

Journal of Visualized Experiments

Intravenous and Intra-amniotic in Utero Transplantation in the Murine Model

--Manuscript Draft--

Article Type:	Methods Article - JoVE Produced Video
Manuscript Number:	JoVE58047R2
Full Title:	Intravenous and Intra-amniotic in Utero Transplantation in the Murine Model
Keywords:	in utero transplantation murine vitelline vein intravenous intra-amniotic injections
Corresponding Author:	Nicholas J Ahn, M.D. CHILDRENS HOSPITAL OF PHILADELPHIA Philadelphia, PA UNITED STATES
Corresponding Author's Institution:	CHILDRENS HOSPITAL OF PHILADELPHIA
Corresponding Author E-Mail:	nick8627@gmail.com
First Author:	Nicholas J Ahn, M.D.
Other Authors:	John D Stratigis
	Hyun-Duck Nah-Cederquist
	William H Peranteau
Author Comments:	Nicholas J Ahn would like to be the corresponding author for the submission process but Dr. William H Peranteau will be the corresponding author for the manuscript.
Additional Information:	
Question	Response
If this article needs to be "in-press" by a certain date, please indicate the date below and explain in your cover letter.	

The Center for Fetal Research



Abramson Pediatric Research Center, Rm 1116E
3615 Civic Center Boulevard
Philadelphia, PA 19104-4318
phone - (215)590-4810; fax - (215)590-3324

PI, William H. Peranteau, M.D.
Email: peranteauw@email.chop.edu

2/7/2018

Dear Editor,

Please find enclosed our manuscript entitled "*Intravenous and Intra-amniotic in Utero Transplantation in the Murine Model*" that we would like to be considered for publication in Journal of Visualized Experiments. This paper describes a detailed protocol for performing in utero transplantation in the murine fetus through intravenous and intra-amniotic routes. We consider of value publishing these data in Journal of Visualized Experiments, as they describe a unique *in vivo* approach to studying stem cell biology, developmental immunology, tolerance induction, and prenatal gene therapy/genome editing. The techniques presented in this paper and demonstrated in video format will be highly useful for researchers working to test new ideas and develop new therapies for devastating congenital genetic, hematologic, immune and metabolic disorders.

John Stratigis and Nicholas Ahn performed the experiments, analyzed the data, and wrote the manuscript. During the preparation and submission of this manuscript, Indrani Mukherjee has kindly assisted us.

Thank you for your consideration of this manuscript. We look forward to hearing from you.

Sincerely,

A handwritten signature in black ink, appearing to read 'William H. Peranteau', with a long, sweeping horizontal line extending to the right.

William H. Peranteau, MD

TITLE:

Intravenous and Intra-amniotic *In Utero* Transplantation in the Murine Model

AUTHORS AND AFFILIATIONS:

Nicholas J Ahn¹, John D Stratigis¹, Hyun-Duck Nah-Cederquist², William H. Peranteau¹

¹Division of General, Thoracic, and Fetal Surgery, Center for Fetal Research, Children's Hospital of Philadelphia, Philadelphia, PA

²Division of Plastic Reconstructive Surgery, Center for Fetal Research, Children's Hospital of Philadelphia, Philadelphia, PA

Corresponding Author:

William H. Peranteau (peranteauw@email.chop.edu)

3615 Civic Center Blvd

ARC1116E

Philadelphia, PA 19104

Phone: 215-590-4810

Fax: 215-590-3324

Email Addresses of Co-Authors:

Nicholas J Ahn (nick8627@gmail.com)

John D Stratigis (stratigisj@gmail.com)

Hyun-Duck Nah-Cederquist (NAH@email.chop.edu)

KEYWORDS:

In utero transplantation, murine, vitelline vein, intravenous, intra-amniotic, injections

SHORT ABSTRACT:

We describe a protocol for performing an *in utero* transplantation (IUT) through intravenous and intra-amniotic routes of injection in the murine model. This protocol can be used to introduce cells, viral vectors, and other substances into the unique immune-tolerant fetal environment.

LONG ABSTRACT:

In utero transplantation (IUT) is a unique and versatile mode of therapy that can be used to introduce stem cells, viral vectors, or any other substances early in the gestation. The rationale behind IUT for therapeutic purposes is based on the small size of the fetus, the fetal immunologic immaturity, the accessibility and proliferative nature of the fetal stem or progenitor cells, and the potential to treat a disease or the onset of symptoms prior to birth. Taking advantage of these normal developmental properties of the fetus, the delivery of hematopoietic stem cells (HSC) *via* an IUT has the potential to treat congenital hematologic disorders such as sickle cell disease, without the required myeloablative or immunosuppressive conditioning required for postnatal HSC transplants. Similarly, the accessibility of progenitor cells in multiple organs during development potentially allows for a more efficient targeting of stem/progenitor cells following an IUT of viral vectors for gene therapy or genome editing. Additionally, IUT can be used to study

normal developmental processes including, but not limited to, the development of immunologic tolerance. The murine model provides a valuable and affordable means to understanding the potential and limitations of IUT prior to pre-clinical large animal studies and an eventual clinical application. Here, we describe a protocol for performing an IUT in the murine fetus through intravenous and intra-amniotic routes. This protocol has been used successfully to elucidate the necessary conditions and mechanisms behind *in utero* hematopoietic stem cell transplantation, tolerance induction, and *in utero* gene therapy.

INTRODUCTION:

Recent advances in antenatal screening and diagnosis have brought to light the possibility of treating the fetus for a number of congenital disorders which do not have adequate postnatal treatment options and result in significant morbidity and mortality. Specifically, *in utero* hematopoietic stem cell transplantation (IUHCT) and gene therapy/genome editing have the potential to take advantage of normal developmental properties of the fetus to treat congenital hematologic, immune, and genetic disorders more efficiently than postnatal HSC transplantation and gene therapy/genome editing can do^{1,2}. Specifically, due to the small size of the fetus, the donor cell or viral vector dose can be maximized per the weight of the recipient. Additionally, the immunologic immaturity of the fetus allows donor HSCs to be injected without the myeloablative and immunosuppressive conditioning that is required in postnatal transplant protocols. Similarly, viral vectors carrying a therapeutic transgene or genome editing technology can be injected without a limiting immune response to either the transgene product or the viral vector. Finally, the accessibility and proliferative nature of fetal stem/progenitor cells afford the possibility of a more efficient transduction of target progenitor cells, as well as certain modes of genome editing (homology-directed repair) which require cycling cells to occur efficiently. The murine model serves as an insightful and affordable means to address important questions in stem cell biology and immunology prior to experimenting in pre-clinical large animal models and, as such, has served as the primary model in which IUHCT and *in utero* gene therapy have been explored¹⁻³.

Although many variables play an important role in the success of IUHCT and *in utero* gene therapy/genome editing in murine and large animal models, a key variable is the method of delivery of the HSCs or viral vector. The delivery of large doses of donor HSCs with a first-pass effect occurring in the fetal liver, the hematopoietic organ at the time of the IUHCT, has been shown to be instrumental in achieving macrochimeric levels of engraftment in mouse and large animal models^{4,5}. This was achieved *via* an injection of donor cells *via* the vitelline vein in the mouse model and *via* an intra-cardiac injection in the canine model. The route of injection also plays a fundamental role in targeting progenitor cells of different organs during development. For example, an intravenous injection *via* the vitelline vein has been shown to efficiently transduce cardiomyocytes and hepatocytes following a late gestation injection^{6,7}. Alternatively, an intra-amniotic injection of viral vectors allows the targeting of organs that are physically exposed based on the embryonic folding/development at the time of the injection⁸. This is best exemplified by the targeting of respiratory epithelium *via* an intra-amniotic injection late in the gestation to take advantage of normal fetal “breathing” movements, which exposes the respiratory tract to the viral vector in the amniotic fluid⁹. These two modes of IUT, intravenous *via* the vitelline vein and intra-amniotic, have been the basis for multiple past and ongoing

experiments in our laboratory. In this protocol, we describe in detail the methods for performing intravenous and intra-amniotic IUT in the murine model.

