# Journal of Visualized Experiments A simplified method for preparation of peripheral nerve cuff electrodes for electrical stimulation

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TITLE:

Preparation of Peripheral Nerve Stimulation Electrodes for Chronic Implantation in Rats

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#### **KEYWORDS:**

Vagus nerve, cuff electrode, peripheral nerve, stimulation

#### **SUMMARY:**

Existing approaches for constructing chronically implantable peripheral nerve cuff electrodes for use in small rodents often require specialized equipment and/or highly trained personnel. In this protocol we demonstrate a simple, low-cost approach for fabricating chronically implantable cuff electrodes, and demonstrate their effectiveness for vagus nerve stimulation (VNS) in rats.

#### **ABSTRACT:**

Peripheral nerve cuff electrodes have long been used in the neurosciences and related fields for stimulation of, for example, vagus or sciatic nerves. Several recent studies have demonstrated the effectiveness of chronic VNS in enhancing central nervous system plasticity to improve motor rehabilitation, extinction learning, and sensory discrimination. Construction of chronically implantable devices for use in such studies is challenging due to rats' small size, and typical protocols require extensive training of personnel and time-consuming microfabrication methods. Alternatively, commercially available implantable cuff electrodes can be purchased at a significantly higher cost. In this protocol, we present a simple, low-cost method for construction of small, chronically implantable peripheral nerve cuff electrodes for use in rats. We validate the short and long-term reliability of our cuff electrodes by demonstrating that VNS in ketamine/xylazine anesthetized rats produces decreases in breathing rate consistent with activation of the Hering-Breuer reflex, both at the time of implantation and up to 10 weeks after device implantation. We further demonstrate the suitability of the cuff electrodes for use in chronic stimulation studies by pairing VNS with skilled lever press performance to induce motor cortical map plasticity.

#### **INTRODUCTION:**

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Recently, the demand for chronically implantable cuff electrodes for stimulation of peripheral nerves has grown, as studies increasingly demonstrate the preclinical usefulness of this technique for the treatment of numerous inflammatory diseases<sup>1-3</sup> and neurological disorders<sup>4-15</sup>. Chronic VNS, for example, has been shown to enhance neocortical plasticity in a variety of learning improving motor rehabilitation<sup>4–8</sup>, extinction learning<sup>10–14</sup>, discrimination<sup>15</sup>. Commercially available peripheral nerve cuff electrodes are often associated with extended times for order fulfillment and relatively high costs, which can limit their accessibility. Alternatively, protocols for "in-house" fabrication of chronically implantable cuff electrodes remain limited, and rodent anatomy presents particular challenges due to their small size. Current protocols for constructing cuff electrodes for chronic rodent experiments often require the use of complex equipment and techniques, as well as extensively trained personnel. In this protocol, we demonstrate a simplified approach to cuff electrode fabrication based on previously published and widely used methods<sup>16,17</sup>. We validate the functionality of our chronically implanted electrodes in rats by demonstrating that, at the time of cuff implantation around the left cervical vagus nerve, stimulation applied to the cuff electrodes successfully produced a cessation of breathing and drop in SpO2. Stimulation of afferent pulmonary receptor vagal fibers is known to engage the Hering-Breuer reflex, in which the inhibition of several respiratory nuclei in the brainstem results in the suppression inspiration<sup>18</sup>. Thus, cessation of breathing consistent with the Hering-Breuer reflex, and the resulting drop in SpO2, provide a straightforward test for proper electrode implantation and cuff function in anesthetized rats. To validate the long-term functionality of chronically implanted cuff electrodes, reflex responses were measured at the time of implantation and compared to the responses obtained in the same animals six weeks after implantation. A second group of rats was implanted with VNS cuff electrodes after behavioral training on a lever pressing task. In these rats, VNS paired with correct task performance produced reorganization of the cortical motor map, consistent with previously published studies<sup>19–22</sup>. At the time of motor cortical mapping under anesthesia, which occurred 5-10 weeks after device implantation, we further validated cuff function in VNS-treated animals by confirming that VNS successfully induced a cessation of breathing and a greater than 5% drop in SpO<sub>2</sub>.

The recently published protocols from Childs et al.<sup>17</sup> and Rios et al.<sup>16</sup> provide a well-validated starting point for a simplified cuff electrode fabrication approach, as this popular method has been utilized by multiple labs conducting chronic VNS studies in rodents<sup>1–11</sup>. The original method involves several high-precision steps for manipulating the fine microwires such that cuff electrode fabrication takes over an hour to complete, and extensive training to perform reliably. The simplified approach described here requires significantly fewer materials and tools and can

be completed in under one hour by minimally trained personnel.

#### PROTOCOL:

All procedures described in this protocol are carried out in accordance with the NIH Guide for the Care and Use of Laboratory Animals and were approved by the Institutional Animal Care and Use Committee of The University of Texas at Dallas.

# 1. Stimulating cuff electrode fabrication

1.1. Prepare the cuff tubing.

1.1.1. Using a razor blade, cut a piece of polymer tubing 2.5 mm in length. Insert forceps tips or a paper clip through the tubing and use the blade to make a slit lengthwise through the wall of the tubing on one side the cuff.

