

# Journal of Visualized Experiments

## Multi-Modal Signals for Analyzing Pain Responses to Thermal and Electrical Stimuli --Manuscript Draft--

Article Type:	Methods Article - JoVE Produced Video
Manuscript Number:	JoVE59057R2
Full Title:	Multi-Modal Signals for Analyzing Pain Responses to Thermal and Electrical Stimuli
Keywords:	Pain; Heat; Thermal; Electrical; Database; Bio Signals; Video; Audio; Tonic; Phasic; Modality; X-ITE Pain DB
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Additional Information:	
Question	Response
Please indicate whether this article will be Standard Access or Open Access.	Open Access (US\$4,200)
Please indicate the <b>city, state/province, and country</b> where this article will be <b>filmed</b> . Please do not use abbreviations.	Ulm, Baden-Württemberg, Germany

**TITLE:**

Multimodal Signals for Analyzing Pain Responses to Thermal and Electrical Stimuli

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**KEYWORDS:**

Pain, heat, thermal, electrical, database, bio signals, video, audio, tonic, phasic, modality

**SUMMARY:**

This article focuses on the experimental elicitation of pain through heat (thermal) and electrical stimulation while recording physiological, visual, and paralinguistic responses. It aims at collecting valid multimodal data for analyzing pain based on its intensity, quality, and duration.

**ABSTRACT:**

The assessment of pain relies mostly on methods that require a person to communicate. However, for people with cognitive and verbal impairments, existing methods are not sufficient as they lack reliability and validity. To approach this problem, recent research focuses on an objective pain assessment facilitated by parameters of responses derived from physiology, and video and audio signals. To develop reliable automated pain recognition systems, efforts have been made in creating multimodal databases in order to analyze pain and detect valid pain patterns. While the results are promising, they only focus on discriminating pain or pain intensities versus no pain. In order to advance this, research should also consider the quality and duration of pain as they provide additional valuable information for more advanced pain management. To complement existing databases and the analysis of pain regarding quality and length, this paper proposes a psychophysiological experiment to elicit, measure, and collect valid pain reactions. Participants are subjected to painful stimuli that differ in intensity (low, medium, and high), duration (5 s or 1 min), and modality (heat or electric pain) while audio, video (e.g., facial expressions, body gestures, facial skin temperature), and physiological signals (e.g.,

electrocardiogram [ECG], skin conductance level [SCL], facial electromyography [EMG], and EMG of *M. trapezius*) are being recorded. The study consists of a calibration phase to determine a subject's individual pain range (from low to intolerable pain) and a stimulation phase in which pain stimuli, depending on the calibrated range, are applied. The obtained data may allow refining, improving, and evaluating automated recognition systems in terms of an objective pain assessment. For further development of such systems and to investigate pain reactions in more detail, additional pain modalities such as pressure, chemical, or cold pain should be included in future studies. Recorded data of this study will be released as the "X-ITE Pain Database".

## INTRODUCTION:

Pain is a very personal and unpleasant sensation that is perceived differently by everyone. It lasts from seconds to months and may vary in its quality (throbbing, sharp, burning, etc.). If treated inadequately, pain influences physical and psychological functions of the body, reduces the quality of life, and bears the risk of becoming a chronic condition. In clinical care, the accurate assessment of pain intensity and quality is highly relevant to provide successful pain management<sup>1,2</sup>. Gold standard methods for assessing pain, such as the visual analog scales (VAS), the numeric rating scale (NRS), or the McGill Pain Questionnaire<sup>3</sup>, rely on self-reports of patients and, thus, only work sufficiently with cognitively and verbally unimpaired persons. Consequently, all those established methods lack validity and reliability when it comes to neonates<sup>4</sup>, delirious, somnolent, sedated, or ventilated patients<sup>5</sup>, or people suffering from dementia<sup>6,7</sup>. In addition to or as an alternative to self-report scales, methods to measure pain through observation by trained personnel (e.g., the Zurich Observation Pain Assessment<sup>8</sup> or the Abbey Pain Scale<sup>9</sup>) have been developed in recent years. Nevertheless, even these tools suffer from limitations in reliability and validity, as even trained raters cannot guarantee an objective assessment. Furthermore, the application is often too time-consuming for clinical staff when pain assessment should be done on a regular basis.

Several research teams have focused on developing automated pain recognizing systems, which allow for measuring pain by means of physiological, visual, and/or paralinguistic signal sets as new approaches for assessing and monitoring pain and its intensities objectively. Previous studies show promising results in detecting and differentiating pain<sup>10-13,16-18</sup> or discriminating pain from basic emotions<sup>14,15</sup> based solely on one of the signal sets<sup>10-15</sup> as well as on a combination/fusion<sup>16,17,19</sup> of the sets. The abovementioned modalities react almost autonomously to stressful stimuli such as pain. Using them has the advantage that they do not require a person's ability to report her/his pain. Such individuals would greatly benefit from an objective pain recognition system which incorporates such modalities. Data sets consisting of reactions to elicited pain provide precious information for analyzing pain patterns and developing practical applications for detecting and monitoring pain. Amongst others, Walter et al.<sup>20</sup> created the "BioVid Heat Pain Database", a multimodal database that is publicly available and provides data from short-time induced painful heat stimuli and corresponding psychophysiological and visual reactions. The "SenseEmotion Database" of Velana et al.<sup>21</sup> includes biosignals, videos, and paralinguistic information from volunteers affected by phasic heat pain and emotional stimuli.

While these databases are well suited for examining pain reactions, they are mostly based on

one specific pain model. As pain differs in its quality (supposedly depending on the pain model) and in its duration, it also may differ in its physiological, visual, and paralinguistic correlates. To the best of the authors' knowledge, no multimodal studies or databases exist that combine two or more pain models and vary pain stimuli in intensity and duration in order to not only detect pain patterns but also distinguish between pain qualities.

This paper provides a protocol on how to conduct a complex psychophysiological experiment to elicit pain and simultaneously record physiological responses (e.g., ECG, EMG of *Musculus trapezius*, *corrugator supercilii*, and *zygomaticus major*, SCL) as well as video (e.g., facial expressions, body gestures, facial skin temperature) and audio data. Participants are stimulated with short (phasic) and longer lasting (tonic) heat and electrical pain stimuli that differ in intensity. A calibration phase prior to the experiment determines pain thresholds for each subject individually.

The study aims at collecting multimodal data for investigating pain (patterns) regarding intensity, quality and length by means of statistical methods, machine learning algorithms, etc. Additionally, the already collected data is planned to be published for academic research purposes under the name "X-ITE (Experimentally Induced Thermal and Electrical) Pain Database". It may extend existing databases, such as BioVid and SenseEmotion<sup>20,21</sup>, and contribute to the further development, improvement, and/or evaluation of automated pain recognition systems in matters of validity, reliability, and real-time recognition.

The rest of the paper is organized in the following way. The protocol describes how to carry out the pain elicitation study step-by-step. Then, the representative results present the outcome of the experiment. Finally, the discussion covers critical steps, limitations, and benefits of the study followed by suggestions for future extensions.

## **PROTOCOL:**

The study was conducted in accordance with the ethical guidelines laid down in the World Medical Association Declaration of Helsinki (ethical committee approval was granted: 196/10-UBB/bal) and approved by the ethics committee of the University of Ulm (Helmholtzstraße 20, 89081 Ulm, Germany).

### **1. Subject recruitment and selection**

1.1. Recruit an equal number of healthy female and male subjects between 18 and 50 years of age through posters, handouts, local press advertisements, and social media to achieve a mostly general sample. Advertise the scientific benefit of the study and offer a monetary compensation. Provide telephone number or contact email address for further information.

NOTE: Age-related effects in pain sensitivity are well reported<sup>22</sup> and should be considered in the sample selection. To avoid confounding the results with age effects, we choose a younger group as considered by Lautenbacher et al.<sup>22</sup>.

1.2. Exclude potential subjects who meet any of the following criteria: suffering from chronic



pain, depression, or a history of psychiatric disorders; having neurological conditions, headache syndrome, or cardiovascular disease; regularly taking pain medication or using painkillers directly before the experiment.

## 2. General preparations of the pain elicitation experiment

NOTE: The pain elicitation experiment consists of two temporally successive parts: the calibration part and the pain stimulation part. The calibration part determines a participant's individual pain threshold and pain tolerance level in terms of thermal and electrical stimuli. The pain stimulation part performs the pain induction adjusted to the individual thresholds. Each part of the experiment takes place in a different room: the calibration room and the experimental room. The calibration room also serves as a monitoring room for the experimenter during the pain stimulation part (see **Figure 1**).

[Place **Figure 1** here]

2.1. **Welcome the arriving subject and lead her/him to the calibration room.** Inform the participant in detail about the 3 h experiment and the possibility of termination at any time without any negative consequences. Obtain a written informed consent for the experiment and a written confirmation that none of the exclusion criteria apply. Prepare a receipt and the monetary compensation.

2.2. Use CE-marked stimulators that allow for inducing highly controlled thermal and electrical stimuli. Use analogue-to-digital converters that convert the analog thermal and electrical stimuli into digital signals to capture. Turn on the thermal and the electrical stimulator.

2.3. For the calibration and the main part of the experiment, employ appropriate software, which enables the manual and/or automatic triggering of thermal and electrical stimuli. Launch the software of the thermal and electrical stimulator. Prepare pencil and paper to write down stimuli intensities and the corresponding pain intensities reported by the participant.

2.4. **Let the subject sit down comfortably on a chair with a right-sided armrest.** Place a printed rating scale going from 0 to 100 in steps of 5 with, on the left, anchor point **0/no pain** and, on the right, anchor point **100/intolerable pain** in front of the subject. **Instruct the subject to verbally rate the pain intensity of a stimulus immediately when asked to do so, using the scale provided.** Accurately explain that only zero means "no pain" while 100 equals a stimulus that cannot be tolerated anymore.

NOTE: In this study, "pain tolerance" is understood as the intensity of a stimulus that a subject cannot bear anymore, meaning here: cannot tolerate anymore. Thus, the anchor point **100** is marked as **intolerable pain** in contrast to a numerical rating scale used in clinical practice.

