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A Model for Perineural Invasion in Head and Neck Squamous Cell Carcinoma

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Abstract:	Perineural invasion (PNI) is found in approximately 40% of head and neck squamous cell carcinomas (HNSCC). Despite multimodality treatment with surgery, radiation and chemotherapy, locoregional recurrences and distant metastases occur at higher rates and overall survival is decreased by 40% compared to HNSCC without PNI. In vitro study of the pathways involved in HNSCC PNI has historically been challenging given the lack of a consistent, reproducible assay. Described here is the adaptation of the dorsal root ganglion (DRG) assay for examination of PNI in HNSCC. In this model, DRG are harvested from the spinal column of a sacrificed nude mouse and placed within a semisolid matrix. Over the subsequent days, neurites are generated and grow in a radial pattern from the cell bodies of the DRG. HNSCC cell lines are then placed peripherally around the matrix and invade preferentially along the neurites toward the DRG. This method allows for rapid evaluation of multiple treatment conditions with very high assay success rates and reproducibility.
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To the Editors,

As the corresponding author for “A Model for Perineural Invasion in Head and Neck Squamous Cell Carcinoma,” I certify that the manuscript is original and that each of the listed authors has contributed to, read, and approved the manuscript; and none of the authors has any conflict of interest, financial or otherwise. This manuscript is original and it, or any part of it, has not been previously published; nor is it under consideration for publication elsewhere.

We feel that this experimental protocol lends itself well to JoVE’s unique multimedia format because the subtleties of the experimental set up are far better seen in video than in pictures or explained in text. We have spent many years fine-tuning this technique and the reasoning behind why every step is performed the way it is performed is far easier to show and discuss with video and audio. The importance of developing in vitro models for perineural invasion is detailed in the abstracts and introduction of the manuscript.

All authors participated in the development of this technique and the writing of this manuscript. Drs. Gilbert and Kim were predominantly involved in the original experimental concept while Drs. Huyett, Liu, Ferris and Kim were predominantly involved in adjusting the technique to its current state. Nandita Singh is the editor at JoVE with whom we have been in contact. We listed 7 potential peer reviewers on the editorial manager website (Jeffrey Myers, John Steinke, Patrick Ha, Nisha D’Silva, Jacques Nor, Erich Sturgis, Wendell Yarbough). We do not oppose any potential reviewers.

Thank you for your consideration,

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SHORT ABSTRACT:

Perineural invasion (PNI) is a common feature of head and neck squamous cell carcinoma (HNSCC), conferring lower survival rates. Its mechanisms are poorly understood. Utilizing neurites generated from murine dorsal root ganglia confined to a semisolid matrix, the pathways involved in the PNI of HNSCC cell lines can be investigated.

LONG ABSTRACT:

Perineural invasion (PNI) is found in approximately 40% of head and neck squamous cell carcinomas (HNSCC). Despite multimodal treatment with surgery, radiation, and chemotherapy, locoregional recurrences and distant metastases occur at higher rates, and overall survival is decreased by 40% compared to HNSCC without PNI. *In vitro* studies of the pathways involved in HNSCC PNI have historically been challenging given the lack of a consistent, reproducible assay. Described here is the adaptation of the dorsal root ganglion (DRG) assay for the examination of PNI in HNSCC. In this model, DRG are harvested from the spinal column of a sacrificed nude mouse and placed within a semisolid matrix. Over the subsequent days, neurites are generated and grow in a radial pattern from the cell bodies of the DRG. HNSCC cell lines are then placed peripherally around the matrix and invade preferentially along the neurites toward the DRG. This method allows for rapid evaluation of multiple treatment conditions, with very high assay success rates and reproducibility.

INTRODUCTION:

Head and neck squamous cell carcinoma (HNSCC) is the sixth most common cancer in the US, with 10,000 deaths per year nationally and 300,000 deaths per year worldwide¹. The overall prognosis for HNSCC has remained unchanged at 50% for the past several decades. Perineural invasion (PNI) is one of the most prominent pathological features that portend a poor prognosis in patients with HNSCC. Unfortunately, PNI is a frequent occurrence in HNSCC and can be found in up to 40% of HNSCC patients^{2,3}.