PROTOCOL:

The experimental protocols were approved by the Institutional Animal Care and Use Committee at The Children's Hospital of Philadelphia.

1. Creation of Injection Pipettes

1.1. Using a vertical micropipette puller, pull a 100 μ L microcapillary pipette (**Figures 1A - 1C**). Calibrate the micropipette puller so that the tapered end is > 1 cm long.

Note: Initially, the settings of the puller should be adjusted for an optimum length. A higher heat setting will make the tip longer, and a higher pull setting will make the diameter of the tip narrower.

1.2. Cut the tapered end so that it is ≥ 1 cm long. Ensure that the internal diameter at the tip of the needle is between 70 μ m and 100 μ m and that it is inversely proportional to the length of the tapered end.

Note: The internal diameter also depends on the calibration of the micropipette puller. See the instructions from the manufacturer of the vertical micropipette puller or any preferred micropipette puller type.

1.3. After ensuring that the needle has the correct internal diameter, create the bevel of 15 - 20 degrees by sharpening the tip using a micropipette beveller with a diamond sharpening wheel (**Figures 2A - 2C**). Make sure to rest the tip gently on the wheel without too much pressure, to decrease chances of breaking or cracking the tip.

Note: A paintbrush can be used to wipe away any debris that builds up on the needle tip.

1.4. Evaluate the tip under a microscope and ensure that the tip is round without any chips or cracks. Reevaluate the internal diameter to make sure it is between 70 μ m and 100 μ m (**Figures 2D - 2H**).

1.5. Draw lines on the rest of the needle to designate 5 μ L of volume between them (*e.g.*, needles with an internal diameter of 1.3 mm should have lines drawn at 3.77 mm increments).

1.6. Place the needles under UV light for at least 1 h for sterilization.

2. In utero Injections

2.1. Prepare the necessary instruments by autoclaving them ahead of time. Include essential instruments such as microinjector needle holder, a surgical needle driver, a pair of Adson forceps,

a pair of curved regular tissue scissors, a 1 mL insulin syringe, a couple of cotton-tip applicators, a transfer pipette, a 50 mL conical tube, and a pack of 4-0 polyglactin 910 sutures.

2.2. Using a sterile technique, attach the needle to the needle holder and plug it into the microinjector.

Note: The settings of the compressed nitrogen used are as followed: inject 4 - 6 psi, balance 0 psi. Depending on what is being injected, specifically, the viscosity of the injectate, as well as the size of the micropipette, the injection times vary between 0.3 - 1.5 s.

2.3. Clean out the needle tip of any possible debris by drawing up 5 - 10 μ L of sterile 1x phosphate-buffered saline (PBS) and then clearing it out. Repeat this 2 - 3x.

2.4. Prepare pregnant 2- to 6-month-old female mice for surgery by shaving their abdomens with a clipper. Be careful not to damage the nipples. If needed, administer oral pain medication (*e.g.*, 100 μ L of 1.5 mg/mL meloxicam oral suspension per mouse).

2.5. Start filling the needle with the desired material (cells/vector/drug) at the desired volume. Be careful not to break the needle tip while filling the needle.

Note: The volume of injection per fetus varies depending on the specific experimental design. 20 μ L works well for injecting a large number of cells (*i.e.*, up to 10^7 cells into the vitelline vein. For instance, we delivered 1×10^7 whole bone marrow cells isolated from C57BL/6TgN(act-EGFP)Osby01 ["B6 Green Fluorescent Protein (GFP)"] mice *via* the vitelline vein into gestational day-14 Balb/c fetuses. For viral vector injections, a single injection of 10 μ L of a 1:1 diluted vector with PBS works well.

2.6. To calibrate the injection time, take the following steps.

2.6.1. Push the **Mode** button 3x on the microinjector to get to the injection calibration screen. Adjust the injection time by adding intervals of 10 or 100 ms and push the **Mode** button 2x.

2.6.2. Push the **Balance** button and push the foot pedal once. Now push the pedal again and assess how much volume is emptied out of the needle per push. If not calibrated to the desired volume of 5 - 20 μ L per pedal push, repeat steps 2.6.1 and 2.6.2.

Note: Generally, it is good to calibrate each push to deliver half the total target volume at a time. While it is possible to inject more than 30 μ L or even 40 μ L of the total volume, we do not generally go over 20 μ L per fetus, intravenous or intra-amniotic.

2.7. Fill the needle up to the desired level.

2.8. Start delivering anesthesia to the mouse by adjusting the oxygen flowmeter to 1 L/min and the isoflurane vaporizer to 3%.

2.9. Confirm whether the mouse is anesthetized by checking for the absence of the pedal reflex. Transfer the mouse to a heating pad in a supine position.

2.10. Apply lubricant eye gel to avoid corneal desiccation. Secure the mouse in place by taping the upper and lower limbs to the pad.

2.11. Prep the abdomen with chlorhexidine or alcohol wipes and inject a local anesthetic (*e.g.*, 100 μ L of 0.25% bupivacaine) subcutaneously (**Figure 3A**).

2.12. With scissors, make a 1 - 2 cm skin incision so that the lower border is no closer than 1 cm to the introitus; the fascia beneath is very thin and translucent.

2.13. Identify the midline of the fascia which is more transparent than the surrounding area. Be careful not to injure the epigastric vessels which lie on either side of the midline. Should the epigastric vessels get injured, hold pressure with cotton-tip applicators to stop the bleeding.

2.14. Using Adson forceps, pinch the fascia without grabbing any of the underlying organs such as the intestines, bladder, or fetuses. Open the fascia with scissors, being careful not to damage any of the organs. Once safely in the abdomen, extend the fascial incision. Make it no longer than the skin incision.

2.15. Use cotton-tip applicators to move the intestines into the upper part of the abdomen, thus exposing the gravid uterus. Deliver the uterus out of the incision, carefully identifying the right and left ovaries to ensure all fetuses are counted (**Figure 3B**).

2.16. Place the left uterus back into the abdomen so that only the right uterus is exposed; this prevents the desiccation of the uterus and keeps the non-injected fetuses warm.

2.17. Hold the most lateral fetus/amniotic sac between the thumb and index fingers of the operator's non-dominant hand (**Figure 3C**). Always be gentle as to not cause any damage to the fetuses.

2.18. Position the dissection microscope (a 10X magnification is ideal) and adjust the focus so that the fetus is in view. Adjust the lighting for a better visualization.

2.19. Identify the part that will be injected (vitelline vein, amnion). For intravenous injections, visualize both the vitelline veins and their anastomosis first. For intra-amniotic injections, orient the fetus with the right side in view.

2.20. Reach the target space with the needle as described below.

2.20.1. For an **intravenous injection**, do as follows.

2.20.1.1. Rotate the uterus so that the vitelline vein that is being injected is parallel to the tip of the needle; keep in mind that the injections must be made towards the anastomosis of the two veins.

2.20.1.2. Lay the needle on the uterus at a 5° angle and pierce the uterine wall. Now that the tip is between the uterine wall and the amniotic sac, place the tip directly atop the vitelline vein.

2.20.1.3. At a nearly tangential angle, glide the needle over the vein until the bevel pierces and advances into the vessel; this is evident by a flash of blood seen in the needle tip (**Figure 3D**).

Note: Accessing the vein may take a few tries as the needle may not pierce the vein with the first glide over the vein.

2.20.2. For an **intra-amniotic injection**, do as follows.

2.20.2.1. Rotate the amniotic sac and find a location devoid of vessels to pierce.

2.20.2.2. Point the needle perpendicular to the uterine wall and pierce through the uterus, the yolk sac, and then the amniotic sac. Be careful not to pierce through any fetal tissue. Make sure the needle has passed between the limbs as this confirms that the needle is in the amniotic sac. Then proceed with the injection.

