Journal of Visualized Experiments

Pupillary response as assessment of effective seizure induction by electroconvulsive therapy --Manuscript Draft--

Article Type:	Invited Methods Article - JoVE Produced Video	
Manuscript Number:	JoVE59488R2	
Full Title:	Pupillary response as assessment of effective seizure induction by electroconvulsive therapy	
Keywords:	electroconvulsive therapy, automated pupillometer, pupillary response, light reflex, anesthesia, pupillary diameter	
Corresponding Author:	Kazuhiro Shirozu Kyushu University Hospital Fukuoka, Fukuoka JAPAN	
Corresponding Author's Institution:	Kyushu University Hospital	
Corresponding Author E-Mail:	shiron@kuaccm.med.kyushu-u.ac.jp	
Order of Authors:	Kazuhiro Shirozu	
	Keitaro Murayama	
	Ken Yamaura	
Additional Information:		
Question	Response	
Please indicate whether this article will be Standard Access or Open Access.	e Standard Access (US\$2,400)	
Please indicate the city, state/province, and country where this article will be filmed . Please do not use abbreviations.	Fukuoka, JAPAN	

1 TITLE:

Pupillary Response as Assessment of Effective Seizure Induction by Electroconvulsive Therapy

2 3 4

AUTHORS & AFFILIATIONS:

5 Kazuhiro Shirozu¹, Keitaro Murayama², Ken Yamaura³

6

- ¹Department of Anesthesiology and Critical Care Medicine, Kyushu University Hospital, Fukuoka,
- 8 Japar
- 9 ²Department of Neuro-Psychiatry, Kyushu University Graduate School of Medicine, Fukuoka,
- 10 Japan
- ³Department of Anesthesiology and Critical Care Medicine, Kyushu University Graduate School
- 12 of Medicine, Fukuoka, Japan

13

14 Corresponding Author:

15 Kazuhiro Shirozu (shiron@kuaccm.med.kyushu-u.ac.jp)

16 17

Email Addresses of Co-authors:

- 18 Ken Yamaura (keny@fukuoka-u.ac.jp)
- 19 Keitaro Murayama (keimura@npsych.med.kyushu-u.ac.jp)

20 21

KEYWORDS:

22 electroconvulsive therapy, automated pupillometer, pupillary response, light reflex, anesthesia,

23 pupillary diameter

24 25

26

27

SUMMARY:

Pupillary responses (light reflex) were measured for assessment of adequate seizure induction by electroconvulsive therapy using an automated infrared pupillometer immediately after

electrical stimulation. Constriction ratio was calculated and compared with seizure quality.

28 29 30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

ABSTRACT:

Electroconvulsive therapy (ECT) is reported to be effective for severe neuropsychiatric disorders. In ECT, electrical stimulation is applied to the brain, inducing seizure activity. Adequate seizure induction with ECT is associated with seizure duration, symmetrical high amplitude waveforms during slow-wave activity, postictal suppression, and activation of the sympathetic nervous system. Sympathetic nervous system activation is influenced by anesthetic agents or cardiovascular drugs during ECT. Pupillary responses can reflect sympathetic nervous activity or the degree of brain damage. Pupillary response measurement can be conducted in a simple, precise, and objective way using an automated infrared pupillometer, enabling the measurement of pupil diameter (mm) to two decimal places. The white light used for measuring light reflexes is not overly bright, and patients do not typically report discomfort. Pupillary light reflexes were measured before anesthesia induction and immediately after electrical stimulation using this equipment. Pupil diameter is typically enlarged after brain damage or sympathetic nervous activation. Adequate seizure induction using ECT could induce pupillary enlargement immediately after electrical stimulation. In the current method, the constriction ratio of pupil size

was calculated automatically and compared with seizure quality. Pupillary responses immediately after electrical stimulation may provide a useful assessment of the efficacy of seizure induction with ECT.

INTRODUCTION:

45

46

47

48 49

50

51 52

53

54

55

56

57

58

59

60

61 62

63

64

65

66 67

68

69

70

71 72

73

74

75

76

77

78

79

80

81

82

83

84 85

86

87

88

Electroconvulsive therapy (ECT) is considered an effective treatment for severe neuropsychiatric disorders, including refractory psychosis, bipolar disorder, and depression¹. In ECT, an electrical current is applied to the brain to induce a seizure under general anesthesia². Although the mechanisms underlying ECT remain unclear, its antidepressant effects have been attributed to seizure-induced changes in neurotransmitter levels, improved neuroplasticity, increased functional connectivity, and an increase in the plasmatic production of brain-derived neurotrophic factor³. It has also been reported that ECT facilitates serotonin-, norepinephrineand dopamine-mediated neurotransmission⁴. These findings suggest that ECT could cause activation of the sympathetic nervous system. Previous studies have evaluated adequate seizure induction by ECT using seizure duration, symmetrical seizure amplitude, postictal suppression, and activation of the sympathetic nervous system^{4,5}. Among these factors, increased activation of the sympathetic nervous system cannot be measured using electroencephalography. Detection of sympathetic nervous system activation is dependent on increased blood pressure (BP) and heart rate (HR). However, these hemodynamic parameters do not always reflect sympathetic responses because of the administration of antihypertensive drugs to prevent cardiac events during ECT and anesthetic agents, which affect sympathetic nervous function.

Pupillary responses can reflect the degree of brain damage⁶. Thus, pupillary mydriasis is indicated for severe brain damage⁶. Artificial seizures induced by electrical stimulation constitute an abnormal state of brain activity. Thus, evaluating the pupillary response immediately after ECT may be useful for assessing the efficacy of ECT because ECT may also influence pupillary responses⁷. However, measuring pupillary responses in busy clinical situations, as in the current case, is often difficult. To address this issue, a measurement method using an infrared quantitative pupillometer could help to measure pupillary responses easily, accurately, objectively and reproducibly. Quantitative pupillary assessment methods are superior to those obtained manually at the bedside, even by experienced nurses and physicians⁸. The proposed method for measuring pupillary reactivity using an automated infrared pupillometer could be useful for detecting the degree of seizure or sympathetic nervous activation. In a previous study, we reported that the pupillary light reflex was related to the efficacy of seizure by ECT⁹. Specifically, we found that pupillary diameter was not changed after light stimulation, remaining enlarged when adequate seizure was induced. Thus, the aim of the proposed method is to measure the light reflex using an automated infrared pupillometer immediately after electrical stimulation. The proposed method is easy to perform, enabling any clinician, not only psychiatrists, to evaluate the efficacy of seizure induction using ECT.

