**TITLE:**

Enactive Phenomenological Approach to the Trier Social Stress Test

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**SUMMARY:**

Here, we present an original mixed method combining a quantitative and a qualitative approach to examine accurately participants’ experiences during the Trier Social Stress Test and the Trier Social Stress Test for groups.

**ABSTRACT:**

The single Trier Social Stress Test (TSST) and the TSST for groups (TSST-G) are the most used protocols to experimentally induce psychosocial stress. These tests are based on uncontrollability and social-evaluative threat, inducing psychological and physiological consequences (*e.g.*, anxiety, emotional states, salivary cortisol increases). Many quantitative experimental studies have investigated these stress inducers and these consequences. But, as far as we know, this study is the first to provide a qualitative analysis to access the participants’ voices so as to understand the dynamics of their experience throughout the TSST and the TSST-G. This paper outlines a mixed methods approach to the TSST. This approach can help to maximize the information that can be gained from the TSST, which researchers often use without looking more closely at what is qualitatively happening psychologically for participants during the stressor itself. In this way, this protocol is an example of mixed methods, showing the added value of using the enactive phenomenological approach to analyze experimental protocols more deeply. This kind of mixed methods is helpful to access the experience, to understand the actor’s point of view, and to analyze in-depth the dynamics of cognitive processes like intentions, perceptions, enacted knowledge, and emotion. The discussion section shows the different uses of a mixed methods protocol, exploiting the enactive phenomenological approach to analyze a protocol or to give a cross vision of the same research subject. This section deals with different existing applications, pointing out some critical steps in this mixed methods approach.

# INTRODUCTION:

Mixed methods give various advantages when trying to understand a phenomenon1-5. Greene *et al.*6 define them as protocols that include a quantitative method to analyze numbers and a qualitative method to analyze words. This approach aims to move beyond paradigm wars or conflicts between qualitative and quantitative research. The goal is to increase the strengths of studies and diminish the weaknesses of single studies3; thus, it functions as a third research paradigm. Combining different kinds of analyses on the same object makes it possible to have different points of view. This paper presents a particular form of mixed methods, combining an experimental protocol with experiential data using the single TSST7 and the TSST-G8. The TSST is the most used protocol to experimentally perform psychosocial stress investigations9,10. These tests are based on uncontrollability and social-evaluative threat, linked with physiological and psychological consequences. Different empirical, theoretical, or meta-analysis studies11 have shown that the TSST and the TSST-G elicit psychological effects and activate the HPA axis, leading, for example, to negative emotional states, self-reported anxiety, and cortisol rise. Many experimental studies investigated these markers of stress, their relations, and their influences12. However, only one qualitative approach has been conducted13 to understand the participants’ lived experiences during these tests.

The present protocol develops the original mixed methods approach mainly used in an earlier publication13. The originality of this mixed methods research lies in the qualitative approach based on an enactive phenomenological framework13-16 to examine accurately participants’ experiences when confronted with the TSST and the TSST-G. Qualitative investigations can be heuristic because they make it possible to characterize and analyze what is happening psychologically for participants in a particular situation17. Last, the enactive phenomenological approach allows researchers to understand the participants’ points of view and, thus, to analyze stress and emotion18-20. Following this approach, participants can describe, comment on, and show their lived experience step-by-step. This enactive phenomenological framework has two main assumptions16,21. First, action and situation are coupled22,23 (*i.e.*, the dynamics of the action emerge within a specific context). This assumption provides access to the dynamics of the participant’s experience confronted with the TSST and the TSST-G and to identify particular components of the context for the actor. Secondly, every action carries significations for the actor23,24. This approach provides access to the actor’s signification construction of the experience during the action, thanks to a rigorous method originating from phenomenological interviews and named enactive interviews16,25. The actor’s experience can be characterized in its emotional, perceptional, and situational dimensions26. Different kinds of mixed methods are based on this enactive phenomenological approach, which has already produced heuristic results in different areas, namely sport14,15,18,27, doping28, work29, and education30-32.