PNI is the process by which malignant cells track along nerves to adjacent tissues, allowing for higher rates of local and distant spread. Accordingly, PNI-positive HNSCC tumors have higher rates of locoregional recurrences and distant metastases, resulting in lower overall survival compared to HNSCC patients without PNI⁴⁻⁸.

Although the treatment of patients with PNI is typically maximized by employing surgery, radiation, and chemotherapy, the overall survival rates of these patients are still decreased by up to 40% compared to patients without PNI⁹⁻¹¹. Thus, it is clear that the current treatment

modalities for HNSCC are ineffective in improving the adverse prognosis associated with PNI. The approach of developing targeted therapy against PNI in HNSCC has been hindered by the poor understanding of the factors that regulate this process. This is, in part, a consequence of the lack of a consistent *in vitro* model for the study of PNI in HNSCC.

In recent years, several groups have been utilizing an *in vitro* model for studying PNI in predominantly pancreatic and prostate cancers¹²⁻¹⁹. This model uses the neurites generated from dorsal root ganglia isolated from mice or rats as a surrogate for large-nerve invasion. The dorsal root ganglia are fixed in a factor-depleted semisolid matrix, which is a solubilized basement membrane protein mixture secreted by Engelbreth-Holm-Swarm mouse sarcoma cells. This matrix allows for the outgrowth of the neurites and the tracking of single cancer cells along these neurites. Described here is the adaption of this model for the examination of PNI in HNSCC.

PROTOCOL TEXT:

1. Preparation of culture medium and dishes (10 min)

1.1. Add 100 μ L of Dulbecco's Modified Eagle Medium (DMEM) with 10% fetal bovine serum (FBS) to the wells of a 96-well V-bottom plate.

1.2. Remove a pre-aliquoted-vial of approximately 100 μ L of semisolid matrix from the -20 °C freezer and place it directly on ice.

NOTE: Do this prior to the dorsal root ganglion (DRG) harvest because the semisolid matrix takes approximately 30 min to reach liquid state on ice, which is necessary for the subsequent steps. Failure to keep the semisolid matrix on ice at all times will result a poor-quality matrix droplet.

1.3. Label glass-bottom cultures plates with permanent ink, creating unique identifiers in each of the four corners on the undersurface of the plate. Place the plates right-side up on ice to cool the plate surface.

NOTE: Each mouse yields 32-40 DRG, so label them from 1 to 40. It is not necessary to pre-chill pipet tips, as chilled tips will warm over the course of placing the matrix droplets, potentially introducing differences in matrix consistency.

2. Dissection of murine DRG (45 min)

2.1. Euthanize an athymic nude mouse as per specific laboratory protocols, such as through the use of a CO₂ chamber and a thoractomy.

2.2. Set up the dissection area and microscope. Use the clean, sterilized, and flat underside of polystyrene containers for 15- or 50-mL conical tubes.

NOTE: Additionally, they are suitable for use as the dissecting surface, as they are inexpensive, disposable, and allow for fixation of the spine using pins or needles. Only use sterilized instruments and needles.

2.3. Under natural vision, make a longitudinal incision along the spinal column from the root of the tail to the head of the mouse with a pair of straight or curved fine scissors.

2.4. Use the same scissors to transversely divide below the sacral spine. Dissect both sides of the spine all the way up to the skull base using the scissors. At this point, ensure that the cervical spine is transected cranially as far as possible with scissors.

2.5. Observe the cervical end of the spinal column under the operating microscope at low power. The white spinal cord is apparent in the middle of a bony ring, which is surrounded by variable amounts of paraspinal muscles and soft tissue.

2.6. Split the dorsal or superior aspect of the first 2-3 vertebral bodies with microscopic spring scissors. Using the spring action of the scissors, open this initial bony cut to ensure that the vertebral body dissection occurred at the midline. Continue in small increments towards the sacral spine, and then bisect the spine by completing the identical cuts on the ventral or inferior aspect of the vertebral bodies.

NOTE: Failure to ensure the midline dissection of the bony spinal column will result in a lower DRG yield.

2.7. At this point, the spinal column is divided into two halves. Place one hemi-spine aside, with the spinal cord in place and facing down on a sterile plate.

