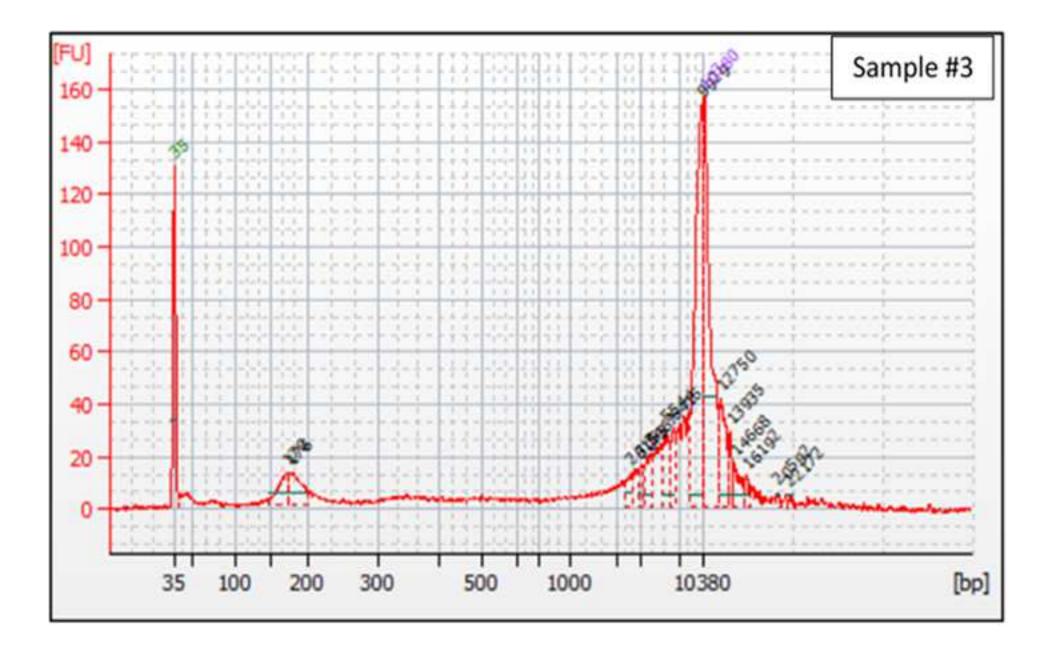


Table S1. Volumes of Lysis buffer and carrier RNA (dissolved in Elution buffer) required for processing 1

Sample number	Lysis buffer (ml)	Carrier RNA in Elution buffer (μΙ)	
1	0.9	5.6	
2	1.8	11.3	
3	2.6	16.9	
4	3.5	22.5	
5	4.4	28.1	
6	5.3	33.8	
7	6.2	39.4	
8	7	45	
9	7.9	50.6	
10	8.8	56.3	
11	9.7	61.9	
12	10.6	67.5	
13	11.4	73.1	
14	12.3	78.8	
15	13.2	84.4	
16	14.1	90	
17	15	95.6	
18	15.8	101.3	
19	16.7	106.9	
20	17.6	112.5	
21	18.5	118.1	
22	19.4	123.8	
23	20.2	129.4	
24	21.1	135	

ml plasma samples.

Sr. No.	Name of Material/Equipment	Company	Catalog Number
1	2100 Bioanalyzer Instrument	Agilent Technologies, Inc.	G2939BA
2	Adjustable Clip for Priming Station	Agilent Technologies, Inc.	5042-1398
3	Agilent High Sensitivity DNA Kit	Agilent Technologies, Inc.	5067-4626
4	cf-DNA/cf-RNA Preservative Tubes	Norgen Biotek Corp.	63950
5	Chip Priming Station	Agilent Technologies, Inc.	5065-4401
6	Electrode Cleaner Kit	Agilent Technologies, Inc.	5065-9951
7	Filters for Gel Matrix	Agilent Technologies, Inc.	185-5990
8	IKA Basic Chip Vortex	IKA-Werke GmbH & Co. KG	MS-3-S36
9	NucleoSpin Tissue kit	MACHEREY-NAGEL	740952.5
10	QIAamp circulating nucleic acid kit	Qiagen	55114
11	QIAvac 24 Plus vacuum manifold	Qiagen	19413
12	QIAvac Connecting System	Qiagen	19419
13	Qubit 2.0 fluorometer	Invitrogen	Q32866