## 3. Calibration of electrical pain threshold and tolerance (parts 1 and 2)

NOTE: Only one experimenter should conduct the calibration part to minimize the social effects on pain sensitivity. Choose an experimenter with the same sex as the participant to minimize cross-sex effects on pain sensitivity<sup>23</sup>. **Part 1** determines pain threshold and tolerance in terms of

short (phasic) electrical stimuli and **part 2** in terms of longer lasting (tonic) electrical stimuli. Those values serve as a basis for calculating the phasic and tonic electrical pain stimuli applied in the pain stimulation part.

3.1. Clean the participant's skin of the right index and middle finger with alcohol solution. Place one disposable Ag/AgCl electrode (skin contact size: 34 mm in diameter) on the upper side of the intermediate phalanx of the right index finger (anode) and another one on the upper side of the proximal phalanx of the right middle finger (cathode). Connect the electrodes to the electrical stimulator.

3.2. Ask the subject to rest their right arm comfortably on the armrest and provide them with instructions for the following procedure.

NOTE: Here is an example of how to formulate the instruction for the electrical calibration part 1: "You are going to experience short electrical stimuli of different intensities. We start with a very low intensity. First, you are required to indicate the first time you feel low pain. This will be your 'Pain Threshold'. In order to determine this threshold, I will start an electrical stimulus, and shortly before it ends, I'm going to say 'Now.' When this happens, you should immediately report whether the stimulus was painful or not by rating it on a scale from zero to one hundred. If the stimulus was not painful, please report 'Zero.' I will then go on with an increased intensity. After the first time you report a number greater than zero, I will reduce the intensity a few levels and we repeat the whole procedure until you indicate a number greater than zero again. We do this to validate the 'Pain Threshold'. After that, I will slowly increase the intensity to the point where you rate the stimulus with 'One hundred,' meaning you cannot tolerate or stand the pain anymore. This will be your 'Pain Tolerance Level'. Again, to validate this level, I will go back a few levels and we will repeat the procedure until you report 'One hundred' for the second time. As soon as you say 'One hundred,' I will immediately stop the stimulus."

3.3. Begin **electrical calibration part 1** by starting a stimulus of 0.5 mA (400 V) with a duration of 5 s by clicking the **start** button of the electrical stimulator (software).

NOTE: Each electrical stimulus of the electrical calibration part 1 has a duration of 5 s. The stimulus consists of 100 single electroshocks of 2 ms duration, each distributed equally over the 5 s.

CAUTION: The electrical calibration always starts with 0.5 mA and has a cutoff at 25 mA in order to prevent unconsciousness and life threatening situations.

3.4. Say "Now" at the **4th** second of the stimulus. Write down the number the subject reports for the corresponding stimulus intensity. Conduct a pause of 10 s long.

3.5. If the subject reports zero, increase the intensity by 0.5 mA, start the stimulus, and go back to step 3.4. Otherwise, reduce the intensity by 1.5 mA (minimum: 0.5 mA), start the stimulus, and go back to step 3.4. **When the subject reports a number greater than zero for the second time**, calculate the mean of the two intensities corresponding to zero, write it down and mark it as "phasic Electrical Pain Threshold" (pEPTh). Afterward, continue with step 3.6.

3.6. Increase the current intensity by 0.5 mA and start the stimulus.

3.7. Say “Now” after 4 s from starting the stimulus. Write down the number the subject reports for the corresponding stimulus intensity. Pause for 10 s.

3.8. If the subject reports a value below 100, increase the intensity by 0.5 mA, start the stimulus, and go back to step 3.7. Otherwise, reduce the intensity by 1.5 mA (minimum: 0.5 mA), start the stimulus, and go back to step 3.7. **When the subject reports 100 for the second time**, calculate the mean of the two intensities corresponding to 100, write it down, and mark it as “phasic Electrical Pain Tolerance” (pEPTo). Continue with step 3.9.

3.9. Inform the participant about part 2 of the electrical calibration.

NOTE: This is a possible instruction for electrical calibration part 2: “Again we are starting with a low intensity, but this time, the stimuli will be longer. I am going to say ‘Now’ two times, right after the beginning and shortly before the ending of a stimulus. Every time I say ‘Now,’ you report a number as you did in the first part. After the first time you report a number greater than zero, I will reduce the intensity a few levels and we repeat the whole procedure for validation purposes, until you indicate a number greater than zero again. Following that, I will slowly increase the intensity to the point where you rate the stimulus with ‘One hundred.’ Again, to validate this threshold, I will go back a few levels and we will repeat the procedure until you report ‘One hundred’ for the second time. As soon as you say ‘One hundred,’ I will immediately stop the stimulus.”

3.10. Begin **electrical calibration part 2** by starting a stimulus of 0.5 mA (400 V) with a duration of 10 s by clicking the **start** button of the electrical stimulator (software).

NOTE: Each electrical stimulus of the electrical calibration part 2 has a duration of 10 s. The stimulus consists of 200 single electrical shocks of 2 ms of duration each, distributed equally over the 10 s.

3.11. Say “Now” after 1 s from starting the stimulus. Write down the number the subject reports for the corresponding stimulus intensity. Say “Now” 1 s before the stimulus ends and, again, write down the number the subject now reports for the corresponding stimulus intensity. Pause for 10 s.

3.12. If both of the subject’s reports are zero, increase the intensity by 0.5 mA, start the stimulus, and go back to step 3.11. Otherwise, reduce the intensity by 1.5 mA (minimum: 0.5 mA), start the stimulus, and go back to step 3.11. **When the subject reports a number greater than zero for the second time**, calculate the mean of the two intensities corresponding to zero, write it down, and mark it as “tonic Electrical Pain Threshold” (tEPT<sub>h</sub>). Afterward, continue with step 3.13.

3.13. Increase the current intensity by 0.5 mA and start the stimulus.

3.14. Say “Now” after 1 s from starting the stimulus. Write down the number the subject reports for the corresponding stimulus intensity. Say “Now” 1 s before the stimulus ends. Again, write down the number the subject now reports for the corresponding stimulus intensity. Pause for 10 s.

3.15. If both of the subject’s reports are below 100, increase the intensity by 0.5 mA, start the stimulus, and repeat steps 3.14–3.15. Otherwise if any of the subject’s reports is exactly 100, reduce the intensity by 1.5 mA (minimum: 0.5 mA), start the stimulus, and repeat steps 3.14–3.15. **When the subject reports 100 for the second time**, calculate the mean of two intensities corresponding to 100, write it down, and mark it as “tonic Electrical Pain Tolerance” (tEPTo). Continue then with step 3.16.

3.16. Disconnect the electrodes from the electrical stimulator and remove both Ag/AgCl electrodes from the participant’s fingers. Clean the fingers with alcohol solution to wash off the electrode gel remains.

#### **4. Calibration of thermal pain threshold and tolerance (parts 1 and 2)**

NOTE: The thermal pain calibration is divided into two parts. **Part 1** determines pain threshold and tolerance in terms of short (phasic) thermal stimuli and **part 2** does so in terms of longer lasting (tonic) thermal stimuli. Those values serve as basis for calculating the phasic and tonic thermal pain stimuli applied during the pain stimulation part.

4.1. Apply a 30 mm x 30 mm thermode to the upper side of the subject’s right forearm, about 30 mm proximal to the wrist, by a hook-and-loop fastener strap. Ask the subject to rest their right arm comfortably on the armrest.

NOTE: A thermode is the probe/part of the thermal stimulator which is attached to the subject’s skin and induces the actual thermal stimulus.

4.2. Inform the subject about the procedure of the thermal calibration part 1.

NOTE: A formulation for the instruction for thermal calibration part 1 might be: “You are now going to experience short but constant thermal stimuli of different intensities. We start with a temperature just above your body temperature. A thermal stimulus will start and shortly before it ends, I will say ‘Now.’ When this happens, you should quickly report whether the stimulus was painful or not by rating it on a scale from zero to one hundred, like you did in the electrical calibration part. Similarly, if the stimulus was not painful, please report ‘Zero.’ I will then go on with an increased intensity. There is always a pause of a few seconds between two stimuli. The calibration phase is finished when you report ‘One hundred’ or the cutoff temperature is reached.”

4.3. Begin **thermal calibration part 1** by starting a stimulus of 39 °C with a duration of 5 s by clicking the **start** button of the electrical stimulator (software).

CAUTION: The thermal calibration part 1 has a cutoff temperature of 50 °C in order to prevent skin burns.

NOTE: Each thermal stimulus of the thermal calibration part 1 has a duration of 5 s.

4.4. Say “Now” at the 4th second of the stimulus. Write down the intensity number the subject gives for the corresponding temperature. Pause for 10 s.

4.5. If the indication of the subject is below 100, increase the temperature by 1 °C, start the stimulus, and repeat steps 4.4–4.5. Otherwise, if the indication is 100, or the cutoff temperature of 50 °C is reached, terminate thermal calibration part 1 by continuing with the next step.

4.6. Check the noted numbers and mark the first temperature with a corresponding intensity number greater than zero as “phasic Heat Pain Threshold” (pHPTh). Mark the temperature with an indication of 100 as “phasic Heat Pain Tolerance” (pHPTo).

NOTE: If the subject reports a number below 100 at the cutoff temperature (50 °C), mark 50 °C as pHPTo.

4.7. Inform the participant about part 2 of the thermal calibration.

NOTE: Here is an exemplary formulation for the instruction for this thermal calibration phase: “We start with a temperature above your body temperature, but this time the thermal stimuli will be longer. I will say ‘Now’ two times: right after the beginning and shortly before the ending of the stimuli. Every time I say ‘Now,’ you report a number that equals your pain experience. If the stimulus was not painful, please report ‘Zero.’ I will then go on with an increased temperature. There is always a pause of a few seconds after each stimulus. The calibration phase is finished when you report ‘One hundred’ or the cutoff temperature is reached.”