NOTE: Leaving the spinal cord in place will prevent the DRGs from drying out, as the DRGs are deep to the spinal cord.

2.8. Secure either end of the other hemi-spine with two 18-gauge needles on the polystyrene dissecting platform. Starting at the cervical end (which is apparent because the spine is narrower, the DRG are closer to one another, and there are rib insertions), gently peel back the spinal cord from about 4 vertebral levels.

2.9. Observe the sensory nerves (usually two) connecting the DRG. In the area where the nerves insert to the DRG, gently grasp the surrounding fascia with microscopic forceps. Dissect and trim this fascia and other nerve tissue with the microscopic spring scissors to free up the DRG. Gentle retraction will bring the DRG out of its position within the bony spine.

NOTE: Increasing the microscope power at this step is beneficial. Crush injury to the DRG will significantly limit the growth of neurites. This is avoided by never grasping the DRG directly, but rather by grasping the surrounding fascia.

2.10. Cut the peripheral nerve (the DRG generally has one peripheral nerve, which is deep relative to the dissecting view) with the microscopic spring scissors to release the DRG.

NOTE: It is easiest to ascertain where the nerve ends and the DRG begins while on tension, so making this cut as close as possible to the DRG at this point is ideal. Once this distal branch is cut, the proximal branches are trimmed as well.

2.11. Trim the DRG of any stray nerve fibers or fascial attachments with the microscopic spring scissors. After adequate isolation, place the DRG into the room-temperature medium within the 96-well V-bottom plate.

NOTE: Placing a dark background underneath the plate makes visualizing the sub-mm white DRGs easier. Only place one DRG in each well; this way, they can be accounted for more easily in the subsequent steps.

2.12. Repeat this process all the way down one hemi-spine and then the other. Each side yields 16-20 DRGs, totaling 32-40.

NOTE: If there are fewer DRGs than this, the dissection of the spine needs to be carried out further cephalad and/or caudally. The DRGs become increasingly less well defined as one proceeds caudally.

3. Preparation of semisolid matrix droplets (<1 min per plate)

3.1. Remove one glass well-bottom plate from ice and place it on an ice block underneath the operating microscope. Make sure the aliquot of matrix remains on ice at all times.

3.2. Place a 1.5- μ L droplet of matrix in each of the four corners of the glass-bottom plate with a 2- μ L or 10- μ L micropipetter, leaving a distance at least as great as the droplet itself from the edge of the glass well.

3.2.1. Place the tip of the pipette directly onto the glass at a 45-degree angle. Slowly pipet the matrix. Slowly move away from the glass bottom after the matrix is engaged on the plate.

NOTE: The surface tension between the matrix and glass should create a perfect hemisphere each time.

3.2.2. Stop pipetting just before the tip is empty, because inadvertent injection of air into the matrix droplet makes the diameter of the matrix droplet far greater and is difficult to remove.

NOTE: Using a second hand to stabilize the pipetting hand facilitates more accurate placement.

4. Insertion of DRG into semisolid matrix droplets (<2 min per plate)

4.1. Leave the plate with the matrix droplets briefly at room temperature (~1 min). This slightly stiffens the matrix, making it easier for the precise placement of the DRG.

4.2. Scoop (do not grasp) the DRG gently with closed microscopic forceps in the left hand. Again, a dark background against the small, white DRG facilitates visualization. Transfer the DRG to the tip of a 21-gauge needle in the right hand. This transfer will leave residual media on the forceps.

4.3. Gently insert the DRG into the middle of the matrix droplet using the 21-gauge needle (**Fig. 1**). Most of the time, the DRG will easily release into the matrix and then can be positioned centrally with the needle.

4.3.1. If the DRG sticks to the needle, use the microscopic forceps to push the DRG off of the needle and into the matrix droplet. Wick away excess media from the microscopic forceps with a lab wipe.

NOTE: Introducing media to the matrix will alter the diameter, volume, and consistency of the assay. An ice block with color or colored writing provides background contrast, which eases visualization of this delicate process.

4.4. After the plate has all four DRGs, perform a final inspection to ensure that the DRG is in the center of the matrix droplet. Make adjustments as needed with the 21-gauge needle.

