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## Designing Porous Silicon Films as Carriers of Nerve Growth Factor

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**TITLE:**

Designing Porous Silicon Films as Carriers of Nerve Growth Factor

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**KEYWORDS:**

Nerve Growth Factor; Porous Silicon; PC12; Dorsal Root Ganglia; Controlled Release; Neuronal Differentiation.

**SUMMARY:**

Here, we present a protocol to design and fabricate nanostructured porous silicon (PSi) films as degradable carriers for the nerve growth factor (NGF). Neuronal differentiation and outgrowth of PC12 cells and mice dorsal root ganglion (DRG) neurons are characterized upon treatment with the NGF-loaded PSi carriers.

**ABSTRACT:**

Despite the great potential of NGF for treating neurodegenerative diseases, its therapeutic administration represents a significant challenge as the protein does not cross the blood-brain barrier, owing to its chemical properties, and thus requires long-term delivery to the brain to have a biological effect. This work describes fabrication of nanostructured PSi films as degradable carriers of NGF for sustained delivery of this sensitive protein. The PSi carriers are specifically tailored to obtain high loading efficacy and continuous release of NGF for a period of four weeks, while preserving its biological activity. The behavior of the NGF-PSi carriers as a NGF delivery system is investigated *in vitro* by examining their capability to induce neuronal differentiation and outgrowth of PC12 cells and dissociated DRG neurons. Cell viability in the presence of neat and NGF-loaded PSi carriers is evaluated. The bioactivity of NGF released from the PSi carriers is

compared to the conventional treatment of repetitive free NGF administrations. PC12 cell differentiation is analyzed and characterized by the measurement of three different morphological parameters of differentiated cells; (i) the number of neurites extracting from the soma (ii) the total neurites' length and (iii) the number of branching points. PC12 cells treated with the NGF-PSi carriers demonstrate a profound differentiation throughout the release period. Furthermore, DRG neuronal cells cultured with the NGF-PSi carriers show an extensive neurite initiation, similar to neurons treated with repetitive free NGF administrations. The studied tunable carriers demonstrate the long-term implants for NGF release with a therapeutic potential for neurodegenerative diseases.

## **INTRODUCTION:**

NGF is essential for the development and maintenance of neurons in the peripheral nervous system (PNS)<sup>1</sup> and plays a crucial role in the survival and function of basal forebrain cholinergic neurons in the central nervous system (CNS)<sup>2</sup>. Its high pharmacological potential for treating central neurodegenerative diseases, such as Alzheimer's and Parkinson's, has been widely demonstrated, with clinical trials currently in progress<sup>3-6</sup>. The greatest challenge in the delivery of NGF to the CNS resides in its inability to cross the blood brain barrier (BBB), when systemically administered<sup>7</sup>. Moreover, NGF susceptibility to rapid enzymatic degradation renders its short half-life and significantly limits its therapeutic use<sup>8,9</sup>. Therefore, there is an unmet challenge to design delivery systems which allow for a prolonged and controlled release of NGF in a safe manner. Various NGF delivery systems, including polymer-based systems, have been studied<sup>10-17</sup>. The release profiles of these systems were often characterized by a distinct initial burst followed by a slow continuous release, where in the latter stage the release rate was significantly low in comparison to the initial burst<sup>11,18,19</sup>. Furthermore, inactivation of the protein by the acidic degradation products of the polymers (*e.g.*, poly(lactic-co-glycolic) acid) or loss of NGF bioactivity during the encapsulation process were observed with this systems<sup>20</sup>.

Nanostructured PSi is characterized by several appealing properties, including its high surface area, large porous volume, biocompatibility, and tunable degradability in bodily fluids, predestining it for a promising drug delivery platform<sup>21-28</sup>. Proper selection of its anodization conditions allows to easily adjust the PSi structural properties (*e.g.*, porosity and pore size) for tailoring drug loading and release kinetics<sup>21,27</sup>. Moreover, various convenient chemical routes allow to modify the surface of the PSi and by that further tune the dissolution rate of the Si scaffold under physiological conditions and the release rates of the drug<sup>22,24,29,30</sup>.

This work focuses on designing a PSi-based delivery system for prolonged controlled release of NGF. The effect of the NGF-PSi carriers on neuronal differentiation and outgrowth is examined using PC12 cells and dissociated DRG neurons. We demonstrate that the loaded NGF has retained its bioactivity by inducing neurite outgrowth and profound differentiation throughout a 1-month release period within a single administration.

## **PROTOCOL:**

All methods have been approved by the Ethics Committee of Bar Ilan University.

89  
90 **1. Fabrication of Oxidized PSi (PSiO<sub>2</sub>) Carriers**  
91

92 1.1. Cut a Si wafer (single side polished on the <100> face and heavily Boron-doped, p-type, 0.95  
93 mΩ·cm) into 1.5 cm × 1.5 cm samples using a diamond-tipped pen.  
94

95 1.2. Oxidize the Si samples in a tube furnace at 400 °C for 2 h in ambient air (heating rate: 25  
96 °C/min, natural cooling).  
97

98 1.3. Immerse the Si samples in a solution of aqueous hydrofluoric acid (HF) (48%), ddH<sub>2</sub>O and  
99 ethanol (99.9%) (1:1:3 v/v/v) for 5 min; then rinse the samples with ethanol three times and dry  
100 under a nitrogen stream.  
101

102 NOTE: Prepare and store HF solution in plasticware only, as HF dissolves glass.  
103

104 CAUTION: HF is a highly corrosive liquid, and it should be handled with extreme care. In case of  
105 exposure, rinse thoroughly with water and treat the affected area with HF antidote gel; seek for  
106 medical care immediately.  
107

108 **1.4. Mount the Si sample in a polytetrafluoroethylene etching cell, using a strip of aluminum foil  
109 as a back-contact and a platinum coil as the counter electrode.**  
110

111 1.5. Etch a sacrificial layer in a 3:1 (v/v) solution of aqueous HF and ethanol (99.9%) for 30 s at a  
112 constant current density of 250 mA/cm<sup>2</sup>; then rinse the surface of the resulting PSi film with  
113 ethanol three times and dry under a nitrogen stream.  
114

115 1.6. Dissolve the freshly-etched porous layer in an aqueous NaOH solution (0.1 M) for 2 min. Then  
116 rinse with ethanol three times and dry under a nitrogen stream.  
117

118 1.7. Immerse the sample in a solution of aqueous HF (48%), ddH<sub>2</sub>O and ethanol (99.9%) (1:1:3  
119 v/v) for 2 min. Then rinse with ethanol three times and dry under a nitrogen stream.  
120

121 **1.8. Electrochemically etch the Si sample in a 3:1 (v/v) solution of aqueous HF and ethanol (99.9%)  
122 for 20 s at a constant current density of 250 mA/cm<sup>2</sup>; then rinse the surface of the resulting PSi  
123 film with ethanol three times and dry under a nitrogen stream.**  
124

125 **1.9. Thermally oxidize the freshly-etched PSi samples in a tube furnace at 800 °C for 1 h in ambient  
126 air (heating rate: 25 °C/min, natural cooling) to form a porous SiO<sub>2</sub> (PSiO<sub>2</sub>) scaffold.**  
127

128 1.10. Spin-coat the PSiO<sub>2</sub> samples with a positive thick photoresist at 4000 rpm for 1 min; then  
129 bake the coated samples at 90 °C for 2 min (heating rate: 5 °C/min, natural cooling).  
130

131 **1.11. Dice the PSiO<sub>2</sub> samples into 8 mm × 8 mm samples using a dicing saw.**  
132



1.12. To remove the photoresist, soak the diced samples in acetone for 3 h; then thoroughly rinse with ethanol and dry under a nitrogen stream.

## **2. Loading PSiO<sub>2</sub> with NGF**

2.1. To prepare the NGF loading solution, dissolve 20 µg of murine β-NGF in 400 µL of 1:1 (v/v) solution of 0.01 M phosphate-buffered saline (PBS) and ddH<sub>2</sub>O.

2.2. Add 52 µL of the loading solution on top of the PSiO<sub>2</sub> sample and incubate for 2 h at RT in a capped dish.

NOTE: Maintain high humidity in the dish to prevent drying up of the solution during incubation.

2.3. Collect the solution on the top of the sample for subsequent quantification of NGF content within the PSiO<sub>2</sub> carrier.

NOTE: NGF loading into PSiO<sub>2</sub> should be performed immediately before the intended use, the protocol cannot be paused here due to the risk of drying and denaturation of the protein.

## **3. Quantification of NGF loading by NGF ELISA**

3.1. To prepare the ELISA plate, add 100 µL of 0.5 µg/mL capture antibody (rabbit anti-hβ-NGF) to each well, seal the plate and incubate overnight at RT.

3.2. Aspirate the wells to remove liquid and wash the plate four times using 300 µL of wash buffer (0.05% Tween-20 in PBS) per well; after the last wash invert the plate to remove residual buffer and blot on a paper towel.

3.3. Add 300 µL of block buffer (1% bovine serum albumin (BSA) in PBS) to each well and incubate for at least 1 h at RT. Then aspirate and wash the plate four times as described in step 3.2.

3.4. To prepare a calibration curve, dilute NGF loading solution (see step 2.1) in diluent (0.05% Tween-20, 0.1% BSA in PBS) to 1 ng/mL. Then perform 2-fold serial dilutions from 1 ng/mL to zero to obtain the calibration curve samples.

3.5. To prepare the samples, dilute the NGF loading solution (from step 2.1) and the collected post-loading solution (from step 2.3) in diluent 1:100,000 and 1:10,000 respectively, to reach the concentrations range of the ELISA kit in use (16-1,000 pg/mL).

3.6. Add 100 µL of the diluted samples and calibration curve samples to each well in triplicate and incubate at RT for 2 h; then aspirate and wash the plate four times as described in step 3.2.

3.7. Add 100 µL of 1 µg/mL detection antibody (biotinylated rabbit anti-hβ-NGF) to each well and incubate at RT for 2 h. Then aspirate and wash the plate four times as described in step 3.2.

3.8. Dilute Avidin-Horseradish Peroxidase (HRP) 1:2000 in diluent, add 100 µL per well and incubate for 30 min at RT. Now aspirate and wash the plate four times as described in step 3.2.

3.9. Add 100 µL of substrate solution to each well and incubate 25 min at RT for color development. Measure the absorbance at 405 nm with wavelength correction set at 650 nm using a microplate reader.

3.10. Determine NGF concentration in both the loading solution and the collected post-loading solution based on the calibration curve.

3.11. To calculate NGF mass loaded within PSiO<sub>2</sub> carrier, subtract NGF mass in the collected post-loading solution from the NGF mass in loading solution. NGF loading efficacy is calculated by the following equation:

$$\text{NGF loading efficacy [\%]} = \frac{\text{Weight of NGF in PSiO}_2 \text{ carrier}}{\text{Weight of NGF in loading solution}} \times 100$$

#### 4. *In vitro* NGF Release from PSiO<sub>2</sub>

4.1. Incubate the NGF-loaded PSiO<sub>2</sub> carriers in 2 mL of 0.01 M PBS containing 1% (w/v) BSA and 0.02% (w/v) sodium azide at 37 °C and under orbital agitation of 100 rpm.

4.2. Every 2 days collect the solution and replace it with 2 mL of fresh PBS. Freeze the collected release samples in liquid nitrogen and store at -20 °C for further analyses.

4.3. Use the commercially available NGF ELISA assay to quantify NGF content in the release samples as described in steps 3.1-3.9.

NOTE: When preparing the release samples for ELISA quantification, different dilutions should be performed for different time points along the release period (*i.e.*, earlier time points should be diluted much more than later time points). Moreover, for each release sample, at least two different dilutions should be performed and quantified to ensure reaching the concentrations range of the ELISA kit.

4.4. Determine NGF concentration in the release samples based on the calibration curve and plot a graph of accumulative NGF release over time.

#### 5. Quantification of *in vitro* Si Erosion by Inductively Coupled Plasma Atomic Emission Spectroscopy (ICP-AES)

5.1. Dilute 1 mL of release samples 1:10 in release buffer (0.01 M PBS containing 1% (w/v) BSA and 0.02% (w/v) sodium azide) for a total volume of 10 mL.

5.2. Monitor Si atomic emission peaks at 212.4, 251.6 and 288.2 nm for the diluted samples.

220  
221 **5.3. Determine Si concentration in the samples based on a calibration curve.**  
222

223 5.4. To establish the Si degradation profile, express Si content at each time point as a percentage  
224 of the total Si content of the carriers (*i.e.*, the cumulative Si content along the release period).  
225

226 NOTE: To ensure accurate quantification of the total Si content of the carriers, release samples  
227 are sampled 1 month following the end-point of the release study and analyzed for Si  
228 concentration using ICP-AES. Summing the cumulative Si content along the release period and  
229 the Si content in the post-release samples provides the 100% of Si content.  
230

231 **6. Cell Viability and Growth in the Presence of NGF-Loaded Psio<sub>2</sub> Carriers**  
232

233 **6.1. Rat pheochromocytoma (PC12) cell culture**  
234

235 6.1.1. Prepare the basic growth medium by adding 10% horse serum (HS), 5% fetal bovine serum  
236 (FBS), 1% L-glutamine, 1% penicillin streptomycin and 0.2% amphotericin to Roselle Park Medical  
237 Institute (RPMI) medium.  
238

239 6.1.2. Prepare differentiation medium by adding 1% HS, 1% L-glutamine, 1% penicillin  
240 streptomycin and 0.2% amphotericin to RPMI medium.  
241

242 6.1.3. Grow cell suspension (10<sup>6</sup> cells) in a 75 cm<sup>2</sup> culture flask with 10 mL of basic growth medium  
243 for 8 days; every 2 days add 10 mL of basic growth medium to the flask.  
244

245 6.1.4. To generate differentiated PC12 cell culture, transfer the cell suspension to a centrifuge  
246 tube; centrifuge cells for 8 min at 200 x g and RT. Discard the supernatant.  
247

248 6.1.5. Suspend the cells in 5 mL of fresh basic growth medium and re-centrifuge the cells for 5  
249 min at 200 x g and RT; discard the supernatant and resuspend the cell pellet in 3 mL of basic  
250 growth medium.  
251

252 6.1.6. To separate cell clusters, aspirate the cells ten times using a 23 G syringe.  
253

254 6.1.7. Count the cells using a hemocytometer cell counter and seed 10<sup>4</sup> cells/cm<sup>2</sup> working area  
255 on collagen type I coated plates in the presence of differentiation medium.  
256

257 6.1.8. After 24 h, add fresh murine  $\beta$ -NGF (50 ng/mL) or NGF-loaded PSiO<sub>2</sub> carrier per plate.  
258

259 NOTE: Higher NGF concentrations (>50 ng/mL) possess the exact effect as the specified  
260 concentration.  
261

262 6.1.9. Renew the differentiation medium every 2 days.  
263

6.1.10. To evaluate cell viability, add 10% (v/v) of the viability indicator solution (resazurin-based) at representative time points and incubate for 5 h at 37 °C; measure the absorbance at 490 nm using a spectrophotometer.

