Journal of Visualized Experiments

Method Development for Contactless Resonant Cavity Dielectric Spectroscopic Studies of Cellulosic Paper --Manuscript Draft--

Article Type:	Invited Methods Article - JoVE Produced Video		
Manuscript Number:	JoVE59991R2		
Full Title:	Method Development for Contactless Resonant Cavity Dielectric Spectroscopic Studies of Cellulosic Paper		
Keywords:	Resonant Cavity; dielectric spectroscopy; paper; fiber analysis; paper aging; recycled content, microwave		
Corresponding Author:	Yaw Obeng, Ph.D. National Institute of Standards and Technology Gaithersburg, MD UNITED STATES		
Corresponding Author's Institution: National Institute of Standards and Technology			
Corresponding Author E-Mail:	yaw.obeng@nist.gov		
Order of Authors:	Yaw Obeng, Ph.D.		
	Mary Kombolias		
	Dianne L Poster		
	Jan Obrzut		
	Michael Postek		
Additional Information:			
Question	Response		
Please indicate whether this article will be Standard Access or Open Access.	e Standard Access (US\$2,400)		
Please indicate the city, state/province, and country where this article will be filmed . Please do not use abbreviations.	Gaithersburg, MD		

JoVE

Attention: Jialan Zhang, Ph.D.

Science Editor

March 12, 2019

Dear Editor:

My co-authors and I respectfully submit for your consideration our manuscript entitled "Method Development for Contactless Resonant Cavity Dielectric Spectroscopic Studies of Cellulosic Paper." This work is a joint effort between the United States Government Publishing Office and the National Institute of Standards and Technology and a continuation of our earlier efforts [Kombolias, M., et al, Dielectric Spectroscopic Studies of Biological Material Evolution and Application to Paper, TAPPI Journal, 2018. 17(9): p. 501-505] to develop a contactless, non-destructive means of fiber analysis of paper and graphic arts substrates which is objective and machine repeatable.

Dielectric spectroscopy is a powerful analytical technique which can simultaneously provide both chemical and structural information on a material under test; it was recently utilized to examine materials for performance-limiting defects in advanced semiconductor devices. We have adapted dielectric spectroscopy for the fiber analysis of paper and graphic arts substrates by leveraging a resonant cavity which enables additional advantages such as contactless, non-destructive, and quantitative measurements of paper samples. With our new test method, measurements can be performed in minutes rather than hours and by anyone without the need for specialist training. This technique is easily automated and eliminates numerous sources of error associated with the traditional, manual process, and is amenable to statistical process control.

An unanticipated result of our research efforts has been that we are able to determine the relative age of paper produced in the modern era. To demonstrate the sensitivity of our method, we can discriminate between office papers of different fiber blends (recycled and virgin) both before and after artificial aging – papers which would otherwise be indistinguishable from another via conventional physical and chemical analyses. The data from our experiments allow us to simultaneously corroborate composition and color. We believe our technique is poised to provide an alternative to Carbon-14 dating of documents and other cellulose-based questioned documents.

Thank you for your time and consideration, and we look forward to your feedback.

Very respectfully,

Yaw Obeng

1 TITLE:

2 Method Development for Contactless Resonant Cavity Dielectric Spectroscopic Studies of

Cellulosic Paper

4 5

3

AUTHORS AND AFFILIATIONS:

Mary Kombolias^a, Jan Obrzut^b, Michael T. Postek^{c,d}, Dianne L. Poster,^b and Yaw S. Obeng^c

6 7

- 8 ^aTesting and Technical Services, Plant Operations, United States Government Publishing Office
- 9 732 North Capitol Street, Northwest, Washington, DC, USA
- 10 bMaterials Measurement Laboratory, National Institute of Standards and Technology
- 11 100 Bureau Drive, Gaithersburg, MD, USA
- 12 ^cNanoscale Device Characterization Division, Physical Measurement Laboratory, National
- 13 Institute of Standards and Technology, 100 Bureau Drive, Gaithersburg, MD, USA
- duniversity of South Florida (USF), College of Pharmacy, 12908 USF Health Dr. MDC 30, Tampa,
- 15 FL, USA

16 17

Email addresses of co-authors:

Jan Obrzut (jan.obrzut@nist.gov)
 Michael T. Postek (mtpostek@gmail.com)
 Dianne L. Poster (dianne.poster@nist.gov)
 Yaw S. Obeng (yaw.obeng@nist.gov)

22 23

Corresponding authors:

Yaw S. Obeng (<u>yaw.obeng@nist.gov</u>)Mary Kombolias (mkombolias@gpo.gov)

26 27

KEYWORDS:

Resonant Cavity, dielectric spectroscopy, paper, fiber analysis, paper aging, recycled content

282930

SUMMARY:

31 A protocol for the non-destructive analysis of the fiber content and relative age of paper.

32 33

34

35

36

37

38

39

40

41

42

43

44

ABSTRACT:

The current analytical techniques for characterizing printing and graphic arts substrates are largely ex situ and destructive. This limits the amount of data that can be obtained from an individual sample and renders it difficult to produce statistically relevant data for unique and rare materials. Resonant cavity dielectric spectroscopy is a non-destructive, contactless technique which can simultaneously interrogate both sides of a sheeted material and provide measurements which are suitable for statistical interpretations. This offers analysts the ability to quickly discriminate between sheeted materials based on composition and storage history. In this methodology article, we demonstrate how contactless resonant cavity dielectric spectroscopy may be used to differentiate between paper analytes of varying fiber species compositions, to determine the relative age of the paper, and to detect and quantify the amount of post-consumer waste (PCW) recycled fiber content in manufactured office paper.

INTRODUCTION:

 Paper is a sheeted, heterogenous, manufactured product comprised of cellulosic fibers, sizing agents, inorganic fillers, colorants, and water. The cellulose fibers may originate from a variety of plant sources; the raw material is then broken down through a combination of physical and/or chemical treatments to produce a workable pulp consisting primarily of cellulose fibers. The cellulose in the paper product may also be recovered secondary, or recycled fiber¹. The TAPPI Method T 401, "Fiber analysis of paper and paperboard," is currently the state of the art method for identifying fiber types and their ratios present within a paper sample and is utilized by many communities². It is a manual, colorimetric technique reliant on the visual acuity of a specially trained human analyst to discern the constituent fiber types of a paper sample. Furthermore, sample preparation for the TAPPI 401 method is laborious and time consuming, requiring physical destruction and chemical degradation of the paper sample. Staining with specially prescribed reagents renders fiber samples subject to the effects of oxidation, making it difficult to archive samples for preservation or specimen banking. Thus, the results from TAPPI Method T 401 are subject to human interpretation and are directly dependent upon the visual discernment of an individual analyst, which varies based upon that individual's level of experience and training, leading to inherent errors when comparing results between and within sample sets. Multiple sources of imprecision and inaccuracy are present as well³. Additionally, the TAPPI method is incapable of determining the quantity of secondary fiber or the relative age of paper samples^{4,5}.