4.5. Transfer the completed plate to a 37 °C incubator. This will solidify the semisolid matrix and fix the DRG in position.

4.6. Repeat this process for all of the DRGs. Place blank matrix droplets without a DRG as the negative control.

4.7. Add 4 mL of DMEM with 10% FBS media to each glass-bottom plate after completing all plates and exposing them to the 37 °C incubator for at least 3 min. Place the plate at a slight angle and slowly add the medium, such that it gradually comes into contact with the matrix-DRG units.

NOTE: If the medium is added too quickly or vigorously, the matrix and/or DRG can become dislodged.

4.8. Store the assays in the 37 °C incubator for the next 48-72 h.

NOTE: Periodic examination of the assays will show circumferential outgrowth of neurites towards the matrix edge (**Fig. 2**). When the neurites are greater than three-fourths of the way to the matrix, it is appropriate to plate the cells.

5. Preparation of the head and neck cancer cells

NOTE: Cell lines other than head and neck squamous cell carcinoma cells can be used in this experimental design.

5.1. Maintain squamous cell carcinoma cell lines in DMEM with 10% FBS in preferred flasks or culture dishes in a 37 °C incubator. To prepare cells for experimentation, suction all the medium from the culture flask or dish and wash it twice with PBS. Suspend the cells by adding an appropriate amount of 0.025% trypsin for 5 min, followed by DMEM with 10% FBS. Pipette 4 mL of the cell and media mixture into 6-mL culture dishes.

NOTE: A 6-mL culture plate provides more than enough cells, even when less than 50% confluent.

5.2. Expose the HNSCC cell lines to different conditions 24 h prior to staining and plating on the DRG assay. Re-dose the condition once the cells are plated to maintain a consistent environment.

NOTE: Add antibodies, growth factors, cytokines, or other molecules to the cell culture dishes 1-2 days following mouse dissection and implantation of the DRG into the matrix.

5.3. Add fluorescent cell stains 1 h before plating the cells (2-3 days after the DRG harvest and implantation into the matrix).

NOTE: The particular stains used here pass freely through the cell membrane; however, after reacting with intracellular thiol groups, the stain remains within the cell and is passed on to daughter cells. The staining greatly facilitates visualization within the assays. These temporary stains are ideal for these assays because the fluorescence is present for 2-3 days, which is the timeframe of these experiments. It also allows for the use of many different colors and obviates the need for creating fluorescent protein-transfected cell lines.

5.3.1. Prepare 10 μ M of dye solution by mixing 2 μ L of 10 mM stock cell stains in 2 mL of serum-free DMEM for every cell condition. Warm this to 37 °C before adding it to cells.

5.3.2. Remove the culture medium within the 6-mL plate, leaving the adherent HNSCC cells on the plate. Add 2 mL of phosphate-buffered saline (PBS) and remove 2 mL of the 10 μ M cell stain/serum-free DMEM added to each plate

5.3.3. Return the 6-mL culture plate with the cell stain to the 37 °C incubator for 40 min.

5.3.4. After 40 min, aspirate the medium. Add 2 mL of PBS and then aspirate it. Add 1 mL of 0.025% trypsin to each plate and replace them in the 37 °C incubator.

5.3.5. Add 2 mL of DMEM with 10% FBS after 3-5 min and transfer the suspended cells to a 15-mL conical tube. Spin the cells and re-suspended them in 1 mL of DMEM with 10% FBS.

5.3.6. Count the cells with a hemocytometer or automated cell counter, and then add DMEM with 10% FBS to create a final cell concentration of 300,000 cells per mL.

6. Plating head and neck cancer cells

6.1. Remove the glass-bottom plates with the matrix-DRG assays from the incubator.

NOTE: Again, they are appropriate when neurites are at least 75% of the way to the edge of the matrix, which generally occurs after 2-3 days. This is best seen using at least a 10x objective.

6.2. Aspirate the medium within the glass-bottom plate. Completely aspirate the medium within the glass well without removing the matrix-DRG units.

6.3. Draw up 200 μ L of the 300,000 cells/mL medium using a 200- μ L pipet. Place two drops of the cells over each matrix-DRG assay. Perform this step for each matrix droplet, creating four repeats of each cell condition on one plate.