The mixed methods presented here aim to give confirmation and comprehension to findings in the TSST literature: confirmation through a comparison of the effect of the TSST and the TSST-G on physiological indicators (like cortisol), on self-reported indicators (like anxiety and emotional states), and on experiential indicators (like participants’ voices) and comprehension through the qualitative method accessing participants’ dynamics of action as they emerge in these stressful protocols. This is an original way to access in-depth the dynamics of psychosocial stress and to understand the participants’ voices about the stressful moment of the TSST and the TSST-G. This protocol is, thus, an example of mixed methodology, showing the added value of using the enactive phenomenological approach to analyze the experimental protocol more deeply.

# PROTOCOL:

The Institutional Review Board of the University approved this research.

NOTE: An example of mixed methods using the enactive phenomenological protocol.

## Exclusion Criteria

### Use a questionnaire before the experiment in order to exclude some participants, as necessary, on the following criteria: smoking (over five cigarettes per day), drinking (over two glasses of alcohol per day), taking medication, using drugs, having an endocrine problem, experiencing chronic stress (*e.g.*,as determined by the French version of the Perceived Stress Scale [PSS])33, and experiencing psychological distress (*e.g.*, as determined by the French version of the General Health Questionnaire [GHQ-12])34.

### **Preliminary Instructions**

NOTE: The following preliminary instructions need to be given 1 day before the experimentation.

### Tell the participants not to take any medication, perform physical exercises, or consume alcohol the day before the test.

### Tell the participants to wake up at least 3 h before the test.

### Tell the participants to not drink or eat anything, smoke, or brush their teeth 1 h before the test.

### **Pretest Measurements**

NOTE: The protocol presented in this section was used in the earlier publication mentioned in the introduction13. Prestress measurements can be done after an inactive wait of 45 min10; also, the first point of measure can be done 20 min before the TSST35.

### **First saliva collection**

#### NOTE: Salivary cortisol is commonly used as a physiological marker of stress with the TSST9,36.

#### Use the passive drool method: ask the participant to tilt their head forward to allow saliva to pool in their mouth, and instruct them to guide it into a small polypropylene tube.

#### Freeze the tube immediately at -20 °C.

### **First questionnaire collection**

#### Measure the participant’s anxiety. Ask them to fill out the State-Trait Anxiety Inventory (STAI)37 questionnaire.

NOTE: STAI is an example of a questionnaire that can be used to measure anxiety. It is a Likert scale with a range of four options (1 = not at all, 4 = very much so); using this range, participants were asked to respond to 12 items (*e.g.*, “I feel tense”). A composite variable was constructed with the scores of all items.

#### Measure the participant’s emotional state. Ask them to fill out the Self-Assessment Manikin (SAM)38 questionnaire.

NOTE: SAM is a Likert scale that uses a nonverbal pictorial technique with nine figures to evaluate pleasure, arousal, and dominance. Participants have to circle a figure for each item.

#### Then, bring the participant into the TSST room.

### **Performance of the TSST or the TSST-G**

NOTE: Different aspects of the TSST have already been described in other JoVE articles10,12,39.

### **Protocol context**

#### Position the participant in the protocol room on a red cross drawn on the floor.

#### Question the participants one by one for the TSST.

#### Use a group of participants (*e.g.*,six participants separated by little walls to limit social and visual interaction13) for the TSST-G.

#### Ensure that the experimenters (two or three) are neutral and look like scientists with white lab coats.

#### Explain that the committee members are specialists in nonverbal behavior analysis and all actions are filmed for future analysis.

### **Mock job interview**

#### Ask the participant to imagine a job of their choice.

#### Give the participant 3 min of preparation time (10 min for the TSST-G).

#### Specify that preparation notes cannot be used during the interview.

#### Give the participant 5 min of presentation time (2 min for the TSST-G, performed in random order) in front of the committee to explain why they are the best candidate for this job.