In contrast, the resonant cavity dielectric spectroscopy (RCDS) technique we describe in this article offers analytical capabilities that are well-suited for paper examinations. Dielectric spectroscopy probes the relaxation dynamics of dipoles and mobile charge carriers within a matrix in response to rapidly changing electromagnetic fields, such as microwaves. This involves molecular rotational reorientation, making RCDS particularly well-suited to examine the dynamics of molecules in confined spaces, such as the water adsorbed on the cellulose fibers imbedded within a sheet of paper. By using water as a probe molecule, RCDS simultaneously can extract information on the chemical environment and physical conformation of the cellulose polymer.

The chemical environment of the cellulose fibers influences the extent of hydrogen bonding with water molecules, hence the ease of motion in response to the fluctuating electromagnetic fields. The cellulosic environment is determined, in part, by the concentrations of hemicellulose and lignin in the paper analyte. Hemicellulose is a hydrophilic branched polymer of pentoses, while lignin is a hydrophobic, cross-linked, phenolic polymer. The amounts of hemicellulose and lignin in a paper fiber are a consequence of the paper-making process. Adsorbed water in paper partitions between the hydrophilic sites, and the hydrogen bonding within the cellulose polymer, especially with the adsorbed water molecules, influences the level of cross-linking within the cellulose structure, the level of polarizability, and the architecture of pores within the cellulose polymer⁵. The total dielectric response of a material is a vector sum of all the dipole moments within the system and can be distinguished via dielectric spectroscopy through the use of effective medium theories^{6,7}. Similarly, the capacitance of a dielectric material is inversely proportional to its thickness; hence, resonant cavity dielectric spectroscopy is ideal to study

sample-to-sample thickness reproducibility of ultra-thin films materials such as paper⁸⁻¹⁰. While there is a significant body of work pertaining to the use of dielectric spectroscopy techniques to study wood and cellulose products, the scope of those studies has been limited to paper manufacturability issues¹¹⁻¹³. We have taken advantage of the anisotropic nature of paper to demonstrate the application of RCDS to testing paper beyond moisture and mechanical properties¹⁴⁻¹⁶ and to show that it yields numerical data that can be used in quality assurance techniques such as gauge capability studies and real-time statistical process control (SPC). The method also has inherent forensic capabilities and can be used to quantitatively confront environmental sustainability concerns, support economic interests, and detect altered and counterfeit documents.

Resonant cavity dielectric spectroscopy (RCDS) theory and technique

RCDS is one of several dielectric spectroscopy techniques available¹⁷; it was chosen specifically because it is non-contact, non-destructive, and experimentally simple in comparison to other methods of dielectric spectroscopy. In contrast to other analytical techniques used to study the properties of paper, RCDS eliminates the need for duplicate sets of measurements to account for the two sides of a sample sheet¹⁸. The resonant microwave cavity technique has the advantage of being sensitive to both the surface and bulk conductivity. For example, the surface conductance of a sample material is determined by tracking a change in the quality factor (Q-Factor) of the cavity as a specimen is progressively inserted into the cavity in quantitative correlation with the specimen's volume¹⁸⁻²⁰. Conductivity can be obtained by simply dividing surface conductance by the specimen thickness. The surface conductance of a thin, sheeted material like paper functions as a proxy for the dielectric profile of a material under test (MUT), as it is directly proportional to the dielectric loss, [2], of the MUT¹⁸⁻²⁰. Dielectric loss is an indication of how much heat is dissipated by a dielectric material when an electric field is applied across it; materials with greater conductance will have a higher dielectric loss value than less conductive materials.

Experimentally, the dielectric loss, \mathbb{Z}^n , associated with the specimen's surface is extracted from the rate of decrease of cavity resonance quality factor (Q) (i.e., energy loss), with increasing volume of specimen¹⁹. The Q is determined at the resonant frequency f from the 3 dB width, Δf , of the resonant peak at the resonant frequency f, $Q = \Delta f / f$. This relation is quantitatively correlated with the slope of the line given by Equation 1 below, where $(\mathbb{Z}_2^{-1} - \mathbb{Z}_0^{-1})$ represents the difference of the reciprocal of the Q-factor of the specimen from the Q-factor of the empty cavity, $\frac{\mathbb{Z}_2}{\mathbb{Z}_0}$ is the ratio of the volume of the inserted specimen to the volume of the empty cavity, and the line intercept, b", accounts for the non-uniform field in the specimen, as shown in **Figure 1**¹⁹.

$$\left(\mathbb{Z}_{\mathbb{Z}}^{-1} - \mathbb{Z}_{0}^{-1}\right) = \mathbb{Z}^{"} \frac{4\mathbb{Z}_{\mathbb{Z}}}{\mathbb{Z}_{0}} - 2\mathbb{Z}^{"}$$
 (Equation 1)

In this article, we illustrate the broad utility of this technique by determining the ratios of fiber species (speciation), determining the relative age of naturally and artificially aged papers, and

quantifying the recycled fiber content of white office copier paper analytes. Whereas the RCDS technique may be suitable for studying other topics, such as aging issues in paper insulation in electric power apparatus, such studies are outside the scope of the current work but would be interesting to pursue in the future.

135 136

PROTOCOL:

137138

1. Setup of materials

139

1.1. Record all manufacturing information provided with the ream of paper (e.g., basis weight, manufacturer's advertised PCW content, and manufacturer's advertised brightness).

142

1.2. Take an average of ten thickness measurements along a sheet from the ream, using a caliper.

144

1.3. Identify the machine and cross directions of the sheet (i.e., the machine direction is the long dimension).

147

1.4. Using a protractor identify and cut the paper along the desired stripe angle between the machine and cross directions.

150

1.5. Using a rotary cutter, slice test strips 0.5 cm wide by 8 cm long in the target orientation for the sample.

153

1.6. Label samples from one end and store between glass microscopy slides. Store until testing under nitrogen atmosphere.

156

NOTE: It is advisable to wear gloves and perform handling with tweezers to avoid creasing and / or contaminating the paper samples.

159 160

2. Accelerated paper fade testing

161162

163

164

NOTE: Paper samples are aged under UV-light at elevated temperature at laboratory ambient humidity. The aging is performed using an accelerated weathering chamber equipped with 340 nm UVA bulbs, at an irradiance of 0.72 W/m² at 50 °C for 169 h, by following the following protocol.

165166

2.1. Calibrate the UV-sensors, by running the calibration radiometer routine pre-preprogrammed
 in the UV-based accelerated weathering chamber.

169

2.2. Calibrate the temperature sensors by running the P4 calibrate panel temperature program
 pre-preprogrammed in the weathering chamber.

172

2.3. Measure the pre-post-aging color of the paper samples using a portable spectrophotometer
 operating in the visible wave range from 400 nm to 800 nm.

2.4. Select appropriate standard test cycles pre-preprogrammed in the weathering chamber.

2.5. Mount whole sheets of test papers on the flat panel (optionally, mount one sheet of either side of the flat panel).

2.6. Fasten the flat panels to the sample holders with snap rings, pushing the rings snugly against
the panel.

184 2.7. Install the panel holders with the stop pin down.