PROTOCOL:

The study protocol was approved by the institutional clinical research ethics committee of Kyushu University, Fukuoka, Japan (IRB: Clinical Research number #28-77). Although the measurement of pupillary reaction is an essential and standard clinical procedure during anesthesia, informed

consent was obtained for this research. Patients with cataract, glaucoma, intraocular lenses, or insulin-dependent diabetes mellitus were excluded because their pupillary responses may be abnormal.

1. Preparation for electroconvulsive therapy

1.1. Clean the right and left forehead and area behind the ears with an alcohol cotton swab, and then attach electroencephalogram (EEG) monitors at four symmetrical points.

1.2. Clean bilateral temples with normal saline, and then attach adhesive electrical stimulation pads (about $4 \text{ cm} \times 5 \text{ cm}$) to the bilateral temples to prevent interference in the stimulation due to hair. The intensity of stimulation is changed each time, according to the previous degree of inducted convulsion.

1.3. Attach electrocardiogram (ECG) monitors at two points on the chest (base of the heart and cardiac apex) and monitor heart rate.

1.4. Prepare a tourniquet and belt it at the left thigh.

NOTE: The tourniquet is belted until 200 mmHg after the patient is asleep. Convulsion induced by ECT can be observed in the lower leg even after systemic administration of muscular relaxant.

1.5. Attach two electromyogram sensors to the left anterior tibial muscle, with a distance of 5cm, to measure generalized seizure time in the lower leg.

2. Preparation for anesthesia

NOTE: All patients underwent ECT in the same room, at the same time of day.

тт.

2.1. After the forehead is cleaned with an alcohol cotton swab, attach a bispectral index (BIS)measurement device to the patient's forehead. BIS is used to monitor depth of anesthesia.

2.2. Non-invasively measure blood pressure at the arm every 1 min during ECT therapy.

2.3. Continuously monitor saturation of oxygen and pulse rate by a clip attached to the finger.

2.4. Hold a pupillometer (see the **Table of Materials**) over one of the patient's eyes. After the patient opens their eyes, press a button (**Figure 1A**) on the pupillometer, and measurement starts automatically. Maximum (initial) resting pupil size (MAX) and minimum pupil size after light stimulation (MIN) are automatically measured, and the constriction pupil size ratio (%constriction = [MAX–MIN]/MAX expressed as a percentage) is automatically calculated.

NOTE: The duration of light emission is 800 ms, and the required data can be obtained within 1 second. If the patient is unable to keep their eyes open, the examiner assists by holding the eyelid

133 open. Although anesthetists typically measure the pupillary diameter or light reflex of patients

134 before surgery in the operating room, cooperation with patients is needed before anesthesia

135 induction.

136 137

3. Anesthesia

138

139 3.1. Supply oxygen (6 L/min) via a mask.

140

141 3.2. Administer propofol (sedative: 3 µg/mL, target controlled infusion) via intravenous line until 142 loss of consciousness.

143

144 3.3. Tighten the tourniquet belted at the thigh to 200 mmHg.

145

146 3.4. Administer succinylcholine (muscle relaxant: 1 mg/kg) via the intravenous line.

147

- 148 3.5. After spontaneous breathing of patients ceases, have the anesthesiologist perform
- 149 controlled ventilation using a face mask with 100% oxygen without intubation. Perform
- 150 ventilation from the point at which end-tidal carbon dioxide is 30 mmHg until electrical
- stimulation¹⁰. 151

152

153 3.6. After BIS value begins to increase, the anesthesiologist stops ventilation and psychiatrists 154 start the ECT procedure.

155

4. Electroconvulsive therapy procedure

156 157

158 4.1. Conduct ECT via bitemporal electrode stimulation using an ECT instrument. Set the initial 159 electrical stimulus dose (%) at half the value of each individual's age.

160

161 NOTE: Maximum stimulus dose is 100%. The electrical stimulation time is about 7-8 s.

162

- 163 4.2. Immediately after electrical stimulation, hold thr automated infrared pupillometer over one
- 164 of the patient's eyes. At this time, if the patient closes their eyes, keep the patient's eyelid open.
- 165 Press the device button, as in step 2.4, and measure maximum (initial) resting pupil size (MAX) or minimum pupil size after stimulation (MIN).

166

167

168 4.3. Perform controlled ventilation using a face mask with 100% oxygen until the patient begins 169 breathing spontaneously.

170

171 4.4. Using EEG, measure ictal regularity, seizure time and greater postictal suppression (by the psychiatrist [Figure 2, Figure 3]). 172

173 174

REPRESENTATIVE RESULTS:

- 175 Portable pupillometer devices are produced by several companies. These devices are typically
- 176 conveniently sized and can be operated with one hand (Figure 1A), enabling examiners to

accurately detect pupillary responses by pressing a button. Infrared light is used to detect the pupillary edge automatically (**Figure 1B**), accurately representing pupillary data (**Figure 1C**). This device measures maximum (initial) resting pupil size (MAX), minimum pupil size after stimulation (MIN), constriction pupil size ratio (%constriction, [MAX-MIN]/MAX expressed as a percentage), latency duration (LAT, time between initiation of retinal light stimulation and onset of pupillary constriction), constriction velocity (CV, extent of constriction/duration of constriction), maximum constriction velocity (MCV), dilation velocity (DV, extent of pupil size recovery/duration of recovery). The light reflex is acquired using a flash of visible white light of 800 ms duration at the start of each 3.2 s scan¹¹. We report the relationship between the pupillary response and efficacy of ECT using this device among 13 patients (**Figure 3**, this figure has been modified from reprinted from Shirozu et al.⁹. As shown in **Figure 3A**, pupillary diameter was increased after electrical stimulation. However, significant differences in constriction by the light reflex were caused immediately after electrical stimulation, reflecting differences in seizure efficacy induced by ECT (**Figure 3B**).