#### Tell the participant to continue when they stop talking by saying “You still have time remaining.”

#### Remain silent for 20 s when that participant stops talking for the second time.

#### Ask standardized questions if the participant does not resume speaking (*e.g.*, “Please list your weaknesses.”).

### **Mental arithmetic task**

#### Ask the participant to count aloud backward from 2023 in steps of 17. For the TSST-G, the numbers are different for each participant.

#### Explain the participant they must calculate as quickly and correctly as possible.

#### Point out each mistake and tell the participant to start all over again.

#### Make sure the task lasts 5 min (80 s for the TSST-G, performed in random order).

### **Posttest Measurements**

NOTE: Then, collect a second saliva sample and let the participant complete questionnaires in another room (same process as the first collections, described in section 3 of this protocol).

### **Second saliva collection**

NOTE: The measurement of cortisol levels is repeated (6 to 9 times) to analyze the cortisol response.

#### Following the passive drool method, collect saliva from the participant into a small polypropylene tube, as described previously (step 3.1.1).

#### Freeze the tube immediately at -20 °C.

### **Second questionnaire collection**

#### Measure the anxiety. Ask the participant to fill out the STAI37 questionnaire.

NOTE: STAI is a Likert scale with a range of four items (1 = not at all, 4 = very much so); using this range, participants responded to 12 items (*e.g.*, “I feel tense”). A composite variable was constructed with the scores of all items.

#### Measure emotional states. Ask the participant to fill out the SAM38 questionnaire.

NOTE: SAM is a Likert scale that uses a nonverbal pictorial technique with nine figures to evaluate pleasure, arousal, and dominance. Participants have to circle a figure for each item.

### **Qualitative Investigations**

### Use a wide-angle camera to record the participant’s behavior and their interaction during the TSST or the TSST-G.

### Conduct enactive interviews to access the participant’s experience.

#### Perform the interview as soon as possible after the performance of the TSST or the TSST-G to ensure the participant still remembers (preferably within 48 h).

#### Explain that the participant must relive the past activities, helped by their own audio-visual record of the TSST or the TSST-G. Avoid stating the precise aim of the experiment to the participant.

#### Select an experienced rater who is used to conducting enactive interviews.

#### Confront the participant with their own recording to help them relive the experience as close as possible.

#### Ask directive questions to help the re-emergence of the past experience. For instance, point at an image from the video and ask: “What are you doing here?”

#### Help the participant to remember with questions focused on the video to allow them to describe, comment on, and show their lived experience step by step: “What is happening here on the video?”

#### Investigate the experience’s components as intentions and perceptions by asking: “What were your intentions here?”, “What did you perceive at this precise moment?”

#### Stop the participant if they use global discourse, *a posteriori* analysis, or generalization, or when they digress from the precise moment that is being discussed, as suggested for phenomenological research40.

#### Ask follow-up questions based on the participant’s response. For example, when the participant says: “I am out”, ask “What does ‘I am out’ mean?” and, then, continue to investigate what happens from the participant’s point of view.

#### Respect the time sequence of the video and avoid changing the time-flow to access the dynamics of the experience step by step.

#### Allow the participant to pause the video when they want to describe their own experience.

### **Data Analysis**

### Perform a saliva analysis.

#### Determine the participant’s cortisol level by the enzyme-linked immunosorbent assay (ELISA) technique using assay kits (see **Table of Materials**).

#### Measure the concentration related to the absorbance at 450 nm with a 96-well microplate spectrophotometer (see **Table of Materials**).

#### Calculate the intra-assay precision with the average value of individual variability for all of the duplicates within each microplate.

#### Obtain concentrations of unknown samples (nmol/L) with a four-parameter nonlinear regression curve fit, following the ELISA kit protocol recommendations, based on cortisol standards (82.77, 27.59, 9.19, 3.06, 1.02, 0.33 nmol/L).

### Perform a statistical analysis using repeated measures ANOVAs with a level of significance at *p* < 0.05.

### Calculate the effect sizes with a partial eta squared.

NOTE: Eta squared is a statistical measure of effect size for use in ANOVA.

