## **Journal of Visualized Experiments**

# Targeted and selective treatment of pluripotent stem cell-derived teratomas using external beam radiation in a small animal model --Manuscript Draft--

Article Type:	Invited Methods Article - JoVE Produced Video
Manuscript Number:	JoVE58115R1
Full Title:	Targeted and selective treatment of pluripotent stem cell-derived teratomas using external beam radiation in a small animal model
Keywords:	pluripotent stem cells, teratomas, irradiation, induced pluripotent stem cells, external beam radiation therapy, tumors
Corresponding Author:	Patricia Nguyen Stanford Hospital and Clinics Stanford , CA UNITED STATES
Corresponding Author's Institution:	Stanford Hospital and Clinics
Corresponding Author E-Mail:	pknguyen@stanford.edu
Order of Authors:	Karim Sallam
	June-Wha Rhee
	Jessica D'addabbo
	Andrew S Lee
	Edward Graves
	Patricia Nguyen
Additional Information:	
Question	Response
Please indicate whether this article will be Standard Access or Open Access.	Standard Access (US\$2,400)
Please indicate the <b>city, state/province, and country</b> where this article will be <b>filmed</b> . Please do not use abbreviations.	300 Pasteur Drive, Stanford, CA 94305

#### TITLE:

2 Targeted and Selective Treatment of Pluripotent Stem Cell-derived Teratomas Using External 3 Beam Radiation in a Small-animal Model

4 5

1

## **AUTHORS AND AFFILIATIONS:**

Karim Sallam<sup>1,2</sup>, June Rhee<sup>1,2</sup>, Jessica D'addaboo<sup>1,2</sup>, Andrew Lee<sup>1,2,3,4,5</sup>, Edward Graves<sup>3,4,6</sup>, and 6

7 Patricia K. Nguyen<sup>1,2</sup>

8

- 9 <sup>1</sup>Stanford Cardiovascular Institute, Stanford University School of Medicine, Stanford, CA, United
- 10 States of America
- 11 <sup>2</sup>Department of Medicine, Division of Cardiology, Stanford University School of Medicine,
- 12 Stanford, CA, United States of America
- 13 <sup>3</sup>Department of Pathology, Stanford University School of Medicine, Stanford, CA, United States
- 14 of America
- 15 <sup>4</sup>Department of Radiology, Molecular Imaging Program, Stanford University School of Medicine,
- 16 Stanford, CA, United States of America
- 17 <sup>5</sup>Peking University Shenzhen Health Science Institute, Shenzhen, China
- 18 <sup>6</sup>Department of Radiation Oncology, Stanford University School of Medicine, Stanford, CA, United
- 19 States of America

20 21

## **Corresponding Author:**

- 22 Patricia K. Nguven (pknguyen@stanford.edu)
- 23 300 Pasteur Drive, Stanford CA 94305

24 25

#### **KEYWORDS:**

pluripotent stem cells, teratomas, irradiation, induced pluripotent stem cells, external beam radiation therapy, tumors

27 28 29

30

31

32

26

## **SUMMARY:**

Research on treatment strategies for pluripotent stem cell-derived teratomas is important for the clinical translation of stem cell therapy. Here, we describe a protocol to, first, generate stem cell-derived teratomas in mice and, then, to selectively target and treat these tumors in vivo using a small-animal irradiator.

33 34 35

## **ABSTRACT:**

36 The growing number of victims of "stem cell tourism," the unregulated transplantation of stem 37 cells worldwide, has raised concerns about the safety of stem cell transplantation. Although the 38 transplantation of differentiated rather than undifferentiated cells is common practice, 39 teratomas can still arise from the presence of residual undifferentiated stem cells at the time of 40 transplant or from spontaneous mutations in differentiated cells. Because stem cell therapies are 41 often delivered into anatomically sensitive sites, even small tumors can be clinically devastating, 42 resulting in blindness, paralysis, cognitive abnormalities, and cardiovascular dysfunction. Surgical

43 access to these sites may also be limited, leaving patients with few therapeutic options. Controlling stem cell misbehavior is, therefore, critical for the clinical translation of stem cell therapy.

External beam radiation offers an effective means of delivering targeted therapy to decrease the teratoma burden while minimizing injury to surrounding organs. Additionally, this method avoids genetic manipulation or viral transduction of stem cells—which are associated with additional clinical safety and efficacy concerns. Here, we describe a protocol to create pluripotent stem cell-derived teratomas in mice and to apply external beam radiation therapy to selectively ablate these tumors *in vivo*.

## **INTRODUCTION:**

The development of stem cell therapies for tissue regeneration has encountered a number of barriers in the past several decades, hampering efforts for efficient clinical deployment. These hurdles include poor cell retention at sites of delivery, stem cell immunogenicity, and the neoplastic potential to form teratomas<sup>1</sup>. Tumorigenicity is of particular clinical concern as it can potentially harm stem cell transplant recipients<sup>2</sup>. Accounts of tumor formation due to unregulated stem cell injections have already been reported in multiple clinical settings<sup>3-5</sup>. The potential for teratoma formation is the most frequently cited clinical concern in pluripotent stem cell (PSC) development and has resulted in delays and cancellations of multiple high-profile embryonic stem cell (ESC) and induced pluripotent stem cell (iPSC) trials<sup>6-9</sup>. Thus, there is a pressing need for a translational investigation dedicated toward providing appropriate treatment, should these iatrogenic tumors arise.

To date, most strategies to control stem cell misbehavior have focused on reducing the number of PSCs with tumorigenic potential $^{2,10}$ . Unfortunately, only a small number of residual cells (e.g.,  $1 \times 10^4$  to  $1 \times 10^5$  cells $^{11}$ ) is required for teratoma formation, which is far below the detection limit quoted by currently available assays $^{12,13}$ . Other limitations of using these preseparation methods include low efficiency and high expense, reliance on single-cell suspensions that may not be appropriate for newer tissue-engineering approaches, and the potential impairment of cell survival and engraftment.

Few studies have addressed treatment options following teratoma formation. Perhaps the most well-studied strategy is the incorporation of "suicide" genes into stem cells<sup>14,15</sup>. This method involves genetically manipulating the stem cells to incorporate an inducible apoptosis-activating gene that is inducible by pharmacological stimulation postinjection, thereby providing a rescue approach if injected cells produce teratomas. This approach, however, suffers from significant drawbacks, including off-target effects of genetic modifications of PSCs and the potential for a gradual development of drug resistance<sup>16</sup>. A similar approach utilizes small molecules to induce selective cell death of PSCs via the inhibition of anti-apoptotic pathways<sup>17</sup>. Other groups have targeted cell death of PSCs using antibodies against pluripotency surface markers, such as podocalyxin-like protein-1 (PODXL)<sup>18</sup>. The timing of small-molecule or antibody delivery stands to have a significant impact on the therapeutic potential of PSCs if delivered too early and may lack therapeutic efficacy if delivered too late. In addition, the systemic effects of small molecules and antibodies used in this fashion have not been studied.

An alternative approach to treating these tumors relies on using external beam radiation therapy (EBRT). EBRT is one of the primary modalities currently employed in the treatment of solid tumors<sup>19</sup>. Innovations in EBRT, including the development of the proton beam and stereotactic radiosurgery, have enabled the enhanced targeting of pathological structures while avoiding damage to normal tissue, making conformal EBRT ideal for addressing teratoma formation in anatomically sensitive structures<sup>20</sup>. Additionally, this method avoids the genetic manipulation or viral transduction of stem cells, which are both fraught with additional clinical safety and efficacy concerns<sup>15</sup>. Finally, advances in micro-irradiators have enabled the application of EBRT in rodents<sup>21</sup>.

In this article, we demonstrate how to create a small-animal model of teratoma formation by injecting human iPSCs in mice. We then show how to apply EBRT to selectively eradicate these tumors *in vivo* with minimal damage to surrounding tissue. This approach provides a targeted therapy for PSC-derived teratomas while avoiding the off-target effects of the systemic delivery of biological molecules and peptides and the genetic manipulation of the PSCs. For investigational purposes, we offer an optional step to transduce stem cells with reporter genes to track tumor response to radiation therapy *via* bioluminescence imaging (BLI).

## PROTOCOL:

This animal experiment was approved and performed under the Institutional Review Board and the Administrative Panel on Laboratory Animal Care at Stanford University.

## 1. Cell culture of iPSCs

1.1. Grow human iPSCs derived by lentiviral reprogramming on 6-well plates coated with basement membrane matrix (*e.g.*, matrigel, referred to as matrix hereon).

1.2. Daily change the media of the iPSCs with enriched culture medium (see **Table of Materials**)
 incubating at 37 °C and 5% CO<sub>2</sub>.

1.3. Once the cells reach 80% - 90% confluence (approximately every 4 d), add 1 mL of recombinant cell-dissociation enzyme (see **Table of Materials**) per well and incubate at 37 °C for 5 min.