NOTE: The hemispherical shape of the matrix allows the cells to settle in a ring along the periphery of the assay (**Fig. 3a, Fig. 3b**). Finding a pipet with a smooth trigger makes placement of uniform drops far easier.

6.4. Confirm similar cell numbers and distribution of the various cell conditions under 4x microscopy.

6.5. Place the glass-bottom plates into the 37 °C incubator for approximately 60 min. NOTE: Although there is only a small volume of cells and media (~150 μ L), the assays do not dry out until several hours of incubator time have elapsed.

6.6. After 60 min, gently add 4 mL of DMEM with 10% FBS along the sidewall of the glass-bottom plate.

NOTE: Over a period of time, the cells partially adhere to the plate bottom, and the method of adding the medium does not disturb the cells. Different cell types make take more or less time to begin to adhere to the glass-bottom plate.

6.7. Replace any exogenous drugs or growth factors at this time to maintain the previous concentration levels.

6.8. Return the assays to the 37 °C incubator, except when they are examined microscopically. Quantify the results of this assay by microscopic imaging. Briefly, count the strands of perineural invasion within four quadrants using a 4x microscopic image.

NOTE: A microscope with a 4x objective and fluorescence capabilities is adequate for photo-documenting the assays. The size of the assays fits nicely within the field of a 4x objective, which is detailed enough to capture the individual areas of perineural invasion. Perineural invasion becomes apparent within 24 h, but beyond 48 h, the integrity of the assays begins to weaken, as the tumor cells divide along the periphery of the matrix and invade. Beyond 48 h, there is also substantial efflux of fibroblasts from the DRG, which obscures visualization using brightfield microscopy. Therefore, it is advisable to photo-document the results with 4x microscopy at least twice during this window (*e.g.*, at 24 and 48 h after plating the tumor cells). Be mindful that these are 3-dimensional assays, and it is difficult to completely image the entire assay. Most perineural invasion occurs in a plane from the bottom of the plate, where the cells are embedded in the matrix slightly above the bottom of the plate. Because this plane is close to horizontal, the vast majority of neurites with tumor cells are captured in a single-level image at 4x.

REPRESENTATIVE RESULTS:

After the dissection of the DRG and the placement within the matrix droplet, the appearance of the assay should resemble **Fig.1**. Note that the DRG is not perfectly round, but it is centered within the matrix droplet. This allows for the outgrowth of neurites in 360 degrees, shown partially in **Fig. 2**. Be aware that certain parts of the DRG send out neurites faster and in greater numbers than others, typically corresponding to where the efferent and afferent nerve branches entered and exited the DRG, respectively. We account for this and for size differences between DRGs by randomly plating the DRGs in groups of 4 and then randomly assigning a given plate to each cell condition.

As described above, we plate the HSNCC cell lines once the neurites have extended at least $\frac{3}{4}$ of the way to the edge of the matrix, which is typically on day 3. The added cells form a circumferential ring around the matrix (**Fig. 3a**). We subsequently photograph the assays on day 4 (**Fig. 3b**) and day 5 (**Fig. 3c**). The cell line shown here (FaDu) demonstrates an above-average ability to track along neurites. The SQCCY1 cell line shown in **Fig. 4**, however, shows little to no proclivity to invade the assays.

When using a new cell line, it is import to utilize several negative controls to examine how that particular cell line behaves around the assay. First, plate cells around a “blank” assay consisting of matrix alone (**Fig. 5**). All cell lines that our lab has examined actively divide around the matrix but do not enter or extend over the top of the matrix. When excessive cells are left on top of the matrix, there can be significant growth over the matrix. This highlights the need to ensure that as many cells fall to the periphery of the matrix as possible, rather than being left to rest and divide on top of the matrix. This can be accomplished by gently tapping on the plates before the

cells adhere or by pipetting small amounts of media directly on top of the matrix droplet once the cells have begun to adhere to the glass plate.

A second negative control, wherein the cells are plated on the same day that the DRG is placed within the matrix, can be run. The goal of this approach is to demonstrate that it is not a neurotropic attraction that drives the cells to the DRG, but rather that the presence of the neurites is mandatory. Furthermore, when tumor cells have resided along the periphery of the matrix for greater than 2 days, the matrix edge becomes indistinct. The matrix then begins to lift off the glass bottom and can be found free-floating in the media shortly thereafter. For this reason, this protocol describes plating the HNSCC cells once the neurites have extended 75% of the distance to the edge of the matrix.

