Journal of Visualized Experiments

Exfoliation and Analysis of Large-area, Air-Sensitive Two-Dimensional Materials --Manuscript Draft--

Article Type:	Invited Methods Article - Author Produced Video		
Manuscript Number:	JoVE58693R1		
Full Title:	Exfoliation and Analysis of Large-area, Air-Sensitive Two-Dimensional Materials		
Keywords:	two-dimensional materials; black phosphorus; chromium triiodide; oxidation; hydration; exfoliation; air sensitivity		
Corresponding Author:	Hugh Churchill		
	UNITED STATES		
Corresponding Author's Institution:			
Corresponding Author E-Mail:	hchurch@uark.edu		
Order of Authors:	Josh P. Thompson		
	M. Hasan Doha		
	Peter Murphy		
	Jin Hu		
	Hugh O. H. Churchill		
Additional Information:			
Question	Response		
Please indicate whether this article will be Standard Access or Open Access.	Open Access (US\$3000)		

1 TITLE:

Exfoliation and Analysis of Large-area, Air-Sensitive Two-Dimensional Materials

2 3 4

AUTHORS AND AFFILIATIONS:

5 Josh P. Thompson¹, M. Hasan Doha¹, Peter Murphy^{1,2}, Jin Hu^{1,3}, Hugh O. H. Churchill^{1,3}

6 7

- ¹Department of Physics, University of Arkansas, Fayetteville, AR, USA
- 8 ²Department of Physics, SUNY Geneseo, Geneseo, NY, USA
- 9 ³Institute for Nanoscale Science and Engineering, University of Arkansas, Fayetteville, AR, USA

10

- 11 Corresponding Author:
- 12 Hugh O. H. Churchill
- 13 <u>hchurch@uark.edu</u>

14

- 15 Email Addresses of Co-authors:
- 16 Josh P. Thompson (jpt003@uark.edu)
- 17 M. Hasan Doha (<u>mdoha@uark.edu</u>)
- 18 Peter Murphy (pjm12@geneseo.edu)
- 19 Jin Hu (jinhu@uark.edu)

20

21 **KEYWORDS**:

22 Two-dimensional materials, black phosphorus, chromium triiodide, oxidation, hydration, air

23 sensitivity

2425

26

SUMMARY:

A method for exfoliating large thin flakes of air sensitive two-dimensional materials and safely

transporting them for analysis outside of a glovebox is presented.

272829

30

31

32

33

34

35

36

37

38

39

40

41

ABSTRACT:

We describe methods for producing and analyzing large, thin flakes of air-sensitive two-dimensional materials. Thin flakes of layered or van der Waals crystals are produced using mechanical exfoliation, in which layers are peeled off a bulk crystal using adhesive tape. This method produces high-quality flakes, but they are often small and can be hard to find, particularly for materials with relatively high cleavage energies such as black phosphorus. By heating the substrate and the tape, two-dimensional material adhesion to the substrate is promoted, and the flake yield can be increased by up to a factor of ten. After exfoliation, it is necessary to image or otherwise analyze these flakes but some two-dimensional materials are sensitive to oxygen or water and will degrade when exposed air. We have designed and tested a hermetic transfer cell to temporarily maintain the inert environment of a glovebox so that air-sensitive flakes can be imaged and analyzed with minimal degradation. The compact design of the transfer cell is such that optical analysis of sensitive materials can be performed outside of a glovebox without specialized equipment or modifications to existing equipment.

42 43 44

INTRODUCTION:

Various layered materials that can be exfoliated down to a single atomic layer have generated interest across a broad range of fields. However, investigation and application of many of these materials is complicated by the fact that they are unstable in air and quickly oxidize or hydrate when exposed. For example; black phosphorus is a semiconductor with a tunable direct band gap, high mobility and anisotropic optical and electrical properties¹⁻⁵ but is unstable in air and will deteriorate in less than an hour^{6,7} due to interactions with oxygen⁸. Crl₃ has been recently shown to exhibit two-dimensional ferromagnetism⁹⁻¹¹ but, when exposed to air, it degrades almost instantly¹¹.

Devices made from these materials can be protected from air by working in a glovebox and encapsulating them in a chemically inert material such as hexagonal boron nitride^{12,13}. However, when developing these devices, it is often necessary to identify and analyze the flakes before encapsulation. This analysis requires either removing the sample from the inert environment of the glovebox or putting the analysis equipment in the glovebox. Removing the sample, even for a short time, risks damage via oxidation or hydration, while placing the necessary equipment inside a glovebox can be costly and cumbersome. To remedy this, we designed a hermetic transfer cell that safely encloses a sample, keeping it in an inert environment, so that it can be removed from the glovebox. While in the transfer cell, a sample sits 0.3 mm below a glass window to allow easy identification of flakes under a microscope as well as the use of optical analysis techniques such as photoluminescence or Raman spectroscopy.

Some two-dimensional materials, in addition to being air sensitive, are also difficult to exfoliate into thin flakes with the typical mechanical exfoliation method because a relatively high cleavage energy, relatively weak in-plane bonds, or both. Other methods, such as CVD growth 14,15 , liquid exfoliation 16 , or gold mediated exfoliation 17,18 have been developed for producing thin layers but may result in less than pristine flakes and only work for certain materials. Although exfoliation of graphene at elevated temperatures has been known to produce large flakes for at least a decade 19 , this technique has been quantitatively characterized recently for both graphene and $\rm Bi_2Sr_2CaCu_2O_x$ flakes 20 . Here, we demonstrate that hot exfoliation improves exfoliation yield also for black phosphorus, a material that is notoriously difficult to exfoliate. This technique, together with a hermetic transfer cell, facilitates the exfoliation and analysis of air sensitive, two-dimensional materials.

PROTOCOL:

1. Hot exfoliation of 2-D materials

NOTE: This procedure is done inside a glovebox.

1.1. Tape Preparation

1.1.1. Cut a length of tape (see **Table of Materials**) that is $\approx 5-10$ cm long and ≈ 2 cm wide. Place it, sticky side up, on the working area. Fold the ends of the tape for easier handling.

 1.1.2. Using tweezers, deposit the desired material approximately one-quarter of the way down the length of the tape by repeatedly pressing the material into the tape.

91 92

93

94

1.1.3. Further distribute the material by folding the tape in half, sticking it to itself, and pulling it apart so that the material covers an area of at least 1 cm². Depending on the material, repeat this multiple times: 1-2 times for black phosphorus, or several times for graphite or hexagonal boron nitride.

95 96

1.2. Sample preparation

97 98

1.2.1. Using the desired method, such as a carbide-tipped scribe, cleave an oxidized silicon wafer
 or other desired substrate into chips suitable for the experiment, ≤1 cm wide. Clean the chips by
 sonicating for 2 min in acetone, followed by isopropanol (IPA), at relatively low power (we used
 12 W). Blow the chips dry with N₂.