1.4. After 5 min, dissociate the cells from the well by pipetting, transfer them to a 15-mL tube, and centrifuge at 300 x g.

1.5. After the centrifugation, aspirate the supernatant and resuspend the cell pellet into enriched culture medium (see **Table of Materials**) enriched with Y27632 inhibitor at a 1:1,000 dilution.

1.6. Perform a cell count of the dissociated cells and replate the cells on matrix-coated 6-well plates at a density of  $2 \times 10^5$  to  $4 \times 10^5$ .

132 2. Transduction of iPSCs with a Double-fusion Reporter Gene

133

2.1. Passage iPSCs in 6-well plates as per routine and add enriched culture medium (see **Table of** Materials) containing 6 ug/mL hexadimethrine bromide.

136

Note: The ideal colony size is 200 - 400 cells/colony to yield the highest transduction efficiency.

138

2.2. Concentrate self-inactivating lentivirus carrying firefly luciferase and green fluorescent protein (FLuc-eGFP) driven by human ubiquitin promotor-C by sediment centrifugation with an SW-29 rotor at  $50,000 \times q$  for 2 h at 4 °C.

142

2.3. Add the viral concentrate to the iPSCs in a 6-well plate at a multiplicity of infection (MOI) of
 10 and incubate overnight at 37 °C at 5% CO<sub>2</sub>.

145

Note: The multiplicity of infection was determined by the expression of monomeric fluorescence protein analyzed by a fluorescence-activated cell sorting (FACS) scan.

148

2.4. The following day, remove the virus by centrifugation of the iPSC 6-well plates at  $300 \times g$  for 6 min at room temperature.

151

2.5. Change the media daily with enriched culture medium (see **Table of Materials**) and passage
 as per protocol. Utilize a fluorescence microscope to determine the approximate transduction
 efficiency for eGFP.

155

2.6. An efficiency of 30% - 40% is sufficient for FACS sorting. Proceed to the FACS of hiPSCs
 expressing eGFP if at least 30% - 40% of the cells express eGFP.

158

2.7. To confirm FLuc activity *ex vivo*, plate the cells expressing GFP sorted by FACS at a density of
 5,000 cells per well.

161162

2.8. Incubate the transduced cells and non-transduced cells (which will serve as the negative control) with the bioluminescence reporter probe D-luciferin (100  $\mu$ mol/L) for 6 h. Measure the bioluminescence with a microplate spectrofluorometer.

164165166

163

3. Transplantation of PSCs in the Dorsal Flank for Teratoma Formation in Immunodeficient Mice

167168

169

3.1. Add 1 mL of recombinant cell-dissociation enzyme mix per 6-well plate containing human iPSCs transduced with a double-fusion reporter gene (FLuc-GFP) in culture (see section 2) and incubate for 5 min.

170171

3.2. After the incubation period, disperse the cells by pipette aspiration and expression. Add an equal volume of culture medium and then centrifuge at 250 x g for 4 min.

174

- 3.3. After centrifugation is complete, aspirate the supernatant solution, resuspend the cell pellet
   in 30 μl of matrix, and place it on ice to preserve its viability prior to injection. Confirm a harvest
   of 1 x 10<sup>6</sup> cells using a hemocytometer.
- 3.4. If utilizing double-fusion reporter-gene-transfected cells, suspend the double-positive FACS
   cells (from section 2) in 30 μL of matrix.

178

181

186187

188

189

192

196

199200

201

202

203204

205206

207

208

209

210

213

216

- 3.5. Induce anesthesia using 2% isoflurane 100% oxygen in 8- to 10-week-old athymic nude mice.
- 184 3.6. Using a 28.5-G syringe, inject cell/matrix mixture (see **Table of Materials**) suspension into the subcutaneous dorsal flank, aiming for an injection of in total 5 x 10<sup>3</sup> to 5 x 10<sup>6</sup> cells.
  - 4. Bioluminescence Imaging (BLI) of Transplanted Cells to Assess Cell Survival and Teratoma Growth
- 4.1. At the desired timepoints after inoculation, perform an intraperitoneal (IP) injection of 375
   mg/kg of the reporter probe D-Luciferin into the mice.
- 4.2. 10 min after an IP injection, image the bioluminescence signal in the anesthetized animals (performed as described in step 3.5) for 30 min using 1-min acquisition windows at 5-min intervals.
- Note: Weekly image acquisitions are recommended. Anesthesia is maintained during imaging by delivering inhaled isoflurane *via* a nose cone.
  - 4.3. For data analysis, draw a region of interest (ROI) over the BLI signal and, then, normalize for the acquisition time to quantify emissions in units of maximum photons per second per square centimeter per steradian (photons/s/cm²/sr).

## 5. Teratoma Irradiation Using a Preclinical Image-guided Irradiator (Figure 1)

- 5.1. Anesthetize a mouse in a knockdown box using 2% isoflurane in 100% oxygen at a flow rate of 1 L/min. After the mouse is fully anesthetized, transfer it to the bed of an image-guided preclinical irradiator (see **Table of Materials**). Maintain anesthesia by 2% isoflurane continuously *via* a nose cone.
- 5.2. Acquire micro-CT images as a set of 400 projection images over 360° using a 40-kVp, 2-mA
   X-ray beam, and reconstruct those into volumetric images with an isotropic pixel size of 0.2 mm.
- 5.3. Plan a radiation treatment using the micro-CT images using the RT\_Image software package
   (http://rtimage.sourceforge.net/) and perform the treatment.
- Note: The treatment plan used consists of two 225-kVp X-ray beams, oriented to pass through the superficial target teratoma while skirting the surface of the rest of the mouse and sparing the

underlying viscera. The exposure times for the beams are adjusted based on quarterly system calibration data so that the dose at the center of the target tumor was 6 Gy.

5.4. Repeat the treatment process on three consecutive days to deliver a total of 18 Gy to the target tumor.

5.5. Maintain standard post-treatment care of the animals.

## **REPRESENTATIVE RESULTS:**

Injected mice typically will demonstrate teratoma growth formation after 4 - 8 weeks as confirmed by BLI imaging (Figure 2). Tumors will shrink dramatically when irradiated with a cumulative dose of 18 Gy given one month after cell delivery, resulting in a significant decrease in luciferase signal (Figure 2). Importantly, normal tissues taken 5 mm from the irradiated site do not appear to have any significant damage (Figure 3).

## **FIGURE LEGENDS:**

**Figure 1: Schematic of the protocol for the treatment of tumors with EBRT.** (A) The anesthetized animal is placed on the irradiator and immobilized. (B) A scout image is created to localize the teratoma for targeted treatment. (C) Using the RT\_Image software package, the X-ray beams are aligned to target the selected tumor. Prior to irradiation, the position of the collimator and the animal is confirmed. (D) A total of 6 Gy of radiation is delivered to the tumor target per irradiation event<sup>22</sup>.

Figure 2: Successful seeding of cells results in sizeable tumors that can be selectively treated with radiation. (A) Representative BLI of treated (right) and untreated (left) teratomas are shown. A total of  $1 \times 10^6$  human PSCs constitutively expressing FLuc/eGFP were injected to both dorsal flanks of an immunodeficient mouse. While the unirradiated side continues to grow, the irradiated side shrank dramatically as shown by the decline in the luciferase signal. (B) This line graph demonstrates the decline of the luciferase signal in irradiated vs. unirradiated PSC-derived tumors. (C) Changes in *in vivo* caliper measurements of teratomas over time. Non-irradiated teratomas increased in size over time, whereas irradiated teratomas decreased in size. (D) A gross histology of the untreated (left) and treated PSC-derived tumor (right) shows a marked reduction in size after a total of 18 Gy of irradiation<sup>22</sup>.

Figure 3: Targeted delivery results in minimal damage to surrounding tissue, including the liver, intestine, and muscle. Surrounding tissues have no signs of irradiation damage, including the preservation of cellular proliferation and an absence of cellular senescence and apoptosis. Tissues were sampled 5 mm from the irradiated sites at 14 days postirradiation. (A) Hematoxylin & Eosin staining shows the normal architecture of the adjacent tissue. (B) Ki67 staining (shown in aqua) indicates that cellular proliferation is preserved in liver, intestinal, and muscle cells. Nuclei are counterstained with 4′,6-diamidino-2-phenylindole (DAPI), shown in blue. (C) A β-galactosidase senescence assay shows no evidence of cellular aging (*i.e.*, absence of green staining). (D) Terminal deoxynucleotidyl transferase (TdT) dUTP Nick-End Labeling (TUNEL) shows

no apoptosis (*i.e.*, absence of red staining in nuclei). Nuclei are counterstained with DAPI, shown in blue<sup>22</sup>.