2.8. Attach aluminum blanks to mount in the panel holders for condensation.

2.9. For uniform exposure, reposition the test samples (at least five times) during the test cycle.

190 2.10. Measure the post-aging color of the paper samples using a portable spectrophotometer.

2.11. Cut sample strips out of the aged paper samples to fit the resonant cavity. The typical specimen area is 0.5 mm (width) x 15 mm (length).

NOTE: For these tests, we use commercially produced colored 90 g/m² (gsm) (24 lb) office paper of two different compositions: virgin and 30% recycled fibers (i.e., 0% and 30% post-consumer waste [PCW] recycled fiber content, respectively).

3. Setup of equipment and taking resonant cavity measurements

NOTE: The resonant cavity testing fixture consists of an air-filled standard WR-90 rectangular waveguide. The cavity has a 10 mm x 1 mm slot machined in the center for specimen insertion. The waveguide is terminated on both ends by the WR-90 to coax adapters that connect the cavity with a microwave network analyzer via semi-rigid coaxial cables. The coupling adapters are nearly cross polarized with respect to the waveguide polarization angle, which creates sharp impedance discontinuities at both waveguide ends and consequently the cavity walls. The polarization angle is about 87°, which is sufficient to achieve optimal power loading into cavity while maximizing the quality factor. The quality factor, Q_0 , and the resonant frequency, f_0 , of the empty cavity at the third odd resonant mode TE_{103} at which we make the measurements are 3.200 and 7.435 GHz respectively. The measurements are performed in ambient laboratory conditions by following the protocol listed below.

3.1. Record the temperature and relative humidity and take the initial reading of the quality factor Q_0 , and the resonant frequency f_0 of the empty cavity.

- 3.2. Position the specimen secured in the sample holder above the slot at the center of the cavity.
- 217 During the measurements, the specimen is inserted into cavity through this slot in steps of

increasing volume $V_x = h_x \cdot w \cdot t$, where h_x is the specimen length inserted into cavity, and w and t are the specimen width and thickness respectively.

3.3. Using the Vernier caliper on the sample mount, insert the sample into the cavity by $\Delta h_x = 50$ µm increments and take readings of the quality factor and resonant frequency at each step until the sample has been lowered 10 mm (1 cm) into the cavity.

3.4. Retract the sample from the interior at the same increments of 50 μ m and take readings of the quality factor and resonant frequency until the sample has been fully retracted.

3.5. Store the sample between glass slides and return them to nitrogen atmosphere.

3.6. The dielectric loss, ε'' , of the paper samples is obtained from the slop of the perturbation (Equation 1). Optionally, the dielectric constant, ε' can be obtained from the measured V_x , and the resonant frequency f_x by solving the perturbation equations for $(\varepsilon' - 1)$ as described in elsewhere¹⁸⁻²⁰.

REPRESENTATIVE RESULTS:

Rationale for choosing the 60° strip angle

The cut orientation of the test sample influences the magnitude of the dielectric response, as shown in the graph in **Figure 2**. In initial experiments, test stripes were cut from the orthogonal angles of the sheet, as is standard practice for measuring physical properties in paper science; however, strips cut from non-orthogonal angles along the paper sheet have provided the greatest resolution between paper types, particularly at the 45° and 60° orientations¹⁵. This response difference can be rationalized on the basis on the preferential orientation of the cellulose chain, that deviates approximately 30°–45° from the normal, within the cellulose microfibril structure inside the cell walls of living plants^{21,22}. Dielectric studies on fiber orientation of factory-manufactured paper sheets have shown that along both the wire and felt sides of the sheet, the orientation of the cellulose polymer chains is about 30° from the machine direction, which corresponds to our designation of the 60° orientation along the paper sheet^{23,24}.

Effect of concentrations of cotton fiber on the dielectric loss

Figure 3 shows the dielectric loss profiles of cotton-containing bond papers procured by the US Federal Government using 60° strips. The error bars represent the standard deviation of the individual measurements. The data clearly demonstrate the resonant cavity's ability to differentiate between bond papers of various concentrations of cotton fiber. This is consistent with our previous work, in which we used the RCDS technique to distinguish between papers of varying non-wood fiber concentrations derived from plant sources such as the herb sage, cocoa husks, and bamboo¹⁵.

Impact of environmental conditions on test results

It is important to maintain control over laboratory temperature and humidity during the testing of materials. Paper is naturally hygroscopic mixture. In our work we found that temperature has

a very nominal influence on the dielectric profile of a paper sample. However, the relative humidity (RH) of the laboratory wields a much greater influence on the results. **Figure 4** compares the results of testing 100% cotton bond paper procured by the Federal Government at 47% RH and 49% RH, respectively. In general, we obtained more reproducible sample-to-sample dielectric loss results at higher relative humidity. Therefore, it is advisable to test paper samples under well controlled environmental conditions to enable sample comparisons.

Relative age of paper

The RCDS technique has incredible utility beyond speciation. We have demonstrated in our other work the resonant cavity's ability to distinguish between relative age cotton bond papers of the same content manufactured 40 years apart. Older paper samples exhibit lower average dielectric loss values than newer papers, suggesting the loss of polarizability as a result of the degradation of the cellulose polymer²⁵.

Our experiments on artificially aged paper analytes also demonstrate clear differences between the before- and after-UV light fading experiments on both virgin (0% PCW) and (30% PCW) papers. As shown in **Figure 1**, after 169 h of UV-accelerated aging, the degradation of the cellulose polymer is discernable as the average dielectric loss values had decreased for both the virgin and recycled varieties. It is notable that the technique can distinguish between the virgin and recycled materials even after the accelerated aging period²⁵.

Recovered fiber content of white office papers

We have compiled the dielectric loss data on white office papers from several manufacturers featuring varying percentages of advertised brightness (mainly due to proprietary additives) and PCW recycled content²⁶. There appears to be some yet to be understood relationship between the recycled fiber content and the advertised brightness of the paper analyte. In general, within cohorts of papers of the same quality the average dielectric loss deceased with increasing manufacturer's advertised brightness, although the advertised brightness values for the same type of papers examined varied substantially from manufacturer to manufacturer. **Figure 5** presents a contour plot based a linear regression fit showing the dielectric loss of white office copier paper based upon the manufacturer's advertised brightness and recycled waste paper content (% PCW) of the analytes. The data suggest that the dielectric loss is also sensitive to optical brightness and other additives the various manufacturers use to obtain the advertised brightness.

FIGURE LEGENDS:

Figure 1: Changes in cavity quality factor (equation 1) as a function the specimen inserted volume, V_x , for several specimens: 25%-(red triangles), 50% (blue circles) and 100% bond cotton paper samples (green squares), respectively. The slope of the plots represents the dielectric loss, \mathcal{E}'' , for each sample.

Figure 2: A comparison of dielectric response by stripe angle (0°, 45°, 60°, and 90°) for virgin 'As-Received" blue 24-lb office papers before (green circles) and after UV-fading for 169 h (red

squares). The error bars represent the standard deviation of at least five individual measurements.

307 308 309

310

311

306

Figure 3: Dielectric loss profiles cotton-containing bond paper specimens containing of different amounts of cotton cut into 60° strips. The error bars represent the standard deviation of at least five individual measurements.

