**TITLE:**

Preparation, Procedures and Evaluation of Platelet-Rich Plasma Injection in the Treatment of Knee Osteoarthritis

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**KEYWORDS:**

Platelet-rich plasma; intra-articular injection; knee osteoarthritis; preparation of PRP; knee score scale; treatment; biotherapy.

**SUMMARY:**

Knee osteoarthritis is frequently seen in the orthopedic department. We introduce in detail the entire knee osteoarthritis treatment process with platelet-rich plasma injection, including preparation, procedures, and evaluation.

**ABSTRACT:**

Knee osteoarthritis (KOA) is one of the most frequently encountered diseases in the orthopedic department. Existing non-surgical treatments have a limited effect on the repair of cartilage and on bone regeneration. Platelet-rich plasma (PRP) is an autologous bioactive substance that can repair cartilage injury and accelerate bone regeneration effectively. However, reporting of PRP preparation protocols in clinical studies is highly inconsistent, with the majority of studies providing insufficient information to allow the protocol to be reproduced. We describe a repeatable method of preparing PRP visually, the treatment of KOA using PRP intra-articular injection, and methods of evaluating the outcome. PRP was prepared using manual double centrifugation. The PRP layer was extracted from peripheral blood and used for knee joint cavity injection. Evaluations included assessments of blood platelet concentrations and clinical outcomes. Preparation of PRP by manual centrifugation requires less apparatus and is less costly than plasma filtration or centrifugation using equipment. The centrifugation time of our double centrifugation method was 6 and 5 minutes for the respective centrifugations at forces of 800 and 1400 x g, respectively, to allow for the consistent preparation of standardized PRP. However, a manual method is susceptible to operator error, and PRP batch preparation is not available. Intra-articular injection of PRP proved to be an effective treatment for knee osteoarthritis. The entire treatment procedure took less than 30 minutes, the blood platelet concentration of PRP could be standardized, and treatment was proven to be effective when evaluated by follow-up.

**INTRODUCTION:**

Knee osteoarthritis (KOA) is one of the most frequently seen diseases in the orthopedic department; 30%–50% of people over the age of 65 years experience this disease1. At present, the conservative management of KOA mainly includes oral administration of non-steroidal anti-inflammatory drugs and cartilage nutrient drugs, intra-articular injection of sodium hyaluronate, and physiotherapy. However, these methods cannot stop the process of knee joint degeneration2. Articular cartilage defects can cause articular surface wear, joint instability, and metabolic changes, which are part of the pathogenesis of KOA3. However, because of the absence of blood vessels, nerves and lymphoid tissue in articular cartilage, recovery after damage is difficult. An effective method of repairing cartilage is especially important for the treatment of KOA. The treatment of osteoclasia is also a key focus in KOA treatment.

Platelet-rich plasma (PRP) is an autologous bioactive substance, and the application of PRP to bone and joint problems is being increasingly studied. The biological rationale for the clinical use of PRP includes its effect on the local delivery of growth factors and modification of the inflammatory response and its positive effects on cell proliferation and differentiation4. After activation following intra-articular injection, PRP releases α-granule through degranulation and secretes various growth factors, including the platelet-derived growth factor, the transforming growth factor-β, the insulin-like growth factor, the epidermal growth factor, the vascular endothelial growth factor, and the fibroblast growth factor. These promote osteoblast and chondrocyte proliferation, inhibit cartilage degeneration, strengthen the stability of cartilage and subchondral bone, regulate the gene expression tissue inhibitor of metalloproteinase and maintain the balance of synthesis and degradation of proteoglycans5,6. Therefore, PRP can repair cartilage injury and accelerate bone regeneration.

The outcome of PRP injection is influenced by various factors, including the sampling site7, the type of centrifuge preparation method8, and the use of anticoagulants9 and activators10. There are roughly 3 types centrifugal methods to prepare PRP. Manual centrifugation, equipment-based centrifugation, or plasma filtration techniques are available, although manual and equipment-based methods are the most commonly used. The manual method requires the least equipment, is convenient, is low cost, and is simple to perform (**Figure 1**). PRP is prepared by performance of manual centrifugation twice. Mixed peripheral blood and anticoagulant are centrifuged to separate hemocytes from plasma and blood platelets. After discarding the red blood cells on the bottom layer, the supernatant liquid is centrifuged for re-separation, dividing it into supernatant platelet-poor plasma, middle PRP, and subnatant residual red blood cells. The middle layer is used for knee joint cavity injection (if the quantity is insufficient, part of the supernatant can be drawn). Evaluations of the method include assessments of blood platelet concentration and clinical outcomes.