#### **DISCUSSION:**

Preclinical data and anecdotal cases from victims of "stem cell tourism" confirm that the risk of developing teratomas is a serious drawback associated with PSC treatments<sup>23</sup>. Development of careful approaches to prevent and treat the neoplastic risk associated with stem cell therapies is, therefore, an important step in facilitating the clinical translation of regenerative stem cell therapies. In this article, we described a method of therapeutic targeting of PSC-associated teratomas using EBRT in a mouse model and showed dynamic atrophy of irradiated tumors using BLI imaging.

We utilized human iPSCs, created by a lentivirus reprogramming method and injected in a nude mice model to recapitulate the formation of teratomas *in vivo*. The use of nude mice avoids an early immunogenic rejection by a cross-species injection of cells. While the use of immune-deficient mice potentiates tumorigenic potential, the same protocol could be applied in immunocompetent mice utilizing murine PSCs. We further transduced the PSCs used in this paper with a double-fusion reporter gene that enabled serial bioluminescence imaging of the delivered cells *in vivo*. The use of reporter gene imaging enabled the serial tracking of the PSC or PSC-derivatives *in vivo* without having to rely on necropsy and histology to track the size or growth of the tumor<sup>24</sup>. Prior studies have confirmed the correlation between BLI signal intensity and tumor size<sup>25,26</sup>. Labeling the PSCs with a double-fusion reporter gene is an optional step that can be bypassed in favor of other methods of tumor burden quantification, such as necropsy.

For modifications to the radiation protocol, different dosages may be applied for preclinical tumor treatments. For the purposes of this paper, we have elected to treat the representative animals with 18 Gy administered in three doses of 6 Gy given over 3 continuous days. The advantage of not administering all 18 Gy in one setting is that lower dosages of radiation spaced apart limit adjacent tissue damage and morbidity secondary to EBRT. Patients receiving EBRT in clinical settings often receive low dosages spaced over many different treatments for these same reasons<sup>27</sup>. Other steps of the protocol should be followed as outlined.

Tumor ablation through irradiation is a promising treatment strategy for stem cell-derived teratomas, which are often delivered into surgically inaccessible areas. This study provides evidence that EBRT constitutes an effective tool for the treatment of PSC-derived teratomas. This simple approach requires the acquisition of high-resolution CT images of a subject, after which a series of radiation beams could be prescribed to irradiate a target to a desired dose while avoiding adjacent tissue<sup>20</sup>. In this study, two tangential beams were used to treat a subcutaneous PSC-derived teratoma while sparing the surrounding tissue, as well as the contralateral control teratoma. EBRT tumor treatment is both efficient and robust, and clinically feasible, in contrast to methods that rely on small molecules, antibodies, and pre-separation to prevent tumor formation.

There are significant advantages to this approach. First, external beam radiation is a clinically accepted modality of oncologic treatment that has been used in the treatment of many tumor types, including germ cell tumors<sup>19</sup>. Unlike other treatment strategies, EBRT does not modify the functional properties of the stem cells prior to or during cell delivery<sup>15</sup>. Moreover, EBRT does not interfere with the mode or number of cells delivered and, thus, has minimal impact on their potential efficacy. Targeted irradiation also reduces the off-target damage to other organs, compared to chemotherapy. Finally, regardless of pretreatment strategy, EBRT provides a "fail-safe" option which can be relied on in the event of tumor formation. Nonetheless, there are limitations to the future adoption of EBRT for treating stem cell-associated teratoma. First, the process requires repeated imaging and delivery of therapy, which, from a clinical standpoint, can be cumbersome. Also, depending on the location of the stem cell delivery, this approach may prove higher risk if radiosensitive tissue is in the beam pathway. Lastly, if stem cells disseminate systemically beyond the sites of injection and form teratomas in multiple organs, it may become difficult to apply this strategy without significant patient morbidity.

In conclusion, we provide a model of creating PSC-derived teratomas in a mouse model and demonstrate a reliable method of micro-CT irradiation that enables the targeted reduction of tumor burden. These methods can be used to compare the therapeutic efficacy of EBRT with other teratoma treatment strategies or to evaluate the value of EBRT in eradicating other types of tumors.

#### **ACKNOWLEDGMENTS:**

The authors would like to thank the National Institutes of Health R01 HL134830 (PKN), K08 HL135343 (KS), and 5F32HL134221 (JWR); the Howard Hughes Medical Institute (ASL); and the Stanford Cardiovascular Institute (ASL) for their support.

#### **DISCLOSURES:**

333 The authors have nothing to disclose.

## **REFERENCES:**

1. Sallam, K., Wu, J. C. Embryonic stem cell biology: insights from molecular imaging. *Methods in Molecular Biology*. **660**, 185-199 (2010).

2. Lee, A. S., Tang, C., Rao, M. S., Weissman, I. L., Wu, J. C. Tumorigenicity as a clinical hurdle for pluripotent stem cell therapies. *Nature Medicine*. **19** (8), 998-1004 (2013).

3. Amariglio, N. *et al.* Donor-derived brain tumor following neural stem cell transplantation in an ataxia telangiectasia patient. *PLOS Medicine*. **6** (2), e1000029, (2009).

4. Kuriyan, A. E. *et al.* Vision Loss after Intravitreal Injection of Autologous "Stem Cells" for AMD. *The New England Journal of Medicine.* **376** (11), 1047-1053, (2017).

5. Berkowitz, A. L. *et al.* Glioproliferative Lesion of the Spinal Cord as a Complication of "Stem-Cell Tourism". *The New England Journal of Medicine*. **375**, 196-198 (2016).

350

6. Zhang, W. Y., de Almeida, P. E., Wu, J. C. Teratoma formation: A tool for monitoring pluripotency in stem cell research. In *StemBook*. The Stem Cell Research Community (2012).

353

7. Scott, C. T., Magnus, D. Wrongful termination: lessons from the Geron clinical trial. *STEM CELLS Translational Medicine*. **3** (12), 1398-1401 (2014).

356

8. Strauss, S. Geron trial resumes, but standards for stem cell trials remain elusive. *Nature Biotechnology.* **28** (10), 989-990 (2010).

359

9. Coghlan, A. Unexpected mutations put stem cell trial on hold. *New Scientist.* **227** (3033), 9 (2015).

362

10. Tang, C. *et al.* An antibody against SSEA-5 glycan on human pluripotent stem cells enables removal of teratoma-forming cells. *Nature Biotechnology.* **29** (9), 829-834 (2011).

365

11. Lee, A. S. *et al.* Effects of cell number on teratoma formation by human embryonic stem cells. *Cell Cycle.* **8** (16), 2608-2612 (2009).

368

12. Tano, K. *et al.* A novel in vitro method for detecting undifferentiated human pluripotent stem cells as impurities in cell therapy products using a highly efficient culture system. *PLoS One.* **9** (10), e110496 (2014).

372

13. Kuroda, T. *et al.* Highly sensitive in vitro methods for detection of residual undifferentiated cells in retinal pigment epithelial cells derived from human iPS cells. *PLoS One.* **7** (5), e37342 (2012).

376

14. Cao, F. *et al.* In vivo visualization of embryonic stem cell survival, proliferation, and migration after cardiac delivery. *Circulation.* **113** (7), 1005-1014 (2006).

379

15. Cao, F. *et al.* Molecular imaging of embryonic stem cell misbehavior and suicide gene ablation.

Cloning Stem Cells. **9** (1), 107-117 (2007).

382

383 16. Kotini, A. G., de Stanchina, E., Themeli, M., Sadelain, M., Papapetrou, E. P. Escape Mutations, 384 Ganciclovir Resistance, and Teratoma Formation in Human iPSCs Expressing an HSVtk Suicide 385 Gene. *Molecular Therapy - Nucleic Acids.* **5**, e284 (2016).

386

387 17. Smith, A. J. *et al.* Apoptotic susceptibility to DNA damage of pluripotent stem cells facilitates pharmacologic purging of teratoma risk. *STEM CELLS Translational Medicine*. **1** (10), 709-718 (2012).

390

391 18. Choo, A. B. *et al.* Selection against undifferentiated human embryonic stem cells by a cytotoxic antibody recognizing podocalyxin-like protein-1. *Stem Cells.* **26** (6), 1454-1463 (2008).

393

- 394 19. Yorke, E., Gelblum, D., Ford, E. Patient safety in external beam radiation therapy. *American Journal of Roentgenology.* **196** (4), 768-772 (2011).
- 396 397 20. Zhou, H. *et al.* Development of a micro-computed tomography-based image-guided
  - conformal radiotherapy system for small animals. *International Journal of Radiation Oncology* •
  - 399 *Biology Physics.* **78** (1), 297-305 (2010).
- 400
  - 401 21. Slatkin, D. N., Spanne, P., Dilmanian, F. A., Gebbers, J. O., Laissue, J. A. Subacute
  - 402 neuropathological effects of microplanar beams of x-rays from a synchrotron wiggler.
- 403 Proceedings of the National Academy of Sciences of the United States of America. **92** (19), 8783-
- 404 8787 (1995).