14	Qubit assay tubes	Thermo Fisher Scientific	Q32856
15	Qubit dsDNA HS Assay Kit	Thermo Fisher Scientific	Q32851
16	Vacuum Pump	Qiagen	84010
	Miscellaneous		
17	50 ml centrifuge tubes		
18	Crushed ice		
19	Ethanol (96–100%)		
	Heating block or similar at 56 °C		
20	(capable of holding 2 ml collection		
	tubes)		
21	Isopropanol (100%)		
22	Microcentrifuge		
23	Phosphate-buffered saline (PBS)		
24	Pipettes (adjustable)		
	Sterile pipette tips (pipette tips with		
25	aerosol barriers are recommended to		
23	help prevent cross-contamination)		
	ncip prevent cross-containination)		
2.0	Water bath or heating block capable of		
26	holding 50 mL centrifuge tubes at 60 °C		
	5		

Comments/Description

The 2100 Bioanalyzer system is an established automated electrophoresis tool for the sample quality control of biomolecules.

Used in combination with syringe to apply defined pressure for chip priming.

The High Sensitivity DNA assays are often used for sample quality control for next-generation sequencing libraries

Norgen's cf-DNA/cf-RNA Preservative Tubes are closed, evacuated plastic tubes for the collection and the preservation of cf-DNA, circulating tumor DNA, cf-RNA and circulating tumor cells in human whole blood samples during storage and shipping

Used to load gel matrix into a chip with a syringe provided with each assay kit— used for RNA, DNA, and protein assays. Includes priming station, stop watch, and 1 syringe clip

Prevents cross-contamination. Removes bacterial or protein contaminants from electrodes.

Used for proper mixing of DNA dye concentrate and DNA gel matrix

Used for proper mixing of DNA ladder and DNA sample on Bioanalyzer assay chips

With the NucleoSpin Tissue kit, genomic DNA can be prepared from tissue, cells (e.g., bacteria), and many other sources.

The QIAamp Circulating Nucleic Acid Kit enables efficient purification of these circulating nucleic acids from human plasma or serum and other cell-free body fluids.

The QIAvac 24 Plus vacuum manifold is designed for vacuum processing of QIAGEN columns in parallel.

In combination with the QIAvac Connecting System, the QIAvac 24 Plus vacuum manifold can be used as a flow-through system. The sample flow-through, containing possibly infectious material, is collected in a separate waste bottle.

The Qubit 2.0 Fluorometer is an easy-to-use, analytical instrument designed to work with the Qubit assays for DNA, RNA, and protein quantitation.

Qubit assay tubes are 500 µL thin-walled polypropylene tubes for use with the Qubit Fluorometer.

The Qubit dsDNA HS (High Sensitivity) Assay Kit is designed specifically for use with the Qubit Fluorometer. The assay is highly selective for double-stranded DNA (dsDNA) over RNA and is designed to be accurate for initial sample concentrations from 10 pg/ μ L to 100 ng/ μ L.

used for vacuum processing of QIAGEN columns

Response to comments from Jove Editorial team

Authors thank reviewers for their remarks. Authors have replied to the reviewer's comments in the manuscript in red color font. Some key changes are as follows.

- 1. Authors have read the entire manuscript multiple times and changes are made where necessary.
- 2. Detailed information about the type of cancer patients included in this protocol are written in the manuscript.
- 3. Number of references are now reduced from 130 to 79.
- 4. Redundant information has been removed from the manuscript.
- 5. There was no commercial names in the first version of manuscript but the reviewer in the first revision asked to specifically write commercial names of the kits and equipment's used in the protocol, However, authors have again replaced the commercial names with the generic names throughout the manuscript.
- 6. Additional information in the protocol step is now moved to the notes.
- 7. Patients and healthy control's results are added and explained.
- 8. Authors have reduced the highlighted text such that if highlighted text is written on a separated page without 1 line space, it totals less than 2.75 pages.
- 9. As suggested by editor, authors have rewritten the text that was overlapping with previously published literature.