The reporting of PRP preparation protocols in clinical studies is highly inconsistent, and the majority of studies do not provide sufficient information to allow the protocol to be reproduced11. Here, we describe a reproducible method of preparing PRP and treatment of KOA with PRP intra-articular injection, with evaluation of the outcome. Inclusion criteria were patients with knee osteoarthritis who have poor pain relief for simple analgesic medication (such as acetaminophen) and conservative treatment. Exclusion criteria included patients with venous return or lymphatic drainage disorder; patients with knee joint infections; patients with a dermatosis or infection in the injection area; patients with fever; patients with coagulant function abnormality; patients with serious cardiovascular disease. The whole treatment procedure takes less than 30 minutes, and the blood platelet concentration of PRP is proven to reach a standardized measurement. Its effectiveness is demonstrated by evaluating the outcomes during close follow-up.

**PROTOCOL:**

The methods described were approved by the Ethics Committee of Guangdong General Hospital.

**1.** **Obtain PRP by Manual Centrifugation**

**1.1.** Prepare the patient in a supine position in a sterile laminar flow operating room with a comfortable room temperature and humidity: room temperature is 22 °C and room humidity is 60%.

**1.2.** Use a 1-mL syringe to draw 0.2 mL of heparin sodium (2 mL = 12,500 U), and then moisten a 50-mL syringe.

Note: 3 mL of sodium citrate is also typical in this step to replace the heparin sodium.

**1.3.** Rig a tourniquet, sterilize the elbow 2-3 times, and use the moist 50-mL syringe to draw 30 mL of peripheral blood from the elbow vein.

**1.4.** Perform the first centrifugation.

**1.4.1.** Divide peripheral blood equally into two 50 mL sterile centrifuge tubes.

**1.4.2.** Put two tubes in horizontal rotors and then in the centrifuge, under aseptic conditions.

**1.4.3.** Centrifuge for 6 minutes at 800 x g.

**1.4.4.**Take the horizontal rotors out, wear sterile gloves, and take centrifuge tubes out.

**1.4.5.** Observe the stratifications to make sure that the peripheral blood is stratified into two layers.

**1.4.6.** Use a clean 10-mL syringe to collect the supernatant liquid from these two centrifuge tubes into a clean centrifuge tube.

**1.5.** Perform the second centrifugation.

**1.5.1.** Use a clean 10-mL syringe to add an equivalent amount of sterile water or normal saline into another clean centrifuge tube for balance. Put the tubes in the horizontal rotors. Mark the one with the supernatant layer liquid by adhesive plaster.

**1.5.2.** Centrifuge for 5 minutes at 1400 x g.

**1.5.3.** Take the horizontal rotors out, and by observing the stratifications check that the liquid of the marked tube is divided into three layers.

**1.5.4.** Use a 10-mL syringe to draw 4 mL of liquid from the middle granular cell layer (leukocyte-rich, PRP layer) and the bottom layer of supernatant. If the middle layer quantity is sufficient, just draw 4 mL from that.

**1.5.5.** Put 0.4 mL of the liquid in a sterile anticoagulation tube (K2EDTA, 3.6 mg) for evaluation, leaving 3.6 mL of liquid remaining in the syringe.

Note: The protocol can be paused here.

**2.** **Intra-Articular Administration of PRP**

**2.1.** Let the patient lie supine on the operating table and bend the knee to 90 degrees.

**2.2.** Locate the puncture site at the inferior margin of the patella and 1 cm from the lateral patellar ligament. Use a marker pen to mark the site.

**2.3.** Perform skin sterilization on the puncture site three times with anerdian III, wearing sterile gloves, and cover with an aseptic hole-towel.

**2.4.** Place the syringe parallel to the tibial plateau, and then perform the puncture at an angle of 45 degrees. Completely insert the needle into the skin.

**2.5.** Inject the 3.6 mL of PRP from the syringe into the knee joint cavity.

**2.6.** Cover the puncture position with sterile gauze and fix it with adhesive plaster.

**2.7.** Apply pressure to the wound for 10 minutes. Observe for any severe adverse reaction for 30 minutes.

**2.8.** Administer a total of three injections at monthly intervals.

Note: The protocol can be paused here.

**3.** **Postoperative Evaluation of PRP Injection**

**3.1.** Evaluate the concentration of blood platelets in the PRP.

**3.1.1.** Use the 0.4 mL of PRP from the anticoagulation tube (K2EDTA, 3.6 mg).

**3.1.2.** Analyze the blood platelet concentration of the PRP using an automatic blood cell analyzer.

**3.2.** Evaluate the postoperative outcome of the PRP injection.

**3.2.1.** With a consultation 1 day before each of the three treatments, conduct further patient follow-up 1 day after each treatment, 3 days after each treatment, 1 week after each treatment, 1 month after the third treatment, 3 months after the third treatment, and 6 months after the third treatment.

**3.2.2.** Use a visual analog scale (VAS), the Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC), Knee Society Score (KSS), and Lysholm knee functional scale to evaluate the postoperative effect.