### Perform a phenomenological analysis.

#### Transcribe all the enactive interviews with the maximum of detail, such as verbal clutter, demonstration, hesitation, *etc.*

#### Construct a two-part table that outlines the time flow, transcription, and a report of the behaviors and discussion helped by the recordings (**Table 1**).

#### Identify components of the experience, beginning with the elementary units of meaning corresponding to the smallest units of activity meaningful to a participant.

#### Identify perception, analyzing the meaningful sensation, sentiment, and informational aspects (visual, acoustic, or kinesthetic) in the situation.

#### Identify intention, analyzing the participant’s concern in the situation.

#### Categorize sequences by grouping units of meaning related to the same story.

#### For each participant, identify their typical experience descriptively, statistically, generatively, and significantly21 (*e.g.*, typical action, typical intention, and typical perception).

#### Identify the typical experiences of all the participants, finding similarities between each typical experience.

#### Construct tables of typical experience for all the participants, using the following values: timeline, typical sequences, typical perceptions, typical actions, and typical intentions.

#### Normalize the formulation of each category using a group of words to express the typical sequence, an “ing” for the typical action, and an infinitive verb for the typical intention.

#### Compare the TSST and the TSST-G using the two tables of typical lived experience.

#### Present the common aspects of experience during both protocols.

#### Present the singular aspects of experience during each protocol.

### Perform a case study analysis to understand the local processes, for example, the four lowest and highest cortisol responders.

#### Identify the components of the experience (see the preceding protocol).

#### Rebuild the meaningful experience for each participant following the TSST or the TSST-G steps.

### Compare the mixed methods.

#### Compare the quantitative and qualitative results conducting analyses and interpretation separately (cortisol, state anxiety, emotional states, experience data).

#### Verify whether the quantitative analysis’ results of the stress dynamics are similar to those of the qualitative analysis.

#### Analyze whether what happened in the participants’ experiences can help in understanding quantitative stress variation.

# REPRESENTATIVE RESULTS:

The results shown here come from a review of literature (for the cortisol)8 and two studies on stress done in our lab (for the state anxiety, the affective reaction, and the qualitative approach)13,41. The results presented here are representative of what can be found, using the protocol, about (1) salivary cortisol levels, (2) state anxiety, (3) pleasure, arousal, and dominance, and (4) meaningful experience.

First, the results below are an example of a quantitative analysis of stress with salivary cortisol as physiological marker8. They show the dynamics of the salivary cortisol rises for a group confronted with the TSST and a control group (**Figure 1**).

Second, the results below are an example of a quantitative analysis of stress consequences, with the STAI scale as an indicator of stress anxiety, a psychological marker of stress41. They show the dynamics of state anxiety during the TSST and the placebo-TSST with four points of measure (baseline, t-2 min before the TSST, t+2 min after the TSST, and t+20 min after the TSST) (**Figure 2**). The Placebo-TSST is a protocol similar to the TSST without causing stress for the participants42.

Third, the results below are an example of a quantitative analysis of stress with the SAM38 scale as indicator of ‘affective reaction’, a psychological marker of stress41. They show the dynamics of pleasure, arousal, and dominance during the TSST and the placebo-TSST (**Figure 3**).

Four, the results below are an example of a qualitative approach to lived stress based on an enactive phenomenological framework13. Also, the typical experience of participants can be described during the TSST (**Table 2**) and the TSST-G (**Table 3**).

This particular mixed methods approach has different functions here: confirmation, comprehension, and development. The results show a confirmation of the increase of stress measured with physiological indicators (like cortisol), with self-reported indicators (like anxiety and emotional states), and with experiential indicators (like the participants’ voices).

