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TITLE:

Eye-tracking to Distinguish Comprehension-based and Oculomotor-based Regressive Eye Movements During Reading

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SUMMARY:

The method was designed to investigate the role of inhibition of return (IOR) in regressive eye movements during reading. The focus is on differentiating between regressions triggered as a result of comprehension difficulty *versus* those triggered from oculomotor error, including the role of IOR in the two types of regressions.

ABSTRACT:

Regressive eye movements are eye movements that move backwards through the text and comprise approximately 10-25% of eye movements during reading. As such, understanding the causes and mechanisms of regressions plays an important role in understanding eye movement behavior. Inhibition of return (IOR) is an oculomotor effect that results in increased latency to return attention to a previously attended target *versus* a target that was not previously attended. Thus, IOR may affect regressions. This paper describes how to design materials to distinguish between regressions caused by comprehension-related and oculomotor processes; the latter is subject to IOR. The method allows researchers to identify IOR and control the causes of regressions. While the method requires tightly controlled materials and large numbers of participants and materials, it allows researchers to distinguish and control the types of regressions that occur in their reading studies.

INTRODUCTION:

The method described in this paper was designed to investigate the role of inhibition of return (IOR) in regressive eye movements during reading, focusing on regressions triggered as a result

of comprehension difficulty *versus* those triggered as a result of oculomotor error. Specifically, we investigated whether regressions launched as a result of comprehension difficulty and those launched as a result of oculomotor error are subject to IOR effects.

Regressive eye movements, or regressions, are eye movements that move backwards through the text. Depending on reader and text characteristics, 10-25% of eye movements move backwards¹. This has led researchers to investigate whether IOR effects affect regressive eye movements during natural reading. IOR is an oculomotor effect that results in increased latency to return attention to a target that had been previously attended compared to a target that had not been previously attended². While much of the work done to establish IOR effects has involved non-reading visual attention tasks³, the effect has been extended to reading⁴⁻⁵.

The work examining regressions and IOR in reading has focused on whether an oculomotor effect such as IOR can influence eye movement control in reading. One study⁵ found evidence of IOR in a reading task. They found that readers spent approximately 30 ms longer on the fixation preceding a regression. This was interpreted as the IOR “cost” — the delay before returning to a previously fixated position. This was supported in regular reading and mindless reading conditions⁶.

Despite evidence that IOR can be found in regular reading situations, it is clear that regressive eye movements do not all have the same underlying cause. Regressions resulting from comprehension difficulty have been well documented⁷⁻¹⁰. Despite the evidence that eye movements during reading are generally guided by cognitive and linguistic factors¹, it is also assumed that sometimes regressions occur in response to low-level oculomotor factors, such as target overshoot¹. It is assumed that on some trials, readers mis-program a saccade and land beyond their intended target word (an overshoot). In this case, a short, corrective regressive saccade may occur so that the unintentionally skipped word can be fixated. Given that two underlying mechanisms — linguistic and oculomotor — have been posited for regressive eye movements, it is not clear whether IOR occurs for both. The current method allows for the measurement of IOR effects when regressive eye movements have been launched as a result of comprehension difficulties and as a result of oculomotor overshoot. Thus, the method allows researchers to distinguish the underlying mechanisms of regressive eye movements, allowing for the evaluation of IOR effects.

The current method takes advantage of the two identified mechanisms triggering regressive eye movements. By designing the materials so that re-reading is likely to be triggered by comprehension difficulty or overshoot, researchers are able to examine the circumstances under which IOR might occur in reading. To encourage re-reading as a result of oculomotor error, we embedded short target words that show high skipping rates of about 50% (adapted from previous research¹¹). Skipped words are often followed by a corrective regressive saccade when the skipping is a result of oculomotor error¹¹. The other set of materials was comprised of sentences that contained semantically ambiguous homographic homophones (*e.g.*, grade: school/incline). The sentences were adapted from an ambiguity study¹² and contained information as to the intended meaning of the homophone that followed the word. Thus, this would increase the

chances that readers would re-read for comprehension. The context was consistent with the less-likely meaning of the homophone, making it likely that readers would have to re-read after having initially selected the more frequent, dominant meaning on their first encounter with the target word. The combination of eye-movement monitoring and materials designed to increase regressions makes this method unique in allowing for the examination of regressive eye movements with differing underlying causes.