312313

314

315

Figure 4: A comparison of dielectric response of 100% cotton bond paper in changing ambient humidity, showing that the dielectric loss appears to be more reproducible at higher relative ambient humidity. The error bars represent the standard deviation of at least five individual measurements.

316317318

319

320

321

322

324

325

326327

328

329

330

331

332

333

334

335336

337

338

339

340

341

342

Figure 5: A contour plot, based on a linear regression fit, showing the expected dielectric loss of white office copier paper based upon the manufacturer's advertised brightness and the recycled waste paper content (% PCW) of the analytes. The data suggest that the dielectric loss is also sensitive to optical brightness and the other additives various manufacturers use to obtain the advertised brightness. The data used in this figure were collected with 60° test strips.

323

DISCUSSION:

We have shown elsewhere that the presence of lignin content of fibers does significantly alter the dielectric behavior of manufactured papers¹⁵. Speciation is not only important in the QA/QC testing of modern papers but of great interest in the study of historical papers which were predominantly manufactured from non-wood plant sources, such as bamboo, hemp, flax, and papyrus. As shown in Figure 3, our technique can distinguish between non-wood plant sources (100% cotton paper versus 90% bamboo / 10% cotton paper). This is consistent with previous work employing other dielectric spectroscopic techniques to distinguish between purified forms plant, bacterial, animal, and reconstituted celluloses and between white wove paper and newsprint which are manufactured with different types of wood pulp using different processes^{6,27,28}. Thus, dielectric loss profiles can confirm the morphological differences in cellulose chains originating from different plant fiber species and plant fiber species mixtures. The protocol and the results presented in this paper relies on interrogating the sample cut at 60° degrees relative to the machine (90°) direction of the paper. This approach is novel to paper sample analysis; currently measurements of physical properties of paper are performed at orthogonal angles along what are known as the machine (90°) and cross (0°) directions. We found, through experimentation, that the 60° angle affords the best discrimination based on the polarizability of those materials between a wide range of industrially manufactured samples than the 0°, 45°, and 90° orientations for all the purposes discussed in this article: speciation, determination of relative age, and determination of PCW fiber content.

343344345

346

347

348

349

The resonant cavity dielectric spectroscopy provides paper scientists with a powerful tool to discriminate between paper samples. The determination of the relative age of paper and the identification and quantification of PCW fiber content in paper are possible with this technique because both problems are rooted in the degradation of the cellulose polymer. The degradation of the cellulose polymer changes the degree of polymerization and the environment into which

water is adsorbed and ultimately the amount of polarizability of the sheet ^{29,30,31}. Thermal degradation accelerates and magnifies the extent of hydrolysis and oxidative damage to the polymer, and the amount of total degradation to the sheet of paper is also influenced by the constituent materials within the sheet or the document. Secondary fibers undergo both chemical and physical degradation, as they may be subjected to multiple iterative bleaching cycles at temperatures ranging from 60°C to 80°C after enduring the mechanical chopping and shredding mechanisms of re-pulping³². These processes render the secondary fibers shorter than virgin fibers, as well as chemically degrading the secondary fibers. Another consequence of the recycling process and source of degradation for secondary fibers is hornification, or the annealing, shrinking and hardening of the cellulose polymer, thereby altering the morphology of the polymer chain and the environment in which water is to be adsorbed³³. The loss of hemicelluloses due to recycling also differentiates virgin from recycled fiber content³⁴⁻³⁶.

361362363

364

365

366

367368

369

370371

372373

374

375

376

377

378

379

380

381

382

383

384 385

350 351

352

353

354

355

356

357358

359

360

To the best of our knowledge, non-destructive, contactless methods such as microwave cavity, have not been employed to determine the constituent fiber species or the presence and amount of secondary fiber within a paper sheet. Secondary fiber content is currently certified via forensic accounting methods by third party auditing organizations^{37,38}. Historically, analytical methods for the identification and quantification of secondary fiber in paper have been well received because they do not appear to have the necessary accuracy required by the paper manufacturing community (i.e., at best, an accuracy of ±50% of advertised claim)^{39,40}. Similarly, traditional paper testing protocols, elemental analysis, and isotopic analysis of commercially available white office papers have been unable to distinguish with any statistical confidence between papers of virgin and secondary fiber content⁴¹⁻⁴³. Methods to determine the age of paper, like Carbon-14 dating, are also laborious and destructive and cannot be performed with any reasonable accuracy on contemporary samples. The resonant cavity dielectric spectroscopy method we have demonstrated here is versatile enough to meet and exceed the metrological limits of the TAPPI T 401 method of fiber analysis. Our work demonstrates that the contactless, in situ technique is well suited to characterize materials based upon the types and amounts of cellulose polymer they contain, as well as the level and types of degradation experienced by the cellulose polymer, regardless if that degradation is present due to age (natural or accelerated) or via the presence of secondary fiber. So far, we have not examined hand sheets or other types of handmade papers and therefore cannot comment on the effect of sample orientation on papers which are not industrially manufactured. It is not necessary to perform moisture determination of paper samples (which is performed in a laboratory oven at 105 °C) as the permittivity measurements, in essence, serve as a proxy for moisture content determination⁴⁴. Temperature and humidity do contribute to the values measured, and it is important to compare samples analyzed under the same environmental conditions.

386 387 388

389

390

391

The most critical steps within the protocol presented in this work involve precisely matching the sample test strips to the volume of the microwave cavity used. However, other microwave cavities and sample holders may be designed to be able to interrogate larger volumes of sample without the need to mutilate the sample to perform an analysis, bypassing this experimental limitation.

392393

ACKNOWLEDGMENTS:

395 United States Government Publishing Office and the National Institute of Standards and 396 Technology.

397 398

394

DISCLOSURES:

This is a contribution of the National Institute of Standards and Technology, and not subject to copyright. Certain commercial equipment, instruments, or materials are identified in this report to specify the experimental procedure adequately. Such identification is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology or the United States Government Publishing Office, nor is it intended to imply that the materials or equipment identified are necessarily the best available for the purpose. The authors have nothing to disclose.