2.21. Inject the appropriate volume of material desired (usually 10 - 20 µL) by pushing the foot pedal.

Note: Because the injector must maintain the visualization of the needle tip through the microscope at all times, a second person must read the markings on the needle to quantify the injected amount and inform the injector how much volume is left to inject. A discussion prior to the injection between the injector and assistant is important to avoid any confusion and delay. This is especially important for intravenous injections because a delayed removal of the needle will allow a backflow of venous blood into the needle and result in an inaccurate dosing.

2.22. Withdraw the needle from the injection site once the desired volume is delivered. As there may be some bleeding from the vessel puncture site with intravenous injections, hold pressure with the side of the needle for 10 - 15 s to stop the bleeding.

2.23. Proceed to the next fetus. Continue until all the fetuses of the right uterine horn have been injected.

2.24. Remove the left uterine horn from the abdomen and replace the right uterine horn back inside the peritoneal cavity.

Note: Occasionally, the needle needs to be refilled with the injectant.

2.25. Once all fetuses have been injected, replace the uterus into the abdomen (**Figure 3E**). Make sure to avoid a uterine or intestinal volvulus.

2.26. With a disposable transfer pipette, place roughly 2 mL of 1x PBS into the abdomen to replace any insensible losses.

2.27. Close the fascia and abdomen in one continuous layer using 4-0 polyglactin 910 sutures to avoid injuring the underlying organs during the closure (**Figures 3F - 3G**).

2.28. Remove the tape and transfer the mouse to a cage underneath a heat lamp. Be careful not to place the heat lamp too close to the mouse. Make sure the cage has bedding, food, and water.

Note: A thermostatically controlled warm chamber may also be used. The mouse is awake when it is upright and walking.

2.29. Observe the mouse daily and give pain medication as needed.

Note: We routinely give meloxicam on postoperative days 1 and 2, and sometimes on day 3 if the mouse shows signs of pain.

2.30. If doing a batch surgery with the same injection material, clean out the injection needle with sterile PBS. If injecting with a different material, dispose of the needle in a sharps container and use a new needle.

Note: We recommend fostering the pups with surrogate dams immediately after birth in case the dam mounts an immune response to the injectant and transfers antibodies to the pups *via* breast milk.

REPRESENTATIVE RESULTS:

Survival and engraftment are important measures of success for IUHCT experiments. Depending on the specific endpoints of an experiment, fetuses that received an IUHCT may be analyzed prenatally by a C-section or postnatally. On average, the survival rates after intravenous injections range from 75 - 100%. The survival rates after intra-amniotic injections tend to fair better than intravenous injections, at around 85 - 100%.

In our laboratory, the training process to attain proficiency in these techniques takes approximately 8 - 12 months. To assess the acquisition of the skills required to perform these injections in a reproducible fashion, trainees are monitored for fetal survival and donor cell engraftment at short time points following the IUT. This is demonstrated by the following quality control experiments. Specifically, 1×10^7 whole bone marrow cells are isolated from C57BL/6TgN(act-EGFP)OsbY01 ("B6 GFP") mice as previously described⁵ and are injected *via* the vitelline vein into gestational day-14 Balb/c fetuses. In one group, the IUT was performed by an experienced instructor, and in the other group by a trainee who has been practicing the technique for ~ 4 months. Representative fluorescent microscopy images of the harvested fetal

livers 24 h after the IUT are shown in **Figure 4A**. The livers of the fetuses who received the IUT by the trainee fluoresce less due to a lower engraftment of the transplanted GFP cells. These livers were then analyzed for GFP⁺ donor cells by flow cytometry to quantify the engraftment levels. The difference in mean engraftment levels between the two injectors correlates with the images seen under fluorescent microscopy (**Figure 4B**).

The ability of viral vectors delivered *via* the intra-amniotic route to transduce cells in contact with the amniotic fluid is exemplified by the transduction of epithelial cells 48 h following an intra-amniotic injection of Ad-GFP (an adenovirus vector carrying GFP transgene¹⁰) in gestational day-12.5 fetuses (**Figures 5A and 5B**).

FIGURE LEGENDS:

Figure 1. The process of making a glass pipette needle with the micropipette puller. (A) Mount the glass pipette and secure it by tightening the dials on either end of the puller. (B) Once secured, the pulling switch activates the heat. The settings shown are Heat #1: 985 and Pull: 27. The dark cover glass should be closed during this process for safety. It was opened for photoshoot purposes. (C) The micropipette is separated. Discard the bottom portion and take the top portion of the separated micropipette for the next steps.

Figure 2. The process of grinding the micropipette to shape the tip of the needle. (A) This panel shows the general setup of the grinding. A light source is needed to visualize the tip under the microscope. (B and C) Mount the micropipette at a 15 - 20° angle. (D) A buildup of debris is expected throughout the grinding process. Use a paintbrush to clear the tip to get a better visualization of the grinding process. (E) A well-ground needle tip without any identifiable chips or jagged edges is shown under a 4X magnification. (F, G, and H) A re-inspection of the ground needle under a 10X magnification shows a well-ground needle with a sharp tip and smooth edges around the tip. Make sure to check the needle at different angles.

Figure 3. Laparotomy and *in utero* transplantation. (A) Shave, anesthetize, and tape a pregnant dam and prep her abdomen. (B) After the laparotomy, deliver the entirety of the uterus outside the abdomen for an identification of all fetuses. (C) Position the fetus with the surgeon's non-dominant index finger and thumb while maintaining tension with the third finger. Identify the tip of the needle under the microscope in relation to the fetus. (D) A flash of blood back-flowing into the micropipette needle must be seen upon the cannulation of the vitelline vein. (E) After a completion of all injections, place all the fetuses back into the abdomen. (F) Close the abdomen with a single-layer running stitch using 4-0 polygalactin 910 sutures. (G) Once the abdomen is fully closed, let the dam recover under a heat lamp.

Figure 4. Engraftment of donor whole bone marrow mononuclear cells after a vitelline vein injection. (A) The difference in the degree of engraftment with (trainee) and without (instructor) the leakage of cells is shown clearly under fluorescent microscopy. (B) Percent chimerism also reflected the same finding shown by the flow cytometry analysis. Each data point represents the

liver from a different injected fetus. The experiment was performed by one trainee and one instructor. The error bars represent a standard deviation (SD).

Figure 5. The expression pattern of green fluorescent protein in a fetal embryo 48 h after an intra-amniotic injection of Ad-GFP. (A) This panel shows the cornea (red arrow) and skin stained with GFP at E14.5 after an intra-amniotic injection of Ad-GFP at E12.5 (E12.5/E14.5). **(B)** A cryosection of the back of the embryo (indicated with a light blue box in panel **A**) at a higher magnification shows that the viral transduction is limited to the superficial peridermal cell layer (red arrows) and not epidermis (epi).

DISCUSSION:

In utero transplantation is a potential therapy for many congenital disorders that can be diagnosed early in gestation. The murine model for IUT allows researchers to explore the fetal environment or to experiment with different therapies. Depending on what is being injected and what is being targeted, intravenous or intra-amniotic *in utero* transplantation can provide a reliable delivery of an injectant into the desired space.

When targeting specific organs, it is important to pick the appropriate embryological age of the fetus as well as the injection technique. While the intravenous injection of cells at E14 is ideal for targeting the hematologic niche, and the intra-amniotic injection at E16 is ideal for lung targeting, these are not the only options available. For example, intra-amniotic injections can be performed for fetuses as early as E8 with ultrasound guidance⁸. Systemic delivery is also possible before E14 with ultrasound-guided intra-cardiac injections at E9 - E10¹¹. The feasibility of performing injections at various stages of the fetus' development offers great potential for experiments investigating the safety and efficiency of gene transfers and cell transplants as well as investigating basic questions of developmental biology.

Furthermore, in addition to an intravenous and intra-amniotic delivery, other sites are also available for targeting depending on the purpose of the therapy or the scientific question being pursued. The *in utero* intramuscular approach has been used for a gene transfer for muscular dystrophies¹², an intraspinal approach for the transduction of spinal cord motor neurons¹³, and an intracranial approach for gene transfers to target central nervous system diseases¹⁴. For *in utero* hematopoietic cell transplantation, intrahepatic and intraperitoneal routes are additional viable options as each route of delivery ultimately targets the hematopoietic niche¹⁵. However, the intravenous transplantation route allows for a more efficient homing of the donor cells into the hematopoietic niche and a larger dosing of the donor cells, thus resulting in overall higher levels of stable long-term donor cell engraftment without added fetal mortality¹.