1.1.2. Remove the forceps from the tubing and insert a large sewing needle through the midline of the cuff, perpendicular to the long axis. Insert the needle through the slit (top) and into the center of the tubing opposite (bottom). Place the needle into the foam board to pin the cuff in place during the remaining assembly steps.

1.2. Place suture for securing cuff closure during implantation.

1.2.1. Insert the small sewing needle through the wall of the cuff, on the midline, approximately 0.5 mm from the top slit on one side. Insert the needle from interior to exterior to avoid damaging the cuff tubing. Insert a 2 cm length of 6/0 suture through the eye of the needle and pull the needle through the wall of the tubing to thread the suture into the cuff.

1.2.2. Leaving the thread in place, remove the needle and puncture a second hole through the tubing wall approximately 0.5 mm below the first hole, along the midline of the cuff. Insert the suture through the eye of the needle and pull the needle through the tubing wall to again thread the suture through the cuff.

1.2.3. Both ends of the suture thread should now be on the exterior side of the cuff. Adjust the suture so that ~1.5 cm extends from the top hole, and ~0.5 mm extends from the bottom hole.

1.2.4. Apply a small amount of UV cure adhesive to the short end of the suture extending from the lower hole and pull the longer suture end until the lower tail is nearly flush with the exterior wall of the tubing. Use the UV wand to cure the adhesive and hold the suture firmly in place.

121 1.2.5. Repeat steps 1.2.1 through 1.2.3 on the opposite side of the cuff.

123 1.3. Place the Platinum:Iridium (Pt:Ir) wire leads.

1.3.1. Use the small sewing needle to make 4 holes in the cuff wall. Each pair of holes should be placed approximately 0.5–0.8 mm from the perpendicular midline, with a hole approximately 0.5–0.8 mm from the top slit on either side of the cuff.

129 CAUTION: For the most consistent and accurate placement of the leads, insert the needle from interior to exterior to make all holes, using the suture placement as a guide.

1.3.2. Insert the sewing needle again, this time working from exterior to interior, through lead hole 1. Insert approximately 0.5 cm of a 7.5 cm length of Pt:Ir wire through the eye of the needle and pull the needle through the tubing to thread the wire lead through the cuff wall. Adjust the wire so that ~4.5 cm extends on the exterior side of the cuff (**Figure 1A**).

1.3.3. Insert the needle through lead hole 1 again, again working exterior-to-interior, and additionally insert the needle through lead hole 2 directly across from lead hole 1. Insert ~0.5 cm of the shorter (interior) end of the Pt:Ir wire through the eye of the needle and pull the needle through the tubing to thread the wire lead through the cuff walls.

NOTE: Both ends of the Pt:Ir wire should now be on the exterior side of the cuff, and a wire loop is formed around the slit edge and through lead hole 1 (**Figure 1B**).

1.3.4. Repeat steps 1.3.1 through 1.3.3 to place Pt:Ir wire through lead holes 3 and 4.

147 1.3.5. Using a butane lighter, carefully remove the insulation from a 5–6 mm length at the end of Pt:Ir wires extending from lead hole 2 and lead hole 4.

CAUTION: Isolate the ends of the leads from the rest of the cuff assembly carefully to avoid damaging to the cuff. Use tools to hold the wires to avoid injury.

1.3.6. Align the bare wire inside the cuff to place the leads in their final locations. To do this, gently pull on the end of the Pt:Ir wire extending from hole 1 until the uninsulated portion of wire is flush with hole 1. Repeat with the other lead to align the uninsulated end of the wire threaded through lead holes 3 and 4.

1.3.7. Apply a small amount of UV cure adhesive to the wire loops on the exterior side of the cuff
 at lead holes 1 and 3. Use the UV wand to cure the adhesive and secure the leads in place.

1.3.8. Use a small pipette tip to push the uninsulated Pt:Ir wire leads against the interior wall of the cuff. Once the leads are in place, cut the ends of the wires extending from lead holes 2 and 4 so that approximately 1 mm of wire extends beyond the exterior of the cuff wall.

1.3.9. Fold the 1 mm tails of the wire flat against the exterior surface of the cuff, taking care not to short them together. Apply a small amount of UV cure adhesive to just cover the two tails and cure the adhesive to secure lead placement and provide electrical insulation.

CAUTION: It is important to fully cover the externally exposed Pt:Ir surfaces with adhesive to insulate the wires and avoid off-target stimulation.

1.4. Secure the Pt:Ir wire leads in place with suture securement.

1.4.1. Remove the large needle with the cuff assembly from the foam board. Insert a 3 cm length of 6/0 suture through the eye of the needle and pull the needle through the tubing to thread the suture through the bottom of the cuff at the midpoint.

1.4.2. Switch to the small sewing needle to complete suture threading for Pt:Ir lead securement. Insert the needle through the same midline hole, working again from interior to exterior to avoid deformation of the tubing and the wire leads. Insert the exterior tail of the suture through the eye of the needle and pull the needle through the cuff wall to create a loop of suture around the edge of the cuff (Figure 1C).

NOTE: Use forceps, work under the microscope to ensure the suture is oriented along the long axis of the cuff and lies flat against the tubing. This step ensures the leads remain separated on the interior side of the cuff and are held in place lateral to the cuff midline.