406 407

REFERENCES:

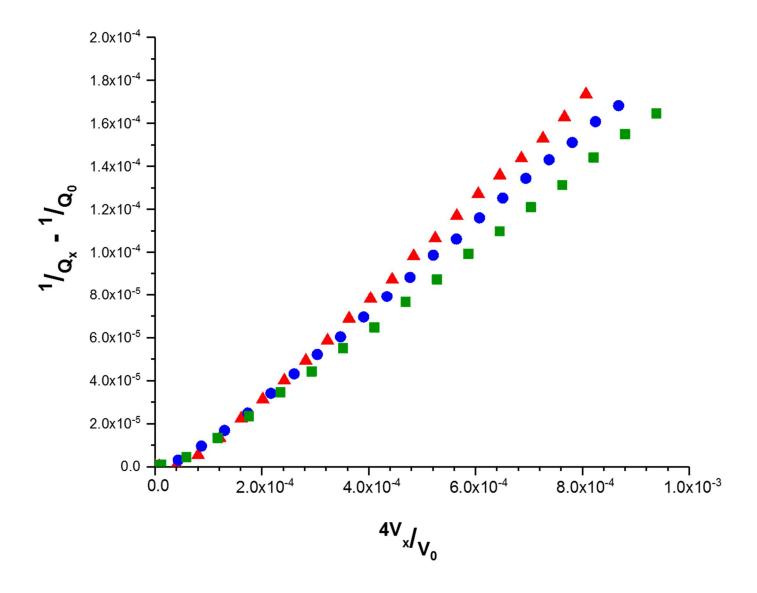
- 408 1 Marinissen, E. J., Zorian, Y. in *Test Conference, 2009. ITC 2009. International.* 1-11 409 (2009).
- TAPPI, TAPPI/ANSI Method T 401 om-15, "Fiber analysis of paper and paperboard"
 TAPPI Press: 2015.
- Jablonsky, M., et al. Cellulose Fibre Identification through Color Vectors of Stained Fibre. *BioResources.* **10** (3), 5845-5862, (2015).
- 414 4 El Omari, H., Zyane, A., Belfkira, A., Taourirte, M., Brouillette, F. Dielectric Properties of 415 Paper Made from Pulps Loaded with Ferroelectric Particles. *Journal of Nanomaterials*. 416 **2016**, 1-10, (2016).
- Sahin, H. T., Arslan, M.B. A Study on Physical and Chemical Properties of Cellulose Paper Immersed in Various Solvent Mixtures. *International Journal of Molecular Sciences.* **9,** 419 78-88, (2008).
- 420 6 Einfeldt, J., Kwasniewski, A. Characterization of Different Types of Cellulose by Dielectric Spectroscopy. *Cellulose*. **9**, 225-238, (2002).
- Zteeman, P. A. M., van Turnhout, J. Dielectric Protperties of Inhomogenous Media. In
 Broadband Dielectric Spectroscopy. doi: 10.1007/978-3-642-56120-7_13. Edited by F.
 Kremer, Schonhals, A.), 495-522, (2003).
- 425 8 (Eds.) F. Kremer, Schonhals, A. *Broadband Dielectric Spectroscopy*. Springer-Verlag. New 426 York (2003).
- Fenske, K., Misra, D. Dielectric Materials at Microwave Frequencies. *Applied Microwave* & Wireless. 92-100 (2000)
- Jonscher, A. K. Dielectric Relaxation in Solids. *Journal of Physics D: Applied Physics.* **32** (14), R57-R70 (1999).
- 431 11 Simula, S., et al. Measurement of Dielectric Properties of Paper. *Journal of Imaging*432 *Science and Technology.* **43** (5), 472-477 (1999).
- Sundara-Rajan, K., Byrd, L., Mamishev, A.V. Moisture Content Estimation in Paper Pulp Using Fringing Field Impedance Spectroscopy. *TAPPI Journal.* **4** (2), 23-27 (2005).
- Williams, N. H. Moisture Leveling in Paper, Wood, Textiles and Other Mixed Dielectric Sheets. *The Journal of Microwave Power.* **1** (3), 73-80 (1966).

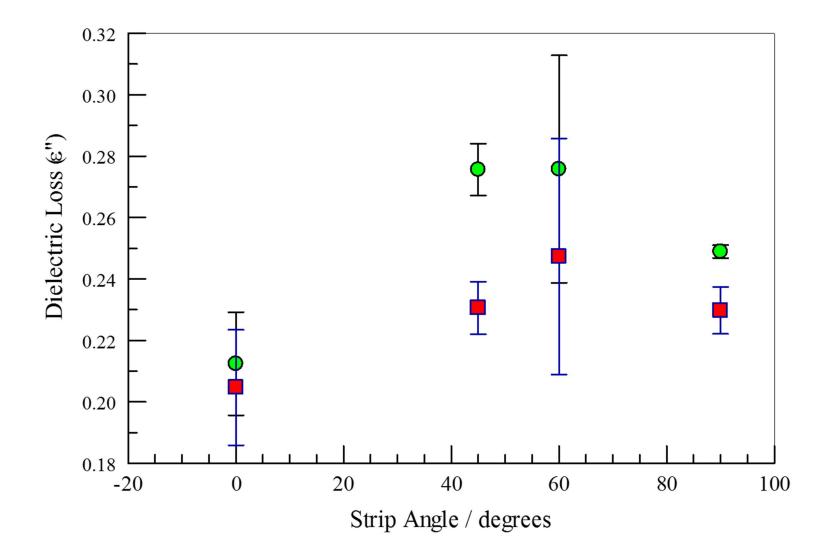
- 437 14 Kombolias, M., et al. Non-Destructive Analysis of Printing Substrates via Resonant Cavity
 438 Broadband Dielectric Spectroscopy. 254th American Chemical Society National Meeting.
 439 Washington, DC (2017)
- Kombolias, M., Obrzut, J., Montgomery, K., Postek, M., Poster, D., Obeng, Y. Dielectric Spectroscopic Studies of Biological Material Evolution and Application to Paper. *TAPPI Journal.* **17** (9), 501-505 (2018).
- Kombolias, M., et al. Broadband Dielectric Spectroscopic Studies of Biological Material Evolution and Application to Paper. *PaperCon 2018*. Charlotte, NC (2018).
- Basics of Measuring the Dielectric Properties of Materials. In www.keysight.com Vol.
 5989-2589EN (ed Keysight Technologies). Keysight Technologies, USA (2017).
- 447 18 Orloff, N. D., et al. Dielectric Characterization by Microwave Cavity Perturbation 448 Corrected for Nonuniform Fields. *IEEE Transactions on Microwave Theory and* 449 *Techniques.* **62** (9), 2149-2159 (2014).
- 450 19 Obrzut, J., Emiroglu, C., Kirilov, O., Yang, Y., Elmquist, R.E. Surface Conductance of Graphene from Non-Contact Resonant Cavity. *Measurement.* **87** 146-151 (2016).
- 452 20 IEC, Nanomanufacturing- Key control characteristics Part 6-4: Graphene Surface 453 conductance measurement using resonant cavity. International Electrotechnical Commission: 454 2016 (2016)
- Thomas, J., Idris, N.A., Collings, D.A. Pontamine Fast Scarlet 4B Bifluorescence and Measurement of Cellulose Microfibril Angles. *Journal of Microscopy.* **268** (1), 13–27 (2017).
- 458 22 Anderson, C. T., Carroll, A., Akhmetova, L., Somerville, C. Real-Time Imaging of Cellulose 459 Reorientation during Cell Wall Expansion in Arabdopsis roots. *Plant Physiology.* **152** 787-460 796, (2010).
- Osaki, S. Quick Determination of Dielectric Anisotropy of Paper Sheets by Means of Microwaves. *Journal of Applied Polymer Science.* **37,** 527-540 (1989).
- 463 24 Osaki, S. Microwaves Quickly Determine the Fiber Orientation of Paper. *TAPPI Journal.* 464 **70,** 105-108, (1987).
- Kombolias, M., et al. Broadband Dielectric Spectroscopic Studies of Cellulosic Paper Aging. *(TBD)*. **(TBD)**. **(TBD)**, (TBD), (2019 (in press)).
- Kombolias, M., Obrzut, J., Postek, M.T., Poster, D.L., Obeng, Y.S. Contactless Resonant Cavity Dielectric Spectroscopic Studies of Recycled Office Papers *TBD*. **TBD** (TBD), TBD, (2019 (in press)).
- Einfeldt, J. Application of Dielectric Relaxation Spectroscopy to the Characterization of Cellulosic Fibers. *Chemical Fibers International.* **51.** 281-283 (2001).
- Driscoll, J. L. The Dielectric Properties of Paper and Board and Moisture Profile
 Correction at Radio Frequency. *Paper Technology and Industry.* 17 (2), 71-75 (1976).
- Havlinova, B., Katuscak, S., Petrovicova, M., Makova, A., Brezova, V. A Study of
 Mechanical Properties of Papers Exposed to Various Methods of Accelerated Ageing.
 Part I. The Effect of Heat and Humidity on Original Wood-Pulp Papers. *Journal of Cultural Heritage.* 10, 222-231, (2009).
- Zieba-Palus, J., Weselucha-Birczynska, A., Trzcinska, B., Kowalski, R., Moskal, P. Analysis
 of Degraded Papers by Infrared and Raman Spectroscopy for Forensic Purposes. *Journal of Molecular Structure*. **1140** 154-162 (2017).