103

1.2.2. Using the prepared tape, firmly press the deposited material onto the substrate. Apply firm
 pressure with a thumb or gently press with tweezers so the material contacts the chip as much
 as possible

107

108 1.2.3. Place the tape with substrate (substrate side down) on a hotplate at 120 °C for 2 min.

109

1.2.4. Allow the substrate to cool to RT and carefully remove it from the tape. Soak in acetone
 for 20 min to remove tape residue. Rinse with IPA for 30 s and dry the substrate with nitrogen.
 Depending on the material, further options for cleaning may be available, such as a forming gas

113 anneal.

114

2. Hermetic transfer cell construction, operation, and maintenance

116

2.1. Construction

118

2.1.1. Construct the cell (**Figure 2**) out of the desired material (we used aluminum). It is 30 mm in diameter and 17.6 mm tall when closed. Fabrication drawings are available at http://churchill-lab.com/useful-things.

122

2.1.2. Make the base 16.2 mm tall with a raised sample platform that is threaded with ¾ - 10 threads with a vent cut into the threads. Where the cap meets the base, make an inset for an O-ring (see **Table of Materials**).

126

127 2.1.3. Make the cap 8.6 mm tall with matching female threads through the center.

128

2.1.4. Recess the cap by 0.2 mm to accommodate a 24 mm diameter x 0.1 mm thick coverglass window (here, borosilicate glass).

131

2.1.5 Apply a small amount of vacuum grease to the all sides of the O-ring and drop it into the

133 base inset.

134

2.1.6. Before affixing the window to the cap of the cell, clean the cap in acetone and IPA to remove any oil or debris left by the machining process.

137

2.1.7. Attach the window to the cell cap using epoxy. Thoroughly mix the epoxy according to the manufacturer's specifications. Here, parts A and B are combined in a 1:1.8 ratio by weight.

140

2.1.8. Apply a small amount of epoxy to the recessed area on the cap and spread it around as evenly as possible.

143

2.1.9. Set a 0.1 mm thick, 24 mm diameter coverglass (borosilicate glass in this case) into the recess and gently press it into the epoxy. Ensure the window is level with the top of the cap and there that there are no bubbles in the epoxy.

147

2.1.10. Wipe up any extra epoxy so that nothing protrudes from the surface of the cap. Allow the
 epoxy to cure for the manufacturer prescribed time at room temperature.

150151

2.2. Operation

152

153 NOTE: This procedure is done inside a glovebox.

154

2.2.1. Using the desired method, affix a prepared sample to the cell base (double sided tape, glue,
 etc.). The cell is designed to accommodate samples up to 1 cm wide and 0.7 mm thick, including
 the adhesive.

158

2.2.2. Firmly screw the cap onto the base. This makes a seal between the cap and base by
 compressing the O-ring. Make sure that the pressure inside the transfer cell does not exceed 3
 mbar above the ambient pressure.

162

2.2.3. Check that the sample sits just below the window. The sample can now be safely removedfrom the glovebox.

165166

2.3. Window repair

167

168 2.3.1. Using tweezers, remove any broken glass that is not firmly affixed to the epoxy. Break up
 169 what glass remains (using a carbide-tipped scribe or other method) so that the epoxy beneath is
 170 exposed.

171

172 CAUTION: Wear gloves and eye protection when removing broken glass.

173

2.3.2. Soak the cap in a 50:50 mix of acetone and trichloroethylene (TCE) for 1-2 h or until the epoxy softens and begins to separate from the cap. Rinse in IPA for 30 s.

176

2.3.3. Peel off any loose epoxy and scrape the remaining epoxy from the surface with a razor blade. Take care not to damage the surface of the cap. Repeat the previous step if necessary.

179

2.3.5. Scrub the recessed area with acetone until the surface is clean of any epoxy residue. The
 cell window can now be replaced following the aforementioned steps.

182

3. Example uses of the transfer cell

183 184

3.1. Optical analysis

185 186 187

188

3.1.1 For flake imaging, place the transfer cell under the microscope. The cell can be used with any conventional microscope. When focusing, be careful not to crash the objective into the fragile widow.

189 190

191 3.1.2. Proceed with desired method for finding material flakes.

192

3.2. Polarized Raman spectroscopy

193 194 195

196

3.2.1. For polarization-resolved Raman spectroscopy, align a laser spot to a flake of interest. In this case we use 633 nm wavelength and 50 μ W power and a 100x objective lens. For black phosphorus, low laser power is required to prevent damage to the flake.

197 198 199

4.2.2. Using a half-wave plate, vary the polarization angle.

200201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

REPRESENTATIVE RESULTS:

The goal of exfoliating two-dimensional materials is to isolate atomically thin layers. During the exfoliation process, flakes separate from the bulk crystal, leaving behind flakes of varying thicknesses, with a small probability for some flakes to be monolayers. By increasing the density and size of all exfoliated flakes, hot exfoliation increases the density and lateral size of thin flakes. This is accomplished by increasing the material area that makes close contact with the substrate. While in contact, gasses trapped between the material and the substrate expand during heating and are pushed out from under flakes. The removal of trapped gas allows more of the material to come into close contact with the substrate, thus increasing the amount of exfoliated flakes (Figure 1A,B) as clearly explained in Ref 20. Exfoliations of black phosphorus were performed using the typical mechanical exfoliation and hot exfoliation technique on silicon chips with 90 nm thick SiO₂. By measuring the total area of deposited material on a 1 cm x 1 cm silicon chip, it can be seen (Figure 1C) that hot exfoliation deposits 6-10 times more material. We note that in our experience other materials can be picked up from HF-cleaned substrates using polycarbonate following hot exfoliation, including graphene, hexagonal boron nitride, black phosphorus, MnPSe₃, and WSe₂. We used a 10:1 HF:water solution to clean the SiO₂ substrates over a 15 s period. Note, 10% HF etches SiO₂ at a rate of 23 nm/min²¹, so this process etches our substrates by 6 nm.

218219220

We now consider the effectiveness of the hermetic transfer cell (Figure 3A) in maintaining an

inert atmosphere when removed from a glovebox. CrI₃ is particularly sensitive to hydration and degrades within seconds when exposed to air (**Figure 3D**). Inside a transfer cell, however, an exfoliated CrI₃ sample remained unchanged for 15 hours (**Figure 3B**) and only began to show signs of degradation (blisters) after 24 hours (**Figure 3C**). While damage on a scale too small observe optically likely occurs on a shorter timescale, these results demonstrate that the hermetic transfer cell described here slows the sample degradation rate by at least three orders of magnitude (hours inside the cell compared with seconds outside).