## 6.2. Mice Dorsal Root Ganglia (DRG) Cell Culture

6.2.1. To isolate DRGs from two 7-week-old C57bl mice, first douse the mice with 70% ethanol and make an incision to remove the skin.

6.2.2. Cut the base of the skull and the abdominal wall muscles till the spinal cord is exposed.

6.2.3. Remove the spinal column by making a cut at the level of the femurs and clean the surrounding tissues.

6.2.4. Cut the column into three pieces; then cut each piece in the midline to generate two halves and peel out the spinal cord.

6.2.5. Under the binocular, extract all DRG ganglia and immerse them in a cold Hank's balanced salt solution (HBSS).

6.2.6. Clean the surrounding connective tissues by pinning the DRGs on a petri dish and gently remove residual meninges under the binocular.

6.2.7. Dissociate the DRG cells by incubating them for 20 min in 1000 U of papain.

6.2.8. Centrifuge the cells for 4 min at 400 x g. Discard the supernatant and keep the cell pellet.

6.2.9. Resuspend the pellet in a 10 mg/mL collagenase and 12 mg/mL dispase-II solution and incubate for 20 min at 37 °C.

6.2.10. Centrifuge the cells for 2 min at 400 x g; discard the supernatant and keep the cell pellet.

6.2.11. Triturate the cell pellet in 1 mL of HBSS, 10 mM glucose and 5 mM HEPES (pH 7.35) by repeated passage through a constricted Pasteur pipette.

6.2.12. Gently add the dissociated cells to L-15 medium containing 20% of silica-based colloidal medium for cell separation by density gradient centrifugation; centrifuge for 8 min at 1000 x g. Aspirate the supernatant and keep the cell pellet.

NOTE: The aim of this step is to separate and purify the neuronal cells from axonal debris, Schwann cells and fibroblasts.

6.2.13. Resuspend the cell pellet in 2 mL of F-12 medium; centrifuge for 2 min at 1000 x g; discard the supernatant and resuspend the pellet in 1 mL of F-12 medium.

6.2.14. Count the cells and seed  $2 \times 10^4$  cells on poly-L-lysine and laminin coated glass bottom 35 mm culture dishes, in a F-12 antibiotic-free medium supplemented with 10% FBS.

NOTE: Initially, seed the cells in a small volume of medium (150-200  $\mu$ L); following 2 h incubation at 37 °C, add medium for a final volume of 2 mL.

6.2.15. Gently add the NGF-loaded P $\text{SiO}_2$  carrier or fresh murine  $\beta$ -NGF (50 ng/mL) per plate.

6.2.16. After 2 days, fix the cell culture by incubating it with a 4% paraformaldehyde (PFA) solution for 15 min at RT; immunostain the cell culture using a common immunofluorescence staining procedure<sup>31</sup>.

6.2.17. Image the neuronal cell culture using a confocal microscope.

## **7. Cell Differentiation Analysis**

### **7.1. PC12 cells differentiation percentage in the presence of NGF-loaded P $\text{SiO}_2$ carriers**

7.1.1. Incubate the NGF-loaded P $\text{SiO}_2$  carriers in 2 mL of differentiation medium, in a humidified incubator at 37 °C containing 5%  $\text{CO}_2$ .

7.1.2. Every 2 days, collect the solution and replace it with 2 mL of fresh medium. Freeze the collected release samples in liquid nitrogen and store at -20 °C for further analyses.

7.1.3. Seed PC12 cells in 12-well collagen-coated plates as instructed in section 4.1 (4.1.3-4.1.6).

7.1.4. After 24 h, introduce the release samples of representative time points (from section 5.1.2) to the different wells; for control wells, add fresh murine  $\beta$ -NGF (50 ng/mL).

7.1.5. After 24 h, image the cells using a light microscope.

7.1.6. To determine the percentage of neurite-bearing cells, manually count cells that had outgrown neurites out of the total number of cells in the images ( $n = 5$  frames for each well); plot a graph of percentage of PC12 cells with neurite outgrowth over time.

NOTE: Undifferentiated PC12 cells appeared to have rounded structure without neurites, while differentiated cells can be identified by outgrowth of neurites (at least one of minimum length equal to the cell soma diameter) or morphological changes.

### **7.2. Morphometric analysis of differentiated PC12 cells**

7.2.1. For image processing analysis, acquire phase images of cultured cells up to 3 days after treatment with NGF (as free reagent or as the release product of the NGF-loaded P $\text{SiO}_2$  carriers).

NOTE: At later time points (beyond day 5) the cells develop highly complex networks, preventing morphometric measurements at a single cell resolution.

7.2.2. Download the image processing program NeuronJ, an ImageJ plug-in, which enables a semi-automatic neurite tracing and length measuring.

7.2.3. Convert the image file to an 8-bit format and measure pixel to micrometer ratio using the image scale bar.

7.2.4. Set the scale using the **Analyze | Set Scale** command and measure the length of each neurite.

7.2.5. Manually count the number of branching points and the number of neurites originating from each cell soma.

NOTE: An average neurite length 1 day after NGF treatment is approximately 30  $\mu\text{m}$ . The measured neurite lengths may be widely distributed.

#### REPRESENTATIVE RESULTS:

Oxidized PSi films are fabricated as described in the protocol. The Si wafer is subjected to electrochemical etching for 20 s at 250  $\text{mA}/\text{cm}^2$  (**Figure 1ai**), followed by thermal oxidation at 800  $^{\circ}\text{C}$  (**Figure 1aii**) to produce a  $\text{PSiO}_2$  scaffold. High-resolution scanning electron microscopy (HR-SEM) images of the resulting  $\text{PSiO}_2$  film are shown in **Figure 1b, c**. Top-view micrograph of the film (**Figure 1b**) depicts its highly porous nature with pores of approximately 40 nm in diameter. Cross-sectional micrograph of a cleaved film (**Figure 1c**) reveals a porous layer thickness of 2.9  $\mu\text{m}$ , characterized by interconnecting cylindrical pores.

The  $\text{PSiO}_2$  films are loaded with the NGF loading solution as illustrated in **Figure 1aiii**. NGF loading is quantified using NGF ELISA by measuring the NGF concentrations in the loading solution before and after incubation with the  $\text{PSiO}_2$  films. The average mass of the loaded NGF per  $\text{PSiO}_2$  film is determined as  $2.8 \pm 0.2 \mu\text{g}$ , which corresponds to a high loading efficacy of 90% (w/w) (data not shown<sup>31</sup>). In order to establish the NGF release profile from the  $\text{PSiO}_2$  carriers, the loaded films are incubated in PBS at 37  $^{\circ}\text{C}$  and every 2 days aliquots are sampled for quantification of the released protein concentration using NGF ELISA. In addition, the Si content in the release samples is quantified using ICP-AES and the Si erosion kinetics of the  $\text{PSiO}_2$  carriers is established. **Figure 2** depicts the NGF release and the corresponding Si degradation profiles of the porous carriers. A sustained release of NGF, without burst effect, is attained for a period of 1 month. NGF release in the first week is faster (slope = 0.442) compared to a much slower release in later days along the release period (slope 0.043). It should be noted that throughout the entire 1-month release period, the amount of NGF released is sufficient for inducing profound differentiation of PC12 cells, as will be discussed later. The accumulative NGF released is found to be in a good correlation ( $R^2 = 0.971$ ) with the remaining Si content, as shown in the inset of **Figure 2**.

Next, the bioactivity of the NGF-loaded PSiO<sub>2</sub> carriers is characterized *in vitro*, PC12 cells and DRG neurons are used as models for neuronal differentiation and outgrowth. PC12 cells and dissociated DRG neurons are seeded as described in the protocol. First, cell viability in the presence of the PSiO<sub>2</sub> carriers is examined by incubating the cells with neat (not loaded) or NGF-loaded PSiO<sub>2</sub>; control plates and cells treated with neat PSiO<sub>2</sub> are supplemented with free NGF every 2 days. No cytotoxicity is observed upon exposure of the cells to the neat or NGF-loaded PSiO<sub>2</sub> carriers (**Figure 3a**), demonstrating that PSiO<sub>2</sub> is biocompatible with this cell line. **Figure 3b** shows representative HR-SEM micrographs of PC12 cells treated with the NGF-loaded PSiO<sub>2</sub> carriers. The observed cells extract neurites and form characteristic branched neuronal networks. Similar results are obtained when seeding dissociated DRG neuronal cells in the presence of the NGF-loaded PSiO<sub>2</sub> carriers. Confocal images of immunostained DRG cells cultured with the NGF-loaded PSiO<sub>2</sub> carriers (**Figure 3e**) show an extensive neurite initiation and elongation, similar to the positive control (*i.e.*, neurons supplemented with free NGF, see **Figure 3d**), while the negative control (*i.e.*, untreated neurons, see **Figure 3c**), exhibits a poor extent of neurite outgrowth.

To evaluate PC12 cells differentiation percentage in the presence of NGF released from the PSiO<sub>2</sub> carriers, differentiation is quantified by counting the cells with neurite outgrowth out of the total cell population. **Figure 4** summarizes the results in terms of the percentage of differentiated cells at different time points over a 26-day period. Significant differentiation percentage values are attained throughout the studied duration. Notably, after 26 days, the released NGF has induced differentiation of above 50%, a differentiation percentage which is significantly higher compared to previous studies with polymer-based carriers<sup>16</sup>. These results indicate that NGF entrapment within the porous host preserved the biological activity of the protein for inducing profound differentiation for a period of ~1 month.

To further examine the effect of NGF-PSiO<sub>2</sub> carriers on neuronal differentiation, three characteristic morphological parameters of the differentiated PC12 cells are measured at the single cell level: (i) the number of neurites extracting from the soma (ii) the total neurites' length and (iii) the number of branching points. The cells are treated with NGF-loaded PSiO<sub>2</sub> carriers or with repetitive administration of free NGF (every 2 days), as a control. **Figure 5a-c** presents the morphometric analysis of the cell population at days 1 and 3. The PC12 cells treated with the NGF-loaded PSiO<sub>2</sub> show morphometric values which are similar to the control treatment for all three tested parameters. After 3 days, the values of all morphological parameters are observed to increase at the same rate for both the NGF-loaded PSiO<sub>2</sub> carriers and the control treatment of repetitive administration of free NGF.

#### FIGURE AND TABLE LEGENDS:

**Figure 1: Fabrication of PSiO<sub>2</sub> films.** (a) Fabrication scheme of the PSiO<sub>2</sub> carriers: (i) Silicon wafer is subjected to electrochemical etching for 20 s at 250 mA/cm<sup>2</sup>, followed by (ii) thermal oxidation at 800 °C to produce a PSiO<sub>2</sub> scaffold, and (iii) NGF loading by physical adsorption (schematics are not drawn to scale). (b-c) Top-view and cross-section electron micrographs of a characteristic PSiO<sub>2</sub> film.

**Figure 2: NGF release and Si erosion profiles of NGF-loaded PSiO<sub>2</sub> carriers.** The extent of degradation of the PSiO<sub>2</sub> carriers is presented as the fraction of the remaining Si content at each time point out of the total Si content of the porous film. The inset shows the correlation between the accumulative NGF released and remaining Si content. Error bars represent SD, n=3.

**Figure 3: Cell viability and growth in the presence of NGF-loaded PSiO<sub>2</sub> carriers. (a)** *In vitro* cytotoxicity characterization. The PC12 cells viability is measured on 1, 3 and 7 days following their exposure to neat (not loaded) PSiO<sub>2</sub> carriers, NGF-loaded PSiO<sub>2</sub> carriers or free NGF (50 ng/mL every 2 days, control plates). **(b)** Electron micrographs of PC12 cells cultured with NGF-loaded PSiO<sub>2</sub> carriers for 9 days. Left panel: a zoom-in, depicting neurite outgrowth from the cell soma; right panel: a zoom-out, indicating the highly complex neuronal network formed. **(c-e)** Confocal microscopy images of immunostained DRG neuronal cell culture (2 days after seeding): **(c)** untreated cells; **(d)** cells supplemented with free NGF (50 ng/mL); **(e)** NGF-PSiO<sub>2</sub> carriers. Immunofluorescent staining of neurofilament H enables imaging of the cell soma and the outgrowing neurites. Scale bar = 50 µm.

**Figure 4: PC12 cells differentiation percentage values upon exposure to NGF released from NGF-loaded PSiO<sub>2</sub> carriers at representative time points.** Differentiation percentage is expressed as the number of cells with neurite outgrowth out of the total cell population. Control treatment refers to cells supplemented with free NGF (50 ng/mL). Representative light microscopy images of the cells at the different time points are depicted along the x-axis; scale bar = 25 µm for micrographs of days 2, 8, 14, 26 and 50 µm for control micrograph. Error bars represent SD, n=3.