Understanding the mechanisms underlying regressive saccades and the role that oculomotor factors such as IOR play in them is important to models of eye movement control as well as for understanding the relationship between oculomotor and cognitive control of eye movements. For example, a recent version of the E-Z Reader model of eye movement control employs a 30 ms cost for all regressive eye movements¹³. However, our methodology demonstrated that such a cost only applies to regressions resulting from oculomotor error.

Eye movement measures allow researchers to track the moment-to-moment cognitive processing during reading¹. Recently, models of eye movement control have begun to try to explain the mechanisms underlying regressive eye movements. Since regressions are often launched in relation to comprehension difficulties, any researcher interested in understanding the comprehension processes during reading should attempt to differentiate regressions resulting from oculomotor error *versus* comprehension processes. This methodology indicates that a cost for regressions is a result only of oculomotor error, serving as a launching point for differentiating between types of regressions. The combination of eye movement measures (regressions, fixation times before regressions) and carefully controlled materials allow for this differentiation.

PROTOCOL:

The Institutional Review Boards of Kent State University and Stetson University have approved all methods described here.

1. Eligible Participants

Note: The purpose of this research is to understand reading processes in skilled adult readers. Thus, certain eligibility requirements must be met. Such controls ensure that results are directly applicable to a population of skilled adult readers with typical cognitive processes.

1.1. Recruit participants that meet the following eligibility requirements: must be at least 18 years old, have no reading disabilities (dyslexia, alexia, dysgraphia, *etc.*), have normal or corrected vision (glasses or contacts are acceptable), and speak English as their native language.

2. Experimental Stimuli

Note: Stimuli construction involves the selection of individual target words as well as the creation of sentence contexts in which those target words are embedded.

133
134 2.1. Prepare stimuli for oculomotor corrective regressions.
135

136 2.1.1. Select a set of at least 30 target words to be embedded into sentences. The target word
137 ($word_n$) is the word on which word skipping and regressive eye movements will be measured.
138 Select words from an English language corpus, such as CELEX¹⁴, which provides word frequencies.
139 Select words that are low frequency, with frequencies lower than 30 counts per million. Choose
140 a three-letter content word to facilitate word skipping.
141

142 2.1.2. Select the pre-target words ($word_{n-1}$) so that the reader is likely to fixate it before skipping
143 or fixating $word_n$. Again, using an English language corpus, select words that are high frequency,
144 with at least 30 counts per million. Choose a five to seven letter content word to increase the
145 likelihood that it will be fixated and not skipped.
146

147 2.1.3. Select the post-target word ($word_{n+1}$) so that the reader is likely to fixate it after skipping
148 or fixating $word_n$. Using the same English language corpus from the previous two steps, select a
149 high frequency preposition that is at least four letters long.
150

151 2.1.4. Using the words selected in the previous three steps, create sentence contexts in which
152 these three words will be embedded. Place $word_{n-1}$, $word_n$, and $word_{n+1}$ in the middle of the
153 sentence. See step 2.2.6 for some example stimuli.
154

155 **Note:** Sentences should be neutral in context without any emotionally charged language. The
156 sentence frames need to be written so that the overall sentence is grammatical and that
157 $word_{n-1}$, $word_n$, and $word_{n+1}$ fit smoothly into the sentence frame. The target word $word_n$ should not
158 be predictable from the context that precedes it (see step 2.2.5 for information about norming
159 to ensure that target words are not predictable).
160

161 2.1.5. After sentence frames are created, norm the stimuli using a cloze task to ensure that the
162 target word $word_n$ is not predictable from the sentence context.
163

164 2.1.5.1. In this task, recruit a separate set of participants.
165

166 2.1.5.2. Have these participants view each sentence context up until the target word, without
167 including the target, and produce a word that they think best fits the sentence.
168

169 2.1.5.3. Let the participants complete this task using pen and paper or enter words on a computer
170 using a program or any other software package for behavioral research.
171

172 **Note:** If the target word is produced by more than 20% of participants, then it is considered
173 predictable from the sentence context and must be replaced with a less predictable word and
174 normed again. In the original experiment¹¹, 100 participants completed the cloze task, and no
175 target word was predicted from the preceding context.
176

2.1.6. See an example sentence below. In this sentence, *green* is word_{n-1}, *gem* is the three-letter low-frequency unpredictable word_n, and *around* is word_{n+1}. In this experiment, the target word was controlled to be low frequency (mean = 10.54 counts per million, standard deviation = 6.97)¹⁴.