227228229

230

231

232

233

234

235

236

237

238239

240

241

242

243

244

245

221

222

223

224

225

226

To demonstrate use of the transfer cell for optical analysis of air-sensitive materials, we performed polarization-resolved Raman spectroscopy on a relatively thick (> 50 nm) flake of black phosphorus (Figure 4A). The spectra were acquired using 50 µW laser excitation at 632.8 nm with a 100x objective lens. A half-wave plate was used to rotate the polarization of the excitation beam. In Figure 4B, three Raman peaks can be observed in BP at around 466, 438 and 361 cm⁻¹, corresponding to A_g², B_{2g} and A_g¹ vibration modes respectively, regardless of the polarization, which agrees well with previous observations in bulk BP crystals for excitation and collection along the z-axis.^{5,22} The peak positions do not vary with polarization angle. However, the relative intensities of these three modes change significantly with incident light polarization. The vibration mode Ag2, which has the strongest intensity variation with the excitation laser polarization, as shown in Figure 4B,C, is associated with the atomic motion along the armchair direction. Therefore, as previously reported⁵, this vibration mode provides an effective method to determine the armchair direction of the BP crystal and hence the crystal orientation. In Figure 4C, the Raman intensity shows two maxima within one full rotation, located at 26.5° and 206.5° with respect to the X and Y axes defined in the microscope images, and we conclude that the armchair direction of the BP is oriented at 26.5° for this flake. Similar optical spectroscopy methods can be used to determine crystal orientation and other properties, such as layer number or optical band gap, for other air-sensitive 2-D materials.

246247248

249

250

251

FIGURE AND TABLE LEGENDS:

Figure 1: Distribution of material on an oxidized silicon chip. (A) Typical sample of black phosphorus exfoliated at room temperature. **(B)** Typical sample of black phosphorus exfoliated at 120 °C. **(C)** Histogram of exfoliated black phosphorus area using room temperature(cold) and hot exfoliation.

252253254

255

256

Figure 2: Transfer cell. (A) Picture of a hermetic transfer cell showing separate cap and base. **(B)** Schematic drawing of transfer. A vent (green) is cut into the threads. Note that the bottom of the base is tapped and threaded for mounting.

257258

259

260

Figure 3: Transfer cell suppression of flake degradation. (**A**) Fresh Crl₃ in a transfer cell (**B**) Crl₃ in a cell after 15 h. (**C**) Crl₃ in a cell after 24 h Hydration blisters can be seen at this point. (**D**) Crl₃ in air after 24 h in transfer cell and 30 s in air. Large areas of hydrated Crl₃ have collected at the edges of the flake.

261262263

264

Figure 4: Crystalline orientation identification. (A) Optical micrograph of thick flakes of exfoliated BP. (B) Polarization-resolved Raman spectroscopy of a thick BP flake. (C) Polar plot of

Raman intensity averaged over the spectral range in (B) as a function of linear excitation polarization angle (plot origin is zero intensity). The fit is a sine function plus a constant. The dashed line indicates the armchair direction.

DISCUSSION:

Hot exfoliation retains the ability of typical mechanical exfoliation to produce pristine thin flakes while also avoiding many downfalls of alternatives. Like typical mechanical exfoliation, this technique is not limited to a small subset of materials. Hot exfoliation can be applied to any material that can be exfoliated using room-temperature mechanical exfoliation as long as the material tolerates heating to 120 °C for 2 min in an inert atmosphere. We also note that it has been shown²⁰ that the heating time and temperature (above 100 °C) do not make any noticeable difference in flake density. Along with increased contact, average flake size can also be improved by increasing the bond strength between the substrate and the flakes. One way to do this would be by treating the substrate with O_2 plasma but this would also make the flakes hard or impossible to pick up for use in devices requiring heterostructure fabrication²⁰.

The transfer cell can be constructed from any suitable metal. We used aluminum because it is easy to machine but it should be noted that TCE (used to remove epoxy) is corrosive to aluminum when unstabilized, heated, or mixed with water. Stainless steel would be more durable and less reactive with TCE. However, we have not seen any corrosive effects using this method at RT. For imaging and analysis with high numerical aperture objectives, construction of the transfer cell is such that, when closed, the bottom of the window is 0.8 mm above the top of the base. With a 0.5 mm thick substrate and 0.1 mm thick adhesive, the sample sits 0.3 mm below the top of the transfer cell. This proximity allows for imaging and analysis with high magnification and relatively short working distance objectives. Exfoliated material can be clearly seen at 5, 20, 50 times magnification allowing for easy identification of thin flakes. At higher magnifications, spherical aberrations caused by the window significantly degrades the image quality. Provided that the sample substrate is less than 0.7 mm thick, there is no risk of over tightening the cell. When the cap is screwed down, excess gas is expelled through the vent in the threads. During construction, the precise location of the vent is not important, but it is important that it is not obstructed by the sample, vacuum grease or anything else. The vent prevents the fragile 0.1 mm thick window from breaking due to overpressure when the cap is screwed down. The window can only withstand pressure changes of a few mbar.

The coverglass window used for the transfer cells is made of borosilicate glass but for optical analysis at wavelengths other than visible to near-infrared, other window materials may be use. For the best imaging, care should be taken when installing the glass window. If not seated properly, the distance between the sample and window could be larger than expected. Especially for small working distance objectives, this could cause the objective to crash into and break the window. Also, some epoxies will cure faster at higher temperatures, but because metals and glass have different thermal expansion coefficients, the widow will deform after cooling back to room temperature. The epoxy should be cured at the same temperature at which it will be used (i.e., if the cell will be used at room temperature), the epoxy should also be cured at room temperature.

309 310

ACKNOWLEDGMENTS:

This work was supported by NSF award number DMR-1610126.

312313

DISCLOSURES:

314 The authors have nothing to disclose.

315316

REFERENCES:

- 1 Koenig, S. P., Doganov, R. A., Schmidt, H., Castro Neto, A. H., Özyilmaz, B. Electric field 318 effect in ultrathin black phosphorus. *Applied Physics Letters.* **104** (10), 103106, 319 doi:10.1063/1.4868132 (2014).
- 2 Li, L. et al. Black phosphorus field-effect transistors. *Nature Nanotechnology.* **9** (5), 372-321 377, doi:10.1038/nnano.2014.35 (2014).
- 322 3 Liu, H. et al. Phosphorene: an unexplored 2D semiconductor with a high hole mobility. 323 ACS Nano. 8 (4), 4033 (2014).
- Wang, X. et al. Highly anisotropic and robust excitons in monolayer black phosphorus.