**Figure 5: Morphometric analysis of differentiated PC12 cells.** Three morphological parameters of differentiated PC12 cells are measured, at the single cell level, at day 1 and 3 following exposure to NGF-loaded PSiO<sub>2</sub> carriers or repetitive administration of free NGF every 2 days (control); **(a)** total neurites' length **(b)** number of neurites extracting from the cell soma and **(c)** number of branching points. Error bars represent SD, n=3.

## DISCUSSION:

Degradable nanostructured PSiO<sub>2</sub> films are fabricated and employed as carriers for NGF, allowing for its continuous and prolonged release, whilst retaining its biological activity. The potential of the PSiO<sub>2</sub> to serve as a delivery system for NGF is demonstrated *in vitro* by demonstrating their ability to release sufficient NGF dosage to induce neuronal differentiation and promote outgrowth of PC12 cells and DRG neurons. The engineered films can be used as long-term reservoirs of NGF for future treatment *in vivo*.

The structural properties of the fabricated PSi films were tailored specifically for NGF payload; the current density of the electrochemical etching process was adjusted to obtain pore size of approximately 40 nm that would easily accommodate the NGF, a protein with a molecular weight of 26.5 kDa<sup>32</sup> and a characteristic diameter of ~4 nm<sup>33</sup>, within the porous matrix. Moreover, thermal oxidation of the porous scaffold was performed to enable physical adsorption of NGF by electrostatic attraction of the positively charged protein to the negatively charged oxidized PSi



surface. The surface chemistry of PSi exerts a major effect on the loading efficacy and can be easily tuned in order to better control the interactions between the payload and the porous matrix. These interactions subsequently dictate the structure of adsorbed protein molecules and their bioactivity<sup>34-36</sup>. In conclusion, the system was adjusted to obtain optimal loading of NGF by carefully selecting the appropriate pore size, surface characteristics and the ideal loading solvent and the resulting effect of the mentioned parameters dictates the protein loading efficacy. Therefore, any change in the fabrication parameters (*e.g.*, current density, etching time, type and concentration of dopant or electrolyte), surface chemistry or loading solution composition can affect the loading efficacy and bioactivity of the loaded protein.

The release rate of a payload from the PSi or PSiO<sub>2</sub> host is generally dictated by a combination of two simultaneous mechanisms, out-diffusion of the payload molecules and the degradation of the Si scaffold<sup>37</sup>. The erosion and subsequent dissolution rate are affected by the implantation site, its pathology and disease state<sup>28,38,39</sup>. It was established in previous work that if a different release rate is required for a certain therapeutic application, the release profile can be modified and prolonged by changing the surface chemistry of the PSi surface<sup>38,40,41</sup>. Various chemical modifications, such as thermal oxidation, thermal carbonization and hydrosilylation techniques, have been shown to stabilize the PSi surface and affect its degradation and consequent payload release<sup>35,42-45</sup>. Moreover, loading of NGF into the carriers by covalent attachment of the protein molecules to the Si scaffold via various surface chemistry routes should result in a more prolonged release because the payload is only released when the covalent bonds are broken or the supporting Si matrix is degraded<sup>21</sup>.

Furthermore, following its fabrication process, PSi can be rendered into various configurations besides thin films, such as microparticles<sup>46</sup>, nanoparticles<sup>47</sup> or free-standing membranes<sup>26</sup>, which can also be employed as carriers for NGF and meet specific application needs.

In order to be clinically relevant, the NGF content within the PSiO<sub>2</sub> carriers should reach the range of therapeutic doses. In the method described in the protocol, the NGF-loaded PSiO<sub>2</sub> carriers are introduced into a consistent volume of 2 mL of cell media or PBS buffer and thus, the concentration of the loading solution and the respective NGF mass loaded were adjusted to yield a released NGF concentration that is relevant for the tested *in vitro* system. When utilizing this method for different systems, such as *ex vivo* or *in vivo* environments, the concentration of the NGF loading solution should be increased and adjusted according to the needed dose. Alternatively, higher NGF content can be obtained by introducing multiple carriers per tested area or by using larger areas of PSiO<sub>2</sub> samples.

Moreover, it should be noted that in later time points along the release period, the released NGF concentrations are much lower than in earlier time points. The fact that the NGF flux is not constant over time must be taken into consideration when designing the system according to application needs.

Numerous NGF delivery systems have been developed and reported in the literature, most of them are polymer-based systems, comprising of synthetic or natural polymer conjugates<sup>10-12,15-</sup>

17. These systems have shown effective sustained release profiles, however, the release period spanned over a period of several days with a significant burst effect. Some of these delivery platforms suffer from critical limitations such as loss of bioactivity upon the encapsulation process, requiring usage of different stabilizing agents<sup>18,48</sup>, as well as sophisticated and complex fabrication techniques<sup>16</sup>. One of the greatest challenges in designing delivery systems for proteins is the ability to preserve the bioactivity of the molecules upon entrapment within the carrier system. Proteins or peptides can be loaded into PSi/PSiO<sub>2</sub> at RT or even at lower temperatures without using strong organic solvents, which are both important factors when loading these sensitive biomolecules. Previous studies have demonstrated that PSi/PSiO<sub>2</sub> surface chemistry plays a crucial role in minimizing possible denaturation of the loaded proteins<sup>35,36</sup>. Therefore, PSi/PSiO<sub>2</sub> is an advantageous nanomaterial for developing delivery systems for growth factors in general and NGF in particular.

The current work is focused on utilizing this method as a new therapeutic approach for direct administration of NGF into the CNS for potential treatment of neurodegenerative diseases. The NGF-loaded PSiO<sub>2</sub> carriers can be implanted in mice brains and the efficacy of the platform as long-term implants is studied *in vivo*. Furthermore, combining this promising carriers with non-invasive biolistics<sup>49,50</sup> may enable one to administer the NGF-loaded PSiO<sub>2</sub> particles in a highly spatial resolution to a localized area using a novel pneumatic capillary gun for treating neurodegenerative disorders, where a spatiotemporal drug administration is required. Moreover, NGF can direct neuronal growth in a chemical gradient manner<sup>51</sup>, similar to axon guidance molecules. Thus, the loaded PSiO<sub>2</sub> carriers can serve as attractant hot spots to NGF, to direct growth, complementary to other directing cues<sup>52,53</sup>. In addition, the PSiO<sub>2</sub> carriers can be specifically tailored to sustain the delivery of NGF for a much-extended time period of up to several months by further tuning the PSiO<sub>2</sub> nanostructure and its surface chemistry.

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#### DISCLOSURES:

The authors declare no competing financial interests.

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Figure 1

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**(a)**

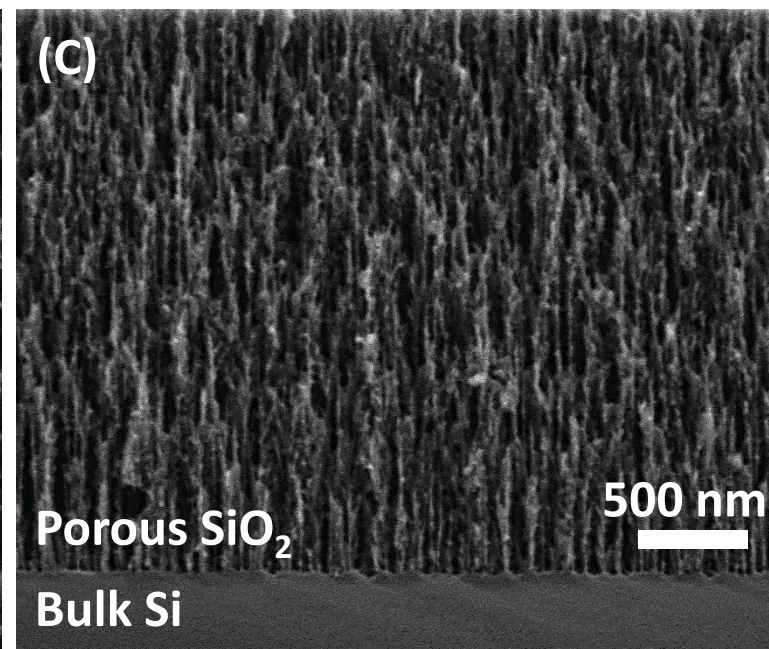
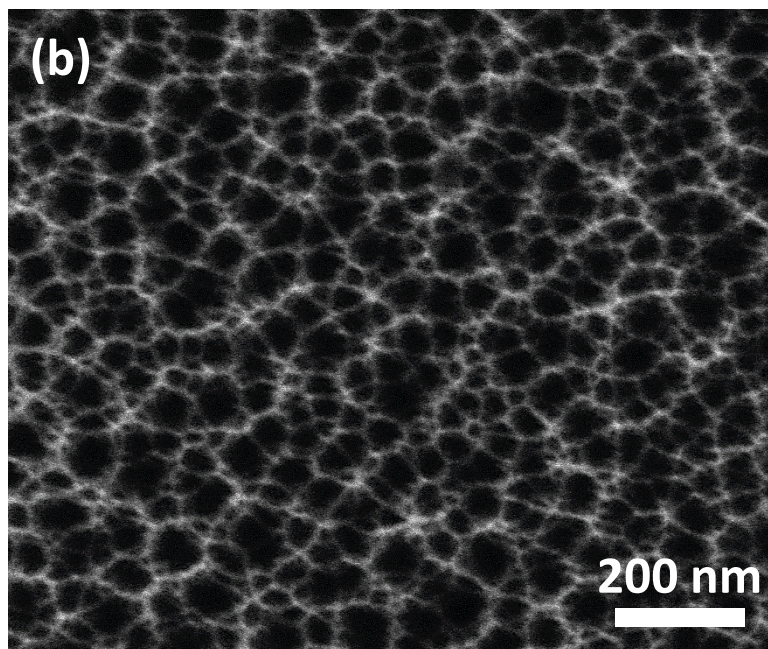
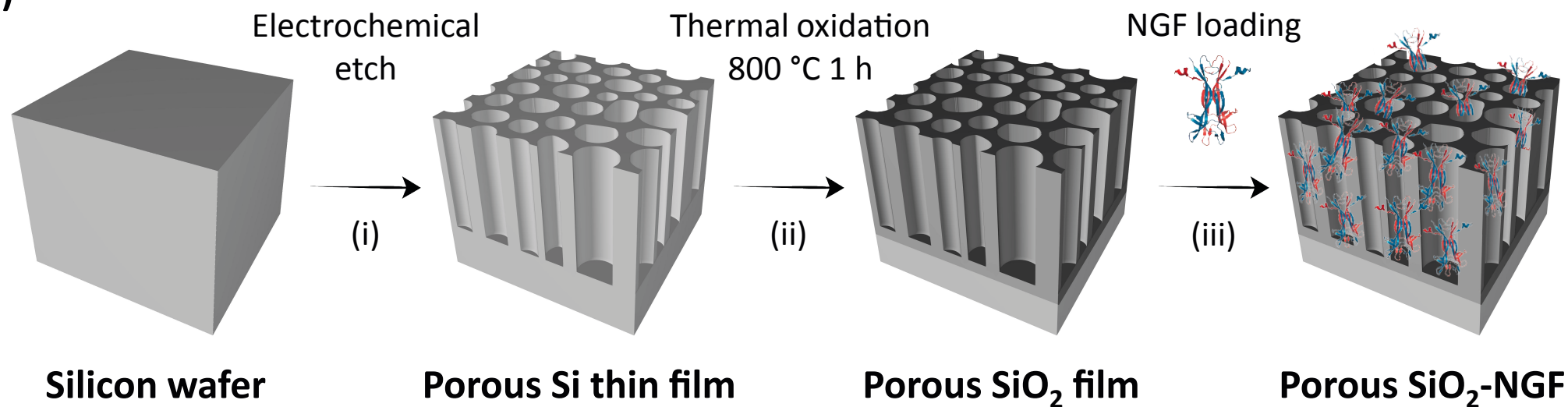




Figure 2

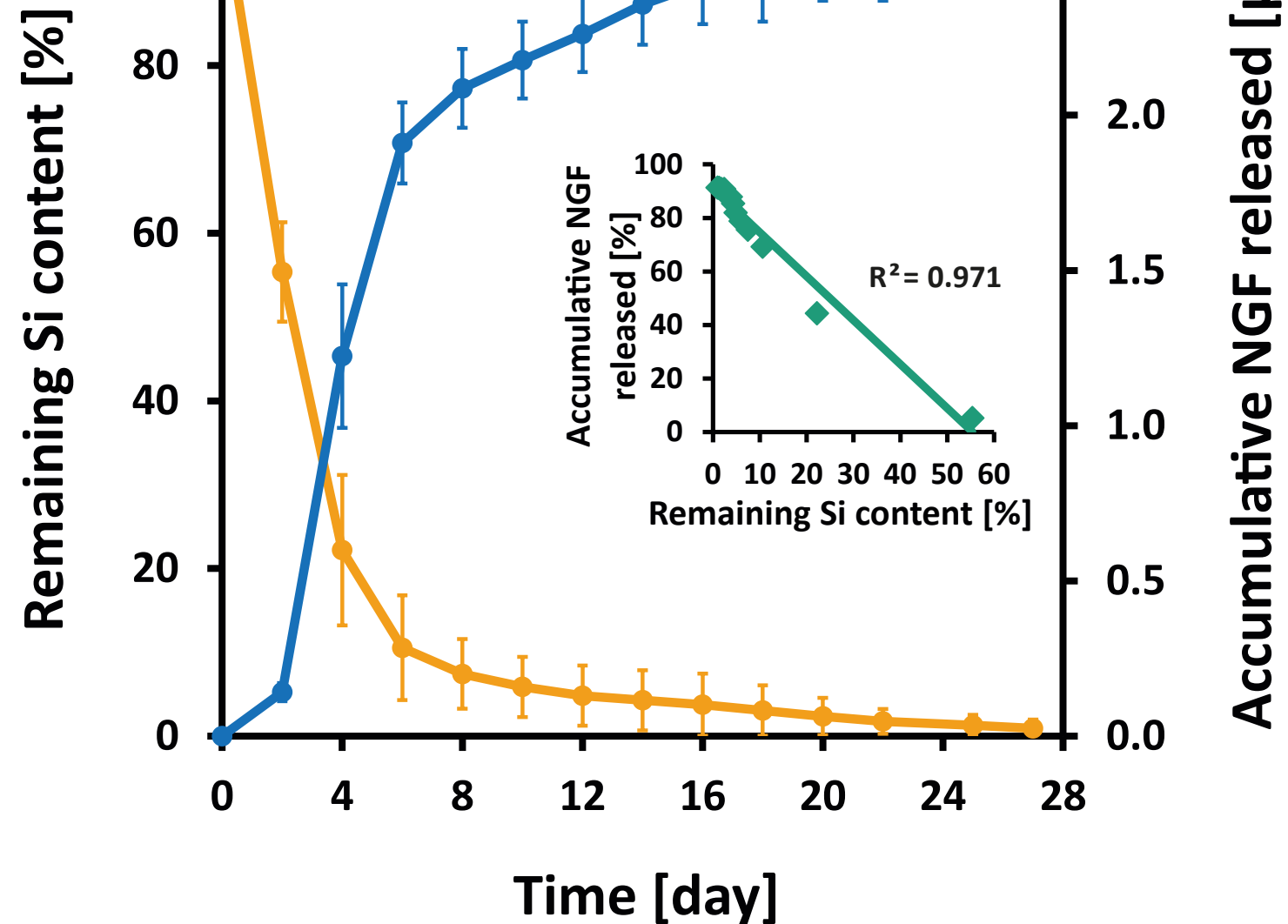
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Figure 3