I

FIGURE & TABLE LEGENDS:

Figure 1: Automated infrared pupillometer. (A) The measurement method. (B) Detecting the edge of the pupil. (C) Representing the pupillary data. The images are presented with the explicit permission from the commercial supplier.

Figure 2: EEG results during a typical seizure.

Figure 3: Pupillary response changed immediately after electrical stimulation. (A) Maximum pupil diameter. (B) Pupillary constriction ratio (light reflex). We used two-way ANOVA for comparisons between adequate and inadequate seizure groups at control, and just after stimulation. Adequate seizure status was determined using the criteria described above. Data are presented as mean \pm standard deviation. Sidak's multiple-comparison post hoc test was utilized for two-way analysis of variance. ***p < 0.001, ****p < 0.0001. This figure has been modified from reprinted from Shirozu et al. 9 with permission.

DISCUSSION:

Automated infrared pupillometer devices have been used to measure pupillary reactions in clinical situation¹². However, to our knowledge, no previous studies have used this equipment for detecting the efficacy of seizure induction by ECT. Resting pupil diameter size differs between patients, but constriction ratio provides an objective measure. Thus, we selected the constriction ratio change, not the diameter size change. Additionally, small changes of pupillary diameter can only be measured using an automated infrared pupillometer.

Several important factors should be considered in applying this method. Pupillometer measurement should not be used in patients with ophthalmic lesions because pupillary reflexes may be altered as compared with normal patients. Pupillary reactions are influenced by environmental light and time of day, these factors should be kept uniform across patients. Although anesthetic agents and psychiatric drugs have also been found to affect pupillary

reaction,^{9,13} these treatments did not affect the results in our previous report⁹.

221222223

224

225

226

227

228

229

230

231

The efficacy of ECT has been evaluated based on electroencephalography and increases in BP or HR. This method is widely used, and has been evaluated in many previous studies. However, an increasing number of patients have adapted to ECT, and rates of cardiovascular disease are increasing. Thus, it is important to prevent abnormal hypertension. The proposed method might be particularly useful for patients who have been administered antihypertensive drugs during ECT. Pupillometry can be used to measure constriction velocity after constriction by light stimulation, and further details regarding the relationship between pupillary reaction and efficacy of ECT should be examined in future studies. These studies may reveal valuable information about the relationship between the efficacy of ECT and pupillary reactions at time points other than immediately after electrical stimulation.

232233234

235

236

237

Hemodynamics are substantially changed immediately after electrical stimulation. Then, measurement should be conducted immediately after electrical stimulation because pupillary reactions recover rapidly. Thus, pupillary reaction measurement should be conducted by a person other than the responsible anesthesiologist, and the method requires cooperation with a psychiatrist or nurse.

238239240

ACKNOWLEDGMENTS:

241 none

242243

DISCLOSURES:

The authors have nothing to disclose.

244245246

247

248

256

257

258

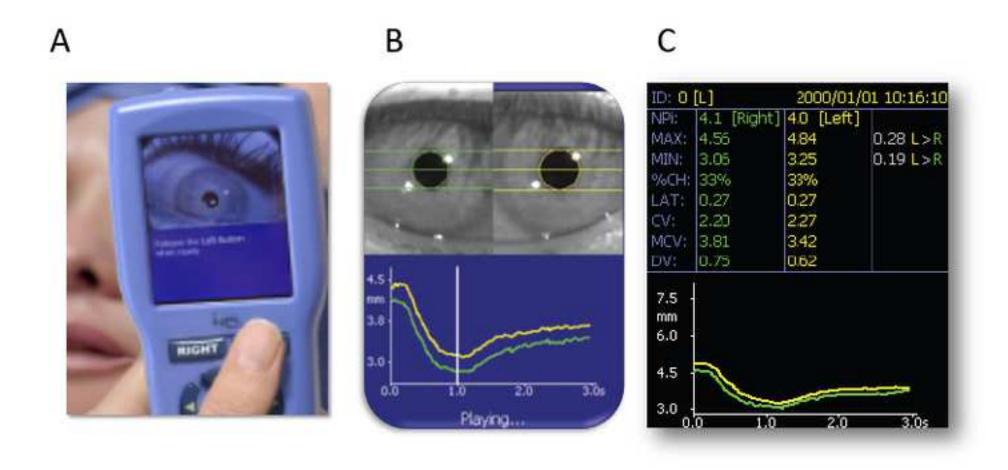
259

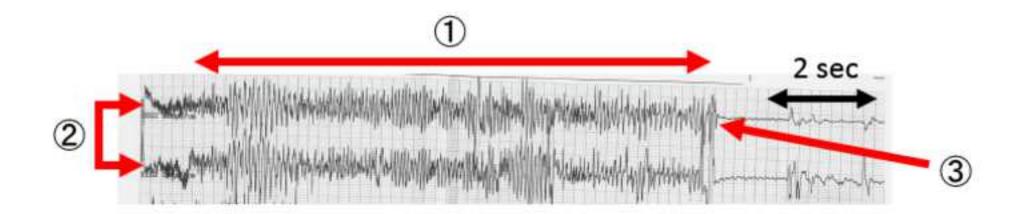
REFERENCES:

- 1 Saito, S. Anesthesia management for electroconvulsive therapy: hemodynamic and respiratory management. *Journal of Anesthesia*. **19** (2), 142-149, (2005).
- Ward, H. B., Szabo, S. T. & Rakesh, G. Maintenance ECT in schizophrenia: A systematic review. *Psychiatry Research.* **264** 131-142, (2018).
- Milev, R. V. et al. Canadian Network for Mood and Anxiety Treatments (CANMAT) 2016 Clinical Guidelines for the Management of Adults with Major Depressive Disorder: Section 4. Neurostimulation Treatments. *Canadian Journal of Psychiatry.* **61** (9), 561-575, (2016).
- Vutskits, L. General Anesthetics to Treat Major Depressive Disorder: Clinical Relevance and Underlying Mechanisms. *Anesthesia & Analgesia*. **126** (1), 208-216, (2018).
 - Nishikawa, K. & Yamakage, M. Effects of the concurrent use of a reduced dose of propofol with divided supplemental remifentanil and moderate hyperventilation on duration and morphology of electroconvulsive therapy-induced electroencephalographic seizure activity: A randomized controlled trial. *Journal of Clinical Anesthesia*. **37** 63-68, (2017).
- Ritter, A. M. et al. Brain stem blood flow, pupillary response, and outcome in patients with severe head injuries. *Neurosurgery.* **44** (5), 941-948, (1999).
- 7 Kobayashi, K. et al. Rapid and lasting enhancement of dopaminergic modulation at the hippocampal mossy fiber synapse by electroconvulsive treatment. *Journal of Neurophysiology*. **117** (1), 284-289, (2017).