The actress wore a **green gem around** her neck at the awards show.

Another set of 10 experimental stimuli are presented below from the original experiment¹⁴.

He stared at the **large urn across** the room.

She was afraid of the **black fox until** it wagged its tail.

He tried to grab the **small eel from** the pond.

She wanted to see the **great zoo inside** of the new theme park.

They walked around the **whole gym after** their workout.

The child asked the **young elf about** working in Santa's shop.

There was always a **short cot under** the bed at her cousin's house.

There was really **heavy fog along** the coastline this morning.

He placed his **right ski above** his left one when he performed the trick.

She performed her **first gig since** she wrote some new songs.

2.2. Prepare stimuli for comprehension-based regressions.

2.2.1. Obtain sentence contexts for this experiment by adapting from a previous study that already has normed sentence frames with ambiguous homophones¹². Download this article and view Appendix A, which provides neutral sentence frames that end with an ambiguous homophone.

2.2.2. Using these sentence frames, write the second half of the sentence that disambiguates the homophone to its subordinate meaning. Determine the subordinate meaning of the target word using the University of South Florida homograph norms¹⁵. For example, the subordinate meaning of the homophone *grade* is "hill incline" while the dominant meaning is "evaluation scale." Thus, for the sentence "Mike didn't like the grade..." add "...of the hill that they were driving down." This ending makes it so that the ambiguous homophone "grade" is disambiguated to its subordinate meaning by the word "hill." Each sentence should have two short function words between the ambiguous homophone and the words that disambiguates it. Another set of 10 example sentences are presented below¹⁴:

Barbara examined the **corn on her foot** after it became painful.

Ben finally noticed the **bluff when the trail** suddenly ended.

Betty searched for the **file for her nails** since they were torn.

Debbie liked the **case that the lawyer** decided to take on.

Everyone noticed the **crab when he whined** about everything.

Fred closely examined the **table and the chart** in the report.

Harry didn't like the **suit that was filed** against him.

He was happy about the **press when the wrinkle** was removed from his pants.

Helen looked at the **cardinal in the church** on Sunday.

It was a very bad **sentence that the judge** delivered to the defendant.
Jen easily saw the **ruler and the queen** at the palace.

3. Comprehension Check

3.1. Verify that all participants are reading for comprehension throughout the experiment. Thus, randomly present a series of comprehension questions throughout the experiment. Use simple statements that are followed by a yes or no question to ensure that the participant was comprehending each sentence. Present the comprehension questions separately from the sentence. Eye movement patterns may be different when a participant is not paying close attention to the text. Remove data from any participant who scores less than 80% accuracy on the comprehension questions from data analysis. There should be comprehension questions on at least 10% of trials. Comprehension questions should follow filler sentences, not experimental sentences. An example of four comprehension questions are presented below:

Sentence:	Christina went to California with her parents last year.
Question:	Did Christina go to Vermont last year?
Sentence:	After Mark graduated from college he found a job immediately.
Question:	Did Mark fail to find a job?
Sentence:	The telephone rang just as Samantha crawled into bed.
Question:	Did someone call Samantha last night?
Sentence:	The tourists regretted not being able to stay longer in Florida.
Question:	Did the tourists want to stay longer?

4. Eye Tracking Procedure

4.1. Recruit at least 50 participants for each experiment (oculomotor control and comprehension-based regressions) to ensure sufficient data for analyses; drop trials without regressions. Prepare at least 40 experimental stimuli for each experiment, with at least 10 comprehension questions, and at least 20 filler sentences for each experiment. Each experiment should take approximately 30 minutes to complete.

4.2. Use an eye-tracker with a sampling rate of 1,000 Hz, which means that eye positions are measured 1,000 times per second. Measure the eye movements from the right eye.

Note: This sampling rate is important as eye movement behavior is extremely fast, and a sample rate of less than 1,000 Hz might not be sensitive enough to capture all cognitive processing.