1.4.3. Create a second loop around the opposite end of the cuff by tying the ends of the suture in a half knot, on the exterior side of the cuff. Ensure the suture runs along the long axis of the cuff and lies flat against the tubing. While holding the knot tight so it lays flat against the tubing, apply a small amount of UV cure adhesive to the half-knot and cure to hold in place.

1.4.4. Carefully cut the ends of the suture thread as close to the knot as possible. If necessary, use a small amount of additional UV cure adhesive to glue the short ends of suture so they lay flat against the tubing (**Figure 1D**).

1.5. Solder connector pins to the Pt:Ir wire leads.

1.5.1. Using a butane lighter, remove the insulation from ~3 mm at the end of each of the Pt:Ir wire leads. Solder the cup side of a gold pin (see **Table of Materials**) to the uninsulated end of each lead.

1.6. Test the impedance of the assembled device.

1.6.1. Connect the gold pins to the inputs of an LCR meter or electrode impedance check module and set the test frequency to 1 kHz. Submerge the cuff tubing (and Pt:Ir stimulation contacts interior to the cuff) in a small beaker filled with saline, taking care to keep the gold lead pins and probe connectors dry. Verify that the assembled cuff has an impedance at 1 kHz of less than 2 kW before proceeding with implantation.

NOTE: High impedance often indicates inadequate Pt:Ir surface area exposed, which can arise due to factors such as insufficient removal of insulation, accidental application of adhesive in the cuff interior, broken wire strands, etc. Cuffs should also be inspected for broken or poorly placed wire strands that could result to shorted contacts with long-term use.

2. Head-cap construction

NOTE: Headcap assembly procedures are similar to those published previously (Childs et al.<sup>17</sup>), and are summarized here for convenience.

# 2.1. Assemble the headcap<sup>17</sup>

2.1.1. Cut two small pieces of 30 AWG wire wrap, one ~13 mm in length and one ~10 mm in length. Strip the ~1.5 mm of insulation off each end of both wires. Solder the pin side of a gold pin to one end of each wire, as close to the cup as possible. Use wire cutters to cut off excess length of pin beyond the solder joint.

2.1.2. Solder the other ends of the AWG wires to the two central solder cups of a 4-pin microstrip connector.

2.1.3. Bend the wire headcap leads up toward the connector and place the gold pins flat against the connector, parallel to each other, as shown in **Figure 2A**. The pin connected to the shorter wire should be placed below the pin connected to the longer wire. Use nail acrylic, dental cement, or UV cure adhesive to secure the headcap leads in place.

## 3. Device usage

3.1. Implant the cuff electrodes for chronic vagus nerve stimulation.

NOTE: All surgical procedures should be performed using sterile or aseptic technique under appropriate anesthesia, in accordance with NIH Guidelines for the Care and Use of Laboratory animals and with local IACUC approval. The following procedures are meant to illustrate a representative usage of the device and are not intended to be comprehensive.

3.1.1. Place the rat in a stereotaxic frame and make a sagittal incision over the parietal and occipital bones to reveal the skull surface for implantation of the headcap/connector. Carefully drill 4 holes in the skull and place jeweler's screws, Use dental acrylic to secure the headcap to the skull and screws.

3.1.2. Remove the rat from the stereotaxic frame and lay on its right side. Make a vertical incision in the skin on the left side of the neck, and carefully dissect the left vagus nerve from the carotid artery, located between the sternomastoid and sternohyoid muscles and underneath the omohyoid muscle.

3.1.3. Place the vagus nerve inside the cuff and secure the device closed by tying a double knot in the cuff sutures. Be careful to avoid damaging the nerve during implantation by manipulating the nerve with blunt, nonconductive hooks or by grasping connective tissue surrounding the nerve.

3.1.4. Tunnel the cuff leads subcutaneously toward the skull. Connect the leads to the headcapusing the gold pins.

3.1.5. Test the implant by applying stimulation to the device (10 s train of 0.8 mA, 30 Hz, 100  $\mu$ s biphasic pulses). Proper implantation will result in cessation of breathing and a drop in SpO<sub>2</sub> of 5% or more.

3.1.6. Cover the gold pins and exposed leads with dental acrylic, close wounds with sutures, and clean the incision sites with saline, alcohol, povidone iodine solution.

3.1.7. Provide replacement fluids, analgesics and postoperative care in line with NIH guidelines and IACUC approval.

3.2. Stimulate the vagus nerve during awake behavior.

NOTE: Delivery of VNS as animals perform specific motor tasks has previously been shown to expand the motor map representation of task-relevant musculature. We use this validated paradigm to provide a representative example of device usage, but many other behavioral paradigms and/or stimulation parameters may be relevant to alternative applications. Rats were trained to proficiency on the lever press task used here prior to device implantation. Post-surgery, good performance was again verified prior to VNS delivery: rats performed at least 100 successful trials in two 30 min training sessions per day. VNS was paired with correct lever presses during 10 subsequent training sessions over 5 days.