The protocols we have detailed above for performing IUT are powerful and versatile tools that allow for a unique *in vivo* approach to studying stem cell biology, developmental immunology and immunologic tolerance induction, developmental biology, and prenatal gene therapy/genome editing. These delivery methods also have relevant clinical implications and have been the basis for studies of IUHCT and *in utero* gene therapy in pre-clinical large animal models such as the canine and the ovine model^{4,16}. They will continue to serve as a valuable tool

to test new ideas in developmental biology and explore new therapies for devastating congenital genetic, hematologic, immune, and metabolic disorders.

DISCLOSURES:

The authors have nothing to disclose.

REFERENCES:

1. Loukogeorgakis, S., Flake, A. *In utero* stem cell and gene therapy: current status and future perspectives. *European Journal of Pediatric Surgery*. **24**, 237-245 (2014).
2. Vrecenak, J., Flake, A. *In utero* hematopoietic cell transplantation: recent progress and the potential for clinical application. *Cytotherapy*. **15**, 525-535 (2013).
3. Peranteau, W. *et al.* Correction of murine hemoglobinopathies by prenatal tolerance induction and postnatal nonmyeloablative allogeneic BM transplants. *Blood*. **126** (10), 1245-1254 (2015).
4. Vrecenak, J. *et al.* Stable Long-Term Mixed Chimerism Achieved in a Canine Model of Allogeneic *in utero* Hematopoietic Cell Transplantation. *Blood*. **124** (12), 1987-1995 (2014).
5. Peranteau, W. *et al.* CD26 Inhibition Enhances Allogeneic Donor-Cell Homing and Engraftment after *in utero* Hematopoietic-Cell Transplantation. *Blood*. **108** (13), 4268-4274 (2006).
6. Waddington, S. *et al.* *In utero* gene transfer of human factor IX to fetal mice can induce postnatal tolerance of the exogenous clotting factor. *Blood*. **101** (4), 1359-1366 (2003).
7. Stitelman, D. *et al.* Developmental Stage Determines Efficiency of Gene Transfer to Muscle Satellite Cells by *in utero* Delivery of Adeno-Associated Virus Vector Serotype 2/9. *Molecular Therapy - Methods & Clinical Development*. **1**, 14040 (2014).
8. Endo, M. *et al.* Gene Transfer to Ocular Stem Cells by Early Gestational Intraamniotic Injection of Lentiviral Vector. *Molecular Therapy*. **15** (3), 579-587 (2007).
9. Boelig, M. *et al.* The Intravenous Route of Injection Optimizes Engraftment and Survival in the Murine Model of *In utero* Hematopoietic Cell Transplantation. *Biology of Blood and Marrow Transplantation*. **22** (6), 991-999 (2016).
10. Wu, C. *et al.* Intra-amniotic Transient Transduction of the Periderm with a Viral Vector Encoding TGF β 3 Prevents Cleft Palate in *Tgfb3*^{-/-} Mouse Embryos. *Molecular Therapy*. **1**, 8-17 (2013).
11. Roybal, J., Endo, M., Radu, A., Zoltick, P., Flake, A. Early gestational gene transfer of IL-10 by systemic administration of lentiviral vector can prevent arthritis in a murine model. *Gene Therapy*. **18** (7), 719-726 (2011).

- 440 12. Reay, D. *et al.* Full-Length Dystrophin Gene Transfer to the Mdx Mouse *in utero*. *Gene*
441 *Therapy*. **15** (7), 531-536 (2008).
442
- 443 13. Ahmed, S., Waddington, S., Boza-Morán, M., Yáñez-Muñoz, R. High-Efficiency Transduction
444 of Spinal Cord Motor Neurons by Intrauterine Delivery of Integration-Deficient Lentiviral Vectors.
445 *Journal of Controlled Release*. **273**, 99-107 (2018).
446
- 447 14. Haddad, M., Donsante, A., Zervas, P., Kaler, S. Fetal Brain-Directed AAV Gene Therapy Results
448 in Rapid, Robust, and Persistent Transduction of Mouse Choroid Plexus Epithelia. *Molecular*
449 *Therapy - Nucleic Acids*. **2**, e101 (2013).
450
- 451 15. Nijagal, A., Le, T., Wegorzewska, M., MacKenzie, T. A mouse model of *in utero*
452 transplantation. *Journal of Visualized Experiments*. (47), e2303 (2011).
453
- 454 16. Davey, M., *et al.* Jaagsiekte Sheep Retrovirus Pseudotyped Lentiviral Vector-Mediated Gene
455 Transfer to Fetal Ovine Lung. *Gene Therapy*. **19** (2), 201-209 (2011).
456
457
458

Figure 1

[Click here to download Figure Figure 1.jpg](#)