405

- 406 22. Lee, A. S. *et al.* Brief Report: External Beam Radiation Therapy for the Treatment of Human
- 407 Pluripotent Stem Cell-Derived Teratomas. *Stem Cells.* **35** (8), 1994-2000 (2017).

408

- 23. Berkowitz, A. L. *et al.* Glioproliferative Lesion of the Spinal Cord as a Complication of "Stem-
- 410 Cell Tourism". *The New England Journal of Medicine*. **375** (2), 196-198 (2016).

411

- 412 24. Swijnenburg, R. J. et al. In vivo imaging of embryonic stem cells reveals patterns of survival
- and immune rejection following transplantation. Stem Cells and Development. 17 (6), 1023-1029
- 414 (2008).

415

- 25. Cao, F. *et al.* Noninvasive de novo imaging of human embryonic stem cell-derived teratoma
- 417 formation. Cancer Research. **69** (7), 2709-2713 (2009).

418

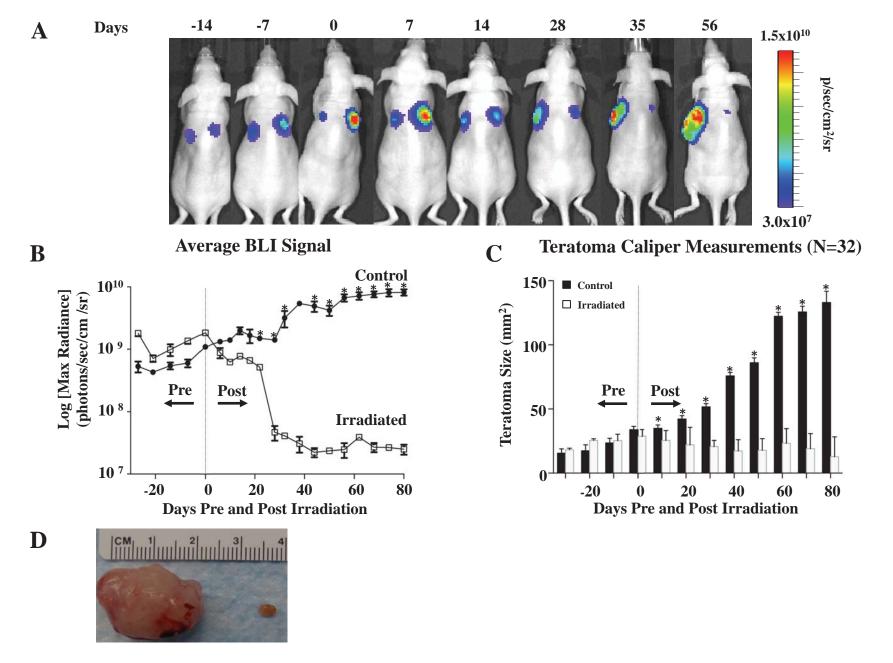
- 26. Priddle, H. *et al.* Bioluminescence imaging of human embryonic stem cells transplanted in
- 420 vivo in murine and chick models. *Cloning and Stem Cells.* **11** (2), 259-267 (2009).

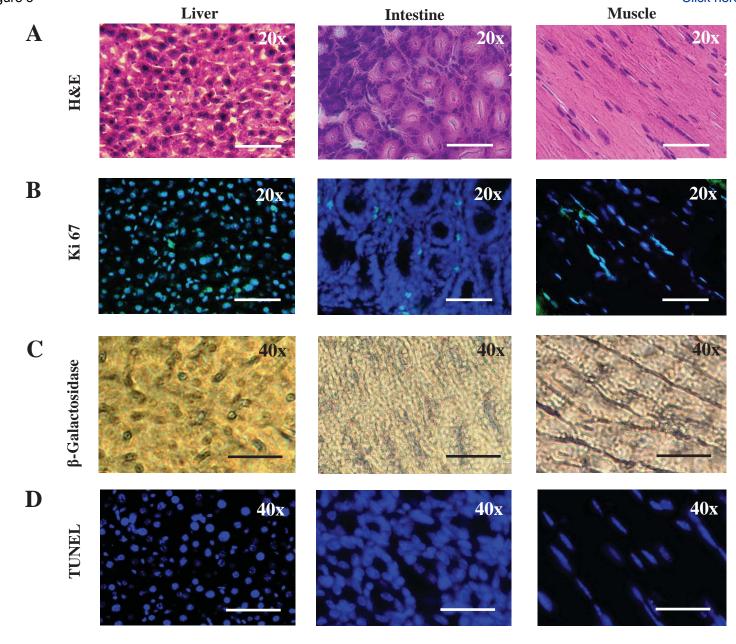
421

- 422 27. Dale, R. G. Dose-rate effects in targeted radiotherapy. *Physics in Medicine & Biology.* **41** (10),
- 423 1871-1884 (1996).

424

Animal placement and immobilization	CT imaging	Target identification	<ul><li>Collimator positioning</li><li>Animal positioning</li><li>Verification</li></ul>	Radiotherapy delivery
1 minute	5 minutes	5 minutes	1 minute	10-20+ minutes
$\mathbf{A}$	В		C	D
				Time





Name of Material/ Equipment	Company	<b>Catalog Number</b>
Induced Pluripotent Stem Cell Control Line	Stanford University	Nguyen Lab
Corning matrigel basement membrane		
matrix 354234	Fisher Scientific	CB-40234
Essential 8 culture medium	ATCC-The global bioresource center	30-2203
Tryple E	Gibco	12605-036
Y27632 inhibitor 2 HCL (ROCK Inhibitor)	Fisher Scientific	S104950MG
Lentivirus	Cyagen	P170721-1001cjn
Polyrbrene Infection/Transfection		
Reagent	Millipore Sigma	TR-1003-G
Fluc-eGFP reporter gene driven by		
ubiquitin promoter	Stanford University	Sam Gambhir lab
D-luciferin	Perkin Elmer	122799
Flow cytometer (BD FACSARIA III)	BD Biosciences	FACSAria
microplate spectrofluorometer (Glomax		
Navigator System)	Promega Bio Systems, Sunnyvale, CA	GM2000
Xenogen IVIS 200	Perkin Elmer	124262
Isoflurane	Sigma-Aldrich	CDS019936
X-Rad SmART image-guided irradiator	Precision X-ray Inc., North Branford, CT	X-Rad SmART
RT_Image software package	Stanford University ( http://rtimage.sourceforge.net/)	RT_Image v0.2β

Comments/Description	
·	
Cell culture of iPSC	
Cell culture of iPSC	
Cell culture of iPSC	
Cell culture of iPSC	
a II	
Cell culture of iPSC	
Transduction of iPSC with double fusion reporter gene	
Transduction of iPSC with double fusion reporter gene	
Transduction of iPSC with double fusion reporter gene	
Transduction of iPSC with double fusion reporter gene and BLI	
Transduction of iPSC with double fusion reporter gene	
Transduction of iPSC with double fusion reporter gene	
BLI	
irradiation	
irradiation	
Irradiation	



## ARTICLE AND VIDEO LICENSE AGREEMENT

Title of Article:	Targeted and selective treatment of pluripotent stem cell-derived teratomas using external beam radiation in a small animal model
Author(s):	Karim Sallam1, June-Wha Rheel, Isaac Bakerman, Andrew S. Lee, Edward Graves, and Patricia K. Nguyen
Item 1 (check one	box): The Author elects to have the Materials be made available (as described at
http://www.j	ove.com/author) via: 🔍 Standard Access 🔲 Open Access
Item 2 (check one bo	x):
The Auth	or is NOT a United States government employee.
	hor is a United States government employee and the Materials were prepared in the or her duties as a United States government employee.
	or is a United States government employee but the Materials were NOT prepared in the or her duties as a United States government employee.