3.2.1. Connect the rat to a stimulus generator via implanted head-cap and adjust to appropriate stimulation settings. For VNS-induced reorganization of the motor cortical map, deliver a single train of 15 biphasic pulses, each with a width of 100  $\mu$ s and amplitude of 800  $\mu$ A, at a frequency of 30 Hz.

3.2.2. A stimulation train is delivered immediately after detection of each successful lever press throughout ten 30 min training sessions. During VNS-delivery, use an oscilloscope to monitor successful delivery of current stimulation.

3.3. Validate chronically implanted cuff function.

3.3.1. Within 24 h of the last VNS-paired training session, use intracranial microstimulation (ICMS) to quantify the functional somatotopic map in the motor cortex<sup>19–22</sup>.

3.3.2. After induction of anesthesia for ICMS mapping of the motor cortex, validate cuff function again by applying a 10 s train of 30 Hz, 0.8 mA current stimulation (100  $\mu$ s biphasic pulses), which should result in a cessation of breathing and reduction in SpO<sub>2</sub> levels of at least 5%, consistent with the Hering-Breuer reflex.

NOTE: Depending on the application, cuff function may be considered acceptable if a reliable  $SpO_2$  drop of less than 5% is observed, or if higher current amplitudes (up to 1.6 mA) reliably produce at least a 5% reduction in  $SpO_2$ . Failure to observe a cessation of breathing and/or a

reliable decrease in SpO<sub>2</sub> is indicative of implant failure.

# REPRESENTATIVE RESULTS:

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Vagus nerve cuff electrodes and headcaps were chronically implanted in rats according to previously published surgical procedures 17,19-22. Prior to implantation, impedance at 1 kHz was measured across the cuff leads with the cuff tubing submerged in saline (impedance =  $1.2 \pm 0.17$  $k\Omega$  [mean ± std]; N = 9). Only cuffs with impedances less than 2  $k\Omega$  in saline were implanted; all cuffs met this criterion (0/9 cuffs excluded). During implantation surgeries, functional validation of all cuffs was performed by testing for a stimulation-induced brief cessation of breathing and subsequent drop in blood oxygen saturation attributed to the Hering-Breuer reflex. To evoke this response, a 10 s train of 30 Hz, 0.8 mA current stimulation (100 µs biphasic pulses) was delivered across the cuff leads. For 9/9 implanted cuffs, we observed a VNS-induced cessation of breathing for the duration of the 10 sec stimulation, which was accompanied by a drop in SpO<sub>2</sub> of at least 5% (% change in  $SpO_2 = -10.3 \pm 3.2\%$ , mean  $\pm$  std; range = -5.7 to -14.5%), confirming cuff function and proper implantation. During initial implantation, we found a significant correlation between initial SpO<sub>2</sub> readings and the percent change in SpO<sub>2</sub> evoked by VNS (Figure 2B;  $R^2 = 0.60$ , p = 0.0083, Pearson's linear correlation), consistent with published literature demonstrating that anesthesia depth impacts the magnitude of the Hering-Breuer reflex<sup>23,24</sup>. To test the long-term functionality of the chronically implanted cuffs, rats were anesthetized again 6 weeks after device implantation and VNS was applied to evoke the Hering-Breuer reflex response. For 7 of 9 devices, we observed a greater than 5% drop in SpO<sub>2</sub> using 10 s trains of 0.8 mA, 30 Hz stimulation (Figure 2C). In these devices, the magnitude of stimulation-evoked change in SpO<sub>2</sub> did not differ from that observed at initial implantation, suggesting excellent continued performance of the chronically implanted devices (initial % change in  $SpO_2 = -9.7 \pm 3.4\%$ , final % change in  $SpO_2 = -9.7 \pm 3.4\%$ 15.8  $\pm$  6.5%, mean  $\pm$  std; p = 0.08, paired t-test). In the remaining 2 devices, increasing the stimulation amplitude to 1.6 mA was sufficient to evoke a reliable reduction in SpO<sub>2</sub> of at least 5%, suggesting that these devices continued to function, but that changes in impedance, nerve damage, or cuff orientation over time may have resulted in reduced performance.

To further test the long-term functionality of our chronically implanted stimulating electrodes, a second group of rats was trained on a simplified version of a skilled reaching lever-press task developed by Hays et al. to quantitatively assess forelimb motor performance on this task results in the expansion of the proximal forelimb representation in primary motor cortex<sup>19–22</sup>. In our simplified version of the task, rats were required to reach 2 cm outside the training booth to fully depress a lever, and then to release it within 2 s in order to receive a food reward (**Figure 2D**). Animals received two 30 min training sessions per day until they achieved stable proficiency on the task (>65% correct, >100 trials/session, for at least 8/10 consecutive sessions). Rats then underwent surgery to implant a stimulating cuff electrode around their left vagus nerve. After recovery from surgery, acclimation to stimulating cables, and return to proficient behavioral performance, rats received an additional 10 training sessions in which VNS (0.5 s train of 0.8 mA, 30 Hz pulses; 100 µs biphasic pulse width), or sham stimulation (no stimulation), was delivered at the time of correct lever release. Within 24 h after the last VNS-paired training session, rats were anesthetized with ketamine/xylazine (80/10 mg/kg, i.p.), cuff electrode function was tested, and

cortical motor mapping was performed according to published procedures<sup>22</sup>. Consistent with prior studies demonstrating that VNS drives expansion of task-relevant motor map representations, VNS treated rats (N = 3) exhibited significantly larger proximal forelimb (PFL) representations than sham treated rats (N = 4) in our study (**Figure 2D**; PFL % of total map area, mean + SEM: sham =  $15.6 \pm 6.7\%$ , VNS =  $38.3 \pm 1.0\%$ ; p = 0.035, 2-sample t-test, test power = 0.8). In all VNS-treated animals, cuff function was validated after induction of anesthesia at the time of mapping, 5-10 weeks post-implant, by confirming a greater than 5% change in SpO<sub>2</sub> occurred in response to VNS (10 s train of 0.8 mA, 30 Hz pulses; 100 µs biphasic pulse width).