CAUTION: The thermal calibration part 3 has a cutoff temperature of 49.5 °C in order to prevent skin burns, due to the longer stimulus duration.

4.8. Begin **thermal calibration part 2** with a stimulus of 39 °C for 10 s long by clicking the **start** button of the thermal stimulator (software).

NOTE: Each thermal stimulus of the thermal calibration part 2 has a duration of 10 s.

4.9. Say “Now” after 1 s from starting the stimulus. Write down the intensity number the subject reports for the corresponding temperature. Say “Now” 1 s before the stimulus ends. Again, write down the intensity number the subject now reports for the corresponding temperature. Pause for 60 s.

4.10. If both indications of the subject are below 100, increase the temperature by 1 °C (exception: see note below), start the next stimulus, and repeat step 4.16. Otherwise, if any of the reports is exactly 100 or the cutoff temperature of 49.5 °C is reached, terminate thermal calibration part 2 by continuing with the following step.

NOTE: In this calibration phase, the temperature steps are: 39 °C, 40 °C, 41 °C, 42 °C, 43 °C, 44 °C, 45 °C, 46 °C, 47 °C, 48 °C, 49 °C, **49.5 °C**.

4.11. Check the noted numbers and mark the first temperature at which at least one of the

corresponding numbers is greater than zero as “tonic Heat Pain Threshold” (tHPT<sub>h</sub>). Mark the temperature with a first noted report of 100 as “tonic Heat Pain Tolerance” (tHPT<sub>o</sub>).

NOTE: If the subject reports both numbers below 100 at the cutoff temperature (49.5 °C), mark 49.5 °C as tHPT<sub>o</sub>.

4.12. Remove the thermode from the participant’s underarm. Ask the subject whether they need a short break and/or want to use the sanitary facility. To perform a check if the participant is suitable for the pain stimulation part in terms of thermal stimuli, follow the instructions described in **Supplementary File 1**.

## **5. Preparation of the pain stimulation experiment**

5.1. Conduct the pain stimulation in a camera-monitored, temperature-controlled, and low-noise experimental room next to the calibration/monitoring room (see **Figure 1**). Connect both rooms via a conduit pipe (80 mm in diameter).

NOTE: Camera monitoring provides information about the subject’s health status and allows for rapid intervention in case of sudden unconsciousness or circulatory collapse.

5.2. Set up an examination couch on which the subject lies during the experiment. Place it with the long side next to a wall near the conduit pipe. Provide a pillow for the head.

5.3. Attach a mirror to the wall next to the examination couch where the head of the subject will rest.

5.4. For capturing physiological data (ECG, 3 x EMG, and SCL), audio, videos (frontal and side view of the face, the facial skin temperature, and a full body view), and thermal and electrical stimulator outputs during the experiment, use appropriate recording computers, software, and recording devices (a biosignal recorder, three high-resolution color cameras, one thermal camera, and one directional microphone).

5.4.1. Develop a solution for synchronizing the recorded modalities. It may comprise a hardware triggering of devices, a recording of trigger signals by the biosignal and audio recorders, computer clock synchronization (e.g., via NTP) and a recording of timestamps along with the data streams, and postprocessing of the recorded data streams to compensate for temporal offsets and clock drift.

5.5. Install the full body view camera in a way that it captures the whole body of the subject. Install the frontal face view camera approximately 1 m above the head of the participant. Mount the microphone on the left side and the thermal camera on the right side, next to the frontal face camera. Attach the side view camera to the ceiling. Adjust it to a point where it is able to record one side of the subject’s face, as well as the opposite side reflected in the mirror (see **Figure 2**).

[Place **Figure 2** here].

NOTE: Due to a small experimental room, combining a side view camera with a mirror is a very

elegant solution to capture both sides of the subject's face with just one camera.

5.6. Duplicate the graphical output of the biosignal recording computer to a computer monitor set up in the calibration/monitoring room.

5.7. Set up active PC speakers in the calibration/monitoring room. Connect them via the conduit pipe with the audio-recording computer. Make sure to hear the participant via the microphone in case they need assistance during the experiment.

5.8. Pass the thermode and the electrodes' cable of the electrical stimulator through the conduit pipe to the experimental room. Put rolled up acoustic foam in both sides of the conduit pipe (or a similar material that absorbs sound).

NOTE: Leave the thermal stimulator in the calibration/monitoring room. It contaminates the audio signal recording due to regularly starting its internal ventilator in order to cool down.

5.9. Set the recording sampling rates as follows: (a) audio at 44.1 kHz; (b) frontal and side view cameras at 25 Hz; (c) full body view camera at 30 Hz; (d) thermal camera at 120 Hz; (e) SCL, EMG, and ECG at 1,000 Hz. Save all settings.

5.10. Get a cold gel pack (100 mm x 100 mm) and put it into a freezer. Prepare a 200 mm x 200 mm hygienic nonwoven towel or something similar (e.g., a thin paper towel) and an ointment.

5.11. Calculate 12 individual stimulus intensities, six each for the heat and electrical pain induction, as follows: (a) phasic electrical pain intensity 3 ( $pE_3$ ) = 90% of  $pE_{PTO}$ ; (b) phasic electrical pain intensity 2 ( $pE_2$ ) =  $(pE_3 + pE_{PTH})/2$ ; (c) phasic electrical pain intensity 1 ( $pE_1$ ) =  $pE_{PTH}$ ; (d) tonic electrical pain intensity 3 ( $tE_3$ ) = 90% of  $tE_{PTO}$ ; (e) tonic electrical pain intensity 2 ( $tE_2$ ) =  $(tE_3 + tE_{PTH})/2$ ; (f) tonic electrical pain intensity 1 ( $tE_1$ ) =  $tE_{PTH}$ ; (g) phasic heat pain intensity 3 ( $pH_3$ ) =  $pH_{PTO} - 0.5\text{ }^{\circ}\text{C}$  if the subject reported 100 for  $pH_{PTO}$ —otherwise,  $pH_3 = pH_{PTO}$ ; (h) phasic heat pain intensity 2 ( $pH_2$ ) =  $(pH_3 + pH_{PTH})/2$ ; (i) phasic heat pain intensity 1 ( $pH_1$ ) =  $pH_{PTH}$ ; (j) tonic heat pain intensity 3 ( $tH_3$ ) =  $tH_{PTO} - 0.5\text{ }^{\circ}\text{C}$  if the subject reported 100 for  $tH_{PTO}$ —otherwise,  $tH_3 = tH_{PTO}$ ; (k) tonic heat pain intensity 2 ( $tH_2$ ) =  $(tH_3 + tH_{PTH})/2$ ; (l) tonic heat pain intensity 1 ( $tH_1$ ) =  $tH_{PTH}$ .

5.12. Enter the values of the phasic electrical ( $pE_1$ – $pE_3$ ) and heat pain intensities ( $pH_1$ – $pH_3$ ) and tonic electrical ( $tE_1$ – $tE_3$ ) and heat intensities ( $tH_1$ – $tH_3$ )—calculated in step 5.11 based on the calibration performed as per sections 3 and 4—into the software of the thermal and electrical stimulator. Set the baseline (no pain) temperature to 32 °C and the temperature rate of increase to 8 °C/s. Save all settings.

5.13. Use a scripting language-based computer software, which communicates with the thermal and electrical stimulator. Make sure it allows for controlling and triggering pain stimuli based on a pain elicitation script.

NOTE: A pain elicitation script triggers the randomized pain stimuli and controls timing and duration. In this study, the software of the thermal stimulator provides the possibility for

preparing a pain elicitation script. The software triggers thermal stimuli automatically and sends signals when an electrical stimulus shall be triggered. Electrical stimuli are triggered by a script prepared in a second software.

5.14. Prepare the pain elicitation script (see **Figure 3**) as follows. Set the number of each phasic stimulus intensity ( $pE_1$ ,  $pE_2$ ,  $pE_3$ ,  $pH_1$ ,  $pH_2$ , and  $pH_3$ ) to 30 and the number of each tonic stimulus intensity ( $tE_1$ ,  $tE_2$ ,  $tE_3$ ,  $tH_1$ ,  $tH_2$ , and  $tH_3$ ) to 1. Set the duration of each phasic stimulus to 5 s and the duration of each tonic stimulus to 60 s. Randomize the order of all stimuli. Randomize the pauses between the phasic stimuli to 8–12 s. Set the pauses after the tonic stimuli to 300 s. Save all settings.

NOTE: Due to the longer duration, the number of the different tonic heat stimuli is set to 1 to avoid skin burns. All pauses after tonic stimuli must be 300 s in order to allow physiological signals to return to baseline and, thereby, not contaminate subsequent signals.

[Place **Figure 3** here].

## 6. Pain stimulation

6.1. Lead the participant to the experimental room and tell them about the upcoming procedure. Explain the experimental setup and the functionalities of the instruments. Inform the subject again about the possibility to abort the experiment anytime by either pressing a provided emergency button or asking to stop.

6.2. Ask the subject to lie down comfortably on the examination couch. Instruct them to keep lying on their back during the experiment.

6.3. Clean all skin areas where the electrodes will be attached with alcohol solution. Remove any dead skin cells on the surface of the left cheek, behind the left ear, and above the left eyebrow with abrasive gel. Reclean these areas with an alcohol solution.

6.4. For the measurement of the SCL, attach two pregelled, nonpolarizable Ag/AgCl electrodes to the underside of the distal phalanx of the right index and middle finger by Velcro straps. Make sure the straps are not too tight. They are too tight if the subject reports a throbbing sensation in their fingertips.

6.5. To record the ECG, use three pregelled, adhesive Ag/AgCl snap electrodes with circular contact areas (34 mm in diameter). Place one electrode (cathode) on the chest, approximately 6 cm below the right collarbone. Place the second one (anode) on the left ninth and tenth rib. Attach the third electrode (ground/reference) to the right-side waist next to the pelvic bone.

6.6. To record the EMG of *M. trapezius*, also use three pregelled, adhesive Ag/AgCl snap electrodes with circular contact areas (34 mm in diameter). Place two electrodes (cathode and anode) side by side on the trapezius muscle left of the neck. Place the third one (reference) below on the left collarbone.