There are innumerable options for quantifying the results of what are visually very apparent differences between assays. In one such method, the images of the assays are divided into four quadrants with a vertical and a horizontal line (**Fig. 6**). One point is assigned for every quadrant that has at least one string of PNI. If the PNI extends beyond 50% of the way from the edge of the matrix to the DRG, then 2 points are assigned instead. In this way, a score of 0-8 points can be assigned. The major advantage to this system is that assays that have too many PNI units to count can be rapidly assessed. A disadvantage is that it does not adequately express the degree of PNI (*i.e.*, a quadrant with 1 neurite with invasion 100% of the distance to the DRG receives the same score (2) as a quadrant with 10 similarly-invaded neurites). Comparison of the brightfield and fluorescent images makes this system very easy, even with significant fibroblast efflux.

Sample scoring is shown in **Fig. 6**. Using this four-quadrant scoring system for **Fig. 3**, 0 points, 2 points, and 7 points were assigned for panels **Fig. 3a**, **Fig. 3a**, and **Fig. 3c**, respectively. **Fig. 4** received a score of 2 points and 2 points in panels **Fig. 4a** and **Fig. 4b**, respectively. The data in **Table 1** depicts the results of several experiments using the cell lines FaDu and SQCCY1. Note that even with a limited grading scale such as this, statistically-significant differences in the mean four-quadrant scores are readily obtained using the independent samples t-test.

FIGURES AND TABLES:

Figure 1. DRG-matrix assay. Correct placement of the dorsal root ganglion within the matrix droplet, shown with brightfield microscopy at 4x. Scale bar represents 1mm.

Figure 2. Outgrowth of neurites. Neurites are absent on day 0 immediately after placing the DRG in the matrix droplet (a). By day 1 (b), neurite outgrowth is apparent at 10x on brightfield microscopy. Neurite growth continues on day 2 (c) and day 3 (d); however, note the efflux of the fibroblasts. Scale bar represents 0.1mm.

Figure 3. DRG-matrix assay with FaDu. Head and neck squamous cell carcinoma line FaDu added peripherally around an assay on day 3 (a), shown in green fluorescent and brightfield microscopy at 4x. Progressive neural invasion is seen on day 4 (b) and day 5 (c). Scale bar represents 1mm.

Figure 4. DRG-matrix assay with SQCCY1. Head and neck squamous cell line SQCCY1 added on day 4 (a), shown in brightfield and green fluorescent microscopy at 4x. Note that this

cell line is not as proficient at perineural invasion as FaDu, even by day 5 (b). Scale bar represents 1mm.

Figure 5. Matrix assay with FaDu. Head and neck squamous cell carcinoma cell line FaDu added around a matrix droplet without a DRG on day 3. brightfield and green fluorescent microscopy (4x) shown on day 4 (a). Note that the tumor cells do not enter the matrix or grow over the top of it, even by day 5 (b). Scale bar represents 1mm.

Figure 6. Four-quadrant method for assay quantification. One point is assigned for every quadrant with a PNI less than 50% of the distance from the edge of the matrix to the DRG. 2 points are assigned when the PNI extends beyond 50%. The first example (a) would receive a score of 5 (1 point for every quadrant for a PNI less than 50%, except the bottom right, which has a PNI extending beyond 50%, where 2 points are scored). The second example (b) is scored as an 8 (a PNI beyond 50% in all four quadrants). Scale bar represents 1mm.

Table 1. Comparison of the PNI between FaDu and SQCCY1. Mean four-quadrant scores as shown in **Fig. 6** between the cell lines FaDu and SQCCY1 at 24 h (day 4) and 48 h (day 5) after the cells were plated around the DRG-matrix assay. Comparisons are made using the independent sample t-test and presented with the standard deviation (SD) and P-values.

DISCUSSION:

Critical steps within the protocol.