- Meeker, M. et al. Pupil examination: validity and clinical utility of an automated pupillometer. *Journal of Neuroscience Nursing*. **37** (1), 34-40, (2005).
- Shirozu, K. et al. The relationship between seizure in electroconvulsive therapy and pupillary response using an automated pupilometer. *Journal of Anesthesia*. 10.1007/s00540-018-269 2566-9, (2018).
- 270 Sawayama, E. et al. Moderate hyperventilation prolongs electroencephalogram seizure 271 duration of the first electroconvulsive therapy. *JOURNAL OF ECT.* **24** (3), 195-198, (2008).
- 272 11 Rollins, M. D., Feiner, J. R., Lee, J. M., Shah, S. & Larson, M. Pupillary effects of high-dose opioid quantified with infrared pupillometry. *Anesthesiology.* **121** (5), 1037-1044, (2014).
- 274 12 McNett, M., Moran, C., Janki, C. & Gianakis, A. Correlations Between Hourly Pupillometer 275 Readings and Intracranial Pressure Values. *Journal of Neuroscience Nursing*. **49** (4), 229-234, 276 (2017).
- 277 13 Shirozu, K. et al. The effects of anesthetic agents on pupillary function during general 278 anesthesia using the automated infrared quantitative pupillometer. *Journal of Clinical Monitoring* 279 and Computing. **31** (2), 291-296, (2017).

280





Adequate seizure group meets below three inclusion criteria:

- 1 An induced encephalograph seizure time > 25 s
- ② Regularity symmetric high amplitude (300–500 μV) spike- and-slow wave complex
- 3 Postictal suppression > 2, evaluated by a psychiatric specialist

Inadequate seizure group do not satisfy above criteria.

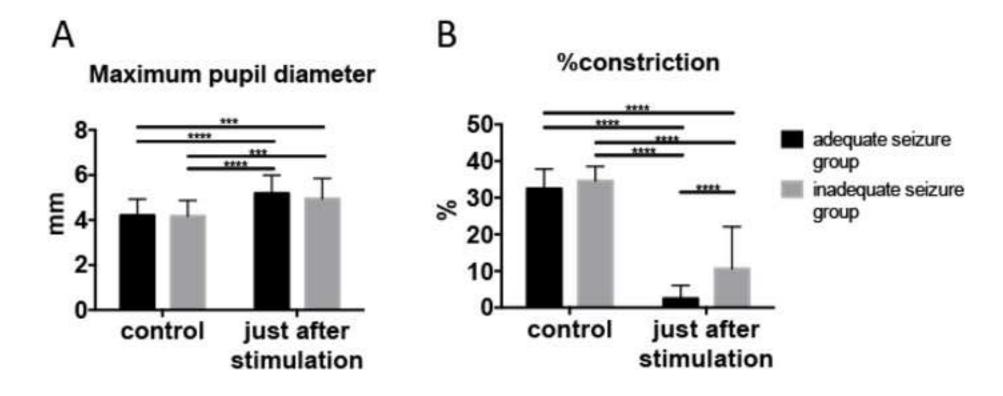
③(PSI: postictal suppression index)

3 : good seizure suppression (very flat), and transition is abrupt

2 : good seizure suppression (very flat), but transition to flat is gradual

1 : seizure termination is clear, but suppression is poor (not flat)

0 : cannot tell where the seizure ends



Name of Material/ Equipment	Company	Catalog Number	Comments/Description
BIS Quatro sensor	medtronic		
ECG	Somatics Inc.	ELDSC-9	
EEG	Somatics Inc.	ECEF-4	
EMG monitoring lead	Somatics Inc.	ELDS-BR	
Finger probe	Nihon Koden	TL-201T	
Non invasive blood pressure cuff Npi-100/automated infrared	Nihon Koden	YP-713T	
pupillometer	NeurOptics		
Thymapads	Somatics Inc.	EPAD-C	
Thymatron IV system	Somatics Inc.		
VBM tourniquet9000	Medizintechnik GmbH		



ARTICLE AND VIDEO LICENSE AGREEMENT

Title of Article:	Pupillary response as assessment of effective seisure induction by electroconnilsue		
Author(s):	Herapy Kazulino Shireu Keitaro Murayama Ken Tamaura		
	Author elects to have the Materials be made available (as described at .com/publish) via: Access Open Access		
Item 2: Please se	lect one of the following items:		
The Auth	or is NOT a United States government employee.		
The Author is a United States government employee and the Materials were prepared in the course of his or her duties as a United States government employee.			
	or is a United States government employee but the Materials were NOT prepared in the f his or her duties as a United States government employee.		

ARTICLE AND VIDEO LICENSE AGREEMENT

Defined Terms. As used in this Article and Video License Agreement, the following terms shall have the following meanings: "Agreement" means this Article and Video License Agreement; "Article" means the article specified on the last page of this Agreement, including any associated materials such as texts, figures, tables, artwork, abstracts, or summaries contained therein; "Author" means the author who is a signatory to this Agreement; "Collective Work" means a work, such as a periodical issue, anthology or encyclopedia, in which the Materials in their entirety in unmodified form, along with a number of other contributions, constituting separate and independent works in themselves, are assembled into a collective whole; "CRC License" means the Creative Commons Attribution-Non Commercial-No Derivs 3.0 Unported Agreement, the terms and conditions of which can be found at: http://creativecommons.org/licenses/by-nc-

nd/3.0/legalcode; "Derivative Work" means a work based upon the Materials or upon the Materials and other preexisting works, such as a translation, musical arrangement, dramatization, fictionalization, motion picture version, sound recording, art reproduction, abridgment, condensation, or any other form in which the Materials may be recast, transformed, or adapted; "Institution" means the institution, listed on the last page of this Agreement, by which the Author was employed at the time of the creation of the Materials; "JoVE" means MyJove Corporation, a Massachusetts corporation and the publisher of The Journal of Visualized Experiments; "Materials" means the Article and / or the Video; "Parties" means the Author and JoVE; "Video" means any video(s) made by the Author, alone or in conjunction with any other parties, or by JoVE or its affiliates or agents, individually or in collaboration with the Author or any other parties, incorporating all or any portion

of the Article, and in which the Author may or may not appear.