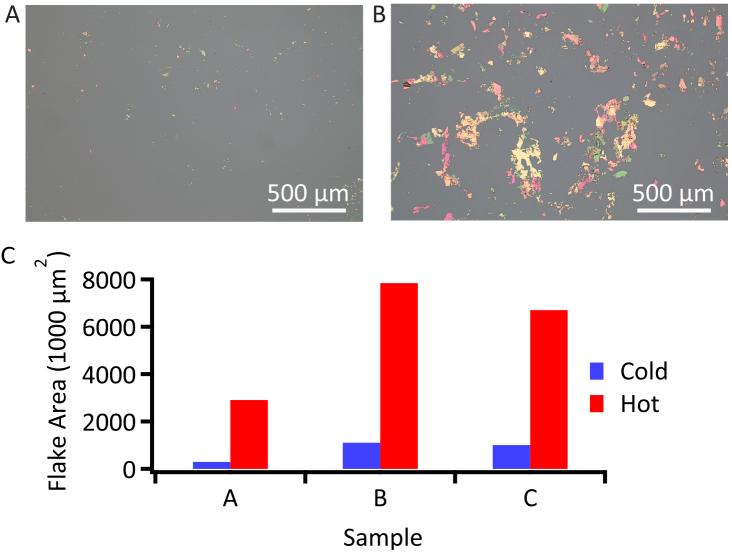
 Nature Nanotechnology. **10** (6), 517, doi:10.1038/nnano.2015.71 (2015).
- Xia, F., Wang, H., Jia, Y. Rediscovering black phosphorus as an anisotropic layered material
 for optoelectronics and electronics. *Nature Communications*. 5 4458,
 doi:10.1038/ncomms5458 (2014).
- Castellanos-Gomez, A. et al. Isolation and characterization of few-layer black phosphorus. 2D Materials. 1 (2), 025001 (2014).
- Joshua, O. I. . et al. Environmental instability of few-layer black phosphorus. *2D Materials*. **2** (1), 011002 (2015).
- Huang, Y. et al. Interaction of Black Phosphorus with Oxygen and Water. *Chemistry of Materials.* **28** (22), 8330-8339, doi:10.1021/acs.chemmater.6b03592 (2016).
- Gong, C. et al. Discovery of intrinsic ferromagnetism in two-dimensional van der Waals crystals. *Nature.* **546** (7657), 265, doi:10.1038/nature22060 (2017).
- Huang, B. et al. Layer-dependent ferromagnetism in a van der Waals crystal down to the monolayer limit. *Nature*. **546** (7657), 270, doi:10.1038/nature22391 (2017).
- Lado, J. L., Fernández-Rossier, J. On the origin of magnetic anisotropy in two dimensional CrI3. *2D Materials*. **4** (3), 35002, doi:10.1088/2053-1583/aa75ed (2017).
- Li, X., Yin, J., Zhou, J., Guo, W. Large area hexagonal boron nitride monolayer as efficient atomically thick insulating coating against friction and oxidation. *Nanotechnology.* **25** (10), 105701 (2014).
- Liu, Z. et al. Ultrathin high-temperature oxidation-resistant coatings of hexagonal boron nitride. *Nature Communications.* **4,** 2541, doi:10.1038/ncomms3541 (2013).
- Li, X. et al. Large-Area Synthesis of High-Quality and Uniform Graphene Films on Copper Foils. *Science.* **324** (5932), 1312-1314, doi:10.1126/science.1171245 (2009).
- Sutter, E. A., Flege, J.-I., Sutter, P. W. Epitaxial graphene on ruthenium. *Nature Materials*.
 7 (5), 406-411, doi:10.1038/nmat2166 (2008).
- Lotya, M. et al. High-yield production of graphene by liquid-phase exfoliation of graphite.

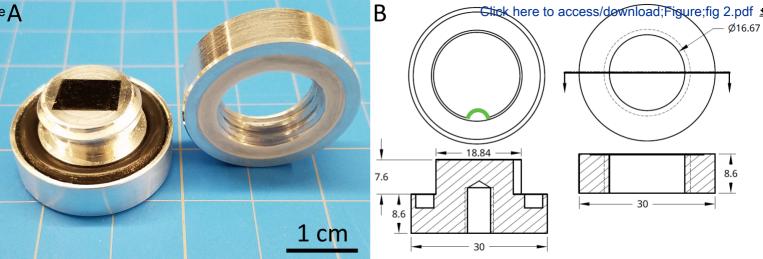
 Nature Nanotechnology. **3** (9), 563-568, doi:10.1038/nnano.2008.215 (2008).
- 352 17 Magda, G. Z. et al. Exfoliation of large-area transition metal chalcogenide single layers.

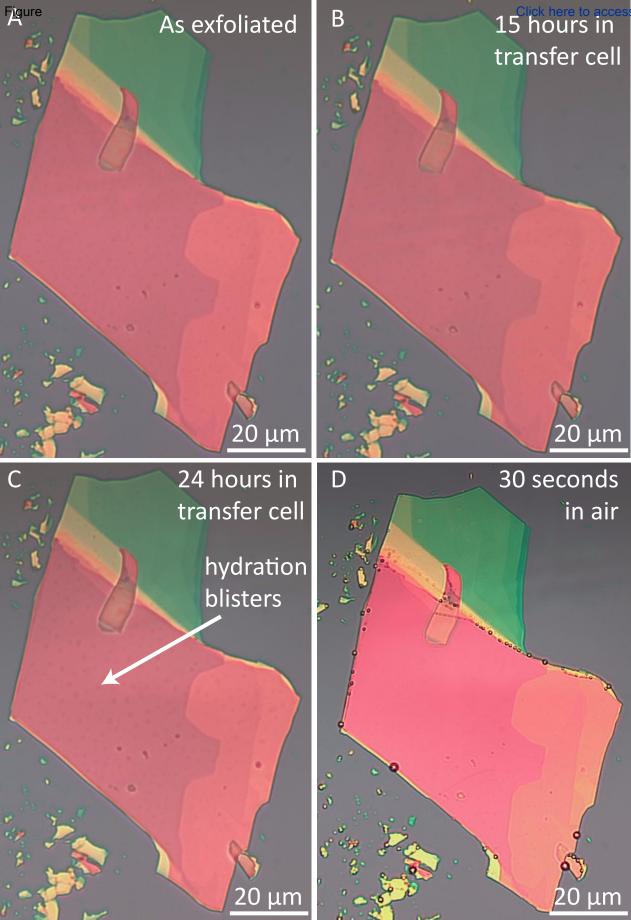
353 *Scientific reports.* **5,** 14714, doi:10.1038/srep14714 (2015).