4.3. Have the participants seated about 60 cm (24 in.) away from a computer screen. Use a 21 in. screen or other screens for the original experiment¹⁴.

4.3.1. To stabilize head movements, have participants rest their chin on a chin rest. Allow participants to adjust the height of the chin rest and chair until they are comfortable.

4.3.2. Instruct the participants to rest their fingers on a “yes” button and a “no” button that they will use to answer comprehension questions.

4.4. To calibrate participants’ eye positions, use a nine-dot calibration. Instruct the participants to gaze at a white circle in the center of a black screen. Participants will move their eyes to the white circle as it moves around to eight other positions on the screen. Have the participants keep their eyes on the circle until it moves to a new position.

4.4.1. After calibration, validate eye positions. If participants’ eye positions are corrected within one degree of visual angle, then ask the participants move on to the reading portion of the study.

4.5. Have each sentence appear one at a time in random order in the center of the screen. Use any monospaced font such as Courier so that all characters take up the same amount of space on the participant’s retina. Intermix filler sentences and comprehension sentences (followed by questions) with experimental sentences.

4.6. Prior to each sentence, have the participant gaze at a white circle on the left side of the screen that corresponds to the position of the first letter of the first word. When participants are looking at this position, have the experimenter press a button that controls the onset for reading.

Note: This experiment uses self-paced reading, so the participant will press the “yes” button when they have finished reading the sentence. After reading each sentence, the white circle will appear on the screen again and the process will repeat with the next sentence.

4.7. Perform a drift correct prior to each sentence to ensure that calibration remains accurate. If the eye position is off by more than one degree of visual angle, recalibrate the participant’s eye positions.

5. Quantitative Analysis of Data

5.1. After all data have been collected, open the program DataViewer, and load in all data files in .edf format if using EyeLink software. Delete fixation durations that are fewer than 100 ms or longer than 1,000 ms as these do not reflect linguistic processing.

5.2. Next, run an Interest Area Report to gather the desired eye movement behavior measures from each word of interest: word_n, and word_{n+1}. Set interest areas around these items using a delimiter and the default settings for interest area size in Experiment Builder. Select several variables in order to determine the type of regressive eye movement and the presence or absence of an IOR effect:

IA_SKIP: This variable indicates whether word_n was skipped. This is important to determine whether the regression to word_n is a return or non-return regression.

IA_REGRESSION_IN: This variable indicates whether a regression was made into word_n. Only analyze trials in which this value is 1, otherwise it is not relevant for IOR analyses because no return eye movement was made to this target.

IA_REGRESSION_OUT: This variable indicates whether a regression was made out of word_{n+1}. Only analyze cases in which this variable is 1, which indicates that a return eye movement was made.

IA_FIRST_RUN_DWELL_TIME: This variable is a measure of how long the reader spent on word_{n+1} on the first pass through the sentence. This duration indicates the amount of processing it took before a regressive eye movement was made. This duration will include any potential inhibition time before a regressive eye movement.

5.3. Now that each trial has been categorized, have the researcher inspect each trial individually to determine whether it counts as an oculomotor- or comprehension-based regressive eye movement. Only inspect trials where IA_REGRESSION_OUT of word_{n+1} is 1 and where IA_REGRESSION_IN to word_n is 1.

5.3.1. In DataViewer, select the participant's data, and open each trial. Click through each fixation to inspect whether the regression out of word_{n+1} was made directly into word_n after it has been fixated or skipped. If so, mark this trial to be included in analyses in the data file. Do not include trials where a regression was made to an earlier part of the sentence or when a regression was made out of word_{n+1} after processing other parts of the sentence.

5.4. After all trials have been marked, analyze data using linear mixed effects models with the languageR and lme4 packages in R Studio¹⁶. Specify random slopes and intercepts for both participants and items. Fixed effects should include skipping of the target word and regressions into the target word.

REPRESENTATIVE RESULTS:

The results of our previous work using this paradigm¹⁴ resulted in a 17% regression rate in the oculomotor error condition and a 29% regression rate in the comprehension difficulty condition¹⁴. In the oculomotor error condition, 32% of the regressions were to previously fixated words and 68% of regressions were to previously skipped words. The reverse pattern occurred in the comprehension difficulty condition. Of the 29% of regressions, 61% were to previously fixated words whereas 39% were to previously skipped words (See **Table 1**).