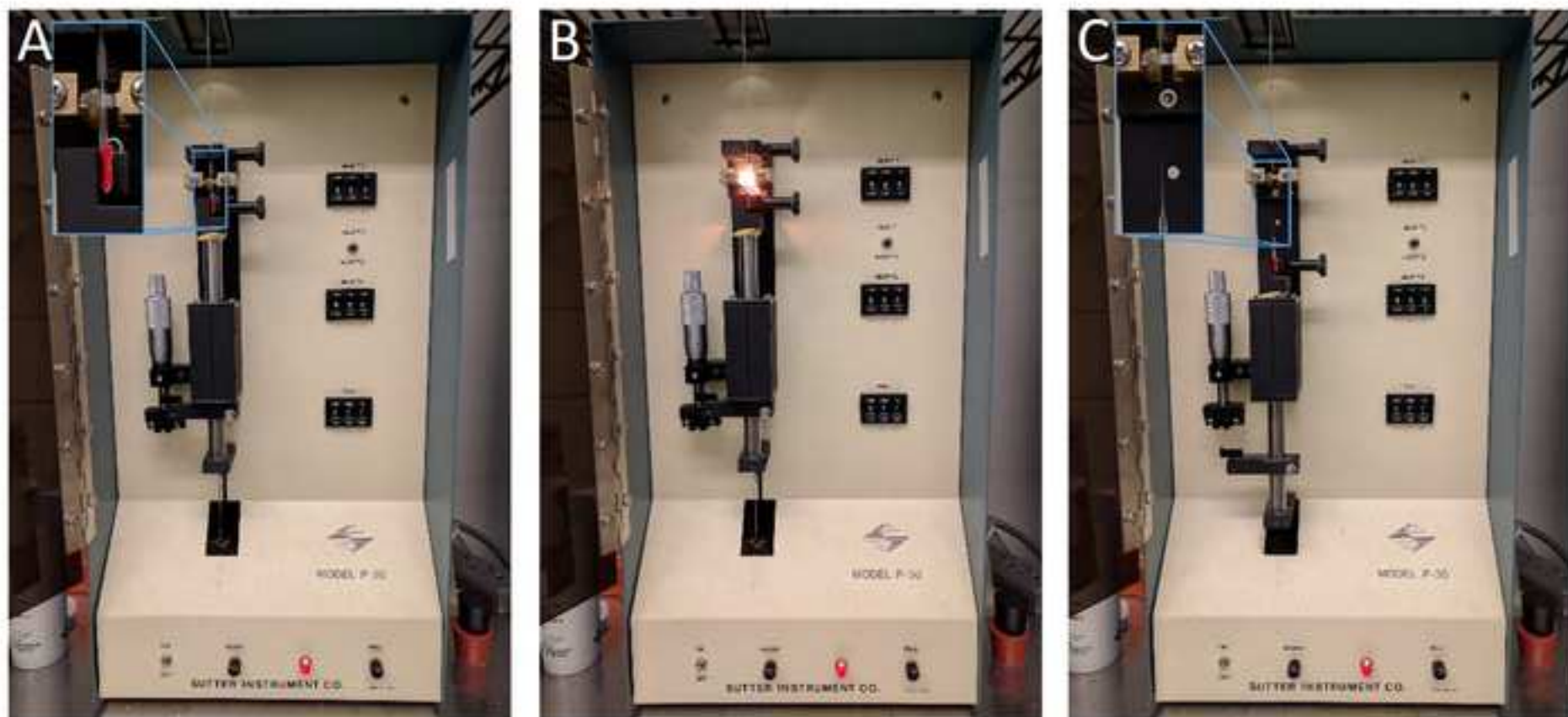


Figure 2

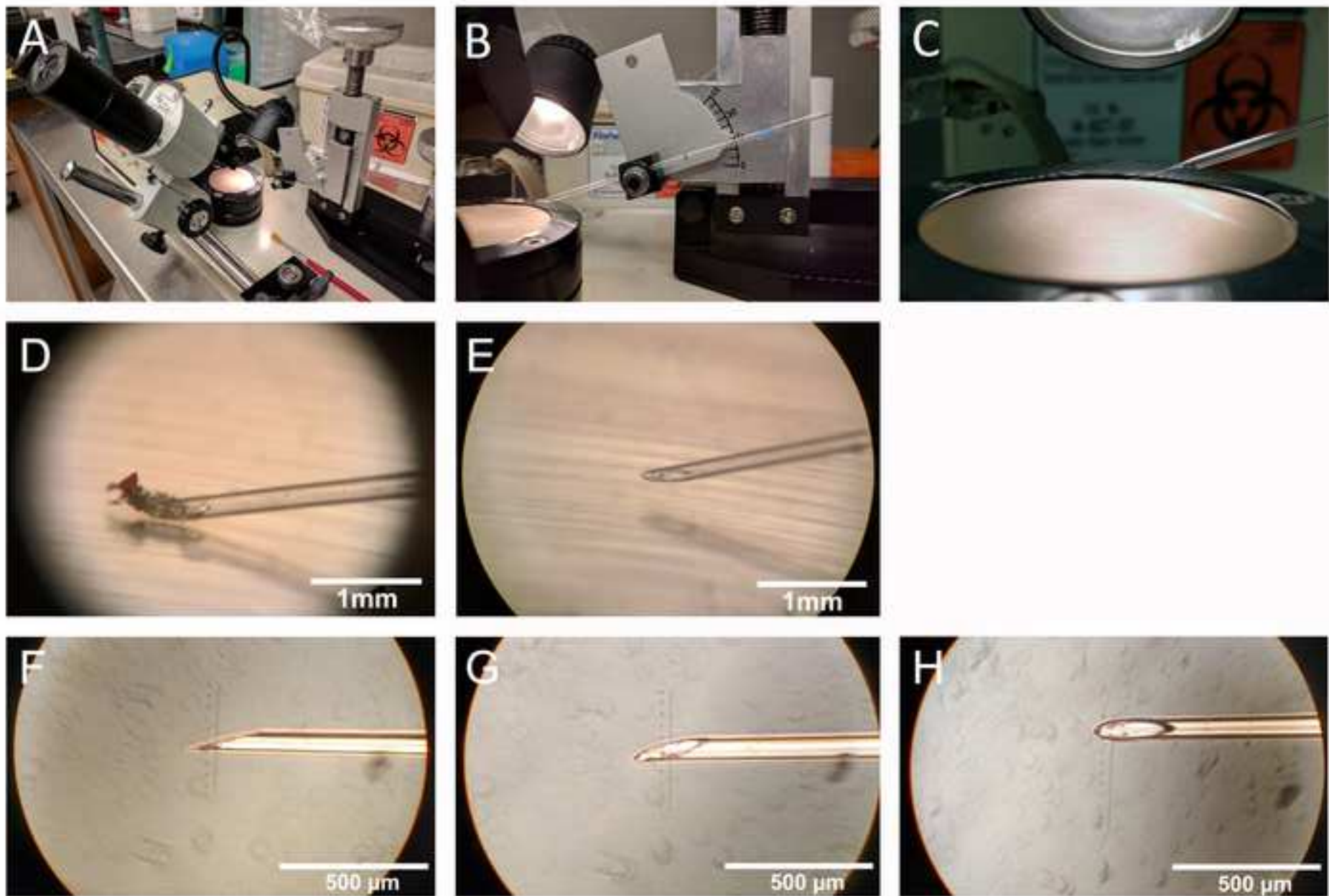


Figure 3

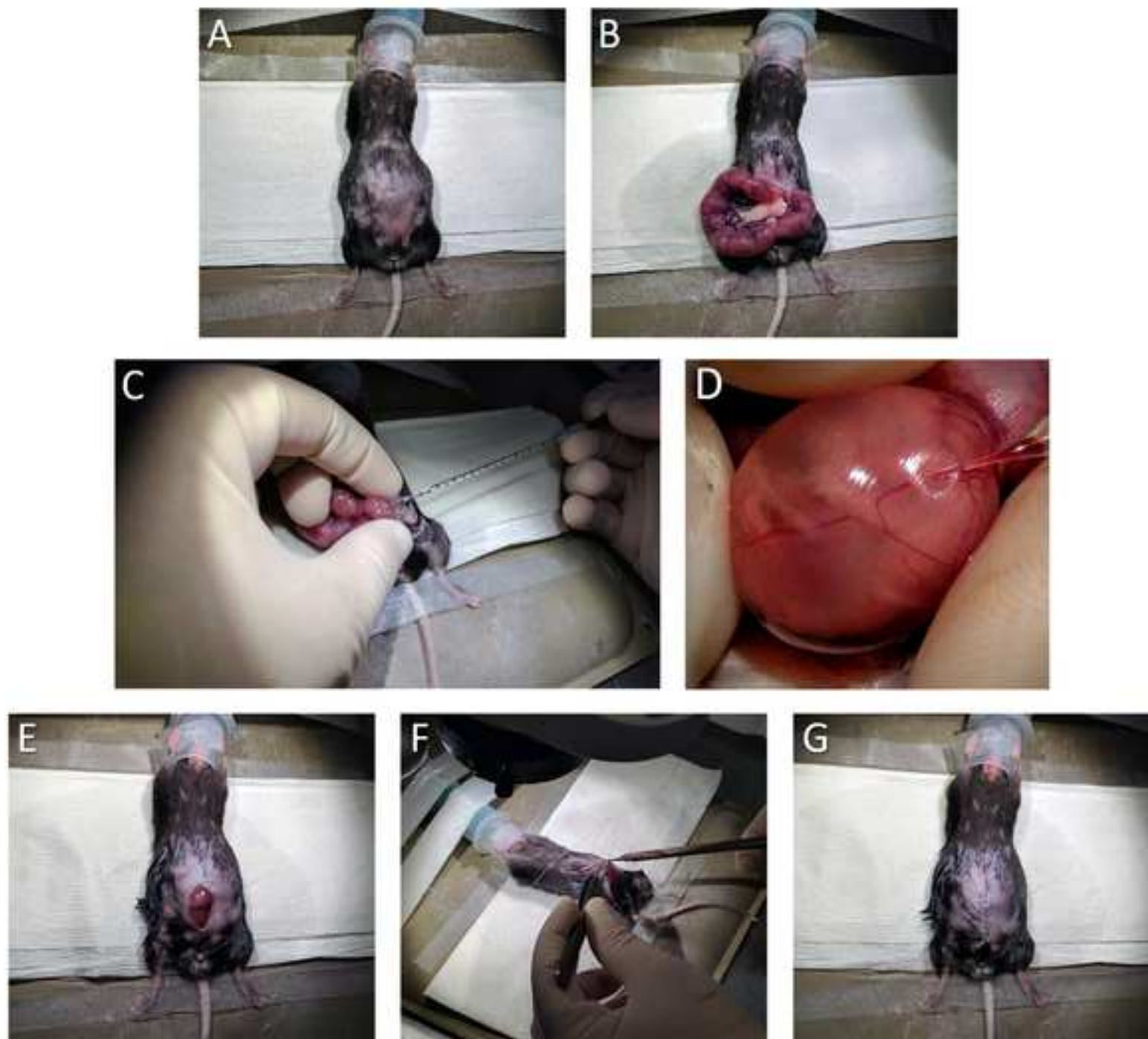