- 2. **Background.** The Author, who is the author of the Article, in order to ensure the dissemination and protection of the Article, desires to have the JoVE publish the Article and create and transmit videos based on the Article. In furtherance of such goals, the Parties desire to memorialize in this Agreement the respective rights of each Party in and to the Article and the Video.
- Grant of Rights in Article. In consideration of JoVE agreeing to publish the Article, the Author hereby grants to JoVE, subject to Sections 4 and 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Article in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Article into other languages, create adaptations, summaries or extracts of the Article or other Derivative Works (including, without limitation, the Video) or Collective Works based on all or any portion of the Article and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and(c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. If the "Open Access" box has been checked in Item 1 above, JoVE and the Author hereby grant to the public all such rights in the Article as provided in, but subject to all limitations and requirements set forth in, the CRC License.



ARTICLE AND VIDEO LICENSE AGREEMENT

- 4. **Retention of Rights in Article.** Notwithstanding the exclusive license granted to JoVE in **Section 3** above, the Author shall, with respect to the Article, retain the non-exclusive right to use all or part of the Article for the non-commercial purpose of giving lectures, presentations or teaching classes, and to post a copy of the Article on the Institution's website or the Author's personal website, in each case provided that a link to the Article on the JoVE website is provided and notice of JoVE's copyright in the Article is included. All non-copyright intellectual property rights in and to the Article, such as patent rights, shall remain with the Author.
- 5. Grant of Rights in Video Standard Access. This Section 5 applies if the "Standard Access" box has been checked in Item 1 above or if no box has been checked in Item 1 above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby acknowledges and agrees that, Subject to Section 7 below, JoVE is and shall be the sole and exclusive owner of all rights of any nature, including, without limitation, all copyrights, in and to the Video. To the extent that, by law, the Author is deemed, now or at any time in the future, to have any rights of any nature in or to the Video, the Author hereby disclaims all such rights and transfers all such rights to JoVE.
- Grant of Rights in Video Open Access. This Section 6 applies only if the "Open Access" box has been checked in Item 1 above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby grants to JoVE, subject to Section 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Video in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Video into other languages, create adaptations, summaries or extracts of the Video or other Derivative Works or Collective Works based on all or any portion of the Video and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. For any Video to which this Section 6 is applicable, JoVE and the Author hereby grant to the public all such rights in the Video as provided in, but subject to all limitations and requirements set forth in, the CRC License.
- 7. **Government Employees.** If the Author is a United States government employee and the Article was prepared in the course of his or her duties as a United States government employee, as indicated in **Item 2** above, and any of the licenses or grants granted by the Author hereunder exceed the scope of the 17 U.S.C. 403, then the rights granted hereunder shall be limited to the maximum

- rights permitted under such statute. In such case, all provisions contained herein that are not in conflict with such statute shall remain in full force and effect, and all provisions contained herein that do so conflict shall be deemed to be amended so as to provide to JoVE the maximum rights permissible within such statute.
- 8. **Protection of the Work.** The Author(s) authorize JoVE to take steps in the Author(s) name and on their behalf if JoVE believes some third party could be infringing or might infringe the copyright of either the Author's Article and/or Video.
- 9. **Likeness, Privacy, Personality.** The Author hereby grants JoVE the right to use the Author's name, voice, likeness, picture, photograph, image, biography and performance in any way, commercial or otherwise, in connection with the Materials and the sale, promotion and distribution thereof. The Author hereby waives any and all rights he or she may have, relating to his or her appearance in the Video or otherwise relating to the Materials, under all applicable privacy, likeness, personality or similar laws.
- 10. Author Warranties. The Author represents and warrants that the Article is original, that it has not been published, that the copyright interest is owned by the Author (or, if more than one author is listed at the beginning of this Agreement, by such authors collectively) and has not been assigned, licensed, or otherwise transferred to any other party. The Author represents and warrants that the author(s) listed at the top of this Agreement are the only authors of the Materials. If more than one author is listed at the top of this Agreement and if any such author has not entered into a separate Article and Video License Agreement with JoVE relating to the Materials, the Author represents and warrants that the Author has been authorized by each of the other such authors to execute this Agreement on his or her behalf and to bind him or her with respect to the terms of this Agreement as if each of them had been a party hereto as an Author. The Author warrants that the use, reproduction, distribution, public or private performance or display, and/or modification of all or any portion of the Materials does not and will not violate, infringe and/or misappropriate the patent, trademark, intellectual property or other rights of any third party. The Author represents and warrants that it has and will continue to comply with all government, institutional and other regulations, including, without limitation all institutional, laboratory, hospital, ethical, human and animal treatment, privacy, and all other rules, regulations, laws, procedures or guidelines, applicable to the Materials, and that all research involving human and animal subjects has been approved by the Author's relevant institutional review board.
- 11. JoVE Discretion. If the Author requests the assistance of JoVE in producing the Video in the Author's facility, the Author shall ensure that the presence of JoVE employees, agents or independent contractors is in accordance with the relevant regulations of the Author's institution. If more than one author is listed at the beginning of this Agreement, JoVE may, in its sole

ARTICLE AND VIDEO LICENSE AGREEMENT



the making of a video by JoVE its employees, agents or independent contractors. All sterilisation, cleanliness or decontamination procedures shall be undertaken at the responsibility of the Author and shall be undertaken at the Author's expense. All indemnifications provided herein shall include JoVE's attorney's fees and costs related to said losses or damages. Such indemnification and holding harmless shall include such losses or damages incurred by, or in connection with, acts or omissions of JoVE, its

employees, agents or independent contractors.

13. Fees. To cover the cost incurred for publication, loVE must receive payment before production and publication of the Materials. Payment is due in 21 days of invoice. Should the Materials not be published due to an editorial or production decision, these funds will be seturned to the Author. Withdrawal by the Author of any submitted Materials after final peer review approval will result in a US\$1,200 fee to cover pre-production expenses incurred by JoVE. If payment is not received by the completion of filming, production and publication of the Completion of filming, production and publication of the Materials will be suspended until payment is received.