#### FIGURE LEGENDS:

Figure 1: Assembly of the stimulating cuff electrodes. (A) After securing the sutures on either side of the cuff, Pt:Ir wire can be threaded through the cuff wall at hole #1 (white arrowhead) using a sewing needle. (B) Pt:Ir wire is properly threaded and ready for de-insulation after creating a wire loop around the cuff edge and threading the wire again through hole #1 (white arrowhead) and across the cuff through hole #2 (yellow arrowhead). (C) Once both leads are in place, secure the first lead by threading suture through the midline hole and around the cuff edge (yellow arrowhead). (D) Close the loop around the second lead with a half-knot and glue in place to complete cuff assembly.

Figure 2: Device usage for chronic vagus nerve stimulation in awake behaving rats. (A) Headcap assembly. (B) During device implantation, VNS-evoked reductions in  $SpO_2$  were correlated with initial  $SpO_2$  readings ( $R^2 = 0.602$ , p = 0.008, Pearson's linear correlation). (C) Comparison of VNS-evoked  $SpO_2$  drops obtained at device implantation versus at the termination of stimulation experiments 6 weeks later. Lines indicate pairs of measurements for individual rats. Stimulation in panels B and C consisted of a single 10 s train of 100  $\mu$ s biphasic pulses delivered at 0.8 mA and 30 Hz. (D) Rat with chronically implanted VNS cuff electrodes performing the lever-press task. (E) VNS (0.5 s train of 0.8 mA, 30 Hz, 100  $\mu$ s biphasic pulses) paired with correct lever-press performance expanded the map representation of task-relevant musculature in motor cortex. Rats that received VNS paired with correct lever press performance (N = 3) exhibited a significantly larger percentage of motor map area devoted to proximal forelimb (PFL) representation compared to rats that received Sham stimulation (N = 4). Dots show PFL representations for individual subjects; error bars indicate SEM. VNS treatment followed by motor cortical mapping was performed 5–10 weeks post-implantation.

#### **DISCUSSION:**

Here we describe a simple, low-cost approach for assembly of chronically implantable stimulating cuff electrodes for use in rodents, facilitating preclinical investigations of this emerging therapy. This simplified method requires no specialized training or equipment, and uses a small number of tools and supplies that are easily accessible to most research labs, reducing both the monetary and labor costs of device manufacture compared to other approaches<sup>16,26–28</sup>. Care is required throughout assembly to avoid excessive application of UV cure adhesive while still ensuring adequate mechanical stability of the sutures and Pt:Ir leads for long-term cuff function. Excessive adhesive complicates device implantation and may irritate surrounding tissue post-surgery, while

insufficient adhesive increases the probability that over time the leads may not maintain good contact with the nerve, resulting in decreased device performance or failure. Consistent placement of the de-insulated Pt:Ir wires inside the cuff lumen is also critical for achieving low impedances and good device performance. Care should be taken to properly align the de-insulated wire such that the maximum possible surface of exposed wire sits inside the cuff, while no exposed wire exists externally.

We have validated that our approach produces cuffs of similar size and reliability as those currently in common use by several labs for chronic VNS delivery in rats<sup>4–15,19–22</sup>. Recent studies indicate that peripheral nerve fibers are similarly recruited using a wide variety of electrode contact sizes and orientations 16,29, suggesting that this protocol can be adapted for many experiments requiring peripheral nerve stimulation, and that small variations in lead spacing or surface area that arise from assembly of cuffs by hand will not critically impact most experimental results. During each stimulation session, we monitored the voltage across the cuff leads using an oscilloscope to ensure that the leads were not shorted or broken, but we did not track changes in impedance for specific implanted devices for the duration of the 5-10 weeks post-implant. One study of a similar implanted device reported that impedance does significantly increase during the first 4 weeks after surgical implantation, presumably as the acute injury stabilizes<sup>30</sup>. In this study, however, changes in device impedance were not correlated with device performance over 8 weeks of chronic implantation: the authors reported no significant change in the relationship between VNS intensity and compound action potential amplitude over several weeks post-implant. Here, we were similarly able to functionally validate cuff performance after 5-10 weeks of implantation by (i) verifying that VNS could still evoke a cessation of breathing and drop in SpO<sub>2</sub> consistent with the Hering-Breuer reflex, and (ii) replicating prior work demonstrating VNS-induced motor map reorganization. In our own work, we have found induction of the Hering-Breuer reflex to be the most reliable way to validate long-term functionality of implanted VNS cuffs, which may exhibit reduced device performance or failure due to a number of factors unrelated to cuff assembly; these include surgical complications, nerve damage, and/or mechanical damage to the cuff or headcap. Excellent surgical technique and application-specific validation of device functionality is crucial for stable and successful usage