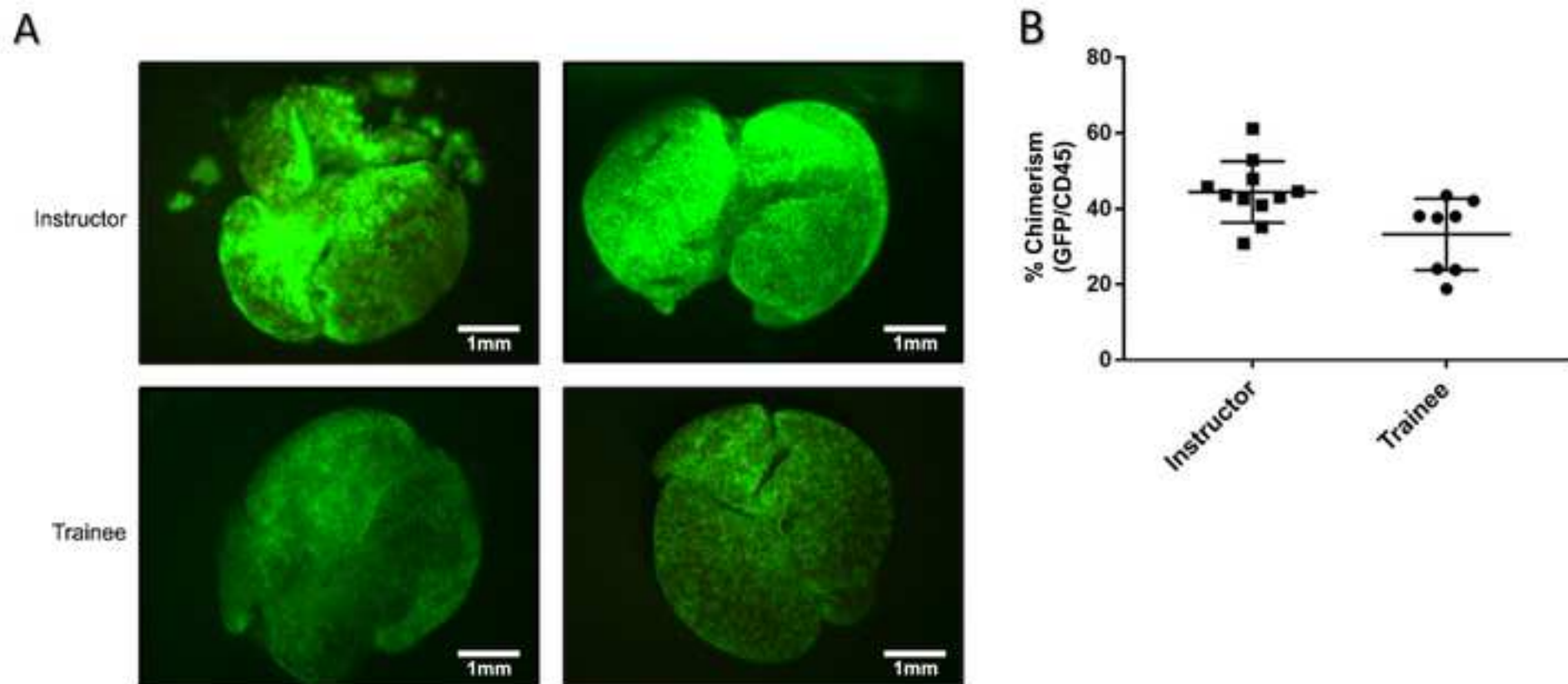
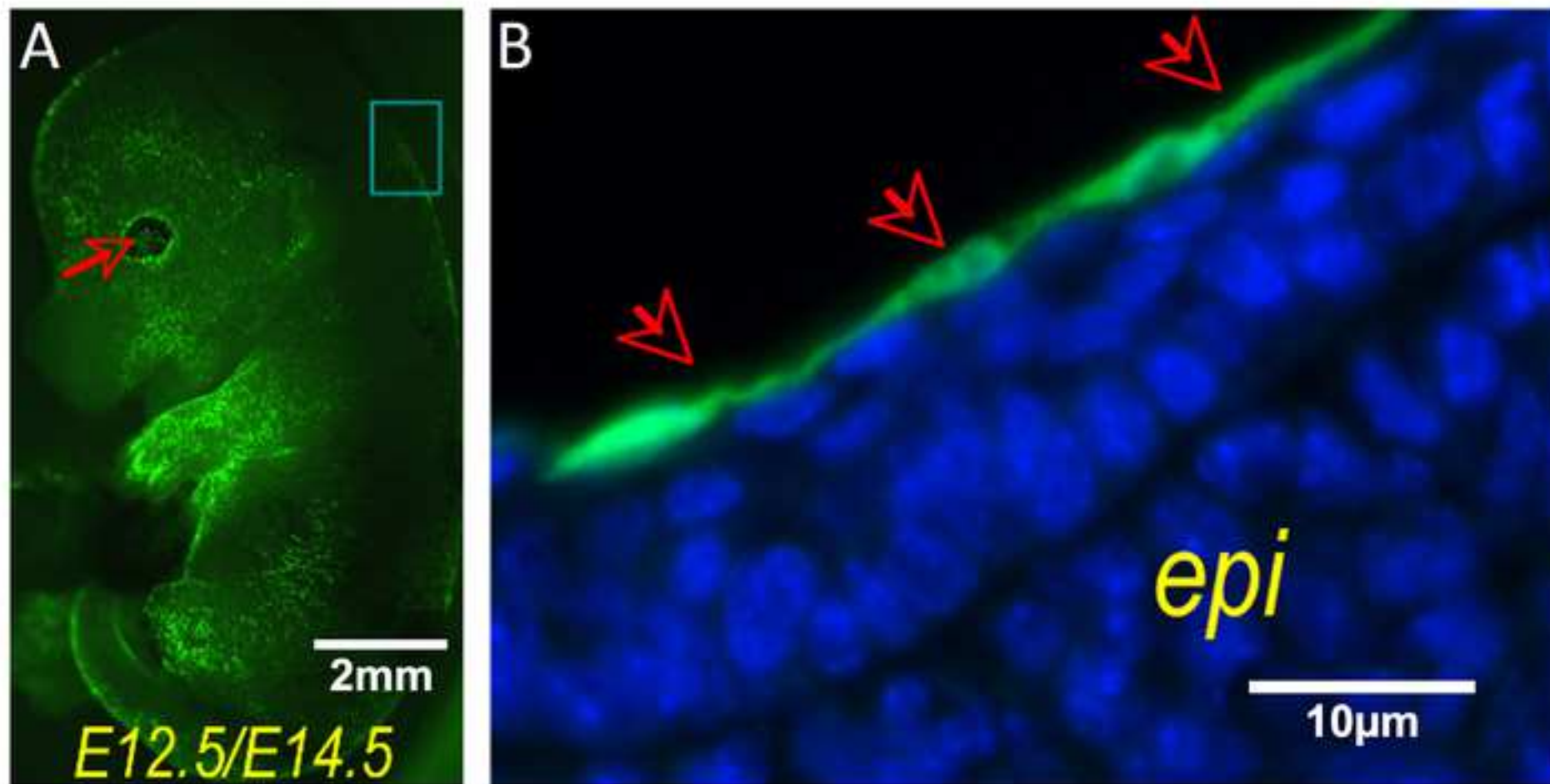


Figure 4

[Click here to download Figure Figure 4.jpg](#)