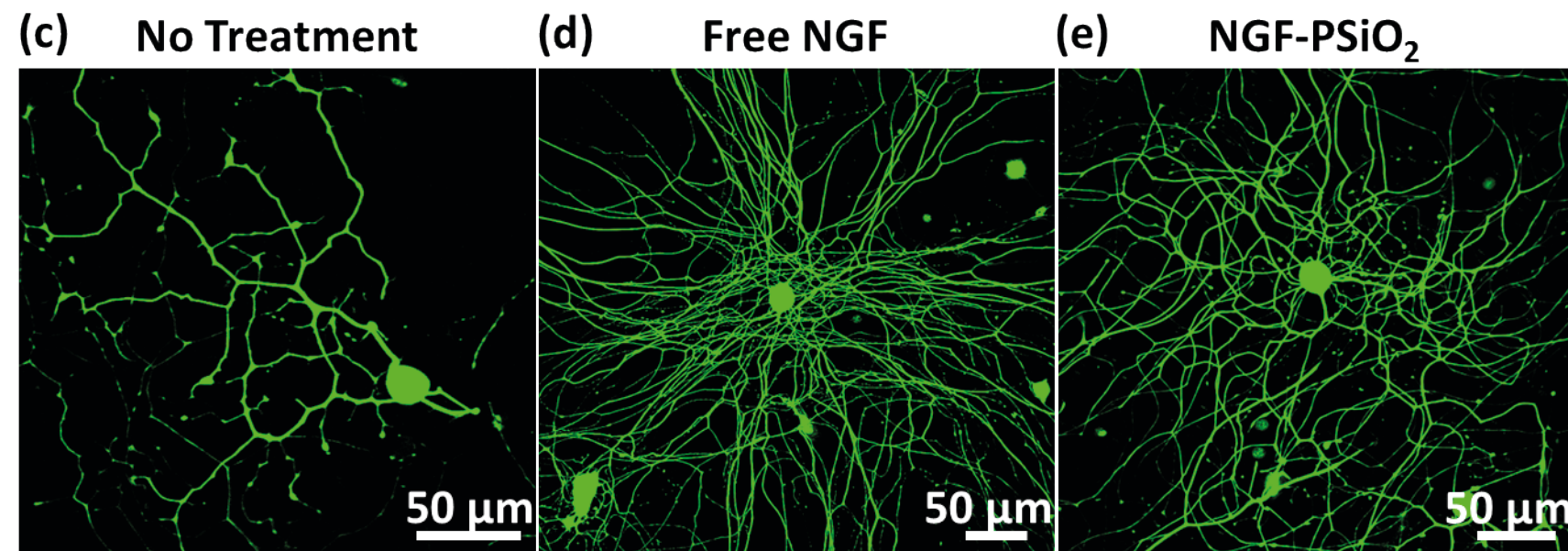
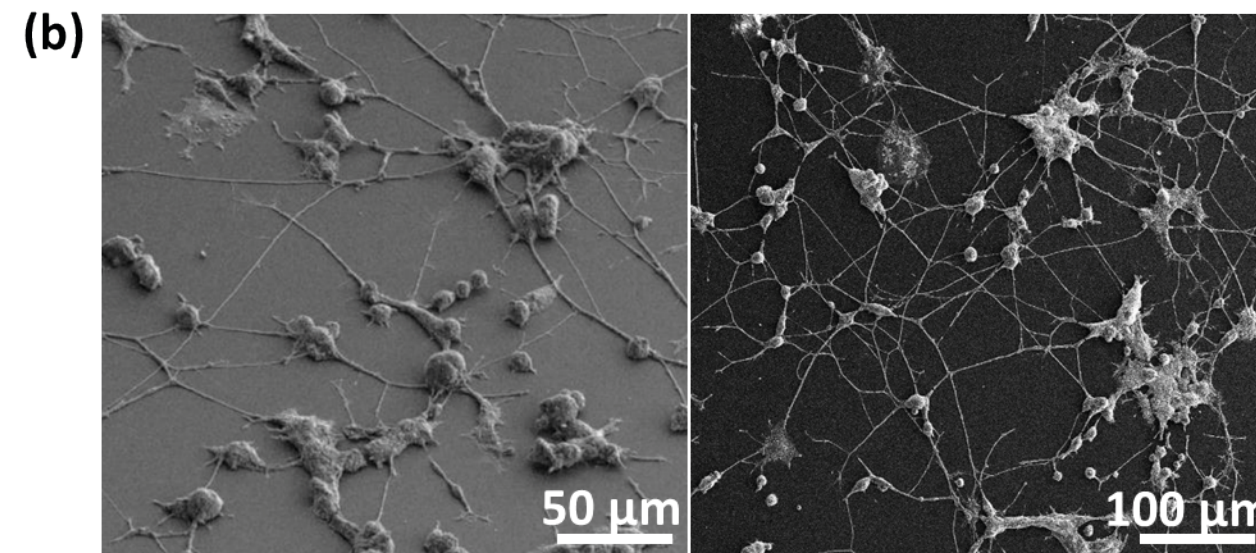
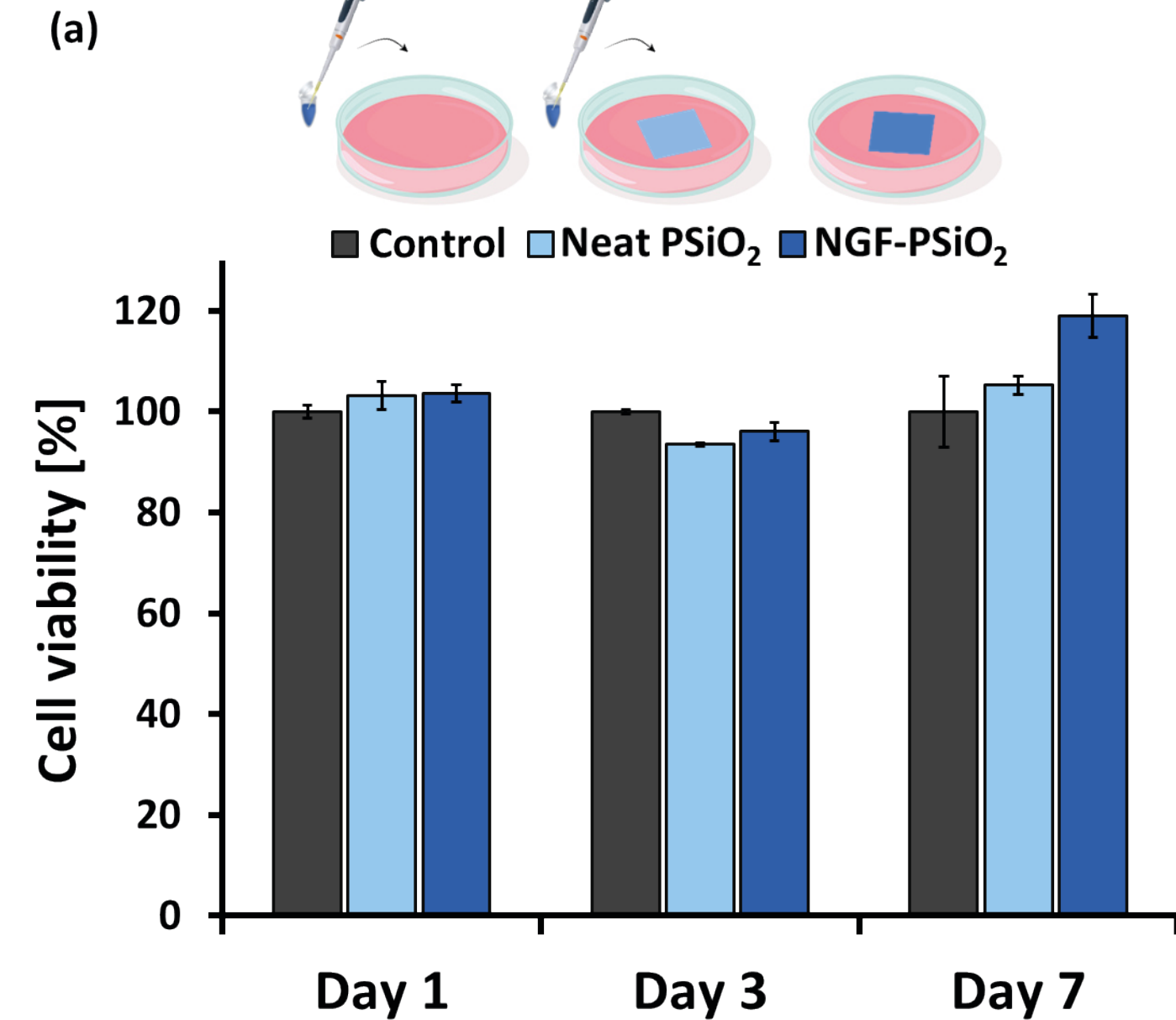
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Figure 4