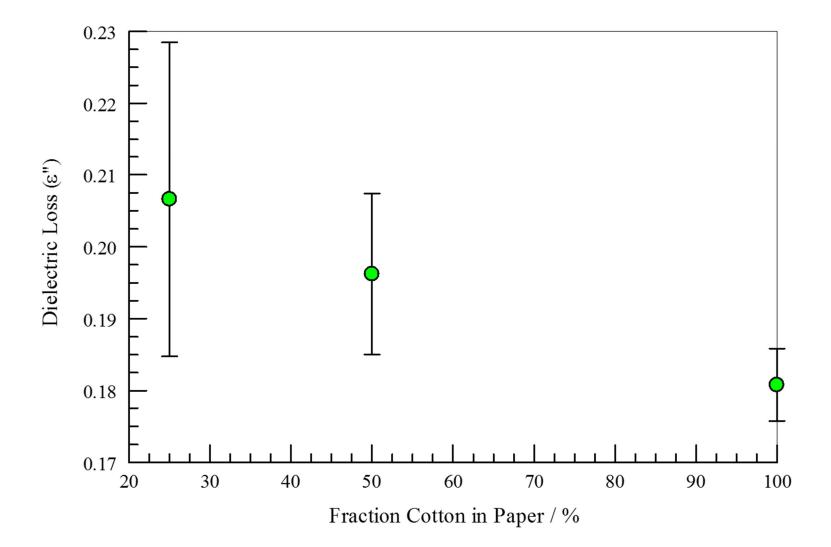
- 481 31 Capitani, D., Di Tullio, V., Proietti, N. Nuclear Magnetic Resonance to Characterize and Monitor Cultural Heritage. *Progress in Nuclear Resonance Spectroscopy.* **64**, (2012).
- 483 32 Bajpai, P. Recycling and deinking of recovered paper. 1st edn. Elsevier. (2014).
- Fernandes Diniz, J. M. B., Gil, M.H., Castro, J.A.A.M. Hornification-its origin and interpretation in wood pulps. *Wood Science and Technology.* **37**, 489-494 (2004).
- 486 34 Cao, B., Tschirner, U., Ramaswamy, S. Impact of pulp chemical composition on recycling. 487 *TAPPI Journal.* **81** (12), 119-127 (1998).
- Saukkonen, E., et al. Effect of the carbohydrate composition of bleached kraft pulp on the dielectric and electrical properties of paper. *Cellulose.* **22** (2), 1003-1017 (2015).
- 490 36 Wu, B., Taylor, C.M., Knappe, D.R.U., Nanny, M.A., Barlaz, M.A. Factors Controlling
 491 Alkylbenzene Sorption to Municipal Solid Waste. *Environmental Science & Technology*.
 492 35 (22), 4569-4576 (2001).
- 493 37 Ho, R., Mai, K. W., Horowitz, M. A. The future of wires. *Proceedings of the IEEE.* **89** (4), 490-504 (2001).
- 495 38 Aoki, T. *et al.* In Evaluation of back end of line structures underneath wirebond pads in 496 ultra low-k device. *Electronic Components and Technology Conference (ECTC), IEEE 62nd.* 497 1097-1102 (2012)
- 498 39 Rantanen, W. J. Identification of Secondary Fiber in Paper. *Progress in Paper Recycling.* 499 77-79 (1994).
- Topol, A. W. *et al.* Three-dimensional integrated circuits. *IBM Journal of Research and Development.* **50** (4.5), 491-506 (2006).
- Jones, K., Benson, S., Roux, C. The forensic analysis of office paper using carbon isotope ratio mass spectrometry - Part 1: Understanding the background population and homogeneity of paper for the comparison and discrimination of samples. *Forensic Science International.* **231** 354-363 (2013).
- Jones, K., Benson, S., Roux, C. The forensic analysis of office paper using oxygen isotope ratio mass spectrometry. Part 1: Understanding the background population and homogeneity of paper for the comparison and discrimination of samples. *Forensic Science International.* **262**, 97-107 (2016).
- 510 43 Recycled Paper Research at the Library of Congress. Washington, DC (2014).