6.7. Use six reusable, shielded Ag/AgCl electrodes with 4 mm in recording diameter to measure the EMGs of *M. corrugator supercilii* and *M. zygomaticus major*. Fill the cavities of the electrodes with electrolyte gel.

6.7.1. Attach the electrodes by means of double-sided adhesive collars as follows: for the *corrugator supercilii*, place one electrode (anode) directly above the left eyebrow, next to the (left) glabella line. Place the second electrode (cathode) 1 cm lateral to the first one.

6.7.2. Attach a third electrode (reference) to the middle of the frontal bone just below the hairline. For the *zygomaticus major*, draw an imaginary line from the left oral commissure to the left earlobe. Place one electrode (anode) slightly below the middle of the line and a second one (cathode) 1 cm medial next to it. Attach the third electrode (reference) to the left mastoid. Connect all electrodes to the corresponding inputs of the biosignal recording device.

6.8. Perform a visual check by means of the biosignal recording software if all physiological signals are of good/excellent quality. Ask the subject to move certain muscles and check the respective signal. Adjust/improve any unsatisfying signals by appropriate means/actions.

6.9. Place one Ag/AgCl electrode (34 mm diameter) on the upper side of the intermediate phalanx of the left index finger (anode) and another one on the upper side of the proximal phalanx of the left middle finger (cathode). Fixate the electrodes with medical tape as subjects might sweat during the procedure, reducing the adhesiveness of the electrodes. Connect the electrodes to the electrical stimulator.

6.10. Apply the thermode to the upper side of the subject's left forearm about 30 mm proximal to the wrist by a Velcro strap. Ensure that the strap does not constrict the skin.

6.11. Start all cameras. Ensure that the participant is perfectly visible in the camera images. If necessary, ask the subject to adjust the position. Especially take care of facial cameras with a small field of view. Ideally, the face should be in the center of the image to reduce risk that the participant moves the head out of the field of view during the experiment.

6.12. Check if microphone is on and the recording volume is satisfactory.

6.13. Ask the subject if she has any further questions and if she is ready for the experiment. Instruct her to act completely naturally and not to suppress and exaggerate any pain reactions during the experiment.

6.14. Start all recording devices (cameras, microphone, biosignal recorder) complying with requirements for data synchronization.

6.15. Leave the experimental room and enter the calibration/monitoring room. Wait 5 minutes in order to allow physiological signals of the subject to normalize. Run the pain elicitation script.

6.16. Carefully monitor the subject and the progress of the pain stimulation part. Write down any timestamps of obvious unusual/unnatural behavior, technical problems or biosignal artifacts due to extreme movement, coming-off of electrodes, etc.

6.17. After the ending of the pain elicitation script, stop all recording devices. Save/export all data in a preferred format. Turn off the thermal and electrical stimulator.

6.18. Check if the participant is alright and detach all electrodes and the thermode. Clean all skin areas with alcohol solution to remove electrode gel remains.

6.19. Get the cold gel pack from the freezer and wrap it into the hygienic non-woven towel (or something similar, e.g. thin paper towel). Ask the participant to apply it for at least 5 minutes to the skin area where the thermode was placed.

6.20. Offer the subject the opportunity to have their individual pain levels demonstrated and explained.

6.21. Apply ointment to the skin area where the thermode was placed.

NOTE: Cold gel pack and ointment is used to minimize (a potential) redness and irritation of the skin.

6.22. Hand over the monetary compensation and have it acknowledged with a receipt. Provide contact information in case of emerging issues. Thank the participant and say goodbye.

6.23. Remove the acoustic foam from both sides of the conduit pipe. Pass the thermode and the electrodes' cable of the electrical stimulator back to the calibration/monitoring room. Dispose all disposable electrodes, clean all reusable electrodes of gel remains and clean the examination couch with a suitable surface sanitizer. Put the cold gel pack back into the freezer.

## REPRESENTATIVE RESULTS:

Pain is perceived differently by any person and may express itself diversely in facial expressions, paralinguistic and/or physiological signals. The design of this study is suitable to analyze pain responses in numerous ways with respect to the underlying aims. The obtained data may allow answering research questions, such as: Are there specific pain response patterns? Do they differ regarding pain model and duration?

A total of 134 subjects participated in our experiment. The sex ratio was 50/50. We divided them in the following age groups: 1) 18-29 years (N = 49, 23 men, 26 women), 2) 30-39 years (N = 45, 23 men, 22 women), 3) 40-50 years (N = 40, 21 men, 19 women). The average age of all subjects was 31.4 (SD = 9.7), of all men = 33.4 (SD = 9.3) and of all women = 32.9 (SD = 10.2) years. The study took place at the Department of Medical Psychology of the University of Ulm, Germany.

The main outcome of this protocol is a data set of audio, video and psychophysiological signals

reflecting the subjects' responses to pain stimuli. **Table 1** provides a general overview on the technical features of the recorded signals and on the numbers of induced pain stimuli in the study.

[Place **Table 1** here].

A secondary outcome concerning the calibration phase of the study is presented in **Table 2**. It shows the mean stimulation temperatures and currents of pain intensities 1 and 3 (as calculated in step 5.11 of the protocol) for all subjects and additionally for the male and female subgroup.

[Place **Table 2** here].

If all steps of the protocol are conducted carefully and no technical problems occur (in terms of computer or recording device crashes, etc.), a successful outcome may look similar as depicted in **Figure 4**. All signals are of high quality and not affected by external sources of interferences. The participant is clearly visible in every camera.

[Place **Figure 4** here].

However, unexpected incidents may cause the data to become noisy or corrupted. Besides computer or recording device crashes, the coming-off of electrodes (especially reusable electrodes with small diameter which are attached by means of double-sided adhesive collars) mostly leads to unusable signals. As an example for a sub-optimal data set, **Figure 5** shows the moment when an EMG electrode comes off and renders the corresponding signal useless.

[Place **Figure 5** here].

Due to ethical guidelines, the maximum intensities of thermal and electrical stimuli had to be restricted. Regarding thermal calibration part 1, 37 subjects (31 men, 6 women) reached the given cutoff of 50.5 °C (ratio = 37/134 = 27.61 %). As for thermal calibration part 2, 60 participants (39 men, 21 women) reached the cutoff of 50.0 °C (ratio = 60/134 = 44.78 %) and concerning part 3, 57 persons (37 men, 20 women) reached the cutoff of 49.5 °C (ratio = 57/134 = 42.54 %). The cutoff for both electrical calibration parts was 25 mA. None of the 134 subjects reached it.

As we plan to publish the data (see next paragraph), the data sets of participants who have reached the cutoffs will additionally be marked and their subjective pain ratings for the corresponding cutoffs will be included.

We would like to point out that the main focus of the protocol is obtaining multi-modal signals for analyzing thermal and electrical pain. Therefore, no other results are discussed here. After checking and excluding data sets due to missing data or rejected written consent for data sharing, the data sets of this study will be made available under the name "X-ITE Pain Database". For further information on when and how to obtain the X-ITE Pain DB please visit <https://github.com/philippwerner/pain-database-list>.

## FIGURE AND TABLE LEGENDS:

**Figure 1: Schematic representation of room setup.** The right side shows the calibration/monitoring room where the calibration part takes place. Later on, it also serves as a signal monitoring room during the pain stimulation part, which follows the calibration part. The left side shows the experimental room where the pain stimulation part takes place. Both rooms are connected by a conduit pipe, which the thermode, the electrodes' cable of the electrical stimulator and computer wires can be passed through.

**Figure 2: Schematic representation of camera and microphone setup.** The frontal face camera, thermal camera and microphone are set up approx. 1 m above the head of the participant. A side view camera captures both sides of the face with the help of a mirror. A body view camera mounted to the wall allows for the recording of body movement.

**Figure 3: Graphical illustration of the pain stimulation part. (A)** Exemplary pain elicitation script with randomized phasic (blue) and tonic (red) pain stimuli. **(B)** Excerpt from the pain elicitation script above: Three phasic stimuli with a duration time of 5 seconds and subsequent pauses. The duration of pauses varies between 8 and 12 seconds. (pH<sub>1</sub>, pH<sub>2</sub>, pH<sub>3</sub> = phasic heat pain with intensity 1, 2, 3; tH<sub>1</sub>, tH<sub>2</sub>, tH<sub>3</sub> = tonic heat pain with intensity 1, 2, 3; pE<sub>1</sub>, pE<sub>2</sub>, pE<sub>3</sub> = phasic electrical pain with intensity 1, 2, 3; tE<sub>1</sub>, tE<sub>2</sub>, tE<sub>3</sub> = tonic electrical pain with intensity 1, 2, 3; s = seconds).

**Figure 4: Example data from a successful experiment.** The figure depicts recorded signals a few seconds before, during and after an intense pain stimulus. All signals are non-filtered and synchronized in time. For clarity, only representative screenshots of the video signals are shown here. (EMG = Electromyography, SCL = Skin Conductance Level, ECG = Electrocardiogram, *M.* = *Musculus*, s = seconds).

**Figure 5: Example data from a sub-optimal experiment.** The red circle indicates the time one of the EMG electrodes (*M. zygomaticus major*) fell off the subject's cheek. This might have been due to sweat or head movement. From this moment on, the signal was lost. (EMG = Electromyography, SCL = Skin Conductance Level, ECG = Electrocardiogram, *M.* = *Musculus*, s = seconds).

**Table 1: Technical features and number of induced stimuli.** The upper half (Technical Features) shows the sampling rates and attributes of the specific signals. The lower half (Stimuli) shows the numbers of the induced specific (thermal/electrical) pain stimuli for one subject, for all subjects and for each gender. (MP3 = Moving Picture Experts Group Layer-3 Audio, kbps = kilobits per second, HEVC = High Efficiency Video Coding, CRF = Constant Rate Factor, MPEG-4-AVC = Motion Picture Experts Group Layer-4 Video Advanced Video Coding, Hz = Hertz, °C = degrees Celsius, s = seconds, ECG = Electrocardiogram, SCL = Skin Conductance Level, EMG = Electromyography, LP = low-pass filter, HP = high-pass filter, *M.* = *Musculus*).