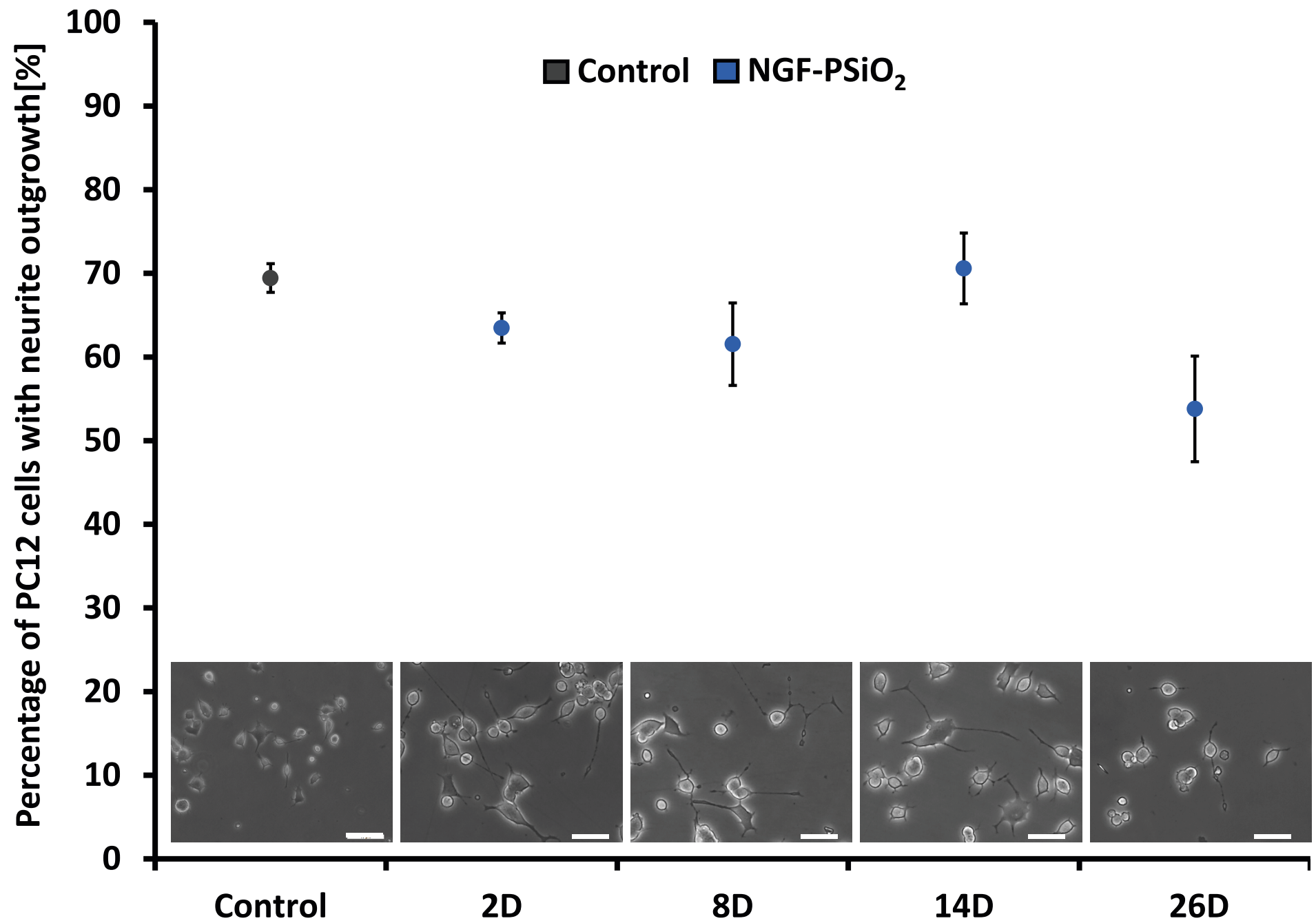
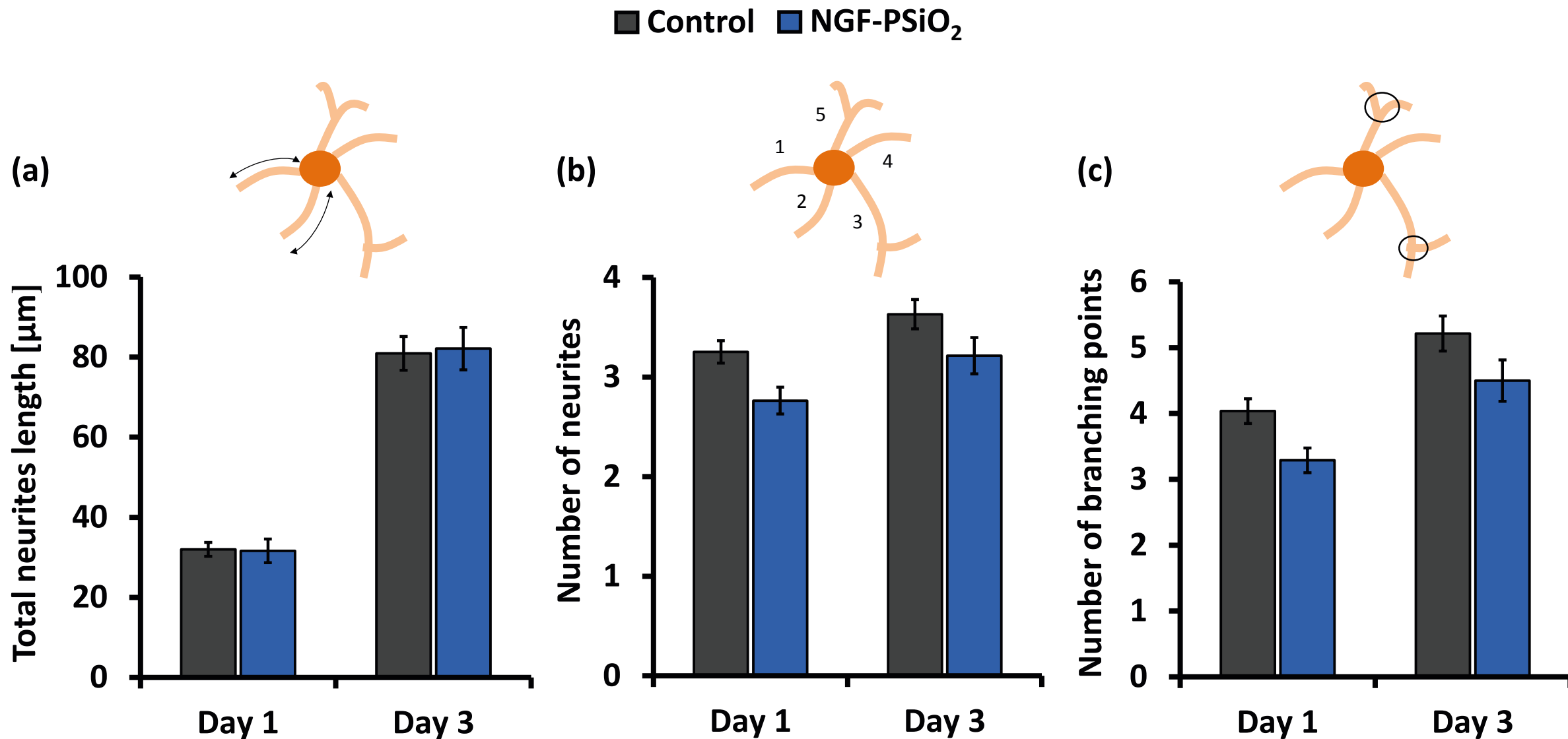


Figure 5



| Name of Material/ Equipment                                   | Company                      | Catalog Number |
|---|------------------------------|----------------|
| Acetone   | Gadot                        | 830101375      |
| Amphotericin  | Biological Industries        | 03-028-1B      |
| Aqueous HF (48%)  | Merck                        | 101513         |
| AZ4533 photoresist  | Metal Chem, Inc.             | AZ4533         |
| BSA fraction v  | MP biomedicals               | 0216006950     |
| BSA solution (10%)  | Biological Industries        | 03-010-1B      |
| Collagen type I   | Corning Inc.                 | 354236         |
| Collagenase   | Enco                         | LS004176       |
| Collagen-coated plastic coverslips                            | NUNC Thermanox               | 1059846        |
| D-(+)-glucose   | Sigma-Aldrich Chemicals      | G8170          |
| Dispase-II  | Sigma-Aldrich Chemicals      | 4942078001     |
| Donkey anti mouse IgG H&L conjugated Alexa Fluor 488          | Abcam                        | ab150073       |
| Ethanol absolute (99.9%)                                      | Merck                        | 818760         |
| FBS   | Biological Industries        | 04-121-1A      |
| Formaldehyde/glutaraldehyde (2.5%) in 0.1 M sodium cacodylate | Electron Microscopy Sciences | 15949          |
| Freon   | Sigma-Aldrich Chemicals      | 613894         |
| Guanidine-HCl   | Sigma-Aldrich Chemicals      | G7294          |

|   |                              |           |
|---|------------------------------|-----------|
| Ham's F-12 nutrient mixture   | Thermo Scientific            | 11765054  |
| HBSS  | Thermo Scientific            | 14185-045 |
| HEPES (1M)  | Thermo Scientific            | 15630-056 |
| HS  | Biological Industries        | 04-124-1A |
| Human $\beta$ -NGF ELISA Development Kit                                | Peprotech                    | 900-K60   |
| Immumount solution  | Thermo Scientific            | 9990402   |
| L-15 medium   | Sigma-Aldrich Chemicals      | L5520     |
| Laminin   | Thermo Scientific            | 23017015  |
| L-glutamine   | Biological Industries        | 03-020-1A |
| Mouse anti neurofilament H (NF-H) (phosphorylated antibody)<br>antibody | BiolLegend                   | SMI31P    |
| Murine $\beta$ -NGF   | Peprotech                    | 450-34-20 |
| Normal donkey serum (NDS)   | Sigma-Aldrich Chemicals      | G9023     |
| Papain  | Sigma-Aldrich Chemicals      | p-4762    |
| Paraformaldehyde 16% solution   | Electron Microscopy Sciences | BN15710   |
| PBS (pH 7.4)  |                              |           |

|                         |                               |           |
|-------------------------|-------------------------------|-----------|
| PBS X10                 | Biological Industries         | 02-020-1A |
| PC12 cell line          | ATCC                          | CRL-1721  |
| Penicillin–streptomycin | Biological Industries         | 03-032-1B |
| Percoll                 | Sigma-Aldrich Chemicals       | p1644     |
| Poly-L-lysine           | Sigma-Aldrich Chemicals       | P4832     |
| PrestoBlue reagent      | Thermo Scientific             | A13261    |
| RPMI medium             | Biological Industries         | 01-100-1A |
| Si wafer                | Siltronix Corp.               |           |
| Sodium azide            | Sigma-Aldrich Chemicals       | S2002     |
| Sodium hydroxide (NaOH) | Sigma-Aldrich Chemicals       | S8045     |
| Tannic acid             | Sigma-Aldrich Chemicals       | 403040    |
| Triton X-100            | Chem-Impex International Inc. | 1279      |

**Comments/Description**

prepared by dissolving 10 mM  
Na<sub>2</sub>HPO<sub>4</sub>, 1.8 mM KH<sub>2</sub>PO<sub>4</sub>, 137  
mM

Highly-B-doped, p-type, 0.00095  
 $\Omega$ -cm resistivity, <100> oriented





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### CORRESPONDING AUTHOR:

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| Department:    | Biotechnology and Food Engineering   |       |          |
| Institution:   | Technion   |       |          |
| Article Title: | Porous Silicon for Controlled Release of Nerve Growth Factor: Promoting Neuronal Differentiation and Outgrowth of PC12 Cells and Dorsal Root Ganglion          |       |          |
| Signature:     |  Digitally signed by<br>Ester Segal<br>Date: 2018.10.22<br>13:12:29 +03'00' | Date: | 22/10/18 |

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22/10/2018

To: Dr. Wu, Review Editor

JoVE

Dear Dr. Bing Wu,

We would like to thank you for sending out our manuscript for review and providing us a rapid and thorough evaluation of our work. We appreciate the referees' constructive comments and your willingness to further consider our manuscript.

We have made the appropriate changes as requested by all three reviewers and believe that this manuscript has greatly benefited as the result of these changes. We also addressed all editorial issues. The revised manuscript is attached along with our detailed response to the reviewers' questions and comments. We have also included (in a separate file) the revised manuscript in which our changes are marked in red to ease the review.

We believe that the manuscript is ready for further consideration in *JoVE*.

Sincerely,

Ester Segal

***Detailed response to editorial comments and reviewers' comments:***

**Editorial comments:**

Changes to be made by the author(s) regarding the written manuscript:

1. Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammar issues.

*Answer:* *We thank the editor for his careful review and we have thoroughly proofread the manuscript.*

2. Please revise lines 45-46, 64-65, and 68-69 to avoid previously published text.

*Answer:* *The mentioned lines have been rewritten and rephrased.*

3. Please revise the title to avoid punctuation.

*Answer:* *The title has been changed to avoid punctuation.*

4. Please remove all commercial language from your manuscript and use generic terms instead: AZ4533, percoll, etc.

*Answer:* *In line 129, AZ4533 was replaced with "a positive thick". In line 305, percoll was replaced with "silica-based colloidal medium for cell separation by density gradient centrifugation".*

5. Please add more details to your protocol steps. There should be enough detail in each step to supplement the actions seen in the video so that viewers can easily replicate the protocol. Please ensure you answer the "how" question, i.e., how is the step performed? Alternatively, add references to published material specifying how to perform the protocol action. See examples below:

2.4: Please ensure that the protocol here can stand alone. As currently written, users must refer to another protocol in order to complete this protocol. Please describe how to determine NGF content.

*Answer:* *Step 2.4 is now thoroughly detailed in a new section that was added to the revised manuscript (section 3. Quantification of NGF loading using NGF ELISA kit), see page 4.*

3.4: Please describe how to perform ICP-AES analysis. We need more specific details for filming.

*Answer:* *Step 3.4 is now described in details in a new section that was added to the revised manuscript (section 5. Quantification of in vitro Si erosion by inductively coupled plasma atomic emission spectroscopy (ICP-AES)), see pages 5-6.*

4.2.1: Please specify how to isolate DRGs from mice.

*Answer:* *This step is now elaborated and detailed in 5 new steps that were added to the revised manuscript, see pages 7.*

4.2.2: How to clean the surrounding connective tissues?

*Answer: These details are now specified in step 6.2.6.*

6. Line 211: Please move the ethics statement before your numbered protocol steps, indicating that the protocol follows the animal care guidelines of your institution.

*Answer: We thank the editor for this comment and we have moved the ethics statement accordingly, before the numbered protocol steps.*

7. After you have made all the recommended changes to your protocol (listed above), please highlight 2.75 pages or less of the Protocol (including headings and spacing) that identifies the essential steps of the protocol for the video, i.e., the steps that should be visualized to tell the most cohesive story of the Protocol.

*Answer: We have highlighted 2.75 pages of the revised protocol to identify the essential steps of the protocol for the video.*

8. Please highlight complete sentences (not parts of sentences). Please ensure that the highlighted part of the step includes at least one action that is written in imperative tense. Please do not highlight any steps describing anesthetization and euthanasia.

*Answer: The highlighted sentences in the revised manuscript follow the instructions above.*

9. Please include all relevant details that are required to perform the step in the highlighting. For example: If step 2.5 is highlighted for filming and the details of how to perform the step are given in steps 2.5.1 and 2.5.2, then the sub-steps where the details are provided must be highlighted.

*Answer: We have carefully followed these instructions in the revised highlighted protocol.*

10. Figures 2, 4 and 5: Please define error bars in the figure legend.

*Answer: We have added definitions for the error bars to the captions of Figures 2, 4, and 5. In all of them the error bars represent SD, n=3.*

11. Figure 3b: Please describe the left and right panels.

*Answer: We have added description of the left and right panels to the caption of Figure 3.*

#### **Reviewers' comments:**

##### **Reviewer #1:**

Manuscript summary:

Fabrication of porous silicon (PSi) film as a degradable carrier for nerve growth factor (NGF) release is reported. The PSi carrier was designed to exhibit high loading capacity of NGF, and sustained release was examined in vitro for four weeks. Differentiation of PC12 cells and DRG neuronal cells in NGF-releasing condition was characterized and compared to conventional free NGF administration. The results showed comparable neurite

initiation to the free NGF administration, indicating feasibility of the PSi carrier as a long-term implant for NGF release to treat neurodegenerative diseases. Because of the biocompatibility and biodegradability of PSi, this platform can be a promising delivery system for long-term NGF or other growth factor supply. This report include essential information to fabricate the PSi-based NGF releasing system with step-by-step protocol, therefore the experiment can be readily reproduced by others. Experimental and analytical details are sufficiently described in the present form. This report will be a good reference in the field, and attract much attention to the general readership of the Journal of Visualized Experiment. Therefore, it is highly recommended to be published in the Journal of Visualized Experiment after revision of a few minor concerns as following.