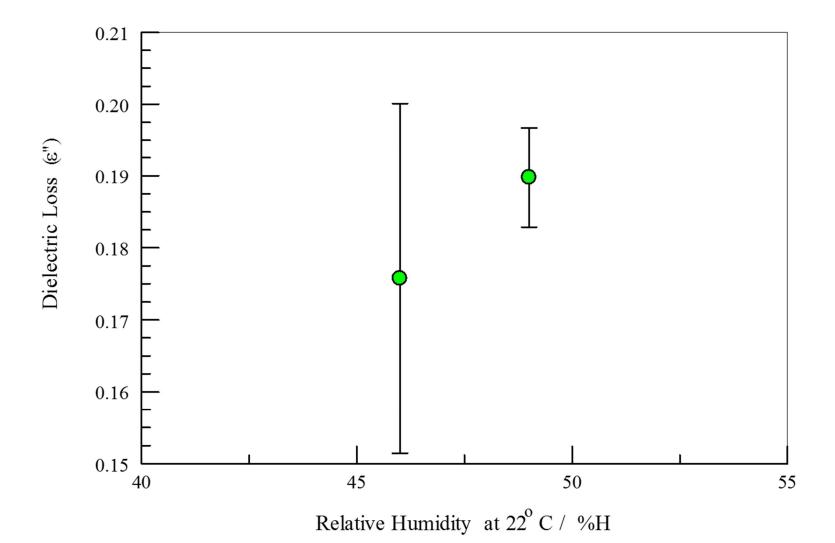
513

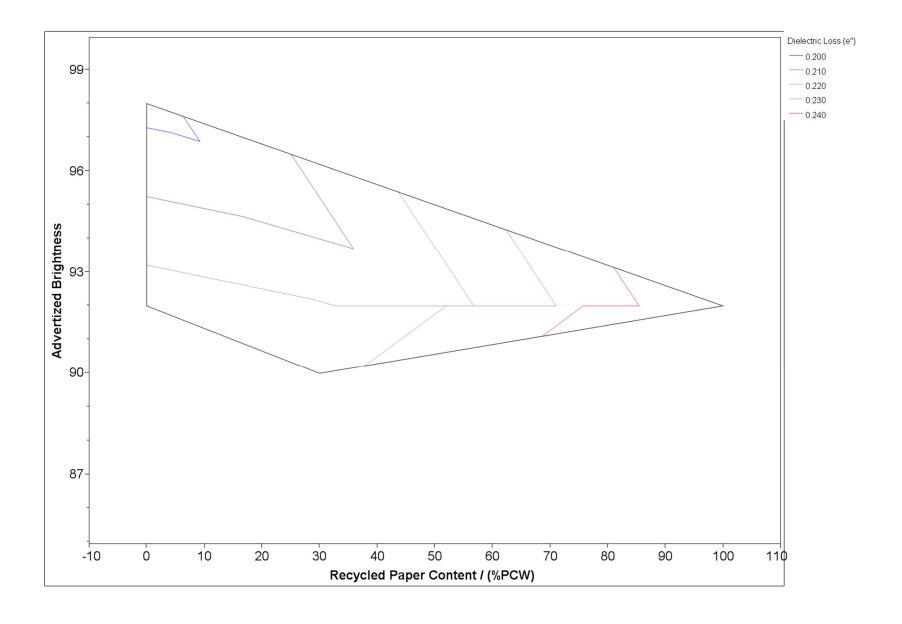
511 44 TAPPI T 550 om-13: Determination of Equilibrium Moisture in Pulp, Paper and Paperboard for Chemical Analysis. TAPPI (2013).











Name of Material/Equipment

commercially produced colored office paper Q-Lab QUV accelerated weathering chamber X-Rite eXact
Agilent N5225A network analyzer
WR90 rectangular waveguide
JMP data analysis software

Company

Neenah Paper Q-Lab Corporation, Westlake, OH X-Rite, Inc., Grand Rapids, MI Agilent Technologies, Santa Rosa, CA Agilent Technologies, Santa Rosa, CA SAS, Cary, NC

Catalog Number

Comments/Description

Purchased from Staples

R 100 (a = 10.16 mm, b = 22.86 mm, $I_z = 127.0$ mm)



1 Alewite Center #200 Cambridge, MA 02140 tel. 617.945.9051 www.jove.com

ARTICLE AND VIDEO LICENSE AGREEMENT

Title of Article:	Method Dev Cavity	elopne +	for Cont	acties (esonant
	Cavity	Dielectric	. Spectose	:0876 Stu	dies
Author(s):	Mary Koms				
	Author elects to e.com/publish) via:	have the Mat	erials be made	available (as	described at
Standar			Open Ad	cess:	
Item 2: Please s	elect one of the follow	ving items:			
☐The Aut	thor is NOT a United St	tates government	employee.		
	thor is a United State of his or her duties as	-			orepared in the
	thor is a United States of his or her duties as				prepared in the

ARTICLE AND VIDEO LICENSE AGREEMENT

Defined Terms. As used in this Article and Video License Agreement, the following terms shall have the following meanings: "Agreement" means this Article and Video License Agreement; "Article" means the article specified on the last page of this Agreement, including any associated materials such as texts, figures, tables, artwork, abstracts, or summaries contained therein; "Author" means the author who is a signatory to this Agreement; "Collective Work" means a work, such as a periodical issue, anthology or encyclopedia, in which the Materials in their entirety in unmodified form, along with a number of other contributions, constituting separate and independent works in themselves, are assembled into a collective whole; "CRC License" means the Creative Commons Attribution-Non Commercial-No Derivs 3.0 Unported Agreement, the terms and conditions of which can be found at: http://creativecommons.org/licenses/by-nc-

nd/3.0/legalcode; "Derivative Work" means a work based upon the Materials or upon the Materials and other preexisting works, such as a translation, musical arrangement, dramatization, fictionalization, motion picture version, sound recording, art reproduction, abridgment, condensation, or any other form in which the Materials may be recast, transformed, or adapted; "Institution" means the institution, listed on the last page of this Agreement, by which the Author was employed at the time of the creation of the Materials; "JoVE" means MyJove Corporation, a Massachusetts corporation and the publisher of The Journal of Visualized Experiments; "Materials" means the Article and / or the Video; "Parties" means the Author and JoVE; "Video" means any video(s) made by the Author, alone or in conjunction with any other parties, or by JoVE or its affiliates or agents, individually or in collaboration with the Author or any other parties, incorporating all or any portion of the Article, and in which the Author may or may not appear.

- 2. **Background.** The Author, who is the author of the Article, in order to ensure the dissemination and protection of the Article, desires to have the JoVE publish the Article and create and transmit videos based on the Article. In furtherance of such goals, the Parties desire to memorialize in this Agreement the respective rights of each Party in and to the Article and the Video.
- Grant of Rights in Article. In consideration of JoVE agreeing to publish the Article, the Author hereby grants to JoVE, subject to Sections 4 and 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Article in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Article into other languages, create adaptations, summaries or extracts of the Article or other Derivative Works (including, without limitation, the Video) or Collective Works based on all or any portion of the Article and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and(c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. If the "Open Access" box has been checked in Item 1 above, JoVE and the Author hereby grant to the public all such rights in the Article as provided in, but subject to all limitations and requirements set forth in, the CRC License.



1 Alewife Center #200 Cambridge, MA 02140 tel. 617.945.9051

ARTICLE AND VIDEO LICENSE AGREEMENT

- 4. **Retention of Rights in Article.** Notwithstanding the exclusive license granted to JoVE in **Section 3** above, the Author shall, with respect to the Article, retain the non-exclusive right to use all or part of the Article for the non-commercial purpose of giving lectures, presentations or teaching classes, and to post a copy of the Article on the Institution's website or the Author's personal website, in each case provided that a link to the Article on the JoVE website is provided and notice of JoVE's copyright in the Article is included. All non-copyright intellectual property rights in and to the Article, such as patent rights, shall remain with the Author.
- 5. Grant of Rights in Video Standard Access. This Section 5 applies if the "Standard Access" box has been checked in Item 1 above or if no box has been checked in Item 1 above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby acknowledges and agrees that, Subject to Section 7 below, JoVE is and shall be the sole and exclusive owner of all rights of any nature, including, without limitation, all copyrights, in and to the Video. To the extent that, by law, the Author is deemed, now or at any time in the future, to have any rights of any nature in or to the Video, the Author hereby disclaims all such rights and transfers all such rights to JoVE.
- Grant of Rights in Video Open Access. This Section 6 applies only if the "Open Access" box has been checked in Item 1 above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby grants to JoVE, subject to Section 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Video in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Video into other languages, create adaptations, summaries or extracts of the Video or other Derivative Works or Collective Works based on all or any portion of the Video and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. For any Video to which this Section 6 is applicable, JoVE and the Author hereby grant to the public all such rights in the Video as provided in, but subject to all limitations and requirements set forth in, the CRC License.
- 7. Government Employees. If the Author is a United States government employee and the Article was prepared in the course of his or her duties as a United States government employee, as indicated in Item 2 above, and any of the licenses or grants granted by the Author hereunder exceed the scope of the 17 U.S.C. 403, then the rights granted hereunder shall be limited to the maximum