Mixed methods allow the comprehension of stress manifestations during the TSST and the TSST-G, accessing the participants’ dynamics of action. For example, the quantitative results show a similar level of stress between the TSST and the TSST-G participants. The qualitative results show common lived experiences, such as each participant beginning with an exploratory investigation, in which they state they are surprised by the uncommon environment. Common sequences appear in the mock job interview (Recitation, Expanding on ideas, Time pressure, Loss of control, Paradoxical liberation) and in the mental arithmetic task (Calculation disturbed, Negative Spiral, Seeking solutions, Abandonment-resignation, Paradoxical liberation), giving details about the perception, action, and intention of the participants for each phase. The level of comprehension allowed by mixed methods goes deeper with the different singular typical experiences. The quantitative methods do not show differences between the TSST and the TSST-G, but the qualitative methods show some singular experiences between these stressful protocols. For example, stress fluctuation, temporality, and the presence of peers are not experienced in the same way in the TSST and the TSST-G. This “comprehensive picture”3 can be taken further with case studies of high and low cortisol responders43.

Finally, the mixed methods approach presented here has a development function for the research, in that the findings provide by one method can be used to modify the other6. For example, qualitative methods show some important moments in the dynamics of the participant’s actions. It could be interesting to measure the level of stress with quantitative methods in these specific moments.

**FIGURE AND TABLE LEGENDS:**

**Figure 1:** **Cortisol reactivity to the TSST-G.** Mean salivary cortisol levels before, during (shaded area), and after a standardized psychosocial stressor in a group format (Trier Social Stress Test for Groups [TSST-G]) and a control condition. The error bars are the SEM8. This figure has been modified from Von Dawans *et al.*8.

**Figure 2:** **Anxiety reaction to the TSST.** The state of anxiety of the participants exposed to the two treatments (TSST and placebo-TSST)measured with the STAI41. This figure has been modified from Mascret *et al.*41.

**Figure 3:** **Affective reaction to the TSST.** Pleasure, arousal, and dominance of the participants exposed to the two treatments (TSST and placebo-TSST) measured with the SAM41. This figure has been modified from Mascret *et al.*41.

**Table 1: Example of the two-part table.**

**Table 2:** **Typical experience of the TSST participants.** This table has been modified from Vors *et al.*13.

**Table 3:** **Typical experience of the TSST-G participants.** This table has been modified from Vors *et al.*13.

# DISCUSSION:

In this article, we present an original mixed methods approach combining an experimental protocol with the enactive phenomenological approach. This kind of mixed methods is helpful to access experience, to understand participants’ points of view, and to analyze in depth the dynamics of cognitive processes like intentions, perceptions, enacted knowledge, and emotions.

Mixed methods can be used in different ways. Green *et al.*6 present five functions: triangulation, complementarity, development, initiation, and expansion. A protocol exploiting the enactive phenomenological approach can be heuristic in these functions. Triangulation and complementarity are close to the purposes of confirmation and comprehension already presented in this paper. Triangulation seeks the convergence of the results from the various methods to increase the validity of the investigation. For example, the results presented here show a confirmation of the increase of stress measured with physiological indicators, with self-reported indicators and with experiential indicators. Complementarity seeks an enhancement of the results and the clarification from one method to the other, to increase the relevance of the investigation. For example, qualitative results give illustrations of and clarification about quantitative methods between two points of measure, showing the dynamics of the participants’ experience in different sequences, and their typical perception, action, and intention during experiential sequences. As has been seen above, development is another function of mixed methods, using the findings of one method to modify a part of the other as sampling, implementation, and/or measurement decision, to obtain a stronger method. For example, in the presented results, the qualitative methods give a new development of quantitative ones, inviting the multiplication of quantitative points of measure at several moments experienced as important by the participants.

Then, initiation is used to find new perspectives on or contradictions between the two methods to increase the range of results. For example, experiential and biomechanical approaches are combined to analyze a technical device for elite training in swimming44,45. The results show a difference between quantitative and qualitative findings. Participants do not perceive the same things as the biomechanical results. These findings open new perspectives to adapt the technical device according to the swimming speed. For example, in the beginning, it is recommended to use a “medium” speed so that the participants can more easily adjust the device. Our lab is also developing this kind of mixed methods with virtual reality, to understand the point of view of the participant in this specific virtual context and to improve protocols. In this way, mixed methods using an enactive phenomenological approach could be heuristic to analyze a protocol or a device. The protocol can be improved by the participants’ experience and its dynamics. Case studies help to understand what happens with particular participants. Thus, this kind of mixed methods is useful for adjusting a protocol as the TSST-G; taking time to select the next participant during the task increases the feeling of uncontrollability13.