*We thank the reviewer for his/her positive evaluation of our manuscript and have carefully revised it based on his/her feedback.*

Minor concerns:

1) In the Protocol 1.1, the kind of dopants (e.g., boron) should be noted specifically.

*Answer: The dopant type (Boron) is now specified in step 1.1 of the revised protocol.*

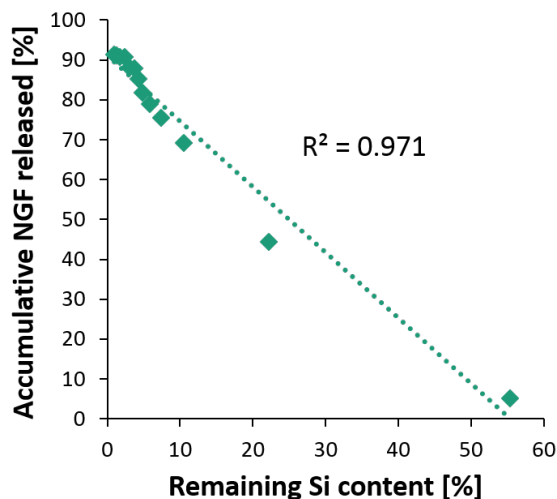
2) In the Protocol 1.2, 1.9, and 1.10, heating (10 C per min?) and cooling (natural cooling?) rate should be stated.

*Answer: We have added the details regarding the heating and cooling rates for step 1.2, 1.9, 1.10 in the revised protocol.*

3) It would be helpful to show strong correlation of NGF release with Si degradation profile by plotting NGF release as a function of remaining Si content over time in Figure 2.

*Answer: We thank the reviewer for this constructive comment. Please see attached below a graph presenting the excellent correlation between the NGF release and the remaining Si content. We have included this new graph as an inset in Figure 2 and have addressed it in the revised manuscript, see page 10.*





4) It would be helpful if additional information about the a few more set of etching condition, pore size, and NGF loading capacity is included.

*Answer: In this work we have focused on a specific nanostructured PSi film, which was found to yield the maximal NGF loading efficacy. A prior thorough optimization study, we have investigated the correlation between the anodization conditions, resulting nanostructure characteristics, and NGF loading and release. Please see attached below unpublished data of the prior optimization work performed. Table S1 summarizes the different etching conditions examined and Figure S1 presents NGF release profiles of the corresponding PSiO<sub>2</sub> carriers. Condition set no. 4 in Table S1 yielded the highest values of accumulative NGF release (Figure S1) compared to the other PSiO<sub>2</sub> carriers examined, and this was one of the reasons for focusing on this specific set of etching conditions. We believe that these results are beyond the scope of this paper, which focuses on the controlled release of NGF and its bioactivity from PSi carriers.*

Table S1. Etching parameters

| Current density<br>[mA/cm <sup>2</sup> ] | Etching time<br>[sec] |
|--|-----------------------|
| 50                                       | 101                   |
| 100                                      | 51                    |
| 150                                      | 34                    |
| 250                                      | 20                    |

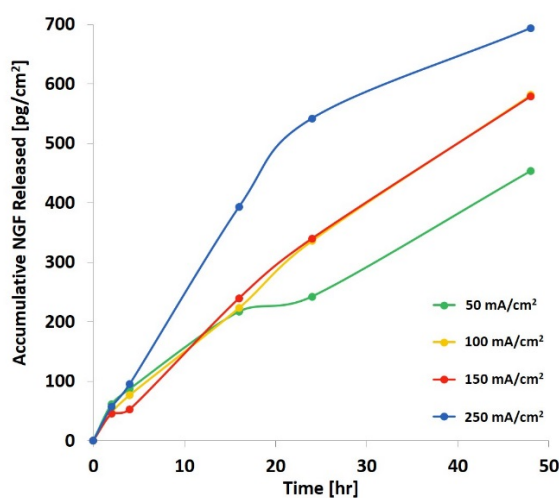


Figure S1: NGF release profiles of the different NGF-loaded PSiO<sub>2</sub> carriers

5) As authors mentioned in the manuscript, the release of NGF payload is affected by two



pathway: out-diffusion and silicon scaffold degradation. Therefore, it would be very nice if authors state any information to distinguish each kinetics or suggest any methods for further analyses.

*Answer: We thank the reviewer for this important comment. The mentioned concept is elaborated in previous works by our group and others and we now refer the readers to relevant references in the discussion section and expand the discussion, see page 12. The two mechanisms, i.e., out-diffusion and degradation of the Si scaffold, occur simultaneously for oxidized PSi and it is difficult to clearly differentiate and identify the amount of drug released due to passive diffusion versus the amount of drug released due to scaffold degradation.*

6) The release kinetics of the NGF payload is not a typical curve of 'sustained release'. However, authors claim that a sustained release of NGF without burst release is attained for 1 month. It is recommended to discuss it carefully. The release curve still has a sort of burst release at the very beginning (within 6 days).

*Answer: We agree with the reviewer and accordingly have added a clarification and better description of the release kinetics in the revised manuscript, page 10.*

## **Reviewer #2:**

### **Manuscript Summary:**

This is a revision of a methods paper to JoVE. I see some highlighted text suggesting that the paper has been revised although I do not see the original reviewer comments. This is suitable for publication after these minor issues are addressed.

1. 2.1. Is there need for BSA as a carrier protein? Might this improve efficacy?

*Answer: We thank the reviewer for the constructive comment. Many reports utilize BSA as a carrier protein in order to stabilize the NGF and prevent its denaturation and loss of biological activity during the encapsulation process. In our work, NGF loading into the carriers is carried out at room temperature without using organic solvents and thus the entrapment within the carrier system does not impair the bioactivity of the protein. Moreover, co-loading of NGF and BSA may result in a lower loading efficacy compared to loading of NGF alone as the available volume for accommodation of NGF within the host is reduced when loading both of the proteins. However, adding BSA can be advantageous in terms of sustaining NGF release from the carriers for an extended time period. Numerous studies have demonstrated the use of BSA as a carrier protein to modulate the release rate of NGF; incorporating BSA within the carrier matrix or co-loading of BSA and NGF have been shown to significantly extend the NGF release period<sup>1-3</sup>.*

- 1 Valmikinathan, C. M., Defroda, S. & Yu, X. Polycaprolactone and bovine serum albumin based nanofibers for controlled release of nerve growth factor. *Biomacromolecules*. **10** (5), 1084-1089, (2009).
- 2 Xu, X. *et al.* Peripheral nerve regeneration with sustained release of poly(phosphoester) microencapsulated nerve growth factor within nerve guide conduits. *Biomaterials*. **24** (13), 2405-2412, (2003).
- 3 Xu, X. *et al.* Polyphosphoester microspheres for sustained release of biologically active nerve growth factor. *Biomaterials*. **23** (17), 3765-3772, (2002).

2. 2.4. Can you recommend a specific manufacturer? Lots of bad ELISA kits.

Answer: The manufacturer of the NGF ELISA kit used in this work is PeproTech, as cited in the table of materials. Detailed information regarding the use of the kit can be found in step 3 in the revised protocol section.

3. 4.1.3. Recommended concentration of cells and seeding density?

Answer: We have added the details regarding recommended concentration of cells and seeding density in step 6.1.3 in the revised protocol.

4. Missing citations to Si-based drug delivery:

a. <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4377731/>

b. <https://pubs.acs.org/doi/full/10.1021/acsami.7b04181>

Answer: We thank the reviewer suggesting these references and we now properly cite them in the revised introduction of the manuscript, see references 23 and 25.

### **Reviewer #3:**

The work by Segal's group describes the fabrication of nanostructured PSi films for continuous and prolonged drug release, providing bioactivity of NGF released from the PSi carriers. The study is well conducted, very well presented and very interesting for the broad audience of this journal. Therefore, I'd recommend acceptance of this work in its present form.

*We thank the referee for his positive evaluation of our work and supporting its publication.*

**TITLE:**

Designing Porous Silicon Films as Carriers of Nerve Growth Factor for Promoting Differentiation and Outgrowth of PC12 Cells and Dorsal Root Ganglion

**AUTHORS AND AFFILIATIONS:**

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**KEYWORDS:**

Nerve Growth Factor; Porous Silicon; PC12; Dorsal Root Ganglia; Controlled Release; Neuronal Differentiation.

**SUMMARY:**

In this work, we design and fabricate nanostructured porous silicon (PSi) films as degradable carriers for nerve growth factor (NGF). Neuronal differentiation and outgrowth of PC12 cells and mice dorsal root ganglion (DRG) neurons are characterized upon treatment with the NGF-loaded PSi carriers.

**ABSTRACT:**

Despite the great potential of NGF for treating neurodegenerative diseases, its therapeutic administration represents a significant challenge as the protein does not cross the blood-brain barrier, owing to its chemical properties, and thus requires long-term delivery to the brain to have a biological effect. This work describes fabrication of nanostructured PSi films as degradable

carriers of NGF for sustained delivery of this sensitive protein. The PSi carriers are specifically tailored to obtain high loading efficacy and continuous release of NGF for a period of four weeks, while preserving its biological activity. The behavior of the NGF-PSi carriers as NGF delivery system is investigated *in vitro* by examining their capability to induce neuronal differentiation and outgrowth of PC12 cells and dissociated DRG neurons. Cell viability in the presence of neat and NGF-loaded PSi carriers is evaluated. The bioactivity of NGF released from the PSi carriers is compared to the conventional treatment of repetitive free NGF administrations. PC12 cell differentiation is analyzed and characterized by measurement of three different morphological parameters of differentiated cells; (i) the number of neurites extracting from the soma, (ii) the total neurites' length and (iii) the number of branching points. PC12 cells treated with the NGF-PSi carriers demonstrate a profound differentiation throughout the release period. Furthermore, DRG neuronal cells cultured with the NGF-PSi carriers show an extensive neurite initiation, similar to neurons treated with repetitive free NGF administrations. The studied tunable carriers demonstrate of long-term implants for NGF release with a therapeutic potential for neurodegenerative diseases.

## INTRODUCTION:

NGF is essential for the development and maintenance of neurons in the peripheral nervous system (PNS)<sup>1</sup> and plays a crucial role in the survival and function of basal forebrain cholinergic neurons in the central nervous system (CNS)<sup>2</sup>. Its high pharmacological potential for treating central neurodegenerative diseases, such as Alzheimer's and Parkinson's, has been widely demonstrated, with clinical trials currently in progress<sup>3-6</sup>. The greatest challenge in the delivery of NGF to the CNS resides in its inability to cross the blood brain barrier (BBB), when systemically administered<sup>7</sup>. Moreover, NGF susceptibility to rapid enzymatic degradation renders its short half-life and significantly limits its therapeutic use<sup>8,9</sup>. Therefore, there is an unmet challenge to design delivery systems which allow for a prolonged and controlled release of NGF in a safe manner. Various NGF delivery systems, including polymer-based systems, have been studied<sup>10-17</sup>. The release profiles of these systems were often characterized by a distinct initial burst followed by a slow continuous release, where in the latter stage the release rate was significantly low in comparison to the initial burst<sup>11,18,19</sup>. Furthermore, inactivation of the protein by the acidic degradation products of the polymers (*e.g.*, poly(lactic-co-glycolic) acid) or loss of NGF bioactivity during the encapsulation process were observed with this systems<sup>20</sup>.

Nanostructured PSi is characterized by several appealing properties, including its high surface area, large porous volume, biocompatibility, and tunable degradability in bodily fluids, predestining it for a promising drug delivery platform<sup>21-28</sup>. Proper selection of its anodization conditions allows to easily adjust the PSi structural properties (*e.g.*, porosity and pore size) for tailoring drug loading and release kinetics<sup>21,27</sup>. Moreover, various convenient chemical routes allow to modify the surface of the PSi and by that further tune the dissolution rate of the Si scaffold under physiological conditions and the release rates of the drug<sup>22,24,29,30</sup>.

This work focuses on designing a PSi-based delivery system for prolonged controlled release of NGF. The effect of the NGF-PSi carriers on neuronal differentiation and outgrowth is examined using PC12 cells and dissociated DRG neurons. We demonstrate that the loaded NGF has retained its bioactivity by inducing neurite outgrowth and profound differentiation throughout a 1-month release period within a single administration.

**PROTOCOL:**

**1. Fabrication of oxidized PSi (PSiO<sub>2</sub>) carriers**

1.1. Cut a Si wafer (single side polished on the <100> face and heavily Boron-doped, p-type, 0.95 mΩ·cm) into 1.5 × 1.5 cm samples using a diamond-tipped pen.

1.2. Oxidize the Si samples in a tube furnace at 400 °C for 2 h in ambient air (heating rate: 25 °C/min, natural cooling).

1.3. Immerse the Si samples in a solution of aqueous hydrofluoric acid (HF) (48%), ddH<sub>2</sub>O and ethanol (99.9%) (1:1:3 v/v) for 5 min; then rinse the samples with ethanol for three times and dry under a nitrogen stream.

Note: Prepare and store HF solution in plasticware only, as HF dissolves glass.

CAUTION: HF is a highly corrosive liquid, and it should be handled with extreme care. In case of exposure, rinse thoroughly with water and treat the affected area with HF antidote gel; seek for medical care immediately.

1.4. Mount the Si sample in a Teflon etching cell, using a strip of aluminum foil as a back-contact and a platinum coil as the counter electrode.

1.5. Etch a sacrificial layer in a 3:1 (v/v) solution of aqueous HF and ethanol (99.9%) for 30 s at a constant current density of 250 mA/cm<sup>2</sup>; then rinse the surface of the resulting PSi film with ethanol for three times and dry under a nitrogen stream.

1.6. Dissolve the freshly-etched porous layer in an aqueous NaOH solution (0.1 M) for 2 min; then rinse with ethanol for three times and dry under a nitrogen stream.