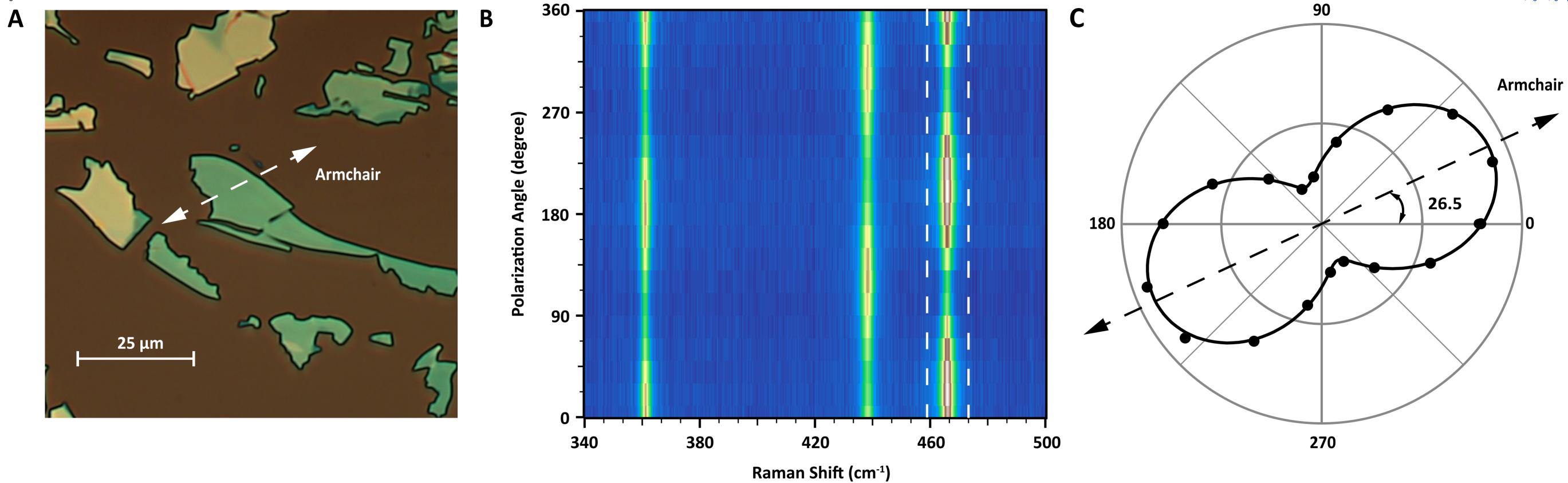
366

- Desai, S. B. et al. Gold-Mediated Exfoliation of Ultralarge Optoelectronically-Perfect Monolayers. *Advanced materials (Deerfield Beach, Fla.).* **28** (21), 4053-4058, doi:10.1002/adma.201506171 (2016).
- Williams, J. R. *Electronic transport in graphene: p-n junctions, shot noise, and nanoribbons,* ProQuest Dissertations Publishing (2009).
- Huang, Y. et al. Reliable Exfoliation of Large-Area High-Quality Flakes of Graphene and Other Two-Dimensional Materials. *ACS Nano.* **9** (11), 10612-10620, doi:10.1021/acsnano.5b04258 (2015).
- Williams, K. R., Muller, R. S. Etch rates for micromachining processing. *Journal of Microelectromechanical Systems*. **5** (4), 256-269, doi:10.1109/84.546406 (1996).
- Ribeiro, H. B., Pimenta, M. A., de Matos, C. J. S. Raman spectroscopy in black phosphorus. Journal of Raman Spectroscopy. **49** (1), 76-90, doi:10.1002/jrs.5238 (2018).









Name of Material/ Equipment	Company	Catalog Number
Ablestik 286 epoxy	Loctite	256 6 OZ TUBE KIT
Acetone	EDM Millipore Corporation	67-64-1
Circular coverglass, 24 mm dia, 0 thickness	Agar Scientific	AGL46R22-0
Dicing tape	Ultron systems	1009R
High-Vacuum grease	Dow Corning	1597418
Isopropanol	VWR Chemicals	BDH20880.400
Silicon wafer, 300 nm oxide	University Wafer	E0851.01
Silicon wafer, 90 nm oxide	Nova Electronic Materials	HS39626-OX

Comments/Description

air-tight epoxy

window glass exfoliation tape O-ring grease

flake substrate flake substrate



ARTICLE AND VIDEO LICENSE AGREEMENT

Title of Article:	Extonation and Analysis of Large-Area, Alf-Sensitive Two-Dimensional Materials				
Author(s):	Josh P. Thompson, M. Hasan Doha, Peter Murphy, Jin Hu, and Hugh O. H. Churchill				
•	cox): The Author elects to have the Materials be made available (as described a cove.com/author) via: Standard Access Open Access	t			
Item 2 (check one box	x):				
The Auth	or is NOT a United States government employee. nor is a United States government employee and the Materials were prepared in the or her duties as a United States government employee.	e			
	or is a United States government employee but the Materials were NOT prepared in thor her duties as a United States government employee.	e			

ARTICLE AND VIDEO LICENSE AGREEMENT

- 1. Defined Terms. As used in this Article and Video License Agreement, the following terms shall have the following meanings: "Agreement" means this Article and Video License Agreement; "Article" means the article specified on the last page of this Agreement, including any associated materials such as texts, figures, tables, artwork, abstracts, or summaries contained therein; "Author" means the author who is a signatory to this Agreement; "Collective Work" means a work, such as a periodical issue, anthology or encyclopedia, in which the Materials in their entirety in unmodified form, along with a number of other contributions, constituting separate and independent works in themselves, are assembled into a collective whole; "CRC License" means the Creative Commons Attribution-Non Commercial-No Derivs 3.0 Unported Agreement, the terms and conditions of which can be found http://creativecommons.org/licenses/by-ncnd/3.0/legalcode; "Derivative Work" means a work based upon the Materials or upon the Materials and other preexisting works, such as a translation, musical arrangement, dramatization, fictionalization, motion picture version, sound recording, art reproduction, abridgment, condensation, or any other form in which the Materials may be recast, transformed, or adapted; "Institution" means the institution, listed on the last page of this Agreement, by which the Author was employed at the time of the creation of the Materials; "JoVE" means MyJove Corporation, a Massachusetts corporation and the publisher of The Journal of Visualized Experiments; "Materials" means the Article and / or the Video; "Parties" means the Author and JoVE; "Video" means any video(s) made by the Author, alone or in conjunction with any other parties, or by JoVE or its affiliates or agents, individually or in collaboration with the Author or any other parties, incorporating all or any portion of the Article, and in which the Author may or may not appear.
- 2. <u>Background</u>. The Author, who is the author of the Article, in order to ensure the dissemination and protection of the Article, desires to have the JoVE publish the Article and create and transmit videos based on the Article. In furtherance of such goals, the Parties desire to memorialize in this Agreement the respective rights of each Party in and to the Article and the Video.
- 3. Grant of Rights in Article. In consideration of JoVE agreeing to publish the Article, the Author hereby grants to JoVE, subject to Sections 4 and 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Article in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world. (b) to translate the Article into other languages, create adaptations, summaries or extracts of the Article or other Derivative Works (including, without limitation, the Video) or Collective Works based on all or any portion of the Article and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. If the "Open Access" box has been checked in Item 1 above, JoVE and the Author hereby grant to the public all such rights in the Article as provided in, but subject to all limitations and requirements set forth in, the CRC License.