Finally, expansion is another function of mixed methods. Expansion is used to select the most appropriate method from various inquiry components to extend the scope of the results. For example, the enactive phenomenological approach gives an expansion to motor control analysis to investigate perception and action during ice climbing46. The quantitative results show a wider range of limb coordination patterns for experts than for beginner climbers. The qualitative results increase the scope of inquiry, showing that these different coordination patterns are linked to the perception of holes in the icefall.

However, these kinds of mixed methods have some limitations and require vigilance. The power of enactive interview is limited to a specific level of consciousness. This interview allows researchers to access the prereflexive level of consciousness47. This level is on the “fringe of consciousness”48 (*i.e.*, at the interface of conscious and unconscious processes). So, different data like reflex actions or unconscious behaviors cannot be studied. Moreover, this enactive interview is a critical step in the protocol, due to the difficulty of conducting. The rater must have extensive experience to help the re-emergence of past activity through questions about the video and avoid global discourse and *a posteriori* analysis40 (*cf.* section 6 of the protocol). Lastly, this specific interview and its analysis are time-consuming and limit the number of participants in the mixed methods; studies with the enactive phenomenological framework usually have an number of participants between 4 and 1314,15,18-20,27,49-51. This particularity of in-depth qualitative research with case studies raises the question of generalization of the results. Thus, it is all the more interesting to cross this qualitative approach with a quantitative approach.

Finally, mixed methods inquiries pose problems on data articulation and on congruence between frameworks. The mix of methods can take different forms. It is not easy to compare quantitative and qualitative methods, to mix word and number. Some studies try to quantify qualitative data to help the comparison3,6. There are three kinds of mixed method analysis6. First, most mixed methods research uses analyses and interpretation conducted separately, as in the protocol presented here. Secondly, studies present separate analyses with some integration occurring during interpretation. Thirdly, a minority of studies integrate analyses and interpretation (9%)6. The congruence between quantitative and qualitative frameworks is a huge debate between the purists, the situationalists, and the pragmatists. The pragmatists consider mixed method as a new way to understand the world, as a third paradigm which helps to bridge the separation between qualitative and quantitative methods52. Different relations exist between frameworks. On one side, there are researchers who study different noncongruent paradigms to give two different points of view on the same phenomenon. For example, in a study about the role of the referee in physical education32, researchers use two separate frameworks drawn from motivation and enactive phenomenology. On the other hand, other studies keep a “paradigm emphasis”53,54 between frameworks. For example, in rowing performance, the enaction paradigm is used to connect enactive phenomenological with biomechanical approaches27. The phenomenological data serves to identify categories of oar strokes according to the rowers’ point of view. The biomechanical data make it possible to determine mechanical signatures that are correlated with each phenomenological category. This kind of mixed method is close to a combined approach. Moreover, Johnson and Onwuegbuzie3 define mixed methods as a mix or a combination of approaches, concepts, techniques, methods, or language from quantitative and qualitative research into a specific inquiry. On this basis, combined approaches using qualitative and quantitative methods are a kind of mixed method. For example, a recent article summarizes the complex combinations of data using heterogeneous data with a common paradigm55. That study investigated two ways of collecting and analyzing interactions between trail runners and their equipment from online forums and from test protocol. Quantitative (*e.g.*,indicators of the forum messages) data were combined with qualitative data (*e.g.*,discussion contents, or enactive interviews) within the same enactive perspective. The results of that study suggest a method that designers can appropriate to analyze experiential data, which can then be integrated into the conception process.

This paper provides the foundation for future research to utilize mixed methods with a qualitative approach, to improve a protocol, evaluate a device, or give a cross vision of the same research subject.

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# DISCLOSURES:

The authors have nothing to disclose.

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