1.7. Immerse the sample in a solution of aqueous HF (48%), ddH<sub>2</sub>O and ethanol (99.9%) (1:1:3 v/v) for 2 min; then rinse with ethanol for three times and dry under a nitrogen stream.

1.8. Electrochemically etch the Si sample in a 3:1 (v/v) solution of aqueous HF and ethanol (99.9%) for 20 s at a constant current density of 250 mA/cm<sup>2</sup>; then rinse the surface of the resulting PSi film with ethanol for three times and dry under a nitrogen stream.

1.9. Thermally oxidize the freshly-etched PSi samples in a tube furnace at 800 °C for 1 h in ambient air (heating rate: 25 °C/min, natural cooling) to form a porous SiO<sub>2</sub> (PSiO<sub>2</sub>) scaffold.

1.10. Spin-coat the PSiO<sub>2</sub> samples with a positive thick photoresist at 4000 rpm for 1 min; then bake the coated samples at 90 °C for 2 min (heating rate: 5 °C/min, natural cooling).

1.11. Dice the PSiO<sub>2</sub> samples into 8 × 8 mm samples using a dicing saw.

1.12. To remove the photoresist, soak the diced samples in acetone for 3 h; then thoroughly rinse with ethanol and dry under a nitrogen stream.

## **2. Loading PSiO<sub>2</sub> with NGF**

2.1. To prepare the NGF loading solution, dissolve 20 µg of murine β-NGF in 400 µL of 1:1 (v/v) solution of 0.01 M phosphate-buffered saline (PBS) and ddH<sub>2</sub>O.

2.2. Add 52 µL of the loading solution on top of the PSiO<sub>2</sub> sample and incubate for 2 h at room temperature in a capped dish.

Note: Maintain high humidity in the dish to prevent drying up of the solution during incubation.

2.3. Collect the solution on top of the sample for subsequent quantification of NGF content within the PSiO<sub>2</sub> carrier.

Note: NGF loading into PSiO<sub>2</sub> should be performed immediately before the intended use, the protocol cannot be paused here due to risk of drying and denaturation of the protein.

## **3. Quantification of NGF loading using NGF ELISA kit**

3.1. To prepare the ELISA plate, add 100 µL of 0.5 µg/mL capture antibody (rabbit anti-hβ-NGF) to each well, seal the plate and incubate overnight at room temperature.

3.2. Aspirate the wells to remove liquid and wash the plate 4 times using 300 µL of wash buffer (0.05% Tween-20 in PBS) per well; after the last wash invert the plate to remove residual buffer and blot on a paper towel.

3.3. Add 300 µL of block buffer (1% bovine serum albumin (BSA) in PBS) to each well and incubate for at least 1 h at room temperature; then aspirate and wash the plate 4 times as described in step 3.2.

3.4. To prepare a calibration curve, dilute NGF loading solution (see step 2.1) in diluent (0.05% Tween-20, 0.1% BSA in PBS) to 1 ng/mL; then perform 2-fold serial dilutions from 1 ng/mL to zero to obtain the calibration curve samples.

3.5. To prepare the samples, dilute the NGF loading solution (from step 2.1) and the collected post-loading solution (from step 2.3) in diluent 1:100,000 and 1:10,000 respectively, to reach the concentrations range of the kit (16-1000 pg/mL).

3.6. Add 100 µL of the diluted samples and calibration curve samples to each well in triplicate and incubate at room temperature for 2 h; then aspirate and wash the plate 4 times as described in step 3.2.

3.7. Add 100 µL of 1 µg/mL detection antibody (biotinylated rabbit anti-hβ-NGF) to each well and incubate at room temperature for 2 h; then aspirate and wash the plate 4 times as described in step 3.2.

3.8. Dilute Avidin-Horseradish Peroxidase (HRP) 1:2000 in diluent, add 100 µL per well and incubate for 30 min at room temperature; then aspirate and wash the plate 4 times as described in step 3.2.

3.9. Add 100 µL of ABTS liquid substrate solution to each well and incubate 25 min at room temperature for color development; Measure absorbance at 405 nm with wavelength correction set at 650 nm using a microplate reader.

3.10. Determine NGF concentration in both the loading solution and the collected post-loading solution based on the calibration curve.

3.11. To calculate NGF mass loaded within PSiO<sub>2</sub> carrier, subtract NGF mass in the collected post-loading solution from the NGF mass in loading solution. NGF loading efficacy is calculated by the following equation:

$$\text{NGF loading efficacy [\%]} = \frac{\text{Weight of NGF in PSiO}_2 \text{ carrier}}{\text{Weight of NGF in loading solution}} \times 100$$

#### 4. *In vitro* NGF release from PSiO<sub>2</sub>

4.1. Incubate the NGF-loaded PSiO<sub>2</sub> carriers in 2 mL of 0.01 M PBS containing 1 % (w/v) BSA and 0.02 % (w/v) sodium azide at 37 °C and under orbital agitation of 100 rpm.

4.2. Every 2 days, collect the solution and replace it with 2 mL of fresh PBS. Freeze the collected release samples in liquid nitrogen and store at -20 °C for further analyses.

4.3. Use NGF ELISA kit to quantify NGF content in the release samples as described in steps 3.1-3.9.

Note: When preparing the release samples for ELISA quantification, different dilutions should be performed for different time points along the release period (*i.e.*, earlier time points should be diluted much more than later time points). Moreover, for each release sample, at least two different dilutions should be performed and quantified to ensure reaching the concentrations range of the ELISA kit.

4.4. Determine NGF concentration in the release samples based on the calibration curve and plot a graph of accumulative NGF release over time.

#### 5. Quantification of *in vitro* Si erosion by inductively coupled plasma atomic emission spectroscopy (ICP-AES)

5.1. Dilute 1 mL of release samples 1:10 in release buffer (0.01 M PBS containing 1 % (w/v) BSA and 0.02 % (w/v) sodium azide) for a total volume of 10 mL.

5.2. Monitor Si atomic emission peaks at 212.4, 251.6 and 288.2 nm for the diluted samples.

5.3. Determine Si concentration in the samples based on a calibration curve.

5.4. To establish the Si degradation profile, express Si content at each time point as a percentage of the total Si content of the carriers (*i.e.*, the cumulative Si content along the release period).

Note: To ensure accurate quantification of the total Si content of the carriers, release samples are sampled 1 month following the end-point of the release study and analyzed for Si concentration using ICP-AES. Summing the cumulative Si content along the release period and the Si content in the post-release samples provides the 100 % of Si content.

## 6. Cell viability and growth in the presence of NGF-loaded P<sub>SiO<sub>2</sub></sub> carriers

### 6.1. Rat pheochromocytoma (PC12) cell culture

6.1.1. Prepare basic growth medium by adding 10 % horse serum (HS), 5 % fetal bovine serum (FBS), 1 % L-glutamine, 1 % penicillin streptomycin and 0.2 % amphotericin to Roselle Park Medical Institute (RPMI) medium.

6.1.2. Prepare differentiation medium by adding 1 % HS, 1 % L-glutamine, 1 % penicillin streptomycin and 0.2 % amphotericin to RPMI medium.

6.1.3. Grow cell suspension (10<sup>6</sup> cells) in a 75-cm<sup>2</sup> culture flask with 10 mL of basic growth medium for 8 days; every 2 days add 10 mL of basic growth medium to the flask.

6.1.4. To generate differentiated PC12 cell culture, transfer the cell suspension to a centrifuge tube; centrifuge cells at 200 x g for 8 min at room temperature and discard the supernatant.

6.1.5. Suspend the cells in 5 mL of fresh basic growth medium and re-centrifuge the cells at 200 x g for 5 min at room temperature; discard the supernatant and resuspend the cell pellet in 3 mL of basic growth medium.

6.1.6. To separate cell clusters, aspirate the cells 10 times using a 23 G syringe.

6.1.7. Count the cells using a hemocytometer cell counter and seed 10<sup>4</sup> cells/1 cm<sup>2</sup> working area on collagen type I coated plates in the presence of differentiation medium.

6.1.8. After 24 h, add fresh murine  $\beta$ -NGF (50 ng/mL) or NGF-loaded P<sub>SiO<sub>2</sub></sub> carrier per plate.

Note: Higher NGF concentrations (> 50 ng/mL) possess the exact effect as the specified



concentration.

6.1.9. Renew the differentiation medium every 2 days.

6.1.10. To evaluate cell viability, add 10 % (v/v) of the viability indicator solution (resazurin-based) at representative time points and incubate for 5 h at 37 °C; measure absorbance at 490 nm using a spectrophotometer.

All methods described below have been approved by the Ethics Committee of Bar Ilan University.

## 6.2. Mice dorsal root ganglia (DRG) cell culture

6.2.1. To isolate DRGs from two 7-week-old C57bl mice, first douse the mice with 70 % ethanol and make an incision to remove the skin.

6.2.2. Cut the base of the skull and the abdominal wall muscles till the spinal cord is exposed.

6.2.3. Remove the spinal column by making a cut at the level of the femurs and clean the surrounding tissues.

6.2.4. Cut the column into three pieces; then cut each piece in the midline to generate two halves and peel out the spinal cord.

6.2.5. Under the binocular, extract all DRG ganglia and immerse them in a cold Hank's balanced salt solution (HBSS).

6.2.6. Clean the surrounding connective tissues by pinning the DRGs on a Sylguard petri dish and gently remove residual meninges under the binocular.

6.2.7. Dissociate the DRG cells by incubating them for 20 min in 1000 U of papain.

6.2.8. Centrifuge the cells for 4 min at 400 x g; discard the supernatant and keep the cell pellet.

6.2.9. Resuspend the pellet in a 10 mg/mL collagenase and 12 mg/mL dispase-II solution and incubate for 20 min at 37 °C.

6.2.10. Centrifuge the cells for 2 min at 400 x g; discard the supernatant and keep the cell pellet.

6.2.11. Triturate the cell pellet in 1 mL of HBSS, 10 mM glucose and 5 mM HEPES (pH 7.35) by repeated passage through a constricted Pasteur pipette.

6.2.12. Gently add the dissociated cells to L-15 medium containing 20 % of silica-based colloidal medium for cell separation by density gradient centrifugation; centrifuge for 8 min at 1000 x g. Aspirate the supernatant and keep the cell pellet.

Note: The aim of this step is to separate and purify the neuronal cells from axonal debris, Schwann cells and fibroblasts.

6.2.13. Resuspend the cell pellet in 2 mL of F-12 medium; centrifuge for 2 min at 1000 x g; discard the supernatant and resuspend the pellet in 1 mL of F-12 medium.

6.2.14. Count the cells and seed  $2 \times 10^4$  cells on poly-L-lysine and laminin coated glass bottom 35 mm culture dishes, in a F-12 antibiotic-free medium supplemented with 10 % FBS.

Note: Initially, seed the cells in a small volume of medium (150-200  $\mu$ L); following 2 h incubation at 37 °C, add medium for a final volume of 2 mL.

6.2.15. Gently add the NGF-loaded P $\text{SiO}_2$  carrier or fresh murine  $\beta$ -NGF (50 ng/mL) per plate.

6.2.16. After 2 days, fix the cell culture by incubating it with a 4 % paraformaldehyde (PFA) solution for 15 min at room temperature; immunostain the cell culture using a common immunofluorescence staining procedure<sup>31</sup>.

6.2.17. Image the neuronal cell culture using a confocal microscope.

## 7. Cell differentiation analysis

### 7.1. PC12 cells differentiation percentage in the presence of NGF-loaded P $\text{SiO}_2$ carriers

7.1.1. Incubate the NGF-loaded P $\text{SiO}_2$  carriers in 2 mL of differentiation medium, in a humidified incubator at 37 °C containing 5 % CO<sub>2</sub>.

7.1.2. Every 2 days, collect the solution and replace it with 2 mL of fresh medium. Freeze the collected release samples in liquid nitrogen and store at -20 °C for further analyses.

7.1.3. Seed PC12 cells in 12-well collagen-coated plates as instructed in section 4.1 (4.1.3-4.1.6).

7.1.4. After 24 h, introduce the release samples of representative time points (from section 5.1.2) to the different wells; for control wells, add fresh murine  $\beta$ -NGF (50 ng/mL).

7.1.5. After 24 h, image the cells using a light microscope.

7.1.6. To determine the percentage of neurite-bearing cells, manually count cells that had outgrown neurites out of the total number of cells in the images ( $n = 5$  frames for each well); plot a graph of percentage of PC12 cells with neurite outgrowth over time.

Note: Undifferentiated PC12 cells appeared to have rounded structure without neurites, while differentiated cells can be identified by outgrowth of neurites (at least one of minimum length

equal to the cell soma diameter) or morphological changes.

## **7.2. Morphometric analysis of differentiated PC12 cells**

7.2.1. For image processing analysis, acquire phase images of cultured cells up to 3 days after treatment with NGF (as free reagent or as the release product of the NGF-loaded PSiO<sub>2</sub> carriers).

Note: At later time points (beyond day 5) the cells develop highly complex networks, preventing morphometric measurements at a single cell resolution.

7.2.2. Download [the image processing program](#) NeuronJ, an ImageJ plug-in, which enables a semi-automatic neurite tracing and length measuring.

7.2.3. Convert the image file to an 8-bit format and measure pixel to micron ratio using the image scale bar.

7.2.4. Set the scale using the Analyze/Set Scale command and measure the length of each neurite.

7.2.5. Manually count the number of branching points and the number of neurites originating from each cell soma.

Note: An average neurite length 1 day after NGF treatment is approximately 30 micron. The measured neurite lengths may be widely distributed.