**Table 2: Mean stimulation temperatures and currents of pain intensities 1 and 3.** (pH<sub>1</sub>, pH<sub>3</sub> = phasic heat pain with intensity 1, 3; tH<sub>1</sub>, tH<sub>3</sub> = tonic heat pain with intensity 1, 3; pE<sub>1</sub>, pE<sub>3</sub> = phasic electrical pain with intensity 1, 3; tE<sub>1</sub>, tE<sub>3</sub> = tonic electrical pain with intensity 1, 3; °C = degrees Celsius; mA = milliampere, SD = standard deviation).

## **DISCUSSION:**

The presented protocol focuses on the experimental elicitation of thermal (heat) and electrical pain while recording physiological, visual and paralinguistic signals. This novel approach, combining two pain models with different stimuli intensities and two different stimuli durations (phasic and tonic), offers a broad perspective about the psychophysiological patterns and expressions of pain. However, for the realization of this protocol several steps need to be considered.

In general, if working with pain stimuli it is crucial to ensure the safety of the subjects. All pain stimuli have to be highly controlled and should only be carried out by experienced experimenters. Furthermore, for recording and collecting reliable and high quality data, the proper attachment of devices (electrodes), the perfect functioning of recording devices and a smooth communication between computers is highly recommended. All sources of interferences should be eliminated or reduced to a minimum. To guarantee consistency between participants, it is important to provide standardized instructions and unvarying experimental conditions.

According to our experience, finding suitable participants who meet all criteria and are willing to receive numerous painful stimuli, takes a long time and is quite challenging. In addition to that, the monetary compensation has to be high enough to attract subjects to the study. Especially persons between 30 and 50 years are hard to find. This may be because the experiment is too long (ca. 4 hours, including arrival and departure) and they have to take half a day off from work.

Because the safety of the participants is of top priority, pain induction may need to be restricted. Due to ethical guidelines, the stimulus intensities must not exceed certain levels to prevent burns and unconsciousness in terms of thermal and electrical pain induction, respectively. A general cutoff of intensities may result in a ceiling effect as some subjects may reach the intensity limits before feeling intolerable pain. In this study, approximately 42% (considering only thermal calibration part 2 and 3) of the participants reached the thermal cutoffs (see representative results). As they did not reach their “real” pain tolerances, their physiological responses to the highest thermal stimuli might behave differently in contrast to physiological responses of subjects who reached them. If so, mixing these two groups could influence classification results in terms of pain recognition.

An important point to address is the pain modalities in this experiment. Participants are subjected only to thermal and electrical pain stimuli (due to the fact that these are highly controllable in an experimental setting). Thus, if examining pain patterns regarding quality, findings may not translate to other pain modalities such as pressure, chemical or visceral pain.

The same consideration on transferability of results applies to the study sample. The protocol is ethically restricted to healthy adults. For example, it does not include children or cognitively and

verbally impaired persons. Furthermore, in our study only European people participated. Also here, analytical results may not apply to groups not considered in this experiment.

Another limitation may concern the Hawthorne effect<sup>24</sup>: The subjects are aware that they are being filmed/observed in the study. This might change their behavior.

Compared to existing pain databases, the protocol provides significant advantages for analyzing pain response patterns as it combines two pain models and two time courses (phasic and tonic): Besides the intensity and duration of pain, it also considers the quality of pain. As thermal pain is described differently than electrical pain (e.g., burning vs. sharp), it may also differ in the pain reactions. If so, those findings could link a pain response pattern to the underlying source of pain. Furthermore, the study is multi-modal to widen the range of pain investigation opportunities: Employing 5 psychophysiological signals, 2 face (front/side) camera signals, 1 body view camera signal, 1 thermal camera and 1 audio signal, pain may be analyzed and assessed more precisely.

For a more complex investigation of pain response patterns, future extensions of this method should include more biosignals such as electroencephalography (EEG), body temperature and respiration. It would also be of great benefit to employ controlled pressure as a further pain model. Researchers aiming at automatic pain recognition via data gathered with this protocol should further test promising machine learning models with clinical control groups.

#### **ACKNOWLEDGMENTS:**

The authors would like to thank Verena Friedrich, Maria Velana, Sandra Gebhardt, Romy Bärwaldt, and Tina Daucher for their precious help in conducting the study. In addition, a special thank you goes out to Dr. Stefanie Rukavina for her scientific support. This research was part of the DFG/TR233/12 (<http://www.dfg.de/>) “Advancement and Systematic Validation of an Automated Pain Recognition System on the Basis of Facial Expression and Psychobiological Parameters” project, funded by the German Research Foundation.

#### **DISCLOSURES:**

The authors have nothing to disclose.

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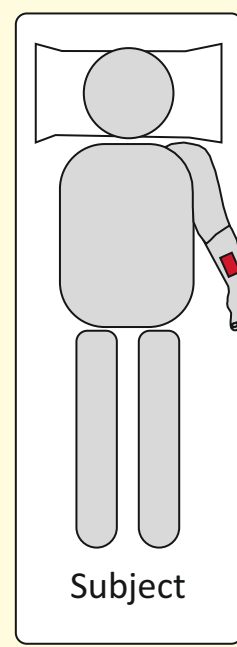
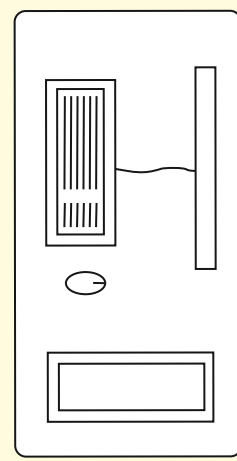
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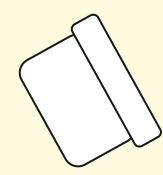
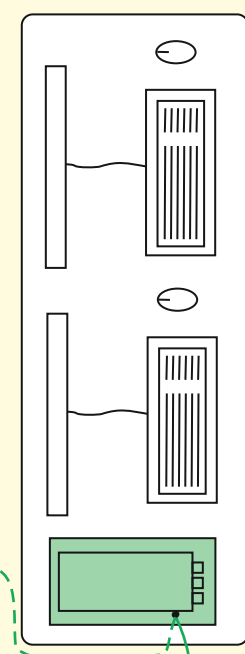


# Experimental Room



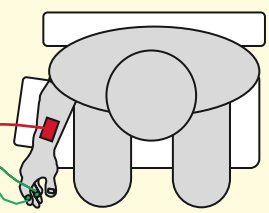
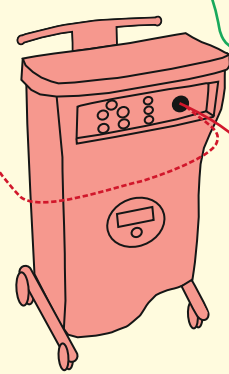
Conduit Pipe