**REPRESENTATIVE RESULTS:**

As a result, the platelet count of the PRP reached a standard concentration level of 1121 x 103/μL. We conducted the 15 follow-up surveys described in the protocol on a 55-year-old male patient with early KOA. It was obvious that early clinical outcome was satisfactory after the intra-articular administration of PRP (**Figure 2**). However, medium-term efficacy was slightly inferior. A markedly significant analgesic effect was observed (**Figure 2A**). KSS knee score was higher than KSS function score (**Figure 2C**, **Figure 2D**), which meant the effect of PRP on subjective symptoms was better than on objective symptoms. The Lysholm knee functional scale scores indicated that our method had an evidential effect in improving cartilage injury and soft-tissue injury symptoms (**Figure 2E**). Overall, the therapeutic effects of our PRP protocol were notable.

**FIGURE AND TABLE LEGENDS:**

**Figure 1: Flow chart of the protocol design.**

**Figure 2: Evaluation of postoperative outcome of PRP injection.** Evaluation of clinical outcome by VAS (**A**), WOMAC (**B**), KSS (**C**, **D**), and Lysholm knee functional scale (**E**). KSS provides knee score (pain, mobility, and stability, **C**) and function score (walking ability and stair activity**, D**).

**DISCUSSION:**

The concentration of blood platelets in normal human blood is between 150,000/μL and 350,000/μL, and it is widely believed that blood platelet concentration of PRP should reach 1,000,000/μL, which is 3 to 5 times normal concentrations9. According to the PAW hierarchy system, it is believed that PRP has no obvious effect when the blood platelet concentration is less than three times the normal concentration, while PRP has an inhibiting effect when its blood platelet concentration is more than six times the normal concentration12. Therefore, a minimum requirement for this protocol is that the blood platelet concentration of PRP is between these levels.

Manual PRP preparation can be achieved by a single centrifugation or with two rounds of centrifugation. Due to the different centrifuge parameters, the quality of the PRP obtained differs between the two techniques. The blood platelet concentrations obtained by single centrifugation are low, but the PRP does not contain both white and red blood cells. The blood platelet concentrations obtained by double centrifugation are high, and the PRP usually contains a small amount of white blood cells and even red blood cells13. Whether the existence of white blood cells in PRP is beneficial to outcomes is disputed. Some studies have shown that white blood cell-rich PRP has stronger antimicrobial activity, facilitates functional recovery, and is less of an irritant, reducing the need for analgesics14. However, cytokines, metalloproteases, interleukins, and oxygen free radicals released from white blood cells can aggravate damage in the acute stage, obstructing the self-repair of tissues and delaying the healing process15. To obtain blood platelets in higher concentrations, we used double centrifugation.

The collection rate of blood platelets is also related to centrifugal force and time. It is generally acknowledged that blood platelet concentration increases as centrifugal force increases. However, excessive centrifugal force will damage blood platelets, reducing the recovery rate. Platelet concentration also increases with length of centrifugation. When centrifugation is less than 5 minutes, blood platelets are low, and no significant effect will be obtained; between 10 and 20 minutes of centrifugation, the blood platelet concentration gradually and steadily increases; after more than 20 minutes, the blood platelet concentration no longer obviously changes16. A lengthy centrifugation may cause excessive platelet deposition and reduce bioactivity, so the optimal centrifugation time is between 5 and 10 minutes. We established an optimal centrifugation time for double centrifugation of 6 and 5 minutes for the first and second centrifuge at forces of 800 and 1400 x g, respectively, to prepare the PRP.

Some PRP is harvested and directly injected into the area of injury, but other formulations add a platelet-activating agent such as thrombin or CaCl2. In general, PRP used to relieve chronic inflammation or “wear and tear” injuries such as OA is usually injected without an activating agent17{Cohn, 2015 #19}.

For the evaluation of treatment outcomes, we used a number of scales. The VAS provided detailed pain measurement. We used the WOMAC to evaluate the severity of gonitis and the treatment effect according to relevant symptoms and signs. The KSS provided a knee score for pain, mobility, and stability and a function score for walking ability and stair activity. The Lysholm knee functional scale evaluated ligament and cartilage injury.

There were several limitations to our method. First, manual preparation is susceptible to operator error, mainly from subjectivity in the drawing of the middle buffering layer and part of the supernatant liquid after the second centrifugation. However, after repeated experiments with different operators, we finally found the effective platelet concentration. Second, PRP batch preparation is not available using this method. PRP can only be prepared by drawing blood before each injection. As storage of samples is difficult, it must be acceptable to re-prepare PRP every time.

In summary, intra-articular injection of PRP is an effective treatment for knee osteoarthritis. This study report provides the whole treatment procedure in detail, including the preparation of PRP of reliable quality, the introduction of a standard injection procedure, and a scientific and practical evaluation plan.

**ACKNOWLEDGMENTS:**

This study was supported by the National Natural Science Foundation of China (81371991) and the Major Program of Science and Technology of Guangdong (2015B020225007).

**DISCLOSURES:**

The authors have nothing to disclose.

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