## **ARTICLE AND VIDEO LICENSE AGREEMENT**

- 1. Defined Terms. As used in this Article and Video License Agreement, the following terms shall have the following meanings: "Agreement" means this Article and Video License Agreement; "Article" means the article specified on the last page of this Agreement, including any associated materials such as texts, figures, tables, artwork, abstracts, or summaries contained therein; "Author" means the author who is a signatory to this Agreement; "Collective Work" means a work, such as a periodical issue, anthology or encyclopedia, in which the Materials in their entirety in unmodified form, along with a number of other contributions, constituting separate and independent works in themselves, are assembled into a collective whole; "CRC License" means the Creative Commons Attribution-Non Commercial-No Derivs 3.0 Unported Agreement, the terms and conditions of which can be found http://creativecommons.org/licenses/by-ncnd/3.0/legalcode; "Derivative Work" means a work based upon the Materials or upon the Materials and other preexisting works, such as a translation, musical arrangement, dramatization, fictionalization, motion picture version, sound recording, art reproduction, abridgment, condensation, or any other form in which the Materials may be recast, transformed, or adapted; "Institution" means the institution, listed on the last page of this Agreement, by which the Author was employed at the time of the creation of the Materials; "JoVE" means MyJove Corporation, a Massachusetts corporation and the publisher of The Journal of Visualized Experiments; "Materials" means the Article and / or the Video; "Parties" means the Author and JoVE; "Video" means any video(s) made by the Author, alone or in conjunction with any other parties, or by JoVE or its affiliates or agents, individually or in collaboration with the Author or any other parties, incorporating all or any portion of the Article, and in which the Author may or may not appear.
- 2. <u>Background</u>. The Author, who is the author of the Article, in order to ensure the dissemination and protection of the Article, desires to have the JoVE publish the Article and create and transmit videos based on the Article. In furtherance of such goals, the Parties desire to memorialize in this Agreement the respective rights of each Party in and to the Article and the Video.
- 3. Grant of Rights in Article. In consideration of JoVE agreeing to publish the Article, the Author hereby grants to JoVE, subject to Sections 4 and 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Article in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Article into other languages, create adaptations, summaries or extracts of the Article or other Derivative Works (including, without limitation, the Video) or Collective Works based on all or any portion of the Article and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. If the "Open Access" box has been checked in Item 1 above, JoVE and the Author hereby grant to the public all such rights in the Article as provided in, but subject to all limitations and requirements set forth in, the CRC License.



## ARTICLE AND VIDEO LICENSE AGREEMENT

- 4. Retention of Rights in Article. Notwithstanding the exclusive license granted to JoVE in **Section 3** above, the Author shall, with respect to the Article, retain the non-exclusive right to use all or part of the Article for the non-commercial purpose of giving lectures, presentations or teaching classes, and to post a copy of the Article on the Institution's website or the Author's personal website, in each case provided that a link to the Article on the JoVE website is provided and notice of JoVE's copyright in the Article is included. All non-copyright intellectual property rights in and to the Article, such as patent rights, shall remain with the Author.
- 5. <u>Grant of Rights in Video Standard Access</u>. This **Section 5** applies if the "Standard Access" box has been checked in **Item 1** above or if no box has been checked in **Item 1** above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby acknowledges and agrees that, Subject to **Section 7** below, JoVE is and shall be the sole and exclusive owner of all rights of any nature, including, without limitation, all copyrights, in and to the Video. To the extent that, by law, the Author is deemed, now or at any time in the future, to have any rights of any nature in or to the Video, the Author hereby disclaims all such rights and transfers all such rights to JoVE.
- 6. Grant of Rights in Video Open Access. This Section 6 applies only if the "Open Access" box has been checked in Item 1 above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby grants to JoVE, subject to Section 7 below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Video in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Video into other languages, create adaptations, summaries or extracts of the Video or other Derivative Works or Collective Works based on all or any portion of the Video and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. For any Video to which this Section 6 is applicable, JoVE and the Author hereby grant to the public all such rights in the Video as provided in, but subject to all limitations and requirements set forth in, the CRC License.
- 7. <u>Government Employees.</u> If the Author is a United States government employee and the Article was prepared in the course of his or her duties as a United States government employee, as indicated in **Item 2** above, and any of the licenses or grants granted by the Author hereunder exceed the scope of the 17 U.S.C. 403, then the rights granted hereunder shall be limited to the maximum rights permitted under such

- statute. In such case, all provisions contained herein that are not in conflict with such statute shall remain in full force and effect, and all provisions contained herein that do so conflict shall be deemed to be amended so as to provide to JoVE the maximum rights permissible within such statute.
- 8. <u>Likeness, Privacy, Personality</u>. The Author hereby grants JoVE the right to use the Author's name, voice, likeness, picture, photograph, image, biography and performance in any way, commercial or otherwise, in connection with the Materials and the sale, promotion and distribution thereof. The Author hereby waives any and all rights he or she may have, relating to his or her appearance in the Video or otherwise relating to the Materials, under all applicable privacy, likeness, personality or similar laws.
- 9. Author Warranties. The Author represents and warrants that the Article is original, that it has not been published, that the copyright interest is owned by the Author (or, if more than one author is listed at the beginning of this Agreement, by such authors collectively) and has not been assigned, licensed, or otherwise transferred to any other party. The Author represents and warrants that the author(s) listed at the top of this Agreement are the only authors of the Materials. If more than one author is listed at the top of this Agreement and if any such author has not entered into a separate Article and Video License Agreement with JoVE relating to the Materials, the Author represents and warrants that the Author has been authorized by each of the other such authors to execute this Agreement on his or her behalf and to bind him or her with respect to the terms of this Agreement as if each of them had been a party hereto as an Author. The Author warrants that the use, reproduction, distribution, public or private performance or display, and/or modification of all or any portion of the Materials does not and will not violate, infringe and/or misappropriate the patent, trademark, intellectual property or other rights of any third party. The Author represents and warrants that it has and will continue to comply with all government, institutional and other regulations, including, without limitation all institutional, laboratory, hospital, ethical, human and animal treatment, privacy, and all other rules, regulations, laws, procedures or guidelines, applicable to the Materials, and that all research involving human and animal subjects has been approved by the Author's relevant institutional review board.
- 10. <u>JoVE Discretion</u>. If the Author requests the assistance of JoVE in producing the Video in the Author's facility, the Author shall ensure that the presence of JoVE employees, agents or independent contractors is in accordance with the relevant regulations of the Author's institution. If more than one author is listed at the beginning of this Agreement, JoVE may, in its sole discretion, elect not take any action with respect to the Article until such time as it has received complete, executed Article and Video License Agreements from each such author. JoVE reserves the right, in its absolute and sole discretion and without giving any reason therefore, to accept or decline any work submitted to JoVE. JoVE and its employees, agents and independent contractors shall have



## ARTICLE AND VIDEO LICENSE AGREEMENT

full, unfettered access to the facilities of the Author or of the Author's institution as necessary to make the Video, whether actually published or not. JoVE has sole discretion as to the method of making and publishing the Materials, including, without limitation, to all decisions regarding editing, lighting, filming, timing of publication, if any, length, quality, content and the like.

11. Indemnification. The Author agrees to indemnify JoVE and/or its successors and assigns from and against any and all claims, costs, and expenses, including attorney's fees, arising out of any breach of any warranty or other representations contained herein. The Author further agrees to indemnify and hold harmless JoVE from and against any and all claims, costs, and expenses, including attorney's fees, resulting from the breach by the Author of any representation or warranty contained herein or from allegations or instances of violation of intellectual property rights, damage to the Author's or the Author's institution's facilities, fraud, libel, defamation, research, equipment, experiments, property damage, personal injury, violations of institutional, laboratory, hospital, ethical, human and animal treatment, privacy or other rules, regulations, laws, procedures or guidelines, liabilities and other losses or damages related in any way to the submission of work to JoVE, making of videos by JoVE, or publication in JoVE or elsewhere by JoVE. The Author shall be responsible for, and shall hold JoVE harmless from, damages caused by lack of sterilization, lack of cleanliness or by contamination due to the making of a video by JoVE its employees, agents or independent contractors. All sterilization, cleanliness or decontamination procedures shall be solely the responsibility of the Author and shall be undertaken at the Author's expense. All indemnifications provided herein shall include JoVE's attorney's fees and costs related to said losses or damages. Such indemnification and holding harmless shall include such losses or damages incurred by, or in connection with, acts or omissions of JoVE, its employees, agents or independent contractors.

- 12. <u>Fees</u>. To cover the cost incurred for publication, JoVE must receive payment before production and publication the Materials. Payment is due in 21 days of invoice. Should the Materials not be published due to an editorial or production decision, these funds will be returned to the Author. Withdrawal by the Author of any submitted Materials after final peer review approval will result in a US\$1,200 fee to cover pre-production expenses incurred by JoVE. If payment is not received by the completion of filming, production and publication of the Materials will be suspended until payment is received.
- 13. <u>Transfer, Governing Law</u>. This Agreement may be assigned by JoVE and shall inure to the benefits of any of JoVE's successors and assignees. This Agreement shall be governed and construed by the internal laws of the Commonwealth of Massachusetts without giving effect to any conflict of law provision thereunder. This Agreement may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to me one and the same agreement. A signed copy of this Agreement delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Agreement.

A signed copy of this document must be sent with all new submissions. Only one Agreement required per submission.