Name of Material/ Equipment	Company	Catalog Number	Comments/Description
Gloves	Cardinal Health	2D73DP65	
Adson Forceps w/ teeth	Fine Science Tools	11027-12	
Adson Forceps w/o teeth	Fine Science Tools	11006-12	
Curved scissors	Fine Science Tools	14075-11	
Heavy Scissors	Fine Science Tools	14002-13	
Needle Driver	Fine Science Tools	12005-15	
Vicryl 2.0	Ethicon	JB945	
Transfer Pipette	Medline	GSI135010	
Cotton Tipped Applicators	Medline	MDS202000	
50 mL Conical tube	Fischer Scientific	14-432-22	
Tape	3M	1527-1	
Eye lubricant	Major LubriFresh	0904-6488	
Heating Pad	K&H	3060	
Stereomicroscope	Leica	MZ16	
Injector	Narishige	HI01PK01	
Glass Capillary tubes	Kimble	71900-100	
Vertical Micropipette Puller	Sutter Instruments	P-30	
Microelectrode Beveler	Sutter Instruments	BV-10	
IM-300 Pneumatic Microinjector	Narishige	IM-300	
Insulin Syringe	BD	305935	
Filter	Genesee Scientific	25-244	
Compac5 Anesthesia Machine	VetEquip Compac5	901812	
Isoflurane	Piramal Critical Care	NDC 66794-017-25	
N2 gas	Airgas	NI 125	
O2 gas	Airgas	OX 125	
Ad-GFP viral vector	Penn Vector Core	H5'.040.CMV.eGFP	



1 Alewife Center #200
Cambridge, MA 02140
tel. 617.945.9051
www.jove.com

ARTICLE AND VIDEO LICENSE AGREEMENT

Title of Article:

Intravenous and Intra-amniotic in Utero Transplantation in the Murine Model

Author(s):

Nicholas J Ahn, John D Stratigis, Hyun-Duck Nah-Cederquist, William H Peranteau

Item 1 (check one box): The Author elects to have the Materials be made available (as described at

<http://www.jove.com/author>) via: ☒ Standard Access ☐ Open Access

Item 2 (check one box):

- ☐ The Author is NOT a United States government employee.
- ☒ The Author is a United States government employee and the Materials were prepared in the course of his or her duties as a United States government employee.
- ☐ The Author is a United States government employee but the Materials were NOT prepared in the course of his or her duties as a United States government employee.

ARTICLE AND VIDEO LICENSE AGREEMENT

1. **Defined Terms.** As used in this Article and Video License Agreement, the following terms shall have the following meanings: “**Agreement**” means this Article and Video License Agreement; “**Article**” means the article specified on the last page of this Agreement, including any associated materials such as texts, figures, tables, artwork, abstracts, or summaries contained therein; “**Author**” means the author who is a signatory to this Agreement; “**Collective Work**” means a work, such as a periodical issue, anthology or encyclopedia, in which the Materials in their entirety in unmodified form, along with a number of other contributions, constituting separate and independent works in themselves, are assembled into a collective whole; “**CRC License**” means the Creative Commons Attribution-Non Commercial-No Derivs 3.0 Unported Agreement, the terms and conditions of which can be found at: <http://creativecommons.org/licenses/by-nc-nd/3.0/legalcode>; “**Derivative Work**” means a work based upon the Materials or upon the Materials and other pre-existing works, such as a translation, musical arrangement, dramatization, fictionalization, motion picture version, sound recording, art reproduction, abridgment, condensation, or any other form in which the Materials may be recast, transformed, or adapted; “**Institution**” means the institution, listed on the last page of this Agreement, by which the Author was employed at the time of the creation of the Materials; “**JoVE**” means MyJoVE Corporation, a Massachusetts corporation and the publisher of *The Journal of Visualized Experiments*; “**Materials**” means the Article and / or the Video; “**Parties**” means the Author and JoVE; “**Video**” means any video(s) made by the Author, alone or in conjunction with any other parties, or by JoVE or its affiliates or agents, individually or in collaboration with the Author or any other parties, incorporating all or any portion of the Article, and in which the Author may or may not appear.

2. **Background.** The Author, who is the author of the Article, in order to ensure the dissemination and protection of the Article, desires to have the JoVE publish the Article and create and transmit videos based on the Article. In furtherance of such goals, the Parties desire to memorialize in this Agreement the respective rights of each Party in and to the Article and the Video.

3. **Grant of Rights in Article.** In consideration of JoVE agreeing to publish the Article, the Author hereby grants to JoVE, subject to **Sections 4** and **7** below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Article in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Article into other languages, create adaptations, summaries or extracts of the Article or other Derivative Works (including, without limitation, the Video) or Collective Works based on all or any portion of the Article and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. If the “Open Access” box has been checked in **Item 1** above, JoVE and the Author hereby grant to the public all such rights in the Article as provided in, but subject to all limitations and requirements set forth in, the CRC License.

ARTICLE AND VIDEO LICENSE AGREEMENT

4. Retention of Rights in Article. Notwithstanding the exclusive license granted to JoVE in **Section 3** above, the Author shall, with respect to the Article, retain the non-exclusive right to use all or part of the Article for the non-commercial purpose of giving lectures, presentations or teaching classes, and to post a copy of the Article on the Institution's website or the Author's personal website, in each case provided that a link to the Article on the JoVE website is provided and notice of JoVE's copyright in the Article is included. All non-copyright intellectual property rights in and to the Article, such as patent rights, shall remain with the Author.

5. Grant of Rights in Video – Standard Access. This **Section 5** applies if the "Standard Access" box has been checked in **Item 1** above or if no box has been checked in **Item 1** above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby acknowledges and agrees that, Subject to **Section 7** below, JoVE is and shall be the sole and exclusive owner of all rights of any nature, including, without limitation, all copyrights, in and to the Video. To the extent that, by law, the Author is deemed, now or at any time in the future, to have any rights of any nature in or to the Video, the Author hereby disclaims all such rights and transfers all such rights to JoVE.

6. Grant of Rights in Video – Open Access. This **Section 6** applies only if the "Open Access" box has been checked in **Item 1** above. In consideration of JoVE agreeing to produce, display or otherwise assist with the Video, the Author hereby grants to JoVE, subject to **Section 7** below, the exclusive, royalty-free, perpetual (for the full term of copyright in the Article, including any extensions thereto) license (a) to publish, reproduce, distribute, display and store the Video in all forms, formats and media whether now known or hereafter developed (including without limitation in print, digital and electronic form) throughout the world, (b) to translate the Video into other languages, create adaptations, summaries or extracts of the Video or other Derivative Works or Collective Works based on all or any portion of the Video and exercise all of the rights set forth in (a) above in such translations, adaptations, summaries, extracts, Derivative Works or Collective Works and (c) to license others to do any or all of the above. The foregoing rights may be exercised in all media and formats, whether now known or hereafter devised, and include the right to make such modifications as are technically necessary to exercise the rights in other media and formats. For any Video to which this Section 6 is applicable, JoVE and the Author hereby grant to the public all such rights in the Video as provided in, but subject to all limitations and requirements set forth in, the CRC License.

7. Government Employees. If the Author is a United States government employee and the Article was prepared in the course of his or her duties as a United States government employee, as indicated in **Item 2** above, and any of the licenses or grants granted by the Author hereunder exceed the scope of the 17 U.S.C. 403, then the rights granted hereunder shall be limited to the maximum rights permitted under such

statute. In such case, all provisions contained herein that are not in conflict with such statute shall remain in full force and effect, and all provisions contained herein that do so conflict shall be deemed to be amended so as to provide to JoVE the maximum rights permissible within such statute.

8. Likeness, Privacy, Personality. The Author hereby grants JoVE the right to use the Author's name, voice, likeness, picture, photograph, image, biography and performance in any way, commercial or otherwise, in connection with the Materials and the sale, promotion and distribution thereof. The Author hereby waives any and all rights he or she may have, relating to his or her appearance in the Video or otherwise relating to the Materials, under all applicable privacy, likeness, personality or similar laws.