ARTICLE AND VIDEO LICENSE AGREEMENT

- 4. Retention of Rights in Article. Notwithstanding the exclusive license granted to JoVE in **Section 3** above, the Author shall, with respect to the Article, retain the non-exclusive right to use all or part of the Article for the non-commercial purpose of giving lectures, presentations or teaching classes, and to post a copy of the Article on the Institution's website or the Author's personal website, in each case provided that a link to the Article on the JoVE website is provided and notice of JoVE's copyright in the Article is included. All non-copyright intellectual property rights in and to the Article, such as patent rights, shall remain with the Author.
- 5. <u>Grant of Rights in Video Standard Access</u>. This **Section 5** applies if the "Standard Access" box has been checked in **Item 1** above or if no box has been checked in **Item 1** above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby acknowledges and agrees that, Subject to **Section 7** below, JoVE is and shall be the sole and exclusive owner of all rights of any nature, including, without limitation, all copyrights, in and to the Video. To the extent that, by law, the Author is deemed, now or at any time in the future, to have any rights of any nature in or to the Video, the Author hereby disclaims all such rights and transfers all such rights to JoVE.
- 6. Grant of Rights in Video Open Access. This Section 6 applies only if the "Open Access" box has been checked in Item 1 above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby grants to JoVE, subject to Section 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Video in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Video into other languages, create adaptations, summaries or extracts of the Video or other Derivative Works or Collective Works based on all or any portion of the Video and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. For any Video to which this Section 6 is applicable, JoVE and the Author hereby grant to the public all such rights in the Video as provided in, but subject to all limitations and requirements set forth in, the CRC License.
- 7. <u>Government Employees</u>. If the Author is a United States government employee and the Article was prepared in the course of his or her duties as a United States government employee, as indicated in **Item 2** above, and any of the licenses or grants granted by the Author hereunder exceed the scope of the 17 U.S.C. 403, then the rights granted hereunder shall be limited to the maximum rights permitted under such

- statute. In such case, all provisions contained herein that are not in conflict with such statute shall remain in full force and effect, and all provisions contained herein that do so conflict shall be deemed to be amended so as to provide to JoVE the maximum rights permissible within such statute.
- 8. <u>Likeness, Privacy, Personality</u>. The Author hereby grants JoVE the right to use the Author's name, voice, likeness, picture, photograph, image, biography and performance in any way, commercial or otherwise, in connection with the Materials and the sale, promotion and distribution thereof. The Author hereby waives any and all rights he or she may have, relating to his or her appearance in the Video or otherwise relating to the Materials, under all applicable privacy, likeness, personality or similar laws.
- 9. Author Warranties. The Author represents and warrants that the Article is original, that it has not been published, that the copyright interest is owned by the Author (or, if more than one author is listed at the beginning of this Agreement, by such authors collectively) and has not been assigned, licensed, or otherwise transferred to any other party. The Author represents and warrants that the author(s) listed at the top of this Agreement are the only authors of the Materials. If more than one author is listed at the top of this Agreement and if any such author has not entered into a separate Article and Video License Agreement with JoVE relating to the Materials, the Author represents and warrants that the Author has been authorized by each of the other such authors to execute this Agreement on his or her behalf and to bind him or her with respect to the terms of this Agreement as if each of them had been a party hereto as an Author. The Author warrants that the use, reproduction, distribution, public or private performance or display, and/or modification of all or any portion of the Materials does not and will not violate, infringe and/or misappropriate the patent, trademark, intellectual property or other rights of any third party. The Author represents and warrants that it has and will continue to comply with all government, institutional and other regulations, including, without limitation all institutional, laboratory, hospital, ethical, human and animal treatment, privacy, and all other rules, regulations, laws, procedures or guidelines, applicable to the Materials, and that all research involving human and animal subjects has been approved by the Author's relevant institutional review board.
- 10. <u>JoVE Discretion</u>. If the Author requests the assistance of JoVE in producing the Video in the Author's facility, the Author shall ensure that the presence of JoVE employees, agents or independent contractors is in accordance with the relevant regulations of the Author's institution. If more than one author is listed at the beginning of this Agreement, JoVE may, in its sole discretion, elect not take any action with respect to the Article until such time as it has received complete, executed Article and Video License Agreements from each such author. JoVE reserves the right, in its absolute and sole discretion and without giving any reason therefore, to accept or decline any work submitted to JoVE. JoVE and its employees, agents and independent contractors shall have



ARTICLE AND VIDEO LICENSE AGREEMENT

full, unfettered access to the facilities of the Author or of the Author's institution as necessary to make the Video, whether actually published or not. JoVE has sole discretion as to the method of making and publishing the Materials, including, without limitation, to all decisions regarding editing, lighting, filming, timing of publication, if any, length, quality, content and the like.

11. Indemnification. The Author agrees to indemnify JoVE and/or its successors and assigns from and against any and all claims, costs, and expenses, including attorney's fees, arising out of any breach of any warranty or other representations contained herein. The Author further agrees to indemnify and hold harmless JoVE from and against any and all claims, costs, and expenses, including attorney's fees, resulting from the breach by the Author of any representation or warranty contained herein or from allegations or instances of violation of intellectual property rights, damage to the Author's or the Author's institution's facilities, fraud, libel, defamation, research, equipment, experiments, property damage, personal injury, violations of institutional, laboratory, hospital, ethical, human and animal treatment, privacy or other rules, regulations, laws, procedures or guidelines, liabilities and other losses or damages related in any way to the submission of work to JoVE, making of videos by JoVE, or publication in JoVE or elsewhere by JoVE. The Author shall be responsible for, and shall hold JoVE harmless from, damages caused by lack of sterilization, lack of cleanliness or by contamination due to the making of a video by JoVE its employees, agents or independent contractors. All sterilization, cleanliness or decontamination procedures shall be solely the responsibility of the Author and shall be undertaken at the Author's expense. All indemnifications provided herein shall include JoVE's attorney's fees and costs related to said losses or damages. Such indemnification and holding harmless shall include such losses or damages incurred by, or in connection with, acts or omissions of JoVE, its employees, agents or independent contractors.

- 12. <u>Fees</u>. To cover the cost incurred for publication, JoVE must receive payment before production and publication the Materials. Payment is due in 21 days of invoice. Should the Materials not be published due to an editorial or production decision, these funds will be returned to the Author. Withdrawal by the Author of any submitted Materials after final peer review approval will result in a US\$1,200 fee to cover pre-production expenses incurred by JoVE. If payment is not received by the completion of filming, production and publication of the Materials will be suspended until payment is received.
- 13. <u>Transfer, Governing Law</u>. This Agreement may be assigned by JoVE and shall inure to the benefits of any of JoVE's successors and assignees. This Agreement shall be governed and construed by the internal laws of the Commonwealth of Massachusetts without giving effect to any conflict of law provision thereunder. This Agreement may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to me one and the same agreement. A signed copy of this Agreement delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Agreement.

A signed copy of this document must be sent with all new submissions. Only one Agreement required per submission.