## **REPRESENTATIVE RESULTS:**

Oxidized PSi films are fabricated as described in the Protocol Text section. Si wafer is subjected to electrochemical etching for 20 s at 250 mA/cm<sup>2</sup> (Figure 1ai), followed by thermal oxidation at 800 °C (Figure 1a<sub>ii</sub>) to produce a PSiO<sub>2</sub> scaffold. High-resolution scanning electron microscopy (HR-SEM) images of the resulting PSiO<sub>2</sub> film are shown in Figure 1b,c. Top-view micrograph of the film (Figure 1b) depicts its highly porous nature with pores of approximately 40 nm in diameter. Cross-sectional micrograph of a cleaved film (Figure 1c) reveals a porous layer thickness of 2.9 μm, characterized by interconnecting cylindrical pores.

The PSiO<sub>2</sub> films are loaded with the NGF loading solution as illustrated in Figure 1a<sub>iii</sub>. NGF loading is quantified using NGF ELISA kit by measuring the NGF concentrations in the loading solution before and after incubation with the PSiO<sub>2</sub> films. The average mass of the loaded NGF per PSiO<sub>2</sub> film is determined as 2.8 ± 0.2 μg, which corresponds to a high loading efficacy of 90% (w/w) (data not shown<sup>31</sup>). In order to establish the NGF release profile from the PSiO<sub>2</sub> carriers, the loaded films are incubated in PBS at 37 °C and every 2 days aliquots are sampled for quantification of the released protein concentration using NGF ELISA kit. In addition, the Si content in the release samples is quantified using ICP-AES and the Si erosion kinetics of the PSiO<sub>2</sub> carriers is established. Figure 2 depicts the NGF release and the corresponding Si degradation profiles of the porous carriers. A sustained release of NGF, without burst effect, is attained for a period of 1

month. NGF release in the first week is faster (slope = 0.442) compared to a much slower release in later days along the release period (slope 0.043). It should be noted that throughout the entire 1-month release period, the amount of NGF released is sufficient for inducing profound differentiation of PC12 cells, as will be discussed later. The accumulative NGF released is found to be in a good correlation ( $R^2 = 0.971$ ) with the remaining Si content, as shown in the inset of Figure 2.

Next, the bioactivity of the NGF-loaded PSiO<sub>2</sub> carriers is characterized *in vitro*, PC12 cells and DRG neurons are used as models for neuronal differentiation and outgrowth. PC12 cells and dissociated DRG neurons are seeded as described in the Protocol Text section. First, cell viability in the presence of the PSiO<sub>2</sub> carriers is examined by incubating the cells with neat (not loaded) or NGF-loaded PSiO<sub>2</sub>; control plates and cells treated with neat PSiO<sub>2</sub> are supplemented with free NGF every 2 days. No cytotoxicity is observed upon exposure of the cells to the neat or NGF-loaded PSiO<sub>2</sub> carriers (Figure 3a), demonstrating that PSiO<sub>2</sub> is biocompatible with this cell line. Figure 3b shows representative HR-SEM micrographs of PC12 cells treated with the NGF-loaded PSiO<sub>2</sub> carriers. The observed cells extract neurites and form characteristic branched neuronal networks. Similar results are obtained when seeding dissociated DRG neuronal cells in the presence of the NGF-loaded PSiO<sub>2</sub> carriers. Confocal images of immunostained DRG cells cultured with the NGF-loaded PSiO<sub>2</sub> carriers (Figure 3e) show an extensive neurite initiation and elongation, similar to the positive control (*i.e.*, neurons supplemented with free NGF, see Figure 3d), while the negative control (*i.e.*, untreated neurons, see Figure 3c), exhibits a poor extent of neurite outgrowth.

To evaluate PC12 cells differentiation percentage in the presence of NGF released from the PSiO<sub>2</sub> carriers, differentiation is quantified by counting the cells with neurite outgrowth out of the total cell population. Figure 4 summarizes the results in terms of the percentage of differentiated cells at different time points over a 26-day period. Significant differentiation percentage values are attained throughout the studied duration. Notably, after 26 days, the released NGF has induced differentiation of above 50%, a differentiation percentage which is significantly higher compared to previous studies with polymer-based carriers<sup>16</sup>. These results indicate that NGF entrapment within the porous host preserved the biological activity of the protein for inducing profound differentiation for a period of ~1 month.

In order to further examine the effect of NGF-PSiO<sub>2</sub> carriers on neuronal differentiation, three characteristic morphological parameters of the differentiated PC12 cells are measured at the single cell level: (i) the number of neurites extracting from the soma, (ii) the total neurites' length and (iii) the number of branching points. The cells are treated with NGF-loaded PSiO<sub>2</sub> carriers or with repetitive administration of free NGF (every 2 days), as a control. Figure 5a-c presents the morphometric analysis of the cell population at days 1 and 3. The PC12 cells treated with the NGF-loaded PSiO<sub>2</sub> show morphometric values which are similar to the control treatment for all three tested parameters. After 3 days, the values of all morphological parameters are observed to increase at the same rate for both the NGF-loaded PSiO<sub>2</sub> carriers and the control treatment of repetitive administration of free NGF.

## FIGURE AND TABLE LEGENDS:

**Figure 1: Fabrication of PSiO<sub>2</sub> films.** (a) Fabrication scheme of the PSiO<sub>2</sub> carriers: (i) Silicon wafer is subjected to electrochemical etching for 20 s at 250 mA/cm<sup>2</sup>, followed by (ii) thermal oxidation at 800 °C to produce a PSiO<sub>2</sub> scaffold, and (iii) NGF loading by physical adsorption (schematics are not drawn to scale). (b-c) Top-view and cross-section electron micrographs of a characteristic PSiO<sub>2</sub> film.

**Figure 2: NGF release and Si erosion profiles of NGF-loaded PSiO<sub>2</sub> carriers.** The extent of degradation of the PSiO<sub>2</sub> carriers is presented as the fraction of the remaining Si content at each time point out of the total Si content of the porous film. **The inset shows the correlation between the accumulative NGF released and remaining Si content. Error bars represent SD, n=3.**

**Figure 3: Cell viability and growth in the presence of NGF-loaded PSiO<sub>2</sub> carriers.** (a) *In-vitro* cytotoxicity characterization. The PC12 cells viability is measured on 1, 3 and 7 days following their exposure to neat (not loaded) PSiO<sub>2</sub> carriers, NGF-loaded PSiO<sub>2</sub> carriers or free NGF (50 ng/mL every 2 days, control plates). (b) Electron micrographs of PC12 cells cultured with NGF-loaded PSiO<sub>2</sub> carriers for 9 days. **Left panel: a zoom-in, depicting neurite outgrowth from the cell soma; right panel: a zoom-out, indicating the highly complex neuronal network formed.** (c-e) Confocal microscopy images of immunostained DRG neuronal cell culture (2 days after seeding): (c) untreated cells; (d) cells supplemented with free NGF (50 ng/mL); (e) NGF-PSiO<sub>2</sub> carriers. Immunofluorescent staining of neurofilament H enables imaging of the cell soma and the outgrowing neurites. Scale bar = 50 µm.

**Figure 4: PC12 cells differentiation percentage values upon exposure to NGF released from NGF-loaded PSiO<sub>2</sub> carriers at representative time points.** Differentiation percentage is expressed as the number of cells with neurite outgrowth out of the total cell population. Control treatment refers to cells supplemented with free NGF (50 ng/mL). Representative light microscopy images of the cells at the different time points are depicted along the x-axis; scale bar = 25 µm for micrographs of days 2, 8, 14, 26 and 50 µm for control micrograph. **Error bars represent SD, n=3.**

**Figure 5: Morphometric analysis of differentiated PC12 cells.** Three morphological parameters of differentiated PC12 cells are measured, at the single cell level, at day 1 and 3 following exposure to NGF-loaded PSiO<sub>2</sub> carriers or repetitive administration of free NGF every 2 days (control); (a) total neurites' length, (b) number of neurites extracting from the cell soma and (c) number of branching points. **Error bars represent SD, n=3.**

## DISCUSSION:

Degradable nanostructured PSiO<sub>2</sub> films are fabricated and employed as carriers for NGF, allowing for its continuous and prolonged release, whilst retaining its biological activity. The potential of the PSiO<sub>2</sub> to serve as a delivery system for NGF is demonstrated *in vitro* by demonstrating their ability to release sufficient NGF dosage to induce neuronal differentiation and promote outgrowth of PC12 cells and DRG neurons. The engineered films can be used as long-term reservoirs of NGF for future treatment *in vivo*.

### Critical steps in the protocol:

The structural properties of the fabricated PSi films were tailored specifically for NGF payload; the current density of the electrochemical etching process was adjusted to obtain pore size of approximately 40 nm that would easily accommodate the NGF, a protein with a molecular weight of 26.5 kDa<sup>32</sup> and a characteristic diameter of ~4 nm<sup>33</sup>, within the porous matrix. Moreover, thermal oxidation of the porous scaffold was performed to enable physical adsorption of NGF by electrostatic attraction of the positively charged protein to the negatively charged oxidized PSi surface. The surface chemistry of PSi exerts a major effect on the loading efficacy and can be easily tuned in order to better control the interactions between the payload and the porous matrix. These interactions subsequently dictate the structure of adsorbed protein molecules and their bioactivity<sup>34-36</sup>. In conclusion, the system was adjusted to obtain optimal loading of NGF by carefully selecting the appropriate pore size, surface characteristics and the ideal loading solvent and the resulting effect of the mentioned parameters dictates the protein loading efficacy. Therefore, any change in the fabrication parameters (*e.g.*, current density, etching time, type and concentration of dopant or electrolyte), surface chemistry or loading solution composition can affect the loading efficacy and bioactivity of the loaded protein.

### Modifications and troubleshooting of the method:

The release rate of a payload from the PSi or PSiO<sub>2</sub> host is generally dictated by a combination of two **simultaneous** mechanisms, out-diffusion of the payload molecules and the degradation of the Si scaffold<sup>37</sup>. **The erosion and subsequent dissolution rate are affected by the implantation site, its pathology and disease state<sup>28,38,39</sup>. It was established in previous work that** if a different release rate is required for a certain therapeutic application, the release profile can be modified and prolonged by changing the surface chemistry of the PSi surface<sup>38,40,41</sup>. **Various chemical modifications, such as thermal oxidation, thermal carbonization and hydrosilylation techniques, have been shown to stabilize the PSi surface and affect its degradation and consequent payload release<sup>35,42-45</sup>.** Moreover, loading of NGF into the carriers by covalent attachment of the protein molecules to the Si scaffold via various surface chemistry routes should result in a more prolonged release because the payload is only released when the covalent bonds are broken or the supporting Si matrix is degraded<sup>21</sup>.

Furthermore, following its fabrication process, PSi can be rendered into various configurations besides thin films, such as microparticles<sup>46</sup>, nanoparticles<sup>47</sup> or free-standing membranes<sup>26</sup>, which can also be employed as carriers for NGF and meet specific application needs.

### Limitations of the method

In order to be clinically relevant, the NGF content within the PSiO<sub>2</sub> carriers should reach the range of therapeutic doses. In the method described in the Protocol Text section, the NGF-loaded PSiO<sub>2</sub> carriers are introduced into a consistent volume of 2 mL of cell media or PBS buffer and thus, the concentration of the loading solution and the respective NGF mass loaded were adjusted to yield a released NGF concentration that is relevant for the tested *in vitro* system. When utilizing this method for different systems, such as *ex vivo* or *in vivo* environments, the concentration of the NGF loading solution should be increased and adjusted according to the needed dose.

Alternatively, higher NGF content can be obtained by introducing multiple carriers per tested area or by using larger areas of PSiO<sub>2</sub> samples.

Moreover, it should be noted that in later time points along the release period, the released NGF concentrations are much lower than in earlier time points. The fact that the NGF flux is not constant over time must be taken into consideration when designing the system according to application needs.

#### **Significance with respect to existing methods**

Numerous NGF delivery systems have been developed and reported in the literature, most of them are polymer-based systems, comprising of synthetic or natural polymer conjugates<sup>10-12,15-17</sup>. These systems have shown effective sustained release profiles, however, the release period spanned over a period of several days with a significant burst effect. Some of these delivery platforms suffer from critical limitations such as loss of bioactivity upon the encapsulation process, requiring usage of different stabilizing agents<sup>18,48</sup>, as well as sophisticated and complex fabrication techniques<sup>16</sup>. One of the greatest challenges in designing delivery systems for proteins is the ability to preserve the bioactivity of the molecules upon entrapment within the carrier system. Proteins or peptides can be loaded into PSi/PSiO<sub>2</sub> at room temperature or even at lower temperatures without using strong organic solvents, which are both important factors when loading these sensitive biomolecules. Previous studies have demonstrated that PSi/PSiO<sub>2</sub> surface chemistry plays a crucial role in minimizing possible denaturation of the loaded proteins<sup>35,36</sup>. Therefore, PSi/PSiO<sub>2</sub> is an advantageous nanomaterial for developing delivery systems for growth factors in general and NGF in particular.

#### **Future applications**

Current work is focused on utilizing this method as a new therapeutic approach for direct administration of NGF into the CNS for potential treatment of neurodegenerative diseases. The NGF-loaded PSiO<sub>2</sub> carriers can be implanted in mice brains and the efficacy of the platform as long-term implants is studied *in vivo*. Furthermore, combining this promising carriers with non-invasive biolistics<sup>49,50</sup> may enables to administer the NGF-loaded PSiO<sub>2</sub> particles in a highly spatial resolution to a localized area using a novel pneumatic capillary gun for treating neurodegenerative disorders, where a spatiotemporal drug administration is required. Moreover, NGF can direct neuronal growth in a chemical gradient manner<sup>51</sup>, similar to axon guidance molecules. Thus, the loaded PSiO<sub>2</sub> carriers can serve as attractant hot spots to NGF, to direct growth, complementary to other directing cues<sup>52,53</sup>. In addition, the PSiO<sub>2</sub> carriers can be specifically tailored to sustain the delivery of NGF for a much extended time period of up to several months by further tuning the PSiO<sub>2</sub> nanostructure and its surface chemistry.

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#### **DISCLOSURES:**



The authors declare no competing financial interests.

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