The important variable of interest in these experiments is reading time on word_{n+1}, or the word from which the regression was launched. An IOR effect would be indicated by longer reading times on word_{n+1} prior to the regression when the target word_n was previously fixated compared to when it was skipped. **Figure 1** indicated that participants spent significantly more time on word_{n+1} prior to a regression to word_n when it was previously fixated (mean = 296 ms, standard error (SE) = 17 ms) than when it was skipped (mean = 253 ms, SE = 14 ms), consistent with an IOR effect. However, no IOR effect was observed in the comprehension difficulty condition. In this condition, reading times on word_{n+1} were no different when a regression was made to word_n when it was previously fixated (mean = 296 ms, SE = 10 ms) than when it was previously skipped (mean = 291 ms, SE = 8 ms).

FIGURE AND TABLE LEGENDS:

Figure 1: Reading times on word_{n+1} prior to making a regression to word_n. This figure represents the reading times on word_{n+1} from which the regression was launched. The regression was either made to a word that was previously fixated or previously skipped. Regression to the previously fixated words are expected to be longer if there is an IOR effect, demonstrating the latency to move the eyes back to a previously fixated target. Values are mean \pm SE (error bars).

Table 1: Probability of making a regression to a previously skipped or fixated target word. This table breaks down the probability of making each type of regression. The overall regression rates for the two types are presented on the top lines. The bottom line represents the percentage of times that the eyes returned to a previously fixated or previously skipped word.

DISCUSSION:

The current research provides a method for distinguishing between two different types of regressive eye movements during reading — those that are based on comprehension difficulty and those that are based on oculomotor error. The data provide evidence that a low-level attentional process, IOR, may depend on the type of regression. It was found that IOR only occurs for oculomotor-based regressions, but not for comprehension based regressions¹⁴. Thus, it is important to distinguish between different types of regressive eye movements when drawing conclusions about cognitive processing during reading.

While this protocol uses a commonly accepted and straightforward eye-tracking methodology, the critical steps are in creating the stimuli. It is important to control as many aspects of the stimuli as possible so that the researcher can accurately discriminate the two different types of regressive eye movements. It appears as though using biased ambiguous homophones that lead the reader to select the contextually incorrect meaning of the word results in comprehension-based regressive eye movements. In contrast, using short, low frequency, content words results in oculomotor corrective regressive eye movements. One modification that can be made to this design is using better control of the word from which the regression is launched. For example, in the comprehension-based regression stimuli, we were unable to control the length or frequency of the word from which the regression was launched in the original study¹⁴. In the analyses, we included the length and frequency of that word as covariates; however, it would be best to control the words as tightly as possible so that any variability can be attributed to IOR and not to other linguistic processing.

Given the design of the experiment, researchers are only able to analyze a small subset of the data. In the original experiment, only 15% of trials involved a regression that was made to the target word for oculomotor error and only 24% of trials involved a regression that was made to the target word for comprehension difficulty¹⁴. All other trials were discarded from analyses, as they were not relevant to the research question. Thus, a limitation of this design is that it requires large sample sizes of participants and a large number of items in the experiment. A typical sample size used in reading studies may not provide enough power to detect differences when they exist.

Future research could replicate and expand on these findings by investigating whether low skill and high skill readers vary in the degree to which they make different types of regressions. It is

already known that beginning readers make more regressive eye movements than more skilled readers¹⁷, and that low-skill readers make more regressive eye movements than high skill readers¹⁸. However, it is not yet known whether there are differences between low skill and high skill readers on the IOR latency and whether they differ on the likelihood of making the two types of regressions. It is reasonable to expect that low skill readers would be more likely to make comprehension-based regressions than high skill readers would. However, there may be no differences in their probability of making oculomotor-based regressions as these rely less on the linguistic processing skills that distinguish high skill and low skill readers.