14. Transfer, Governing Law. This Agreement may be assigned by JoVE and shall inure to the benefits of any of JoVE's successors and assignees. This Agreement shall be governed and construed by the internal laws of the Commonwealth of Massachusetts without giving effect to any conflict of law provision thereunder. This Agreement may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to me one and the same agreement. A signed copy of this Agreement delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy the same legal effect as delivery of an original signed copy of this Agreement.

discretion, elect not take any action with respect to the Article until such time as it has received complete, executed Article and Video License Agreements from each such author. JoVE reserves the right, in its absolute and sole discretion and without giving any reason therefore, to accept or decline any work submitted to JoVE. JoVE and its full, unfettered access to the facilities of the Author or of the Author's institution as necessary to make the Video, whether actually published or not. JoVE has sole discretion as to the method of making and publishing the Materials, as to the method of making and publishing the Materials, including, without limitation, to all decisions regarding editing, lighting, filming, timing of publication, if any, length, quality, content and the like.

sterilization, lack of cleanliness or by contamination due to shall hold loVE harmless from, damages caused by lack of elsewhere by JoVE. The Author shall be responsible for, and JOVE, making of videos by JOVE, or publication in JOVE or damages related in any way to the submission of work to procedures or guidelines, liabilities and other losses or animal treatment, privacy or other rules, regulations, laws, of institutional, laboratory, hospital, ethical, human and experiments, property damage, personal injury, violations facilities, fraud, libel, defamation, research, equipment, rights, damage to the Author's or the Author's institution's allegations or instances of violation of intellectual property any representation or warranty contained herein or from attorney's fees, resulting from the breach by the Author of against any and all claims, costs, and expenses, including and and and hold har ylinmebni of seerge representations contained herein. The Author further fees, arising out of any breach of any warranty or other and all claims, costs, and expenses, including attorney's JOVE and/or its successors and assigns from and against any Indemnification. The Author agrees to indemnify

A signed copy of this document must be sent with all new submissions. Only one Agreement is required per submission.

Signature:	Horse Shur Date: Date: 4 2018
Title:	Pupillay response as assessment of effective soime induction by electionapy
:noitutiteni	Wister Historial Month
Department:	pepullion of Amelhosiolog and Content Come Medicin Fresh Through
Name:	Kasulino Shilozu
CORRESPONDIN	яонтиа ы

Please submit a signed and dated copy of this license by one of the following three methods:

- 1. Upload an electronic version on the JoVE submission site
- 2. Fax the document to +1.866.381.2236
- 3. Mail the document to loVE / Attn: loVE Editorial / 1 Alewife Center #200 / Cambridge, MA 02140

Alisha DSouza, Ph.D. Senior Review Editor

January 29, 2019

Dear Dr. Alisha DSouza

"Title: Pupillary response as assessment of effective seizure induction by electroconvulsive therapy"

Thank you very much for your consideration to the above-referenced manuscript. We appreciate valuable comments given by the editors and reviewers. The manuscript has been revised in accordance with the their comments. We would like to submit the revised manuscript for publication as Journal of Visualized Experiments. Changes were made in red in manuscript.

Editorial Comments:

• Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammatical errors.

Answer⇒We asked English editing service.

- Introduction:
- 1) Line 107, 108, 110, : Need references

Answer⇒We added the references.

- Protocol Detail: Please note that your protocol will be used to generate the script for the video, and must contain everything that you would like shown in the video. Please add more specific details (e.g. button clicks for software actions, numerical values for settings, etc) to your protocol steps. There should be enough detail in each step to supplement the actions seen in the video so that viewers can easily replicate the protocol.
- 1) Please include an ethics statement before your numbered protocol steps indicating that the protocol follows the guidelines of your institutions human research ethics committee.

Answer⇒We added the phrase" The study protocol was approved by the institutional clinical research ethics committee of Kyushu University, Fukuoka, Japan (IRB: Clinical Research number #28-77). Although the measurement of pupillary reaction is an essential and standard clinical procedure during anesthesia, informed consent was obtained for this research. ".

2) Mention inclusion and exclusion criteria to select subjects, and also mention if consent was obtained.

Answer⇒We added the phrase "Patients with cataract, glaucoma, intraocular lenses, or insulin-dependent diabetes mellitus were excluded because their pupillary responses may be abnormal.".

3) 1.1: Attach where? On the patient? Which parts of the body? EEG requires clean surfaces and is done at specific points. Please mention all details to replicate the step.

Answer⇒We added the phrase "After the right and left forehead and area behind the ears are cleaned with an alcohol cotton swab, electroencephalogram (EEG) monitors are attached at four symmetrical points.".

4) 1.2: What kind of pad? What is the intensity of stimulation?

Answer⇒We added the phrase "After bilateral temples are cleaned with normal saline, gummous electrical stimulation pads (about 4 × 5 cm) are attached to the bilateral temples to prevent interference in the stimulation due to hair. The intensity of stimulation is changed each time, according to the previous degree of inducted convulsion.".

5) 1.3: Which 3 points? How are the contact points prepped?

Answer⇒We added the phrase "Electrocardiogram (ECG) monitors are attached at two points (base of the heart and cardiac apex) and heart rate is monitored.".

6) 1.4: Attach how? How tight? Where exactly?

Answer⇒We added the phrase "A tourniquet is prepared and belted at the left thigh. A tourniquet is belted until 200 mmHg after the patient is asleep. Convulsion induced by ECT can be observed in the lower leg even after systemic administration of muscular relaxant.".

7) 1.5: Where exactly? Please provide more specific details.

Answer⇒We added the phrase "Two electromyogram sensors are attached to the left anterior tibial muscle, with a distance of 5 cm, to measure generalized seizure time in the lower leg.".

8) 2.1: How is the site prepped? What is the device measuring?

Answer⇒We added the phrase "After the forehead is cleaned with an alcohol cotton swab, a bispectral index (BIS) measurement device is attached at the patient's forehead. BIS is used to monitor depth of anesthesia.".

9) 2.2: How? Through a standard monitor?