## **CORRESPONDING AUTHOR:**

Name:	Patricia K. Nguyen		
Department:	Medicine		
·	Stanford		
Institution:			
Article Title:	Targeted and selective treatment of pluripotent stem cell-derived terate external beam radiation in a small animal model	omas	using
Signature:	Patricia Nguyen  ON: cn=Patricia Nguyen, o, ou, email=patricia.knguyen@gmail.com, c=US Date: 2018.03.05 13:57:00-08'00'  Date: 2018.03.05 13:57:00-08'00'		

Please submit a signed and dated copy of this license by one of the following three methods:

- 1) Upload a scanned copy of the document as a pfd on the JoVE submission site;
- 2) Fax the document to +1.866.381.2236;
- 3) Mail the document to JoVE / Attn: JoVE Editorial / 1 Alewife Center #200 / Cambridge, MA 02139

For guestions, please email submissions@jove.com or call +1.617.945.9051

We would like to sincerely thank the editors and reviewers for reading our manuscript and their critical appraisal. We reviewed the feedback closely and incorporated significant revisions in the manuscript that addressed concerns raised and clarified. We are appreciative this feedback has enhanced the quality of the manuscript.

- Please take this opportunity to thoroughly proofread the manuscript to ensure that there are no spelling or grammatical errors.
- **Introduction:** Please expand your Introduction to include the following: The advantages over alternative techniques with applicable references to previous studies; Description of the context of the technique in the wider body of literature; Information that can help readers to determine if the method is appropriate for their application.

We have revised the introduction to focus on explaining the method in the wider context of the body of the literature.

1) Please cite relevant references to the following lines: 51-54, 76, 77-79, 80, 87.

Line 76 we removed stem cell tourism, to the rest we have added relevant references.

- Protocol Detail: Please note that your protocol will be used to generate the script for the video, and must contain everything that you would like shown in the video. Please add more specific details (e.g. button clicks for software actions, numerical values for settings, etc) your protocol steps. There should be enough detail in each step to supplement the actions seen in the video so that viewers can easily replicate the protocol. Some examples of level of detail required:
- 1) 1.1: Mention culture medium and conditions for growth.
- 2) 1.4: Mention Centrifuge duration and temperature.
- 3) 1.6: Mention counting method.
- 4) 2.2: Please cite a reference for the lentivirus used. Please also mention which ubiquitin promotor is used and at what concentration (relative to lentivirus concentration). Mention centrifugation temperature.
- 5) 2.3: How is MOI estimated?
- 6) 2.4: temperature?
- 7) 4.1: Please specify I.P injection.
- 8) Section 4: Mention BLI steps include acquisition settings, durations etc.
- 9) 5.2: Should microCT imaging be done before irradiation?
- 10) Is imaging repeated after radiation treatment?
- 11) Please add a single-line space after each step.

All above edits were incorporated.

• **Protocol Highlight:** Please highlight ~2.5 pages or less of text (which includes headings and spaces) in yellow, to identify which steps should be visualized to tell the most cohesive story of your protocol steps. Please see JoVE's instructions for authors for more clarification. Remember that the non-highlighted protocol steps will remain in the manuscript and therefore will still be available to the reader.

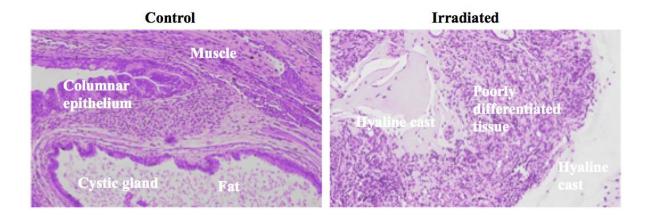
- 1) The highlighted steps should form a cohesive narrative, that is, there must be a logical flow from one highlighted step to the next.
- 2) Please highlight complete sentences (not parts of sentences). Include sub-headings and spaces when calculating the final highlighted length.
- 3) Notes cannot be filmed and should be excluded from highlighting.
- 4) Please bear in mind that software steps without a graphical user interface/calculations/command line scripting cannot be filmed.

Protocol highlighted.

## • Results:

1) Unclear how the tumor model is validated. Also it is unclear where "targeted and selective" treatment is shown.

The teratoma model has been validated in a previous study (Lee, Cell Cycle, 2009). In 2009, our group first investigated the relationship between the number of embryonic cells and the development of teratomas in immunocompromised mice. In the study, a minimum of  $1x10^5$  and  $1x10^4$  ES cells expressing a bioluminescence reporter gene were required to form teratomas in the myocardium and in skeletal muscle. The development of teratomas was monitored by serial bioluminescence imaging and confirmed by histology that showed the presence of three germ layers (e.g., cartilage [mesoderm], mucinous glandular epithelium [endoderm], and neural tissue [ectoderm]). Similarly, we demonstrated the presence of three germ layers by histology. As shown in the figure below (left), the control teratoma was trilaminar and containing mature derivatives from the three germ layers. The irradiated teratoma (right) was found to lack derivatives from all three germ layers, suggesting that radiation exposure causes cell death and inhibits differentiation of tumor cells.



2) What is the animal survival post-treatment? Do you have survival curves for the treated group?

All animals survived post treatment until they were sacrificed. We do not have survival curves for the treated groups because all the animals survived until they were sacrificed.

## 3) What is the sample size?

The sample size was 32 animals per group as indicated in figure 2.

• **Discussion:** JoVE articles are focused on the methods and the protocol, thus the discussion should be similarly focused. Please ensure that the discussion covers the following in detail and in paragraph form: 1) modifications and troubleshooting, 2) limitations of the technique, 3) significance with respect to existing methods, 4) future applications and 5) critical steps within the protocol.

We have modified the discussion section accordingly

## • Figures:

- 1) Fig 2A: please add a color bar (graded scale to indicate intensities represented by the pseudocolor) to each panel. Ideally all should be on the same scale.: reconfigure picture *The figure was modified to include color scale*
- 2) Fig 2B: Define error bars. How many animals per group? Resubmit picture
  The figure has been revised with defined error bars defined. The sample size was 32
  samples per group and this is now indicated in the figure.
  3) Fig 3C: how many animals did you see such size reduction in?
  All teratomas had a 75% reduction in size. About 30% of teratomas reached the size
  reduction depicted in the figure.
- **References:** Please make sure that your references comply with JoVE instructions for authors. Citation formatting should appear as follows: (For 6 authors or less list all authors. For more than 6 authors, list only the first author then *et al.*): [Lastname, F.I., LastName, F.I., LastName, F.I. Article Title. *Source*. **Volume** (Issue), FirstPage LastPage, doi:DOI (YEAR).]

#### Done

- Commercial Language: JoVE is unable to publish manuscripts containing commercial sounding language, including trademark or registered trademark symbols (TM/R) and the mention of company brand names before an instrument or reagent. Examples of commercial sounding language in your manuscript are Matrigel, Essential 8, TrypleE, Falcon, E8, X-Rad SmART, (Precision X-ray Inc., etc.
- 1) Please use MS Word's find function (Ctrl+F), to locate and replace all commercial sounding language in your manuscript with generic names that are not company-specific. All commercial products should be sufficiently referenced in the table of materials/reagents. You may use the generic term followed by "(see table of materials)" to draw the readers' attention to specific commercial names.

All commercial language was substituted

• Table of Materials: Please revise the table of the essential supplies, reagents, and equipment. The table should include the name, company, and catalog number of all relevant materials/software in separate columns in an xls/xlsx file. Please include items such as cells, reagents, virus, instruments etc.

#### Done

• Please define all abbreviations at first use.

#### Done

• Please use standard abbreviations and symbols for SI Units such as μL, mL, L, etc., and abbreviations for non-SI units such as h, min, s for time units. Please use a single space between the numerical value and unit.

#### Done

• If your figures and tables are original and not published previously or you have already obtained figure permissions, please ignore this comment. If you are re-using figures from a previous publication, you must obtain explicit permission to re-use the figure from the previous publisher (this can be in the form of a letter from an editor or a link to the editorial policies that allows you to re-publish the figure). Please upload the text of the re-print permission (may be copied and pasted from an email/website) as a Word document to the Editorial Manager site in the "Supplemental files (as requested by JoVE)" section. Please also cite the figure appropriately in the figure legend, i.e. "This figure has been modified from [citation]."

Done

#### Reviewer #1:

Manuscript Summary:

In their paper, the authors describe a protocol for creating pluripotent stem cell-derived teratomas in a immunedeficient mice base on External-Beam-Radiation (EBRT), thereby demonstrating a method of microCT irradiation enabling targeted reduction of tumor burden. The authors suggest this approach to be useful for comparison of the therapeutic efficacy of EBRT with other treatment strategies or evaluate the value of EBRT in eradicating other types of tumors. In my opinion, the paper deals with a highly relevant topic (as the authors state: "stem cell tourism"). The protocol is well described and understandable.

Major Concerns:

none

Minor Concerns:

Statistics of Fig. 2B are not clear to me.

We thank the reviewer for this comment. We have revised Figure 2 to display time points where there was a significant difference (\*p<0.001) in bioluminescence between the control and treated group. A repeated ANOVA was used to compare the two groups at several time points.