CORRESPONDING AUTHOR:

Name:	Hugh Churchill				
Department:	Department of Physics				
Institution:	University of Arkansas				
Article Title:	Exfoliation and Analysis of Large-Area, Air-Sensitive Two-Dimensional Materials				
Signature:	He Ce io	Date:	June 28, 2018		

Please submit a signed and dated copy of this license by one of the following three methods:

- 1) Upload a scanned copy of the document as a pfd on the JoVE submission site;
- 2) Fax the document to +1.866.381.2236;
- 3) Mail the document to JoVE / Attn: JoVE Editorial / 1 Alewife Center #200 / Cambridge, MA 02139

For questions, please email submissions@jove.com or call +1.617.945.9051



J. William Fulbright College of Arts and Sciences

Department of Physics

Hugh Churchill Assistant Professor of Physics University of Arkansas NANO 204, 731 W. Dickson St. Fayetteville, AR 72701

October 23, 2018

To: Review Editor, JoVE

Dear Dr. Steindel,

We would like to submit a revision of our manuscript "Exfoliation and Analysis of Large-area, Air-Sensitive Two-Dimensional Materials" by Josh P. Thompson, M. Hasan Doha, Peter Murphy, Jin Hu, and Hugh O. H. Churchill for publication in *JoVE*.

We have addressed the comments and concerns raised by the reviewers, as well as the editorial comments, and we hope you will find the improved manuscript and video suitable for publication in *JoVE*. Below you will find responses to the editorial comments and to each of the reviewers' comments, along with a description of changes made to the manuscript and the video.

Sincerely,

Hugh Churchill

Response to Editorial Comments

Changes to the manuscript:

- 2. Keywords: Please provide at least 6 keywords or phrases.
 - We have added an additional key-phrase to bring the total to 6.
- 3. Figure 2: Please provide exact measurements of the cap and base if possible.

Measurements are not included in the figure for clarity. A link to the full design is included in line 124.

4. Figure 3: Please include a scale bar for all images taken with a microscope to provide context to the magnification used. Define the scale in the appropriate figure Legend.

The figure is updated to include a scale bar.

6. Please revise the protocol (2.1.1-2.1.4, etc.) to contain only action items that direct the reader to do something (e.g., "Do this," "Ensure that," etc.). The actions should be described in the imperative tense in complete sentences wherever possible. Avoid usage of phrases such as "could be," "should be," and "would be" throughout the Protocol. Any text that cannot be written in the imperative tense may be added as a "Note." Please include all safety procedures and use of hoods, etc. However, notes should be used sparingly and actions should be described in the imperative tense wherever possible.

Protocol 2.1 has been rewritten in the imperative.

- 7. Please add more details to your protocol steps. There should be enough detail in each step to supplement the actions seen in the video so that viewers can easily replicate the protocol. Please ensure you answer the "how" question, i.e., how is the step performed? Alternatively, add references to published material specifying how to perform the protocol action. Some examples:
- 1.1.1: Please specify the type of the tape used in this step.

 We used Ultron Systems dicing tape and have modified the manuscript accordingly.
- 1.1.2: What is used to handle and deposit the desired material?

We used tweezers to deposit material on the tape and have modified the manuscript accordingly.

1.2.1: What is used to cleave? Please mention sonication parameters (power and time).

Any cleaving method will work, and we mention one such method (carbide-tipped scribe) and have modified the manuscript accordingly. We have also specified the sonication power and time in the manuscript.

- 2.1.5: Please specify the size and material of the window used in this step.
 - This step has been changed to 2.1.9 and the window details are included.
- 2.3.1: Please revise this sentence to be clear.

This step has been rewritten and more detail has been added.

8. The Protocol should contain only action items that direct the reader to do something. Please move the discussion about the protocol to the Discussion.

Details is Protocol steps 2.1.5 (now 2.1.9), 2.1.6 (now 2.1.10), 2.2.2, 2.3.2, 2.4.2, 2.1.4 have been moved to the Discussion.

9. Please revise to explain the Representative Results in the context of the technique you have described, e.g., how do these results show the technique, suggestions about how to analyze the outcome, etc. The paragraph text should refer to all of the figures. However for figures showing the experimental set-up, please reference them in the Protocol. Data from

both successful and sub-optimal experiments can be included. Please more relevant information from Discussion to Representative Results.

- 10. As we are a methods journal, please revise the Discussion to explicitly cover the following in detail in 3-6 paragraphs with citations:
- a) Critical steps within the protocol
- b) Any modifications and troubleshooting of the technique
- c) Any limitations of the technique
- d) The significance with respect to existing methods
- e) Any future applications of the technique

The Representative Results and Discussion have been significantly revised to address these issues.

11. Please provide journal names for references 6, 7, 10 and 11, etc.

Those journal names have been added.

Changes to the video:

1. Please increase the homogeneity between the written protocol and the narration in the video. It would be best if the narration is a word for word from the written protocol text.

Both the video and the manuscript have been modified to match closely.

2. Please use the same headings/subheadings for the written manuscript and the video. For instance, the heading is "1. Hot Exfoliation" in the written manuscript but "1. Hot Exfoliation of Two-Dimensional Materials" in the video.

Protocols 1, 2, and 3 in the manuscript have been changed to match the video.

3. The details in the video are not the same as the details in the written manuscript. Please cross-reference the video narration with the protocol text. Some examples: 00:29: "Fold ends for easier handling" is shown in the video but not mentioned in the written protocol.

This step is now in the protocol, Line 87.

00:48: The video says "covers an area of about 1 cm2" while the written protocol indicates "slightly larger 1 cm2".

Both the video and manuscript now say "at least 1 cm²".

00:59: The video says "about 1 cm on the side" while the written protocol indicates "≤ 1 cm wide".

The video now says "less than 1 cm on a side".

01:09: The details mentioned here (i.e., apply pressure with your thumb..., tweezers can be used...) are not stated in the written protocol.

Section 1.2.2 Now includes these details.

- 01:26: The detail in the written protocol (substrate side down) is not mentioned in the video. This detail is now included.
- 01:54: "Dry the substrate ..." is not in the written protocol.

 This has been included in manuscript, line 16.
- 02:42-03:08, 06:14-07:06: Such steps/details in the video are not in the written protocol. Protocol 2.4.3-2.4.4 have been added.
- 4. Step 2.1 of the protocol in the video is hard to follow along with step 2.1 of the written protocol.

The manuscript and video have been revised to be more consistent.

5. 08:17: Please change hr to h.

This has been changed at 08:00.

6. The music is competing too much with the narrator voice. The music volume should be lowered by 6-12 dB.

The music volume has been reduced by 12 dB throughout so that it doesn't interfere with the narration. Additionally, the background music volume is now constant throughout the video.

7. 0:28-1:56, 2:34-2:37, 2:58-3:15, 4:40, 5:10, 5:15 - Most of the edits in these ranges are jump cuts, which tend to have a jarring effect on the viewer. We refer specifically to the edits that are within the same shot. The edit at 0:28 is the first example of this. These should be smoothed out with crossfades instead.

The cuts are now smoothed out with crossfades.