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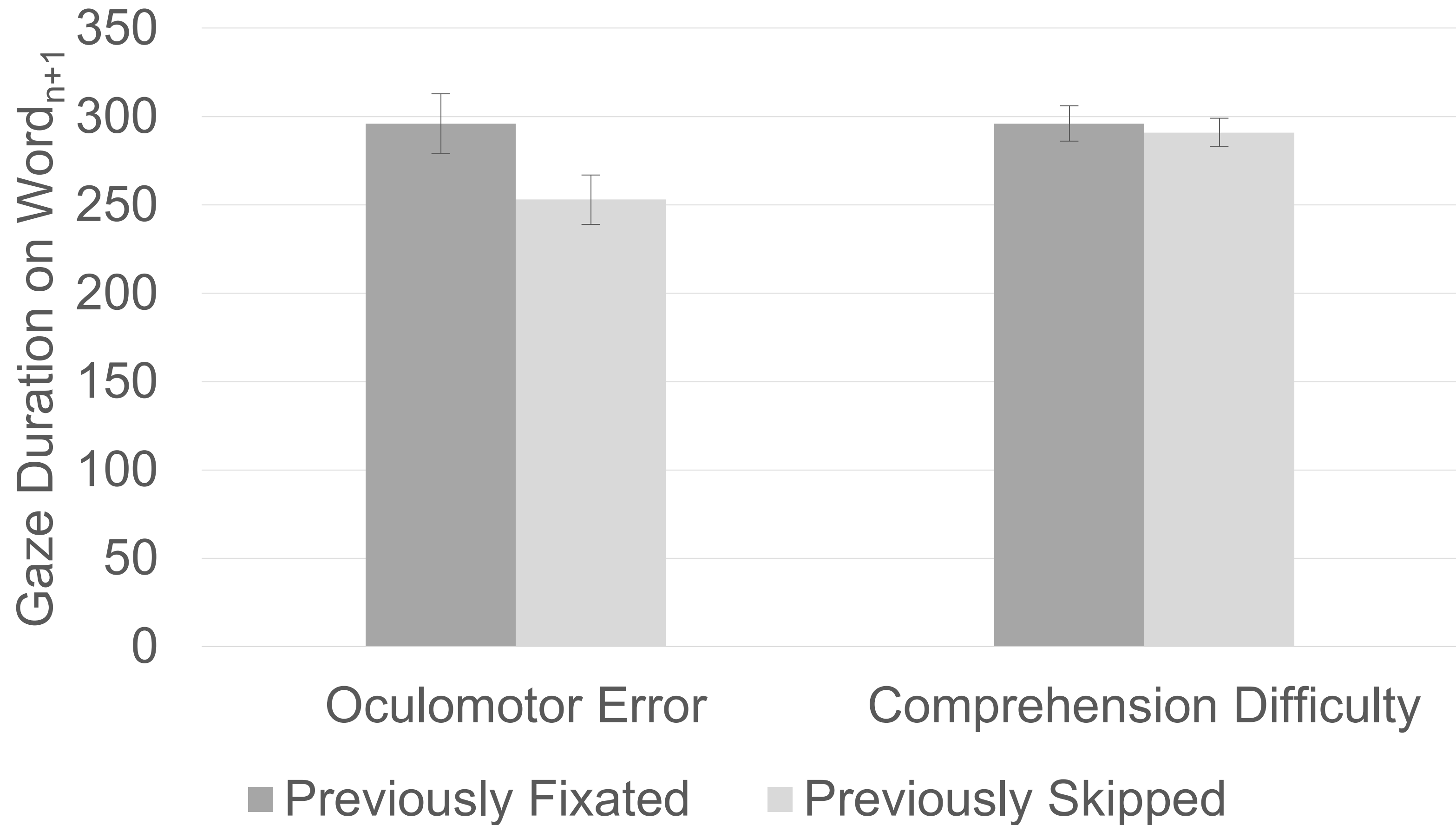
DISCLOSURES:

The authors have nothing to disclose.

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Oculomotor Error		Comprehension Difficulty	
17% Regression Rate		29% Regression Rate	
Previously Fixated	Previously Skipped	Previously Fixated	Previously Skipped
32%	68%	61%	39%

Name of Material/ Equipment	Company	Catalog Number	Comments/Description
Eyelink 1000 Plus	SR Research		Video-based eye tracker
Experiment Builder Software	SR Research		Software to build eye tracking experiments
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Eye-tracking to distinguish comprehension-based and oculomotor based regressive eye

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5-10-2018

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during
reading

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July 19, 2018

Dear JoVE Editors:

We are submitting a revised manuscript entitled “Eye-tracking to distinguish comprehension-based and oculomotor-based regressive eye movements during reading” by Jocelyn R. Folk and Michael A. Eskenazi. In the revision, we have addressed all of the editor’s and the reviewers’ comments. Please consider the revised manuscript for publication in your journal. Our responses to the editor’s and the reviewers’ comments follow. The explanation of our responses are in italics.