We have described a simple, inexpensive approach for assembly of peripheral nerve cuff electrodes for chronic implantation in small animals and demonstrated its usefulness for VNS delivery during rat behavioral experiments. VNS is increasingly under investigation for a wide range of clinical indications, including inflammatory diseases such as rheumatoid arthritis<sup>1,2</sup> and Crohn's<sup>31</sup> as well as neurological diseases such as stroke<sup>5–8</sup> and PTSD<sup>10,11</sup>. This accessible method for making stimulating cuff electrodes should facilitate the use of preclinical rodent models in a variety of translational research studies into the mechanisms and efficacy of VNS. The protocol is easily adaptable, further increasing the versatility of the approach. For example, the diameter and/or length of the polyurethane tubing can be modified to accommodate chronic stimulation experiments in other species or at other peripheral nerve sites (e.g., sciatic, phrenic, or sacral nerves). Alternatively, configurations with additional leads could enable stimulation at multiple sites along the nerve, or could accommodate simultaneous recording of a stimulation-evoked

of chronically implanted stimulating cuff electrodes.

compound action potential.

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#### **DISCLOSURES:**

The authors have nothing to disclose.

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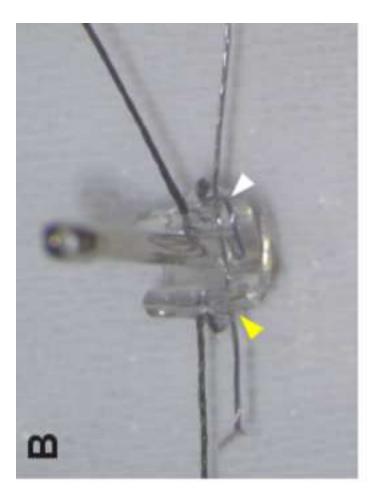
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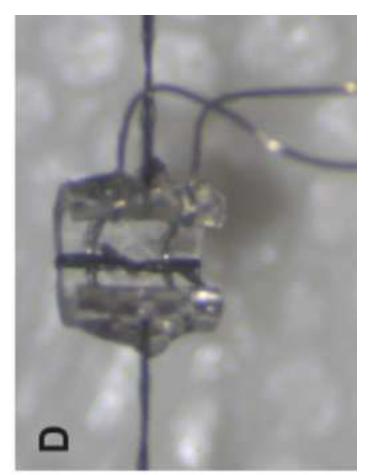
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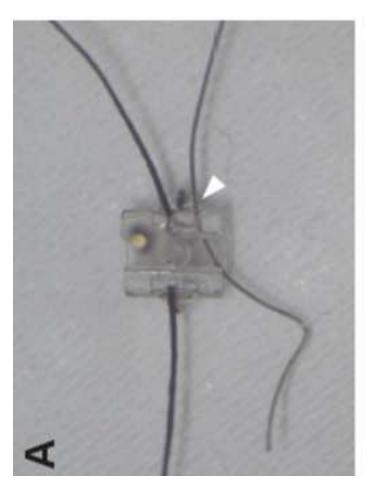
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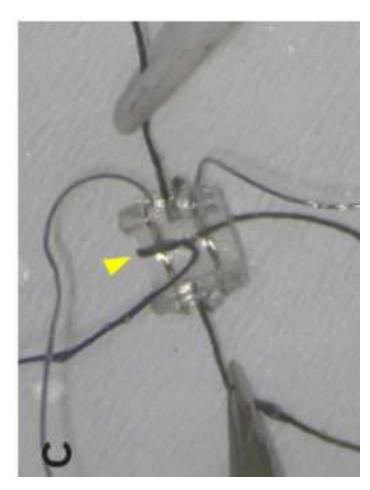
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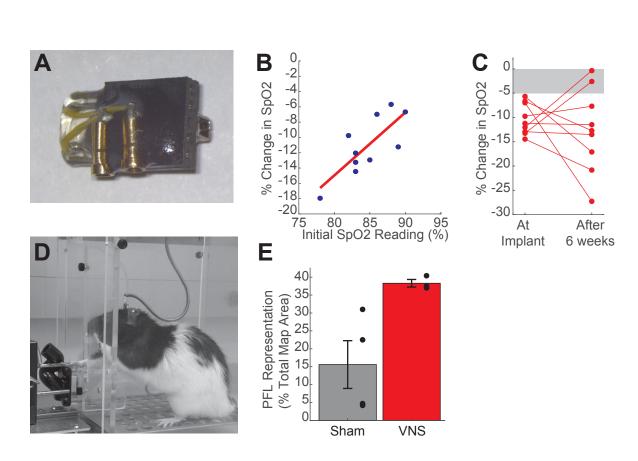
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| Name of Material/Equipment  | Company              | Catalog Number            |
|---|----------------------|---------------------------|
| Biocompatible polyurethane-based polymer tubing, 0.080" OD x 0.040" ID        | Braintree Scientific | MRE080 36 FT              |
| Dissecting microscope   | AM Scopes            | #SM-6T-FRL                |
| Fine Serrated Scissors, straight, 22mm cutting edge                           | Fine Science Tools   | #14058-09                 |
| Forceps, #5 Dumont forceps, straight, 11 cm, 0.1 x 0.06 mm tip                | Fine Science Tools   | #11626-11                 |
| Forceps, ceramic tipped forceps, 0.3 mm x 30 mm tips                          | Electron Microscopy  | #78127-71                 |
| Gold Pins, PCB Press Fit Socket   | Mill-Max             | #1001-0-15-15-30-27-04-0  |
| Isobutane lighter   | BIC                  | #LCP21-AST                |
|   |                      |                           |
| Micro strip connector with latch, 4-pin                                       | Omnetics             | A24002-004 / PS1-04-SS-LT |
| Pipette tip, 10 uL  | VWR                  | 89079-464                 |
| Platinum-Iridium (90/10%) Wire, 0.001" (diameter) x 9 strands, PTFE insulated | Sigmund Cohn         | 10IR9/49T                 |
| Razor Blade, Single Edge, Surgical Carbon Steel No.9                          | VWR                  | #55411-050                |
| Sewing needle, ca. 4.0 cm length x 0.7 mm diameter (size 6-7)                 | Singer               | 00276                     |
| Sewing needle, ca. 4.5 cm length x 0.8 mm diameter (size 2-3)                 | Singer               | 00276                     |
| Small foam board  | Juvo+/Amazon         | B07C9637SJ                |
| Solder, multicore lead-free, 0.38mm diameter                                  | Loctite/Multicore    | #796037                   |
| Soldering station   | Weller               | WES51                     |
| Soldering tip, long conical, 0.01" / 0.4 mm                                   | Weller               | 1UNF8                     |
| Suture, nonabsorbable braided silk ,size 6/0                                  | Fine Science tools   | #18020-60                 |
| UV (405 nm) spot light  | Henkel/Loctite       | #2182207                  |
| UV Light Cure Adhesive 25 ml  | Henkel/Loctite       | AA 3106                   |
| Wire wrapping wire, 30 AWG  | Digikey              | K396-ND                   |