Response to Reviewer Comments:

Reviewer 1 Comments:

Comment 1:

As claimed by the authors, heating would cause the escape of gas between materials and substrate, thus leading to better contact and higher flake yields. This statement is quite confusing because the expansion of gas usually causes further separation instead of closer

contact. Is there any pressure applied to the tape during heating procedure to push out the gas?

Gas trapped between the flake and substrate are responsible for weaker adhesion between the flake and substrate. The reviewer is correct that when the trapped gas is heated it will expand and the increased pressure will push some of that gas out from under the flake. With less trapped gas, the flake and substrate now become more adherent, particularly after the sample has cooled back to room temperature when the tape is removed. After removal of trapped gas, the flake is now closer to the substrate, and new gas is unable to enter between the flake and substrate. This mechanism is clearly explained in Ref. 20 (previously Ref. 19), and we feel it is best to allow the original report to speak for itself in this regard.

Comment 2:

In case the cell over-pressurizes when the cap is screwed down, a vent is cut into the threads to expel excess gas. Will oxygen and water vapor diffuse into the cell during venting when the cell is placed outside of a glovebox?

The vent is only cut into the internal threads. This is to allow gas flow through the threads as the cap is screwed down so venting should only occur inside a glovebox. When the cell is closed, the vent is entirely enclosed within the cell and there is no risk of diffusion through the vent. The o-ring is what seals the cell and ultimately limits the longevity of the inert interior of the cell.

Comment 3:

Line 248: "these results demonstrate that the hermetic transfer cell described here slows the sample degradation rate by at least three orders of magnitude." How to get such a precise conclusion without support of specific experiment data?

Respectfully, we believe we have demonstrated this using the data presented in Fig. 3, and we thank the reviewer for providing us an opportunity to clarify our manuscript. Figure 3 shows the condition of CrI_3 inside and outside a hermetic cell. It remains unchanged after 15 h inside a cell and only begins to show changes after 24 h. Outside the cell, the same CrI_3 flake begins to degrade within seconds. The claim comes from hours in the cell as compared to seconds outside (ratio $> 10^3$). This calculation is now mentioned in the manuscript.

Comment 4:

Scale bars in Figure 3 should be provided.

We thank the reviewer for pointing out this omission, which has been fixed in the revised manuscript.

Reviewer 2 comments:

Major Concerns:

This manuscript presents a hot exfoliation method for preparing several layered materials,

which is important for promote the study of 2D materials. Besides, the authors designed a transfer cell for protect some sensitive layered materials from oxidation and degradation, which can be widely used for further characterization. However, the main details and mechanism had been clearly shown in Ref. 19, this manuscript is just an extension of Ref. 19 and didn't show any advantage compared with Ref. 19. Mechanical exfoliation method is widely used in the 2D material society, but it was never deeply studied before Ref. 19 coming out. Besides, the hot exfoliation is not a new concept in this work, many layered materials were successfully exfoliated by using the method presented in Ref. 19, such as MoS2, BP, and WSe2, et al. Some detailed suggestions are listed below:

The reviewer is correct that hot exfoliation for a variety of layered materials has been demonstrated before and that the exfoliation process alone is certainly not unique to this protocol, nor do we have the intention to claim that it is. We believe the novelty of our report lies in the high flake yield of hot exfoliation *combined* with the ability to safely analyze airsensitive 2D materials outside of an inert environment without the need of specialized equipment or expensive modifications to existing equipment. Hot exfoliation is included in the protocol because it is the best way we have found, thanks to Ref. 20, to exfoliate thin flakes, and the purpose of the transfer cell is to facilitate finding and analyzing flakes of 2D materials. Additionally, we believe that providing a video protocol of these methods, including hot exfoliation, will provide a significant help to some in the 2D material community, while also disseminating more broadly the insights and utility of the techniques analyzed in Ref. 20.

Comment 1:

Please recheck the references, some references are not cited properly. For example, line 67, "such as CVD growth", if you want to cite one CVD graphene paper among thousands reports, the authors should cite the first CVD graphene on copper (Science 324, 1312-1314 (2009)) or on some other metal substrate (Nature Materials volume7, 406-411 (2008)). These two papers were published earlier than Ref. 14, so I suggest to change Ref. 14 by the two papers. Line 219-221, O2 plasma was used for cleaning the substrate, it can enhance the exfoliation yield ratio, which has also been reported in Ref. 19 (ACS Nano. 9 (11), 10612-10620), are there any details shown in Ref. 20 that O2 plasma can help to exfoliate 2D materials?

The reviewer is correct on both points, and we have revised the manuscript accordingly. Ref. 14 has been changed to the suggested citations and Ref. 20 (*Baden-Powell, B. H. Hand-book of the economic products of the Punjab, with a combined index and glossary of technical vernacular words*) has been removed. It should have been Ref. 20 (ACS Nano. 9 (11), 10612-10620) (previously Ref. 19).

Comment 2:

The authors used BP and CrI3 to demonstrate that the new designed transfer cell can help to protect some air sensitive layered materials, some papers about the stability of BP and CrI3

are suggested to cite in this work. Previously, there are some misleading views about the degradation mechanism, especially for BP, understanding the degradation mechanism can be very helpful for designing the protecting facilities. The degradation mechanism was just clarified two years ago (Chem. Mater., 2016, 28 (22), pp 8330-8339), so I suggest to cite that work in this manuscript.

We thank the reviewer for pointing out this important reference, which has been added to the manuscript.

Comment 3:

In the Discussion part, the mechanism of hot exfoliation was not clearly explained, for example, after removing the molecules absorbed on substrate surface, the contact distance between layered materials and substrate were decreased, which is helpful to enhance the van der Waals interaction. In fact, the mechanism has clearly given in Ref. 19.

Because the discovery of the mechanism for improved yield via hot exfoliation is not new in our work, we prefer to summarize the results of Ref. 20 (previously Ref. 19) and provide a citation to the original work. Additionally, we have revised our summary statement of the mechanism for clarity.

Comment 4:

The authors mention that HF-cleaned substrate can help to exfoliate many layered materials, could the authors give more details. Normally, HF can etching SiO2 in a short time.

It is correct that a 10% solution of HF in water does etch SiO2 rather quickly (23 nm/min) and this is now mentioned in the manuscript, but we do not claim that it can help to exfoliate layered materials. In fact, it is quite the opposite. HF cleaned SiO2 reduces flake adhesion, but this can be useful when building heterostructures where it is necessary to pick up exfoliated flakes. Some layered materials, for example BP, are more difficult to pick up than others and exfoliating on HF cleaned substrates improves our chances of successfully picking up a desired flake. We mention this in the manuscript because some methods for increased material exfoliation (O2 plasma treated substrates) can also make the material very difficult to pick up. Given the significant current interest in the field of forming 2D material heterostructures via pick-up and stacking, we believe it is important to include a discussion of HF-treatment of substrates.