- rights permitted under such statute. In such case, all provisions contained herein that are not in conflict with such statute shall remain in full force and effect, and all provisions contained herein that do so conflict shall be deemed to be amended so as to provide to JoVE the maximum rights permissible within such statute.
- 8. **Protection of the Work.** The Author(s) authorize JoVE to take steps in the Author(s) name and on their behalf if JoVE believes some third party could be infringing or might infringe the copyright of either the Author's Article and/or Video.
- 9. **Likeness, Privacy, Personality.** The Author hereby grants JoVE the right to use the Author's name, voice, likeness, picture, photograph, image, biography and performance in any way, commercial or otherwise, in connection with the Materials and the sale, promotion and distribution thereof. The Author hereby waives any and all rights he or she may have, relating to his or her appearance in the Video or otherwise relating to the Materials, under all applicable privacy, likeness, personality or similar laws.
- Author Warranties. The Author represents and 10. warrants that the Article is original, that it has not been published, that the copyright interest is owned by the Author (or, if more than one author is listed at the beginning of this Agreement, by such authors collectively) and has not been assigned, licensed, or otherwise transferred to any other party. The Author represents and warrants that the author(s) listed at the top of this Agreement are the only authors of the Materials. If more than one author is listed at the top of this Agreement and if any such author has not entered into a separate Article and Video License Agreement with JoVE relating to the Materials, the Author represents and warrants that the Author has been authorized by each of the other such authors to execute this Agreement on his or her behalf and to bind him or her with respect to the terms of this Agreement as if each of them had been a party hereto as an Author. The Author warrants that the use, reproduction, distribution, public or private performance or display, and/or modification of all or any portion of the Materials does not and will not violate, infringe and/or misappropriate the patent, trademark, intellectual property or other rights of any third party. The Author represents and warrants that it has and will continue to comply with all government, institutional and other regulations, including, without limitation all institutional, laboratory, hospital, ethical, human and animal treatment, privacy, and all other rules, regulations, laws, procedures or guidelines, applicable to the Materials, and that all research involving human and animal subjects has been approved by the Author's relevant institutional review board.
- 11. **JoVE Discretion.** If the Author requests the assistance of JoVE in producing the Video in the Author's facility, the Author shall ensure that the presence of JoVE employees, agents or independent contractors is in accordance with the relevant regulations of the Author's institution. If more than one author is listed at the beginning of this Agreement, JoVE may, in its sole



1 Alewite Center #200 Cambridge, MA 02140 tel. 617.945.9051

ARTICLE AND VIDEO LICENSE AGREEMENT

discretion, elect not take any action with respect to the Article until such time as it has received complete, executed Article and Video License Agreements from each such author. JoVE reserves the right, in its absolute and sole discretion and without giving any reason therefore, to accept or decline any work submitted to JoVE. JoVE and its employees, agents and independent contractors shall have full, unfettered access to the facilities of the Author or of the Author's institution as necessary to make the Video, whether actually published or not. JoVE has sole discretion as to the method of making and publishing the Materials, including, without limitation, to all decisions regarding editing, lighting, filming, timing of publication, if any, length, quality, content and the like.

Indemnification. The Author agrees to indemnify 12. JoVE and/or its successors and assigns from and against any and all claims, costs, and expenses, including attorney's fees, arising out of any breach of any warranty or other representations contained herein. The Author further agrees to indemnify and hold harmless JoVE from and against any and all claims, costs, and expenses, including attorney's fees, resulting from the breach by the Author of any representation or warranty contained herein or from allegations or instances of violation of intellectual property rights, damage to the Author's or the Author's institution's facilities, fraud, libel, defamation, research, equipment, experiments, property damage, personal injury, violations of institutional, laboratory, hospital, ethical, human and animal treatment, privacy or other rules, regulations, laws, procedures or guidelines, liabilities and other losses or damages related in any way to the submission of work to JoVE, making of videos by JoVE, or publication in JoVE or elsewhere by JoVE. The Author shall be responsible for, and shall hold JoVE harmless from, damages caused by lack of sterilization, lack of cleanliness or by contamination due to the making of a video by JoVE its employees, agents or independent contractors. All sterilization, cleanliness or decontamination procedures shall be solely the responsibility of the Author and shall be undertaken at the Author's expense. All indemnifications provided herein shall include JoVE's attorney's fees and costs related to said losses or damages. Such indemnification and holding harmless shall include such losses or damages incurred by, or in connection with, acts or omissions of JoVE, its employees, agents or independent contractors.

- 13. Fees. To cover the cost incurred for publication, JoVE must receive payment before production and publication of the Materials. Payment is due in 21 days of invoice. Should the Materials not be published due to an editorial or production decision, these funds will be returned to the Author. Withdrawal by the Author of any submitted Materials after final peer review approval will result in a US\$1,200 fee to cover pre-production expenses incurred by JoVE. If payment is not received by the completion of filming, production and publication of the Materials will be suspended until payment is received.
- 14. Transfer, Governing Law. This Agreement may be assigned by JoVE and shall inure to the benefits of any of JoVE's successors and assignees. This Agreement shall be governed and construed by the internal laws of the Commonwealth of Massachusetts without giving effect to any conflict of law provision thereunder. This Agreement may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to me one and the same agreement. A signed copy of this Agreement delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Agreement.

A signed copy of this document must be sent with all new submissions. Only one Agreement is required per submission.

CORRESPONDING AUTHOR

Name:	Yau Obens
Department:	
Institution:	NIST
Title:	Research Chemin
Signature:	Date: 3/12/2019

Please submit a signed and dated copy of this license by one of the following three methods:

- 1. Upload an electronic version on the JoVE submission site
- 2. Fax the document to +1.866.381.2236
- 3. Mail the document to JoVE / Attn: JoVE Editorial / 1 Alewife Center #200 / Cambridge, MA 02140

Dear Editor,

Re: Rebuttal Letter for Revised JoVE59991

"Method Development for Contactless Resonant Cavity Dielectric Spectroscopic Studies of Cellulosic Paper"

- 1) All requested editorial changes have been made:
 - a. Figures have been modified as required
 - b. Discussion section has been changed to conform to the editorial out line
 - c. Reference have been changes to superscript style
 - d. Commercial language has been removed
 - e. Document has been thoroughly revised, and spell checked
- 2) Changes suggested by reviewer have been incorporated (highlighted in yellow) in the new manuscript.

We hope the revisions we have made in the manuscript and figures meet the editor's expectations.

Sincerely,

Yaw Obeng 4/12/2019