#### Reviewer #2:

## Manuscript Summary:

The manuscript describes a pluripotent stem-cell derived teratoma therapy by the external beam radiation in nude mice. In the abstract, introduction and discussion authors extensivelly write about "the stem cell turism" and dangers that are possible in medical practice after transplantation of stem cells or their derivatives. They say that it is important to control stem cell "misbehavior" and propose targeted external beam radiation as therapy that spares other organs. In their protocol they describe the methods that they used but some important data are missing (see below). The result is really showing that the therapy worked and that irradiated teratomas were much smaller, while the adjacent tissue seems intact.

## Major Concerns:

Maybe a bit too much was written about dangers in human therapy but I think that one cannot totally disregard safety measures such as the selection of differentiated cells because of the high cost and wait and see whether teratoma will appear or not. Maybe such a consensus will be reached among medical professionals at some point?!

We agree with the reviewer that this area remains a dynamic area in the field without clear consensus. We have shifted the focus of our introduction and discussion sections to discuss the approach of EBRT methods presented rather than the broader topic.

I suggest rather that the animal model that was used is more to roughly described and proposed for further preclinical research. What is necessary to describe:

I cannot find a description of the induced pluripotent cell line that was used for transplantation. How was it induced? Is it a mouse cell line? If so, why the nude mice were used? Please, explain.

We appreciate the reviewer allowing us to elaborate on this important point. To clarify, we use a human induced pluripotent stem cell line reprogrammed by lentivirus (hence the use of nude mice). This was clarified in the methods section. Also, we added the following statement in our discussion "The use of nude mice avoids early immunogenic rejection by cross-species infection of cells. While use of immune-deficient mice potentiates the tumorigenic potential, one could apply the same protocol in immunocompetent mice utilizing mouse pluripotent stem cells."

Explain why lentiviral vectors for reporter genes and is there a danger after their incorporation into the genome or not? Should genetics of teratoma cells be investigated at some point? Before or after therapy?

We used lentiviral vector for the double fusion reporter gene given that this approach was reliably described in the literature to label stem cells and used for in vivo tracking with no detectable impact on stem cell pluripotency or differentiation potential. Genetics of the teratoma in response to EBRT (was investigated as part of prior work Lee at al. Stem Cells 2017).

I do not see a histological analysis of the teratoma. Was it a trilaminar teratoma as required for the usual pluripotency assay? Was it immature, did it contain EC cells? What was the histology of the small tumor that remained?

This excellent point was raised by the editor and please see our detailed response above. In summary as part of prior work (Lee, Cell Cycle, 2009) we performed histological analysis of the teratoma confirming trilaminar nature and identified a population of cells expressing pluripotency markers. The residual tumor was largely made of poorly differentiated tissue and hyaline casts.

### Minor Concerns:

Some of the references are not complete. The journal and the year is mentioned but the volume and pages are missing.

This was carefully revised and formatting of the references per journal style.

## Reviewer #3:

Manuscript Summary:

The authors described the technical approach to ablate the already formed teratomas using external beam radiation therapy.

## Major Concerns:

In the abstract, the phrase "from de-differentiation" of stem cell derivatives post transplantation" should be carefully reviewed because of lack of evidence so far. I agree that it would be a possible concern. However, because there is no report that teratoma can be formed by de-differentiation of stem cells, this possibility should be discussed in the discussion if necessary.

We thank the reviewer for this insight and we have removed the phrase "de-differentiation" given the controversy surrounding this issue in the field.

The references #9 and #10 are less relevant to the notion of authors. These demonstrated that approaches to get rid of undifferentiated stem cells for inhibiting teratoma formation. Failure of isolating differentiated cells using FACS would not be relevant to the limitation of these approaches.

We appreciate the reviewer's astute point. Towards that end, we took out the FACS sorting statement and revised this portion of the introduction.

Suicide gene approach the authors criticized in the introduction, would be applied in vitro (not in vivo) to induce selective cell death of undifferentiated stem cells prior to transplantation. Thus, the 'significant drawback' that the authors described in the introduction would not be applicable. Instead, genetic modification would be issue for clinical application.

This is an excellent point the reviewer raises. We clarify that we are describing the approach of genetically manipulating pluripotent stem cells with suicide genes and then after injection using a drug. Both references cited utilize this method. This was clarified by adding the following statement to our introduction "This method involves genetically manipulating the stem cells to incorporate an inducible apoptosis activating gene that is inducible by pharmacological stimulation post-injection; providing a rescue approach if injected cells produce teratomas."

The authors should provide appropriate reference to support that "Because these tumors harbor a

small population of cells expressing pluripotent markers.". To my knowledge, presence of undifferentiated stem cells in teratomas (expressing OCT-4) is quite rare unless pluripotent stem cells are genetically unstable. Additionally, it is not clear how it can be justified that presence of 'small population of cells expressing pluripotent markers' can make this type of teratoma more radio-sensitive 'unlike other teratoma'.

We thank the reviewer for bringing up this important point. While some reports have been published suggesting there are residual cells in teratoma expressing markers of pluripotency, the impact of these cells remains unknown and controversial. Thus, we have removed this part of the introduction.

The authors achieved the regression of teratoma by 18Gy (3 X 6Gy). Unlike proton beam therapy, the normal cell damage could not be avoided. As the authors agree, due to possible normal cell damage during SBRT, radiation cancer therapy is normally applied in brain or lung, of which organ is considered to be radio-resistant. For determining radiation effect, gamma-H2AX staining should be performed in Figure 3.

We agree with the reviewer's point regarding staining for gamma-H2AX to examine the effect of cell damage. This was previously performed in a separate body of work (Lee et al. Stem Cells 2017). Our data provides a functional surrogate of drop in BLI signal of teratoma (Figure 2) and preservation of surrounding tissue (Figure 3). We feel this data is most relevant to utilizing the protocol and methods as indicated by the editors.

The authors should carefully state the risk-benefit of this approach. Thanks to development of variety of techniques to selectively ablate the undifferentiated stem cells prior to transplantation in vitro (not in vivo), the application of EBRT for teratoma formation would not be practical. I also agree that this approach would be useful once the teratoma is formed regardless of the pretreatment. Thus, 'fail-safe' approach using suicide gene system was suggested. This should be clearly noticed in the discussion.

We appreciate the reviewer's feedback. We have modified the discussion section to explicitly review the risks and benefits of this approach.

## Minor Concerns:

Discussion is too long and there are too much contents, less relevant to the main notion.

We modified the discussion section significantly based on reviewer and editor's feedback to focus primarily on the protocol outlined.

The authors need to carefully review the reference #30. This work is not relevant to the statement.

Removed

## JOHN WILEY AND SONS LICENSE **TERMS AND CONDITIONS**

Jul 25, 2018

This Agreement between Joseph C Wu ("You") and John Wiley and Sons ("John Wiley and Sons") consists of your license details and the terms and conditions provided by John Wiley and Sons and Copyright Clearance Center.

License Number 4395730414425 License date Jul 25, 2018

Licensed Content Publisher John Wiley and Sons

Licensed Content Publication Stem Cells

Licensed Content Title Brief Report: External Beam Radiation Therapy for the Treatment of

Human Pluripotent Stem Cell-Derived Teratomas

Licensed Content Author Andrew S. Lee, Chad Tang, Wan Xing Hong, et al

Licensed Content Date Jul 10, 2017

Licensed Content Volume 35 Licensed Content Issue 8 Licensed Content Pages 7

Type of use Journal/Magazine

Author of this Wiley article Requestor type

Is the reuse sponsored by or no

associated with a

pharmaceutical or medical

products company?

**Format** Print and electronic

**Portion** Figure/table

Number of figures/tables 3

Original Wiley figure/table

number(s)

Figure 1, Supplemental Figure 2, Supplemental Figure 8

Will you be translating? No

100000 Circulation

Title of new article Targeted and selective treatment of pluripotent stem cell-derived

teratomas using external beam radiation in a small animal model

Publication the new article is JOVE

Publisher of new article **JOVE** 

Author of new article Andrew Lee, Patricia Nguyen, Karim Sallam, June Rhee and Edward

Graves

Expected publication date of Oct 2018

new article

Estimated size of new article 8

(pages)

Requestor Location Joseph C Wu

Stanford School of Medicine 300 Pasteur Drive, Grant S140

Stanford, CA 94305 United States Attn: Joseph C Wu

Publisher Tax ID EU826007151
Total 0.00 USD

Terms and Conditions

## **TERMS AND CONDITIONS**

This copyrighted material is owned by or exclusively licensed to John Wiley & Sons, Inc. or one of its group companies (each a"Wiley Company") or handled on behalf of a society with which a Wiley Company has exclusive publishing rights in relation to a particular work (collectively "WILEY"). By clicking "accept" in connection with completing this licensing transaction, you agree that the following terms and conditions apply to this transaction (along with the billing and payment terms and conditions established by the Copyright Clearance Center Inc., ("CCC's Billing and Payment terms and conditions"), at the time that you opened your RightsLink account (these are available at any time at <a href="http://myaccount.copyright.com">http://myaccount.copyright.com</a>).