# **Comments/Description**

for cutting Pt/Ir wire and suture thread

or similar small pins for connecting cuff leads to headcap for de-insulating Pt/Ir wire

for cutting MicroRenathane tubing
Smaller needle for threading Pt/Ir wire
Larger needle for pinning cuff during assembly and for threading suture
for fabrication platform; our dimensions are ca. 2.5" x 3.5" x 1" (L x W x H)

or similar soldering iron compatible with long conical tips (this part has been discontinued)

or similar biocompatible UV cure adhesive



March 20, 2020

Dear Dr. Nguyen & Reviewers,

Thank you for taking the time to review our manuscript. We appreciate your insightful comments and are pleased to submit a revised manuscript, now entitled "Preparation of peripheral nerve stimulation electrodes for chronic implantation in rats," which addresses each of the concerns raised during the initial editorial and peer review. Below please find additional detail regarding how each of the individual concerns have been specifically addressed in the revision. Thank you again for your thoughtful consideration of our paper.

Sincerely,

Catherine Thorn, Ph.D.

Assistant Professor in Behavioral and Brain Sciences University of Texas at Dallas 972-883-7234 catherine.thorn@utdallas.edu

JoVE61128

"A simplified method for preparation of peripheral nerve cuff electrodes for electrical stimulation" (original title)



Specific Responses to Editorial and Peer Reviewers

#### **Editorial comments:**

Changes to be made by the Author(s):

1. Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammar issues. The JoVE editor will not copy-edit your manuscript and any errors in the submitted revision may be present in the published version.

We have carefully proofread the manuscript.

2. Please submit each figure as a vector image file to ensure high resolution throughout production: (.psd, ai, .eps., .svg).

Figures have now been submitted in high resolution .psd formats.

3. Please revise the table of the essential supplies, reagents, and equipment. The table should include the name, company, and catalog number of all relevant materials in separate columns in an xls/xlsx file. Please sort the Materials Table alphabetically by the name of the material.

The Materials Table has been updated as requested.

- 4. Please remove trademark (™) and registered (®) symbols from the Table of Equipment and Materials. These have been removed as requested.
- 5. Figure 6: The legend states panel A but there is only one panel. Please specify what the dots and errors bar signify.

Figure 6 (now Figure 2) legend has been corrected.

- 6. Please simplify the language and remove "A simplified method to" The title has been modified as requested.
- 7. JoVE cannot publish manuscripts containing commercial language. This includes trademark symbols (™), registered symbols (®), and company names before an instrument or reagent. Please remove all commercial language from your manuscript and use generic terms instead. All commercial products should be sufficiently referenced in the Table of Materials and Reagents.

For example: Microrenathane, etc.

Trademark and registration symbols have been removed from the manuscript and the Table of Materials as requested.

8. Please add a short section in the protocol on the usage of the device. This is to transition to the representative results (Figure 6).