9. Author Warranties. The Author represents and warrants that the Article is original, that it has not been published, that the copyright interest is owned by the Author (or, if more than one author is listed at the beginning of this Agreement, by such authors collectively) and has not been assigned, licensed, or otherwise transferred to any other party. The Author represents and warrants that the author(s) listed at the top of this Agreement are the only authors of the Materials. If more than one author is listed at the top of this Agreement and if any such author has not entered into a separate Article and Video License Agreement with JoVE relating to the Materials, the Author represents and warrants that the Author has been authorized by each of the other such authors to execute this Agreement on his or her behalf and to bind him or her with respect to the terms of this Agreement as if each of them had been a party hereto as an Author. The Author warrants that the use, reproduction, distribution, public or private performance or display, and/or modification of all or any portion of the Materials does not and will not violate, infringe and/or misappropriate the patent, trademark, intellectual property or other rights of any third party. The Author represents and warrants that it has and will continue to comply with all government, institutional and other regulations, including, without limitation all institutional, laboratory, hospital, ethical, human and animal treatment, privacy, and all other rules, regulations, laws, procedures or guidelines, applicable to the Materials, and that all research involving human and animal subjects has been approved by the Author's relevant institutional review board.

10. JoVE Discretion. If the Author requests the assistance of JoVE in producing the Video in the Author's facility, the Author shall ensure that the presence of JoVE employees, agents or independent contractors is in accordance with the relevant regulations of the Author's institution. If more than one author is listed at the beginning of this Agreement, JoVE may, in its sole discretion, elect not take any action with respect to the Article until such time as it has received complete, executed Article and Video License Agreements from each such author. JoVE reserves the right, in its absolute and sole discretion and without giving any reason therefore, to accept or decline any work submitted to JoVE. JoVE and its employees, agents and independent contractors shall have

ARTICLE AND VIDEO LICENSE AGREEMENT

full, unfettered access to the facilities of the Author or of the Author's institution as necessary to make the Video, whether actually published or not. JoVE has sole discretion as to the method of making and publishing the Materials, including, without limitation, to all decisions regarding editing, lighting, filming, timing of publication, if any, length, quality, content and the like.

11. **Indemnification.** The Author agrees to indemnify JoVE and/or its successors and assigns from and against any and all claims, costs, and expenses, including attorney's fees, arising out of any breach of any warranty or other representations contained herein. The Author further agrees to indemnify and hold harmless JoVE from and against any and all claims, costs, and expenses, including attorney's fees, resulting from the breach by the Author of any representation or warranty contained herein or from allegations or instances of violation of intellectual property rights, damage to the Author's or the Author's institution's facilities, fraud, libel, defamation, research, equipment, experiments, property damage, personal injury, violations of institutional, laboratory, hospital, ethical, human and animal treatment, privacy or other rules, regulations, laws, procedures or guidelines, liabilities and other losses or damages related in any way to the submission of work to JoVE, making of videos by JoVE, or publication in JoVE or elsewhere by JoVE. The Author shall be responsible for, and shall hold JoVE harmless from, damages caused by lack of sterilization, lack of cleanliness or by contamination due to the making of a video by JoVE its employees, agents or independent contractors. All sterilization, cleanliness or decontamination procedures shall be solely the responsibility of the Author and shall be undertaken at the Author's


expense. All indemnifications provided herein shall include JoVE's attorney's fees and costs related to said losses or damages. Such indemnification and holding harmless shall include such losses or damages incurred by, or in connection with, acts or omissions of JoVE, its employees, agents or independent contractors.

12. **Fees.** To cover the cost incurred for publication, JoVE must receive payment before production and publication of the Materials. Payment is due in 21 days of invoice. Should the Materials not be published due to an editorial or production decision, these funds will be returned to the Author. Withdrawal by the Author of any submitted Materials after final peer review approval will result in a US\$1,200 fee to cover pre-production expenses incurred by JoVE. If payment is not received by the completion of filming, production and publication of the Materials will be suspended until payment is received.

13. **Transfer, Governing Law.** This Agreement may be assigned by JoVE and shall inure to the benefits of any of JoVE's successors and assignees. This Agreement shall be governed and construed by the internal laws of the Commonwealth of Massachusetts without giving effect to any conflict of law provision thereunder. This Agreement may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to be one and the same agreement. A signed copy of this Agreement delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Agreement.

A signed copy of this document must be sent with all new submissions. Only one Agreement required per submission.

CORRESPONDING AUTHOR:

Name:	William H Peranteau	
Department:	Surgery	
Institution:	Children's Hospital of Philadelphia, The Center for Fetal Research	
Article Title:	Intravenous and Intra-amniotic in Utero Transplantation in the Murine Model	
Signature:		Date: 2/7/2018

Please submit a signed and dated copy of this license by one of the following three methods:

- 1) Upload a scanned copy of the document as a pdf on the JoVE submission site;
- 2) Fax the document to +1.866.381.2236;
- 3) Mail the document to JoVE / Attn: JoVE Editorial / 1 Alewife Center #200 / Cambridge, MA 02139

For questions, please email submissions@jove.com or call +1.617.945.9051

The Center for Fetal Research



Abramson Pediatric Research Center, Rm 1116E
3615 Civic Center Boulevard
Philadelphia, PA 19104-4318
phone - (215)590-4810; fax - (215)590-3324

PI, William H. Peranteau, M.D.
Email: peranteauw@email.chop.edu

4/19/2018

Dear Editor,

Thank you for the additional comments in our manuscript, *"Intravenous and Intra-amniotic in Utero Transplantation in the Murine Model"*. Below please find a point-by-point response to the comments and an attached revised manuscript with the changes highlighted using the "track changes" function. We are grateful that these comments gave us another chance to further improve our manuscript.

Sincerely,

A handwritten signature in black ink, appearing to read 'William H. Peranteau', with a long, sweeping horizontal line extending to the right.

William H. Peranteau, MD

- 2.1. We added additional essential instruments that we normally open during this step that were not mentioned in the previous drafts.
 - 2.3. Needle rinsing can be performed two or three times. We added “or three.”
 - In the note after 2.5, we spelled out GFP, as that was never done anywhere in the manuscript and it is the first place in the manuscript where GFP is mentioned.
 - 2.6. It was unclear what specific actions were to be performed. We described in more detail what actions are performed to calibrate the injection time in steps 2.6.1-2.6.5. Also, there was a question about if there is an upper limit to the volume to be injected. We addressed this in the note under 2.6.5.
 - 2.7. We provided more details for our anesthesia protocol by adding the oxygen flow rate and
 - 2.21. An example of the “appropriate volume” was requested, and we added 10-20 μL in parentheses.
 - In the note under 2.30, a typo was found by the editor. We corrected this.
 - In Results first paragraph, we were asked to give a specific number for the survival of intra-amniotic injections. We provided 85-100% as a survival rate range.
 - In Results second paragraph, we were asked to provide a reference for the isolation of bone marrow cells. We provided a reference and added the citation to the References section.
 - In Results third paragraph, we were asked to mention the vector, cite a reference for its use, and add the vector to the table of materials. We now state that the vector is Ad-GFP and explain that it is an adenovirus vector carrying GFP transgene in parentheses and cite a reference. We also added the vector to the table of materials.
 - We were asked to remove the words “Figure 1”, “Figure 2” etc from all figures. They are now removed.
 - Under Figure Legends 4, we were asked to :
 - Add “(%)” to the y axis label. We have done this.
 - Define how “chimerism” is defined with respect to “percentage of engraftment”: for the most part, we use chimerism and engraftment interchangeably in our lab. For 4B) we changed the Y axis label to % chimerism in the graph and in the manuscript for consistency.
 - Define what the various data points are. Each point in the graph represents chimerism from the liver of a separate injected fetus, and we have added this explanation in the legends. We also added that there was one trainee and one instructor who performed the injections.
 - For Figure Legends 5, we were asked to:
 - Provide a figure title. We have done this.
 - Provide scale bars for both panels and define “epi”. We have done this and added more details to the figure legend.
 - Some of the reference #s were changed in the Discussion.
 - References section has been edited to follow the format suggested by the editor.
-