Answer⇒We added the phrase "Non-invasive blood pressure is measured at the arm every 1 minute during ECT therapy.".

10) 2.3: how? Using a clip on monitor?

Answer⇒We added the phrase "Saturation of oxygen is continuously monitored by a clip attached to the finger, and the pulse rate is monitored.".

11) 2.4: how is this done? Please elaborate.

Answer⇒We added the phrase "The examiner holds a pupillometer over one of the patient's eyes. After the patient opens their eyes, the examiner presses a button (Figure 1A) on the pupillometer, and measurement starts automatically. Maximum (initial) resting pupil size (MAX) and minimum pupil size after light stimulation (MIN) are automatically measured, and the constriction pupil size ratio (%constriction, [MAX-MIN]/MAX expressed as a percentage) is automatically calculated. The duration of light emission is 800 ms, and the required data can be obtained within 1 second. If the patient is unable to keep their eyes open, the examiner assists by holding the eyelid open. Although anesthetists typically measure the pupillary diameter or light reflex of patients before surgery in the operating room, cooperation with patients is needed before anesthesia induction."

12) 3.3: Which tourniquet?

Answer⇒We added the phrase "A tourniquet belted at the thigh is tightened to 200 mmHg.".

13) 3.2,3.4: Mention administration routes.

Answer⇒We added the phrase "via intravenous line".

14) 4.1: What are the dosage units? What is the max and min range? How long is the stimulation applied for?

Answer⇒We added the phrase "Conduct ECT via bitemporal electrode stimulation using an ECT instrument. The initial electrical stimulus dose (%) was set at half the value of each individual's age. Maximum stimulus dose is 100 %. The electrical stimulation time is about 7-8 seconds.".

15) 4.2: Please add more details to describe how this is done.

Answer⇒We added the phrase "Immediately after electrical stimulation, the examiner holds a pupillometer over one of the patient's eyes. At this time, if the patient closes their eyes, the examiner keeps the patient's eyelid open. The examiner presses the button, as in step 2.4, and maximum (initial) resting pupil size (MAX) or minimum pupil size after stimulation (MIN) is measured using an automated infrared pupillometer.".

16) 4.4? how? Needs details.

Answer⇒We added the phrase "Measurement of ictal regularity, seizure time and greater postictal suppression using EEG by the psychiatrist (Figure 3)".

• **Discussion:** JoVE articles are focused on the methods and the protocol, thus the discussion should be similarly focused. Please ensure that the discussion covers the following in detail and in paragraph form (3-6 paragraphs): 1) modifications and troubleshooting, 2) limitations of the technique, 3) significance with respect to existing methods, 4) future applications and 5) critical steps within the protocol.

Answer⇒We appreciated your suggestion. We rephrased.

• Figures:

1) Do you have explicit permission from NeuroOptics inc to use fig 1?

Answer⇒Yes. The images are presented with the explicit permission of NeurOptics Inc.

2) Fig 2: define error bars, sample sizes, ****, ***

Answer⇒We added the phrase "Sidak's multiple-comparison post hoc test was utilized for two-way analysis of variance. ***p < 0.001, *****p < 0.0001.".

References: Please spell out journal names.

Answer⇒We wrote. Could you tell me how?

• Commercial Language: JoVE is unable to publish manuscripts containing commercial sounding language, including trademark or registered trademark symbols (TM/R) and the mention of company brand names before an instrument or reagent. Examples of commercial sounding language in your manuscript are Thymatron IV system (Somatics),

Answer⇒We rewrote.

1) Please use MS Word's find function (Ctrl+F), to locate and replace all commercial sounding language in your manuscript with generic names that are not company-specific. All commercial products should be sufficiently referenced in the table of materials/reagents. You may use the generic term followed by "(see table of materials)" to draw the readers' attention to specific commercial names.

Answer⇒We added the phrase.

• Table of Materials: Please revise the table of the essential supplies, reagents, and equipment. The table should include the name, company, and catalog number of all relevant materials/software in separate columns in an xls/xlsx file. Please include items such as EEG, electric stimulator, pulse ox, etc.

Answer⇒We added the products in xls.

- Please define all abbreviations at first use.
- If your figures and tables are original and not published previously or you have already obtained figure permissions, please ignore this comment. If you are re-using figures from a previous publication, you must obtain explicit permission to re-use the figure from the previous publisher (this can be in the form of a letter from an editor or a link to the editorial policies that allows you to re-publish the figure). Please upload the text of the re-print permission (may be copied and pasted from an email/website) as a Word document to the Editorial Manager site in the "Supplemental files (as requested by JoVE)" section. Please also cite the figure appropriately in the figure legend, i.e. "This figure has been modified from [citation]."

Answer⇒We added the supplement file.

Comments from Peer-Reviewers:

Please note that the reviewers raised some significant concerns regarding your method and your manuscript. Please revise the manuscript to thoroughly address these concerns. Additionally, please describe the changes that have been made or provide explanations if the comment is not addressed in a rebuttal letter. We may send the revised manuscript and the rebuttal letter back to peer review.

Reviewer #1:

Manuscript Summary:

The authors present an interesting method to measure pupillary response and pupillary mydriasis as an indicated of brain damage.

Major Concerns:

JOVE manuscripts tend to be very detailed, methodology-driven, manuscripts. This paper lacks these details. For instance more details were given about ECT, than measurement of pupil size

Answer⇒We added the phrase as pointed by editor and reviewers.

Minor Concerns:

A video would help

Reviewer #2:

Manuscript Summary:

Authors employed the pupillary responses for assessment of adequate seizure induction, and then calculated the constriction ratio. It was shown that pupillary responses immediately after electrical stimulation provide a precise assessment of the efficacy of seizure induction with electroconvulsive therapy. Research topic is interesting and meaningful. However, some major issues should be considered by authors.

Major Concerns:

1. How many patients were evaluated in this test? Authors should clarify this issue.

Answer⇒We added the phrase "We reported the relationship between the pupillary response and efficacy of ECT using this device among 13 patients (Figure 3, this figure has been modified from reprinted from Shirozu, K. et al. The relationship between seizure in ECT and pupillary response using an automated pupilometer. J Anesth. 10.1007/s00540-018-2566-9, [2018]).9".