#### **Terms and Conditions**

- The materials you have requested permission to reproduce or reuse (the "Wiley Materials") are protected by copyright.
- You are hereby granted a personal, non-exclusive, non-sub licensable (on a standalone basis), non-transferable, worldwide, limited license to reproduce the Wiley Materials for the purpose specified in the licensing process. This license, and any CONTENT (PDF or image file) purchased as part of your order, is for a one-time use only and limited to any maximum distribution number specified in the license. The first instance of republication or reuse granted by this license must be completed within two years of the date of the grant of this license (although copies prepared before the end date may be distributed thereafter). The Wiley Materials shall not be used in any other manner or for any other purpose, beyond what is granted in the license. Permission is granted subject to an appropriate acknowledgement given to the author, title of the material/book/journal and the publisher. You shall also duplicate the copyright notice that appears in the Wiley publication in your use of the Wiley Material. Permission is also granted on the understanding that nowhere in the text is a previously published source acknowledged for all or part of this Wiley Material. Any third party content is expressly excluded from this permission.
- With respect to the Wiley Materials, all rights are reserved. Except as expressly granted by the terms of the license, no part of the Wiley Materials may be copied, modified, adapted (except for minor reformatting required by the new Publication), translated, reproduced, transferred or distributed, in any form or by any means, and no derivative works may be made based on the Wiley Materials without the prior permission of the respective copyright owner. For STM Signatory Publishers clearing permission under the terms of the STM Permissions Guidelines only, the

terms of the license are extended to include subsequent editions and for editions in other languages, provided such editions are for the work as a whole in situ and does not involve the separate exploitation of the permitted figures or extracts, You may not alter, remove or suppress in any manner any copyright, trademark or other notices displayed by the Wiley Materials. You may not license, rent, sell, loan, lease, pledge, offer as security, transfer or assign the Wiley Materials on a stand-alone basis, or any of the rights granted to you hereunder to any other person.

- The Wiley Materials and all of the intellectual property rights therein shall at all times remain the exclusive property of John Wiley & Sons Inc, the Wiley Companies, or their respective licensors, and your interest therein is only that of having possession of and the right to reproduce the Wiley Materials pursuant to Section 2 herein during the continuance of this Agreement. You agree that you own no right, title or interest in or to the Wiley Materials or any of the intellectual property rights therein. You shall have no rights hereunder other than the license as provided for above in Section 2. No right, license or interest to any trademark, trade name, service mark or other branding ("Marks") of WILEY or its licensors is granted hereunder, and you agree that you shall not assert any such right, license or interest with respect thereto
- NEITHER WILEY NOR ITS LICENSORS MAKES ANY WARRANTY OR REPRESENTATION OF ANY KIND TO YOU OR ANY THIRD PARTY, EXPRESS, IMPLIED OR STATUTORY, WITH RESPECT TO THE MATERIALS OR THE ACCURACY OF ANY INFORMATION CONTAINED IN THE MATERIALS, INCLUDING, WITHOUT LIMITATION, ANY IMPLIED WARRANTY OF MERCHANTABILITY, ACCURACY, SATISFACTORY QUALITY, FITNESS FOR A PARTICULAR PURPOSE, USABILITY, INTEGRATION OR NON-INFRINGEMENT AND ALL SUCH WARRANTIES ARE HEREBY EXCLUDED BY WILEY AND ITS LICENSORS AND WAIVED BY YOU.
- WILEY shall have the right to terminate this Agreement immediately upon breach of this Agreement by you.
- You shall indemnify, defend and hold harmless WILEY, its Licensors and their respective directors, officers, agents and employees, from and against any actual or threatened claims, demands, causes of action or proceedings arising from any breach of this Agreement by you.
- IN NO EVENT SHALL WILEY OR ITS LICENSORS BE LIABLE TO YOU OR ANY OTHER PARTY OR ANY OTHER PERSON OR ENTITY FOR ANY SPECIAL, CONSEQUENTIAL, INCIDENTAL, INDIRECT, EXEMPLARY OR PUNITIVE DAMAGES, HOWEVER CAUSED, ARISING OUT OF OR IN CONNECTION WITH THE DOWNLOADING, PROVISIONING, VIEWING OR USE OF THE MATERIALS REGARDLESS OF THE FORM OF ACTION, WHETHER FOR BREACH OF CONTRACT, BREACH OF WARRANTY, TORT, NEGLIGENCE, INFRINGEMENT OR OTHERWISE (INCLUDING, WITHOUT LIMITATION, DAMAGES BASED ON LOSS OF PROFITS, DATA, FILES, USE, BUSINESS OPPORTUNITY OR CLAIMS OF THIRD PARTIES), AND WHETHER OR NOT THE PARTY HAS BEEN ADVISED OF THE POSSIBILITY

## OF SUCH DAMAGES. THIS LIMITATION SHALL APPLY NOTWITHSTANDING ANY FAILURE OF ESSENTIAL PURPOSE OF ANY LIMITED REMEDY PROVIDED HEREIN.

- Should any provision of this Agreement be held by a court of competent jurisdiction to be illegal, invalid, or unenforceable, that provision shall be deemed amended to achieve as nearly as possible the same economic effect as the original provision, and the legality, validity and enforceability of the remaining provisions of this Agreement shall not be affected or impaired thereby.
- The failure of either party to enforce any term or condition of this Agreement shall not constitute a waiver of either party's right to enforce each and every term and condition of this Agreement. No breach under this agreement shall be deemed waived or excused by either party unless such waiver or consent is in writing signed by the party granting such waiver or consent. The waiver by or consent of a party to a breach of any provision of this Agreement shall not operate or be construed as a waiver of or consent to any other or subsequent breach by such other party.
- This Agreement may not be assigned (including by operation of law or otherwise) by you without WILEY's prior written consent.
- Any fee required for this permission shall be non-refundable after thirty (30) days from receipt by the CCC.
- These terms and conditions together with CCC's Billing and Payment terms and conditions (which are incorporated herein) form the entire agreement between you and WILEY concerning this licensing transaction and (in the absence of fraud) supersedes all prior agreements and representations of the parties, oral or written. This Agreement may not be amended except in writing signed by both parties. This Agreement shall be binding upon and inure to the benefit of the parties' successors, legal representatives, and authorized assigns.
- In the event of any conflict between your obligations established by these terms and conditions and those established by CCC's Billing and Payment terms and conditions, these terms and conditions shall prevail.
- WILEY expressly reserves all rights not specifically granted in the combination of (i) the license details provided by you and accepted in the course of this licensing transaction, (ii) these terms and conditions and (iii) CCC's Billing and Payment terms and conditions.
- This Agreement will be void if the Type of Use, Format, Circulation, or Requestor Type was misrepresented during the licensing process.
- This Agreement shall be governed by and construed in accordance with the laws of the State of New York, USA, without regards to such state's conflict of law rules. Any legal action, suit or proceeding arising out of or relating to these Terms and Conditions or the breach thereof shall be instituted in a court of competent jurisdiction in New York County in the State of New York in the United States of America and

each party hereby consents and submits to the personal jurisdiction of such court, waives any objection to venue in such court and consents to service of process by registered or certified mail, return receipt requested, at the last known address of such party.

## WILEY OPEN ACCESS TERMS AND CONDITIONS

Wiley Publishes Open Access Articles in fully Open Access Journals and in Subscription journals offering Online Open. Although most of the fully Open Access journals publish open access articles under the terms of the Creative Commons Attribution (CC BY) License only, the subscription journals and a few of the Open Access Journals offer a choice of Creative Commons Licenses. The license type is clearly identified on the article.

## The Creative Commons Attribution License

The <u>Creative Commons Attribution License (CC-BY)</u> allows users to copy, distribute and transmit an article, adapt the article and make commercial use of the article. The CC-BY license permits commercial and non-

## **Creative Commons Attribution Non-Commercial License**

The <u>Creative Commons Attribution Non-Commercial (CC-BY-NC)License</u> permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.(see below)

## Creative Commons Attribution-Non-Commercial-NoDerivs License

The <u>Creative Commons Attribution Non-Commercial-NoDerivs License</u> (CC-BY-NC-ND) permits use, distribution and reproduction in any medium, provided the original work is properly cited, is not used for commercial purposes and no modifications or adaptations are made. (see below)

## Use by commercial "for-profit" organizations

Use of Wiley Open Access articles for commercial, promotional, or marketing purposes requires further explicit permission from Wiley and will be subject to a fee. Further details can be found on Wiley Online Library <a href="http://olabout.wiley.com/WileyCDA/Section/id-410895.html">http://olabout.wiley.com/WileyCDA/Section/id-410895.html</a>

#### Other Terms and Conditions:

## v1.10 Last updated September 2015

Questions? <a href="mailto:com/customercare@copyright.com">customercare@copyright.com</a> or +1-855-239-3415 (toll free in the US) or +1-978-646-2777.