# Signal Monitoring

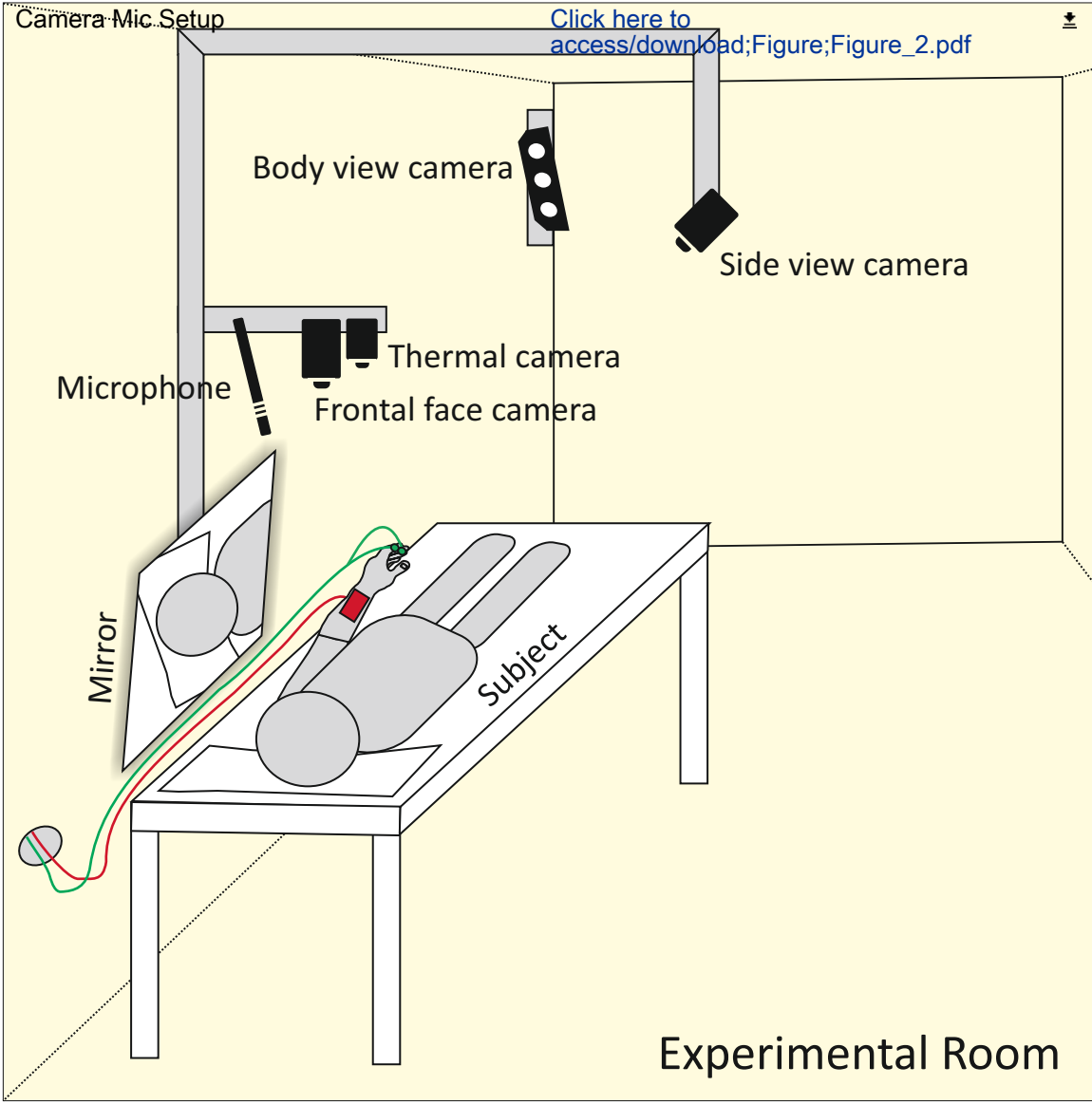


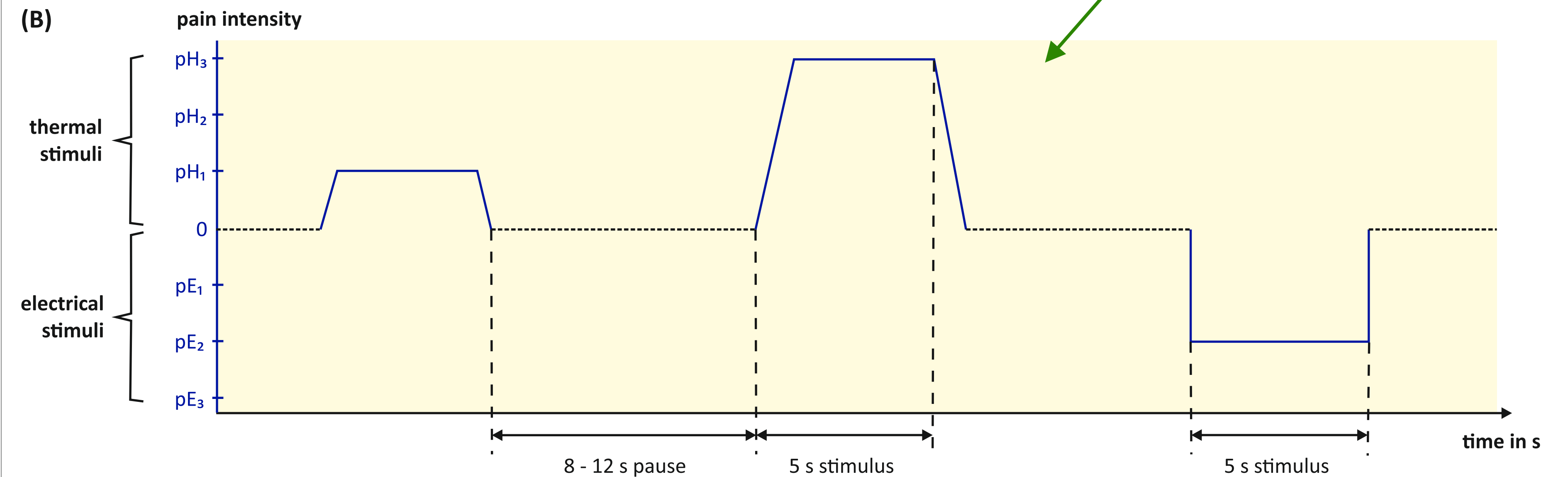
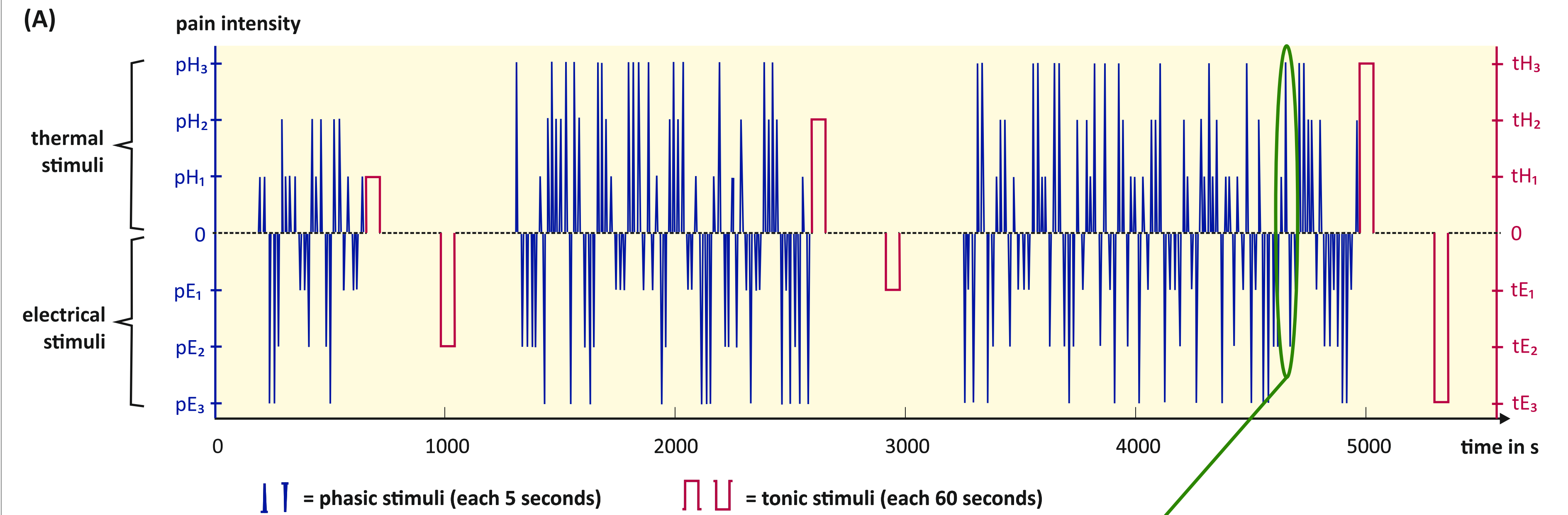
# Calibration/Monitoring Room

Electrical Stimulator

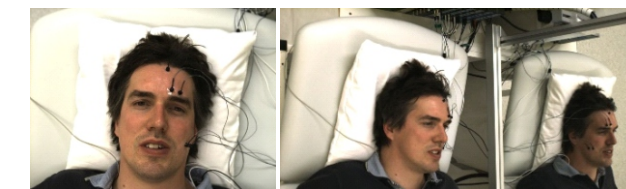
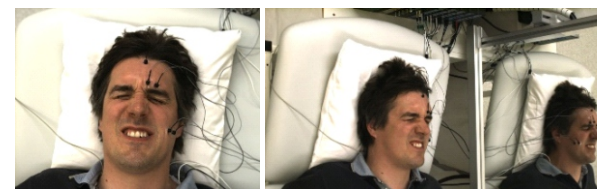
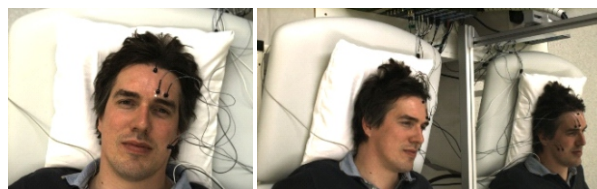