2. The description of ECT procedure is quite briefness, authors should describe more details.

Answer⇒We added the phrase as pointed by editor and reviewers.

3. I don't understand the definition of "constriction ratio", which is a key factor of this paper.

Answer⇒We added the phrase "Maximum (initial) resting pupil size (MAX) and minimum pupil size after light stimulation (MIN) are automatically measured, and the constriction pupil size ratio (%constriction, [MAX-MIN]/MAX expressed as a percentage) is automatically calculated.".

4. In this paper, I didn't find the conclusion about how ECT therapy affects seizure induction by reflecting on pupillary responses.

Answer⇒We appreciated your suggestion. We wrote the phrase "we found that pupillary diameter was not changed after light stimulation, remaining enlarged when adequate seizure was induced." In introduction and "We reported the relationship between the pupillary response and efficacy of ECT using this device among 13 patients (Figure 3, this figure has been modified from reprinted from Shirozu, K. *et al.* The relationship between seizure in ECT and pupillary response using an automated pupilometer. *J Anesth.* 10.1007/s00540-018-2566-9, [2018]).⁹ As shown in Figure 3A, pupillary diameter is enlarged after electrical stimulation. However, significant differences in constriction by the light reflex were caused immediately after electrical stimulation, reflecting differences in seizure efficacy induced by ECT (Figure 3B)." in results.".

Reviewer #3:

Manuscript Summary:

Pupillary responses (light reflex) were measured for assessment of adequate seizure induction by electroconvulsive therapy using an automated infrared pupillometer immediately after electrical stimulation. Constriction ratio was calculated and compared with seizure quality.

Minor Concerns:

1. In protocol, pulse rate or heart rate should be monitored and mentioned

Answer⇒We added the phrase.

2. Line 148, 176, 177 says" either maximum or minimum pupilary diameter is measured.".but I think both are measured to calculate constriction ratio

Answer⇒We appreciated your suggestion. We added the phrase "2.4. The examiner holds a pupillometer over one of the patient's eyes. After the patient opens their eyes, the examiner presses a button (Figure 1A) on the pupillometer, and measurement starts automatically. Maximum (initial) resting pupil size (MAX) and minimum pupil size after light stimulation (MIN) are automatically measured, and the constriction pupil size ratio (%constriction, [MAX-MIN]/MAX expressed as a percentage) is automatically calculated.".

3. Lines 165, 166 & 167 regarding IPPV are not very clear. Please elaborate

Answer⇒We added the phrase "After spontaneous breathing of patients ceases, the anesthesiologist performs controlled ventilation using a face mask with 100% oxygen without intubation. Perform ventilation from the point at which end-tidal carbon dioxide is 30 mmHg until electrical stimulation.¹¹ After BIS value begins to increase, the anesthesiologist stops ventilation and psychiatrists start the ECT procedure.".

4. Lines 213, 214 says criteria for adequate Adequate seizure status was determined using the criteria described above. But there are no criterias anywhere

Answer⇒We appreciated your suggestion. We wrote the phrase "we found that pupillary diameter was not changed after light stimulation, remaining enlarged when adequate seizure was induced." In introduction and "We reported the relationship between the pupillary response and efficacy of ECT using this device among 13 patients (Figure 3, this figure has been modified from reprinted from Shirozu, K. *et al.* The relationship between seizure in ECT and pupillary response using an automated pupilometer. *J Anesth.* 10.1007/s00540-018-2566-9, [2018]). As shown in Figure 3A, pupillary diameter is enlarged after electrical stimulation. However, significant differences in constriction by the light reflex were caused immediately after electrical stimulation, reflecting differences in seizure efficacy induced by ECT (Figure 3B)." in results.

Sincerely,
Kazuhiro Shirozu, M.D., Ph.D.

Department of Anesthesiology and Critical Care Medicine, Kyushu University, 3-1-1 Maidashi, Higashi-ku, Fukuoka, 812-8582 Japan

Phone: +81-92-642-5714 Fax: +81-92-642-5722

email: shiron@kuaccm.med.kyushu-u.ac.jp

差出人: 【日本麻酔科学会】岡川 jigyo11@anesth.or.jp

件名: RE: permission 日付: 2019年1月24日 11:13

宛先: shiron shiron@kuaccm.med.kyushu-u.ac.jp

cc: gakujyutu@anesth.or.jp

Dear Dr. Kazuhiro Shirozu,

Thank you for your email.
This is Okagawa of JSA Office.

Do you want to get permission about reprinting the JA article of own in other journals, is that right?

Regarding this case, as a result of the examination, it became "free license" as academic purpose, so I will notify you here.

If you have any questions about this, please contact us.

Best regards,

Minako Okagawa (Ms.)
Japanese Society of Anesthesiologists
Kobe KIMEC Center Building 3F
1-5-2 Minatojima-Minamimachi,
Chuo-ku, Kobe 650-0047 Japan
Tel: +81-78-306-5945

Fax: +81-78-306-5946

From: shiron [mailto:shiron@kuaccm.med.kyushu-u.ac.jp]

Sent: Friday, November 16, 2018 12:13 AM

To: gakujyutu@anesth.or.jp

Subject: permission

Dear the Japanese Society of Anesthesiologists and the Society

Recently, my accepted journal "The relationship between seizure in electroconvulsive therapy and pupillary response using an automated pupillometer • DOI: 10.1007/s00540-018-2566-9" was published in "Journal of anesthesia".

After publication, we received mail from "journal of visualized experiments". They requested me to submit manuscript about the method of above journal. Can I used the figure published in JOA in journal of visualized experiments? If can, I included statement "This figure has been modified from [citation]." in JOVE.

I attached the mail from JOVE.

"I recently came across your paper, "The relationship between seizure in electroconvulsive therapy and pupillary response using an automated pupilometer". As a Science Editor with <u>JoVE</u> I am interested in speaking with you about the possibility of publishing your methods as a peer-reviewed video article.

JoVE is the leading peer-reviewed video methods journal. Authors submit a traditional text manuscript, and we take care of the entire process of filming and producing your video. The JoVE video article below by the Payne lab at University of Notre Dame is an example of the

high quality video articles we produce.

Sincerely, Kazuhiro Shirozu Department of anesthesiology and critical care medicine, Kyushu University Hospital