A short section (2. Device Usage) has been added as requested (p. 6-7)



9. Please highlight 2.75 pages or less of the Protocol (including headings and spacing) that identifies the essential steps of the protocol for the video, i.e., the steps that should be visualized to tell the most cohesive story of the Protocol. Remember that non-highlighted Protocol steps will remain in the manuscript, and therefore will still be available to the reader.

We have highlighted ~2.5 pages of the Protocol as requested.

- 10. Please ensure that the highlighted steps form a cohesive narrative with a logical flow from one highlighted step to the next. Please highlight complete sentences (not parts of sentences). Please ensure that the highlighted part of the step includes at least one action that is written in imperative tense. Highlighted text contains headings and complete sentences in imperative tense.
- 11. Please evaluate the figures in light of the filming of the protocol. Ensure that all figures are necessary. We have edited the figures as suggested. Figure 1 now highlights the most critical steps in cuff assembly, while Figure 2 illustrates device usage for chronic vagus nerve stimulation and representative results.
- 12. As we are a methods journal, please revise the Discussion to explicitly cover the following in detail in 3-6 paragraphs with citations:
- a) Critical steps within the protocol
- b) Any modifications and troubleshooting of the technique
- c) Any limitations of the technique
- d) The significance with respect to existing methods
- e) Any future applications of the technique

We have extended the Discussion to address the specific points above as well as the concerns of both Reviewers. We have added new headings to make explicit our coverage of these key points, including "Critical Steps and Troubleshooting", "Limitations of the Technique", and "Future Applications".



#### **Reviewers' comments:**

#### Reviewer #1:

Manuscript Summary:

Well described and useful method to make chronic stimulating electrodes for rat nerves.

Major Concerns:

Nil

#### Minor Concerns:

The authors might consider commenting on the following points.

Vagal afferents that drive the Hering-Breuer reflex are the largest and lowest threshold fibers in the vagus. Showing that the reflex response persists after chronic implantation proves that the stimulation is effective - the leads and the nerve are evidently intact. Some readers might like to know if the impedance drifted up and perhaps made smaller fibers harder to excite over time. Perhaps add a minor caveat unless you have data to address this.

To address the Reviewer's point, we have added additional data to demonstrate that the reflex response persists approximately unchanged after 6 weeks of implantation in most devices (7/9) but in some (2/9), higher currents were required to obtain a significant drop in SpO2. This is consistent with the Reviewer's comment and published literature indicating that impedance is likely to increase over time, though we did not directly quantify this, and changes in impedance are not the only factor that may contribute to changes in device performance. We have added a discussion of these caveats to the manuscript (p. 7 & p. 9).

A pedantic point (line 239): impedance of metal-liquid junctions in frequency-sensitive. I guess this is not a DC measurement, but presumably uses the stimulation pulses. How it was done should be stated. We apologize for the oversight and have stated how the impedance measurements were performed prior to implantation (taken at 1 kHz, in saline).



#### Reviewer #2:

Manuscript Summary:

This manuscript describes the procedures and materials for constructing miniaturized cuff electrodes for vagal nerve stimulation (VNS, or other peripheral nerve stimulation/recording) experiments in rats. The instructions provided in the manuscript will certainly be useful for other investigators in the field. A strength of the manuscript is that it also demonstrates neurophysiologic responses of VNS at 5-10 weeks following cuff electrode implantation.

Major Concerns:

None

# Minor Concerns:

The authors elicited the Hering-Breuer reflex to test the functional integrity of the electrode/nerve preparation. As an outcome measure they used the VNS-induced drop in SpO2. The SpO2 responses immediately after implantation of the cuff electrode and 5-10 weeks following implantation should be presented and compared to each other. Was the same drop in SpO2 observed 5-10 weeks after implantation than immediately after implantation? Is it possible that the vagus nerve inside the cuff electrode undergoes some degree of deterioration/damage at the site of the cuff electrode?

In response to the Reviewer's concern, we have included additional data comparing the VNS-evoked drop in SpO2 at initial implantation versus after 6 weeks of chronic implantation in the same animals. Our results suggest that while most cuffs appear functional by this measure at 6 weeks, some implanted cuffs (2/9 in our cohort) may exhibit reduced functionality after 6 weeks of implantation, consistent with increased impedance, nerve damage or changes in cuff alignment. We have added discussion of these points to the manuscript (p. 7 & p. 9-10).

Related to my previous comment, it would be helpful if histology of the nerve at the site of cuff implantation would be shown at different time points after cuff electrode implantation to document any potential nerve deterioration/damage that may develop over time following cuff implantation.

Our data demonstrate that the implanted devices are able to evoke Hering-Breuer reflex responses after 6 weeks of implantation and, in a second smaller cohort of rats, are able to induce reorganization of motor cortical maps when paired with lever press performance 5-10 weeks after implantation. Combined, these results provide functional validation that the vagus nerve is not severely damaged during that time. We agree with the Reviewer that less severe nerve deterioration has the potential to impact subject's health and/or device performance over time, and in response to this concern, we have included additional discussion in the manuscript (p. 9-10). Given biological variability across subjects, as well as that arising from surgical implantation and recovery, a full analysis of nerve histology at multiple time points following electrode implantation is beyond the scope of the present methods report, and future studies should be designed to address this concern.