Thermal Stimulator

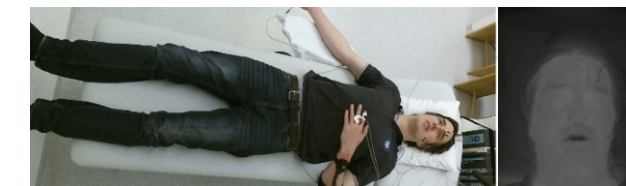




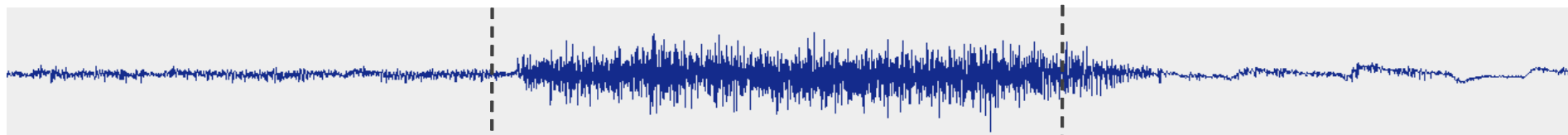
frontal & side view  
face camera



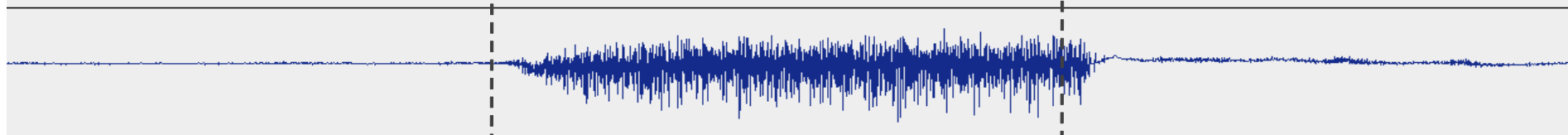
body & thermal  
camera



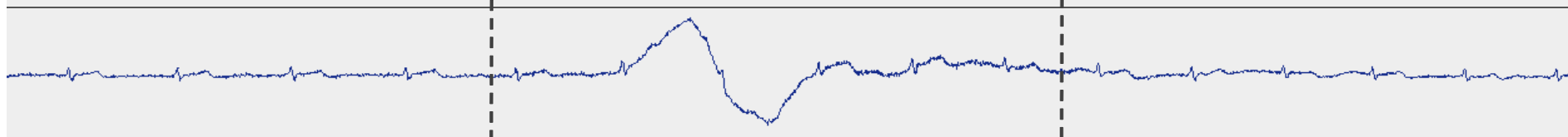
EMG  
*M. zygomaticus major*



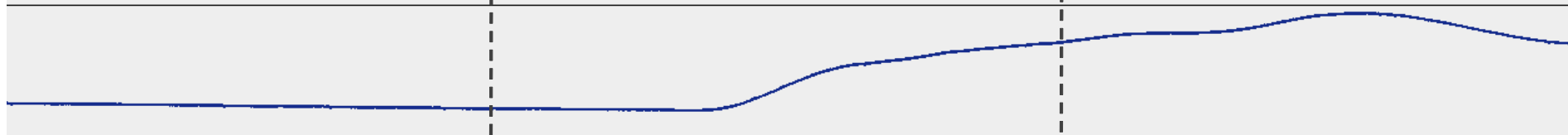
EMG  
*M. corrugator supercilii*



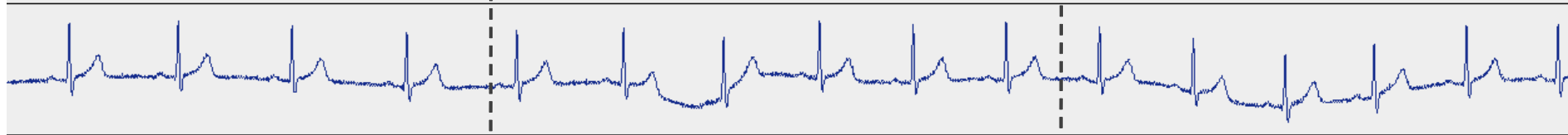
EMG  
*M. trapezius*



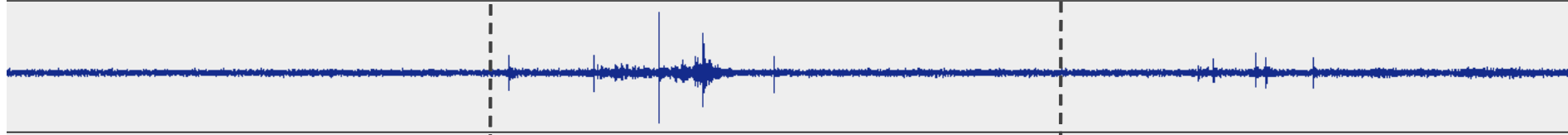
SCL



ECG



audio



pain stimuli



pain induction (5 s)

time in s

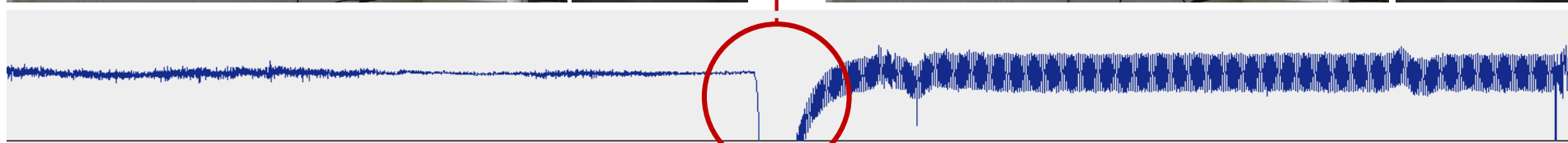
frontal & side view  
face camera



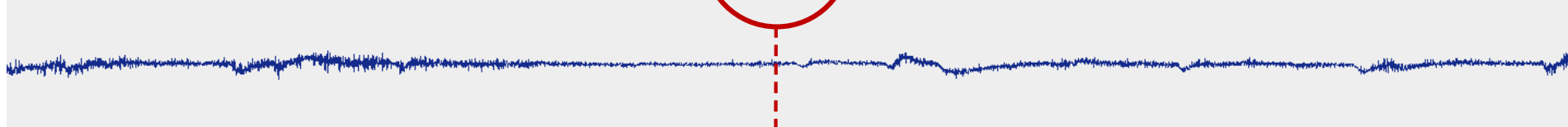
body & thermal  
camera



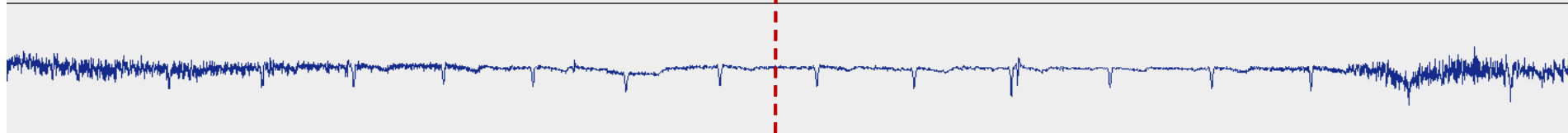
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*M. zygomaticus major*



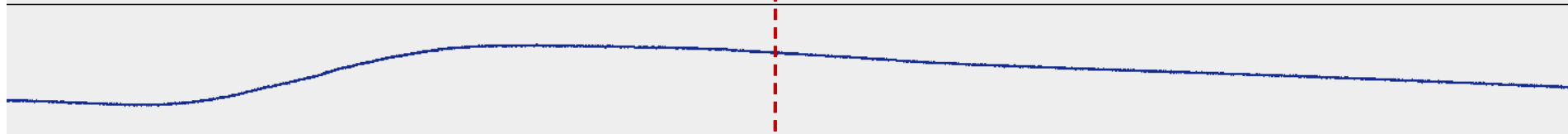
EMG  
*M. corrugator supercilii*



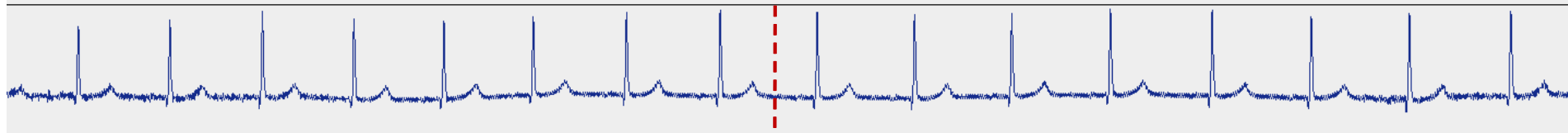
EMG  
*M. trapezius*



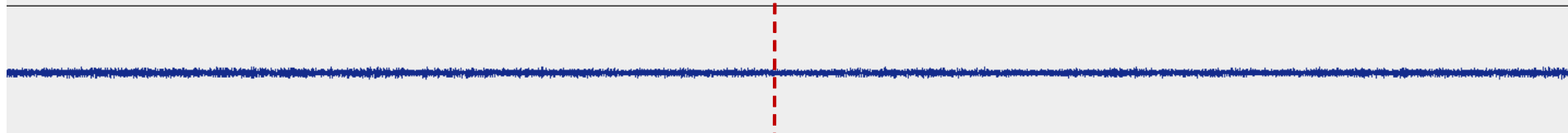
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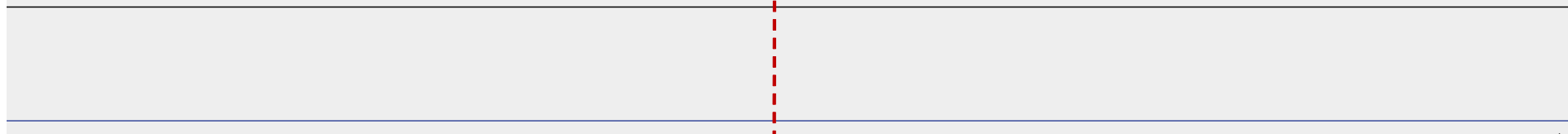
ECG



audio



pain stimuli



coming-off of EMG electrode  
(*M. zygomaticus major*)

time in s

Stimuli	
Subjects	pH <sub>1</sub>
All (N = 134)	44.03 (2.25)
Men (n = 67)	44.56 (2.18)
Women (n = 67)	43.51 (2.74)

Thermal [in °C] mean (SD)		
pH <sub>3</sub>	tH <sub>1</sub>	tH <sub>3</sub>
49.17 (1.20)	42.50 (2.14)	47.76 (1.02)
49.48 (0.89)	43.11 (1.98)	47.93 (1.04)
48.87 (1.39)	41.89 (2.14)	47.59 (0.98)

Electrical [in mA] mean (SD)		
$pE_1$	$pE_3$	$tE_1$
1.63 (0.94)	5.64 (2.72)	1.69 (1.12)
1.94 (1.01)	6.83 (3.02)	1.96 (1.16)
1.32 (0.75)	4.45 (1.70)	1.43 (1.01)



<b>tE<sub>3</sub></b>
5.70 (2.59)
6.90 (2.72)
4.51 (1.80)

Technical Features
Signal:
Audio
Camera 1 (face, frontal view)
Camera 2 (face, side view)
Body Camera
Thermal Camera
ECG
SCL
EMG <i>M. trapezius</i>
EMG <i>M. corrugator supercilii</i>
EMG <i>M. zygomaticus major</i>

<b>Stimuli</b>
<b>Subjects:</b>
Per subject
All (N = 134)
Men (n = 67)
Women (n = 67)

<b>Sampling Rate:</b>
44100 Hz
25 Hz
25 Hz
ca. 30 Hz
ca. 120.8 Hz
1000 Hz
1000 Hz
1000 Hz
1000 Hz
1000 Hz

The
<b>Phasic Stimuli (5 s):</b>
90 (30 per intensity)
12060 (4020 per intensity)
6030 (2010 per intensity)
6030 (2010 per intensity)

[illegible]

rmal
<b>Tonic Stimuli (60 s):</b>
3 (1 per intensity)
402 (134 per intensity)
201 (67 per intensity)
201 (67 per intensity)

<b>Attributes:</b>
Mono, MP3 320 kbps
Color video: resolution 1384 x 1080 HEVC encoded with libx265 (CRF 28)
Color video: resolution 1620 x 1080 HEVC encoded with libx265 (CRF 28)
Color video: resolution 1500 x 1080 HEVC encoded with libx265 (CRF 28) Depth video: resolution 500 x 288 HEVC encoded with libx265 (CRF 28)
Surface temperature video: resolution 1280 x 720 grayscale MPEG-4-AVC encode (CRF 0, preset veryfast), encoded temperature range 26 to 38 °C
Hardware filtered via BioPac: 30 Hz 50 Hz notch filter
Hardware filtered via BioPac: 10 Hz no notch filter
Hardware filtered via BioPac: 5 Hz no notch filter
Hardware filtered via BioPac: 5 Hz no notch filter
Hardware filtered via BioPac: 5 Hz no notch filter