Sincerely,

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Editor Comments:

1. Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammar issues. The JoVE editor will not copy-edit your manuscript and any errors in the submitted revision may be present in the published version.

We have carefully proofread and edited the manuscript.

2. Please submit the figures as a vector image file to ensure high resolution throughout production: (.svg, .eps, .ai). If submitting as a .tif or .psd, please ensure that the image is 1920 pixels x 1080 pixels or 300dpi.

The files have been converted to .ai, and a PDF of that file is also included.

3. Please do NOT have references in the Abstract.

The references were removed from the Abstract.

4. For in-text formatting, corresponding reference numbers should appear as numbered superscripts after the appropriate statement(s): Rayner et al. (2003), Henderson and Luke (2012), etc.

The in-text reference formatting errors were corrected.

5. The Protocol should be made up almost entirely of discrete steps without large paragraphs of text between sections. Please simplify the Protocol so that individual steps contain only 2-3 actions per step and a maximum of 4 sentences per step.

6. The Protocol should contain only action items that direct the reader to do something. Please move the discussion about the protocol to the Discussion.

7. Please add more details to your protocol steps. Please ensure you answer the “how” question, i.e., how is the step performed? Alternatively, add references to published material specifying

how to perform the protocol action.

The protocol has been revised to address the concerns raised in 5-7.

8. Can a supplemental list of words used for the target words be provided?

We added ten example items from each experiment (2.2.6 and 2.3.2).

9. 2.2.4: How are the stimuli created? How are the stimuli presented?

This information has been added to this section.

10. 2.2.5: How is the norm done? How is the cloze task performed?

This information has been added to this section.

11. Can the comprehension questions be provided?

Added to step 3.

12. How long is the eye-tracking session? How many stimuli are presented? For how long? How many participants are there?

Added to step 4.1.

13. As currently written, it is not clear how to replicate your protocol. An example of each would greatly aid in its reproducibility. We understand that the publication standards for our journal are unusual so there may be a bit of back and forth between the review team and the authors.

Information has been added to the final paragraph about replication.

14. Please do not abbreviate journal titles.

These were corrected.

Reviewer #1

Requested to add more sample stimuli: ***Done (2.2.6 and 2.3.2).***

Reviewer #3

Requested to add how to analyze the data: ***Added linear mixed effects model information (5.4).***

Reviewer #2:

Major Concerns:

To help other researchers to replicate the protocol described in this manuscript, more detailed description of the material constructed is necessary, such as showing the mean and the standard deviation of the word length, word frequency, as well as showing the results of the cloze task.

The results of the cloze task have been added as well as the average and standard deviation of the frequency of the target word (2.2.5 and 2.2.6).

The objective criteria to define ambiguous homophones with a dominant meaning should also be explained more explicitly.

Added citation for the South Florida Homograph Norms (citation 15).

At very least, the authors should list the materials they used to obtain the representative results, as well as the number of the participants attended the experiments.

This has been added to 2.2.6, 2.3.1 & 2.3.2 for materials, and 4.1 for participants.

What is the statistical procedure used to analyze the data?

Added to step 5.4.

Minor Concerns:

In using the eyelink system, the calibration process is normally followed by a validation process.

Added to step 4.4.

In eyelink 1000 plus, the viewing is not binocular but monocular.

Whether the left eye or the right eye is measured depends on the position of the illuminator and is not randomly chosen.

Added the eye movements were measured from the right eye, deleted the binocular monocular part in 4.2.

The drift correct process described in 4.5 and 4.6 should be explained more clearly.

The font size of the text and the size of the screen should be described explicitly.

Added to 4.3.

How the interest areas are defined, automatically or manually? If manually, what is the criteria to define the boundary of the interest areas?

This information has been added to 5.2.