Elect
Phasic Stimuli (5 s):
90 (30 per intensity)
12060 (4020 per intensity)
6030 (2010 per intensity)
6030 (2010 per intensity)

1032, (RF 16, preset medium)
840, (RF 16, preset medium)
600, (RF 16, preset medium); 200, lossless encoding
Resolution 120 x 160, and with libx264
5.5-52.0 °C (steps of 0.1)
5 Hz LP, 0.5 Hz HP,
0 Hz LP, no HP,
00 Hz LP, 10 Hz HP,
00 Hz LP, 10 Hz HP,
00 Hz LP, 10 Hz HP,

critical
<b>Tonic Stimuli (60 s):</b>
3 (1 per intensity)
402 (134 per intensity)
201 (67 per intensity)
201 (67 per intensity)

**Name of Material/Equipment**

PATHWAY Model ATS

30 mm x 30 mm ATS Thermode

PATHWAY Software Arbel 6.3.7.22.1

Digitimer DS7A Current Stimulator

Inquisit 5

Analogue-To-Digital Converter

BIOPAC MP150 System

AcqKnowledge Software 4.1.1

NTG-2 Dual Powered Directional Condenser Microphone

Kinect v2

AV Pike F-145C

AV Prosilica GT 1600C

PIR uc 180 Thermal Camera

Synchronization Hardware

## Recording and Synchronization Software

Examination Couch

Ag-AgCl Electrodes EL254 / EL254S (Reusable, 4mm recording diameter)

Ag-AgCl Electrodes BlueSensor P (Disposable, skin contact size: 34 mm diameter, measuring area 154 mm<sup>2</sup>)

Audacity 2.1.2

Cold Gel Pack

Panthenol 50mg/g

Alumnium Profiles

Electrode Gel GEL1

ELPREP Skin Preparation Gel

Company	Catalog Number
Medoc Ltd., Ramat Yishai, Israel	
Medoc Ltd., Ramat Yishai, Israel	
Medoc Ltd., Ramat Yishai, Israel	
Digitimer Ltd., Hertfordshire, UK	
Millisecond Software, Seattle, WA, USA	
Wissenschaftliche Werkstatt Elektronik, University of Ulm, Ulm, Germany	custom built
BIOPAC Systems, Inc., Goleta, CA, USA	
BIOPAC Systems, Inc., Goleta, CA, USA	
RØDE Microphones, Silverwater, Australia	
Microsoft, Redmond, WA, USA	
Allied Vision Technologies GmbH, Stadtroda, Germany	
Allied Vision Technologies GmbH, Stadtroda, Germany	
InfraTec GmbH, Dresden, Germany	
Werkstatt, IKT, University of Magdeburg, Magdeburg, Germany	custom built
Philipp Werner, Neuro-Information Technology, University of Magdeburg, Magdeburg, Germany	custom software
ClinicalCare GmbH, Bremen, Germany	
BIOPAC Systems, Inc., Goleta, CA, USA	
Ambu GmbH, Bad Nauheim, Germany	
Dominic Mazzoni (Audacity)	
C+V Pharma Depot GmbH, Versmold, Germany	
ratiopharm GmbH, Ulm, Germany	
item Industrietechnik GmbH, Solingen, Germany	
BIOPAC Systems, Inc., Goleta, CA, USA	
BIOPAC Systems, Inc., Goleta, CA, USA	

**Comments/Description**

Thermal Stimulator

Thermode

Thermal Stimulator Software

Electrical Stimulator

Software for triggering electrical stimuli

Biosignal Recording Hardware

Biosignal Recording Software

Audio Recording Microphone

Body View Camera

Face Camera (frontal view)

Face Camera (side view)

Thermal Face Camera

Hardware triggering of cameras, trigger signal is recorded by

BIOPAC and Audacity

Real-time recording, offline video encoding, and offline  
synchronization

Used to record EMG *M. corrugator* and *M. zygomaticus*

Used to record ECG and EMG *M. trapezis* . Also used for electrical stimulation

Audio Recording Software

Ointment

Used to install all cameras and microphone

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Sascha Gruss

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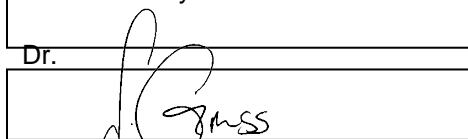
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Institution:	Department of Medical Psychology	
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**Editorial comments:**

*Please see the attached word document. In-text comments have been made; these require your attention. Please address the comments by editing your manuscript/figures. Please maintain the current format and track all your edits.*

**A:** Done. We highlighted all changes in red font.

**Reviewers' comments:****Reviewer #1:**

*The authors have shown their efforts on a more elaborate description on the details. Most of the mentioned concerns have been resolved. But a minor concern regarding the second major comment is not clear enough. As explained in the previous round and as what is mentioned in the discussion, the self-report at the thermal cutoff temperature could be different, which is to say, someone may report their pain threshold or pain tolerance at/near the cutoff temperature while the pain threshold or pain tolerance may not even be reached at the cutoff temperature. My concern is, if assuming the biosignals would behave differently in these two cases, would mixing these two cases influence the classification results?*

**A:** Thank you very much for the hint. You are right, we also assume that some of the physiological signals behave differently. This is an important aspect one has to keep in mind. Analyses will show whether these two cases need to be treated separately. We added some text to the "Discussion" part: *"In this study, approximately 42 % (considering only thermal calibration phase 2 and 3) of the participants reached the thermal cutoffs (see "Representative Results"). As they did not reach their "real" pain tolerances, their physiological responses to the highest thermal stimuli might behave differently in contrast to physiological responses of subjects who reached them. If so, mixing these two groups could influence classification results in terms of pain recognition."*

*So it would be helpful to indicate whether all the subjects that reached the given cutoff have reported their pain threshold or pain tolerance on the bottom of page 15, if "whether pain threshold or tolerance is reached" is part of the data. Otherwise, please add some related suggestion in addition to the first limitation described from line 739 to line 743 on page 17.*

**A:** Thank you for the suggestion. We were already thinking about adding information to the data sets about which subjects reached cutoffs and what subjective ratings they gave at the cutoffs. Now we will definitely do it. We added your suggestion to the "Representative results" part: *"As we plan to publish the data (see next paragraph), the data sets of participants who have reached the cutoffs will additionally be marked and their subjective pain ratings for the corresponding cutoffs will be included."*

**Reviewer #3:****Manuscript Summary:**

*This paper gives a psychophysiological experiment to induce and obtain pain reactions. The experiments design is relative reasonable. The obtained data could be used to assess the pain state objectively in the future. This manuscript meets the standard of this journal and can be accepted.*

**Minor Concerns:**

*1) Some discussions about the limitation of this study should be given in the Discussion part.*

**A:** Thank you for the comment. We added some more limitations.

*2) The structure of this manuscript should be described in the last of the introduction.*

**A:** Thanks a lot. We added a short structure of the paper at the end of the introduction.

## Calibration of Thermal Pain Threshold and Tolerance (Control Part)

NOTE: This part serves as a control and validation part: temperatures reached in this part are compared to those obtained in part one and two. If extreme variations occur, the subject will be excluded from the experiment but will receive a small monetary compensation.

1. Hand her a computer mouse for the left hand, which is connected to the thermal stimulator.

2. Provide the subject with instructions of the thermal calibration procedure.

NOTE: An instruction for this calibration part could be as follows: "The temperature will slowly rise from a baseline level below your body temperature. Immediately press one of the mouse buttons when the temperature changes from warm sensation to low heat pain. This will be when you are experiencing a stinging, burning, piercing or pulling sensation in addition to the feeling of heat. As soon as you press a button, the temperature drops to its baseline level, rests there for a few seconds and will then slowly rise again. We will repeat this four times. Afterwards, we start a second round, but this time you should press a button immediately when you can no longer tolerate the heat regarding the stinging, burning, piercing or pulling sensation anymore. We will also repeat this four times."

3. Begin **thermal calibration (control part)** by applying a slowly rising thermal stimulus, starting from 32 °C with a temperature rate of increase of 1 °C/s (cutoff 50.5 °C).

CAUTION: This thermal calibration part has a cutoff temperature of 50.5 °C in order to prevent skin burns.

4. Write down the temperature reached each time the participant presses a button. Wait 10 seconds and start the next stimulus. After the fourth time, calculate the mean temperature of the last three pushes of the button. Write it down and mark it as "validation Heat Pain Threshold" (vHPTh).

5. Tell the subject that the second round will start and she should now press a button when she cannot bear the heat stimulus any more.

6. Apply a rising thermal stimulus, starting from 32 °C with a temperature rate of increase of 1 °C/s (cutoff 50.5 °C).

7. Write down the temperature reached each time the participant presses a button. Wait 10 seconds and start the next stimulus. After the fourth time, calculate the mean temperature of the last three pushes of the button. Write it down and mark it as "validation Heat Pain Tolerance" (vHPTo).

NOTE: If a participant exhausts the cutoff at any time, write down the cutoff as vHPTo and proceed with the next step.

8. Take the PC mouse from the subject.

9. Compare vHPTh with pHPTh and vHPTo with pHPTo. If the absolute value of vHPTh minus pHPTh or the absolute value of vHPTo minus pHPTo is less than 5 °C, continue with the

experiment. Otherwise, end the study here: explain the reasons to the subject, hand her a small monetary compensation and have it acknowledged with a receipt.

NOTE 1: pHPT<sub>h</sub> and pHPT<sub>o</sub> was performed previously as described in Section 4.

NOTE 2: Within a short period of time and without meeting the criteria mentioned in step 2., a person should rate same stimulus intensities very similarly. In this study, a participant is considered “unsuitable” for the pain stimulation part if one of the absolute values of the differences (see step 9 above) exceeds the predefined threshold of 5 °C, because their reported pain thresholds cannot be considered reliable. Fortunately, no participant had to be excluded in our study.