The most important steps within this protocol are the precise dissection and extraction of the dorsal root ganglia. Proper transection of the spinal column and a midline-longitudinal division into two hemi-spines are critical to obtaining large numbers of DRG. During the dissection of individual DRGs, the ganglion should never be handled directly, but rather the surrounding fascia should be grasped with the microscopic forceps. Failure to do this will result in a crush injury of the DRG, which is likely the major cause of failure for neurite outgrowth. It is far better to under-trim the surrounding neural tissues during dissection than to risk over-handling the DRG and obtaining no neurite growth in the assay.

Modifications and troubleshooting.

The experimental protocol as described above reflects the optimal methodology established from a large number of procedural adjustments made over several years. Listed directly under the corresponding step in the protocol section is a number of notes and pitfalls that resulted from the experiences of the authors when using this methodology. Given the number of steps involved, there are indeed many modifications that can be made to this protocol by future investigators. As experience with these modifications grows, it is anticipated that additional means of troubleshooting will be developed according to the preferences of the investigating team.

Limitations of the technique.

There are three major limitations to this technique. The first is that very fine neurites are used as a surrogate for large neural invasion, which is what pathologists observe in tumor specimens. It is not known what the role of neurites, as opposed to neurons, are *in vivo* because identifying perineurite invasion is beyond the capabilities of a routine histopathological exam. Secondly, perineural invasion is a form of soft-tissue invasion that may not simply involve tumor cells and

an adjacent neuron, as is simulated in this assay. As such, exogenous factors native to the *in vitro* tumor milieu are lacking in this assay. Finally, the time period during which PNI can be studied is limited to approximately 48 h due to the combination of fibroblast efflux and loss of matrix integrity. In this way, cell lines that are effective at neural invasion but are slow dividing and/or invading will be missed and presumed to not be proficient at PNI.

Significance of the technique with respect to existing/alternative methods.

To our knowledge, this is the only *in vitro* model currently available to examine PNI in HNSCC. Given the frequency with which PNI occurs in HNSCC and the limited knowledge of mechanisms that currently exists, this method is advantageous for several reasons. First, it has a very high success rate and excellent reproducibility. The total experiment time is also relatively short compared to similar protocols^{12,17-19}. Finally, with the large number of assays that can be generated from a single mouse, many conditions can be tested with scientifically-appropriate replicates. The combination of these factors allows for the rapid assessment of many different conditions with consistent results.

At the same time, the high quality of the assay permits more detailed examination of the interaction between the tumor cells and the neurites. The use of live-cell imaging allows for the appreciation of the neurite outgrowth process and the HSNCC cell invasion in time-lapse video form. The addition of fluorescently-stained cells creates very clear differentiation between the cancer cells and background material, such as fibroblasts and dense neurite outgrowth (which auto-fluoresce red). Whether following this protocol or those described by others, this general experimental design is in its relative infancy, and there is far from a gold standard for the *in vitro* study of PNI. For these reasons, the more approaches to this assay that are described in detail, the greater the opportunity for the collaborative development of an ideal methodology.

Future applications or directions after mastering this technique.

Just as there are several different approaches to setting up the assays, there are several approaches to measuring the results. In the above text, a single method for rapidly quantifying the degree of perineural invasion in each assay was presented. This is ideal for screening the impact of numerous different pathways on PNI, especially given that one can isolate 32-40 DRGs per mouse. There are a number of advanced imaging techniques to assess PNI, including the amount of fluorescence within a given area, the distance and rate of cell invasion, and the raw number of cells within the assay. Once the dynamic portion of the experiment is finished, the cells within the assay and/or the supernatant can also be collected for further molecular analysis.

This experimental methodology offers the opportunity to elucidate mechanisms involved in the PNI of HNSCC and other cancers. This knowledge can drive the development of therapeutics to target the pathways of PNI, which, at the present time, are lacking in HNSCC. Specific targeting of PNI when identified as an adverse pathological feature can potentially obviate the need for the non-specific adjuvant treatments, such as radiation therapy and chemotherapy, that are currently utilized.

ACKNOWLEDGMENTS:

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“Post-Doctoral Research Training in Head and Neck Oncology (2T32CA060397-21).” Thank you to Richard Steiman, MD, PhD and lab staff.

DISCLOSURES:

The authors have no competing financial interests.

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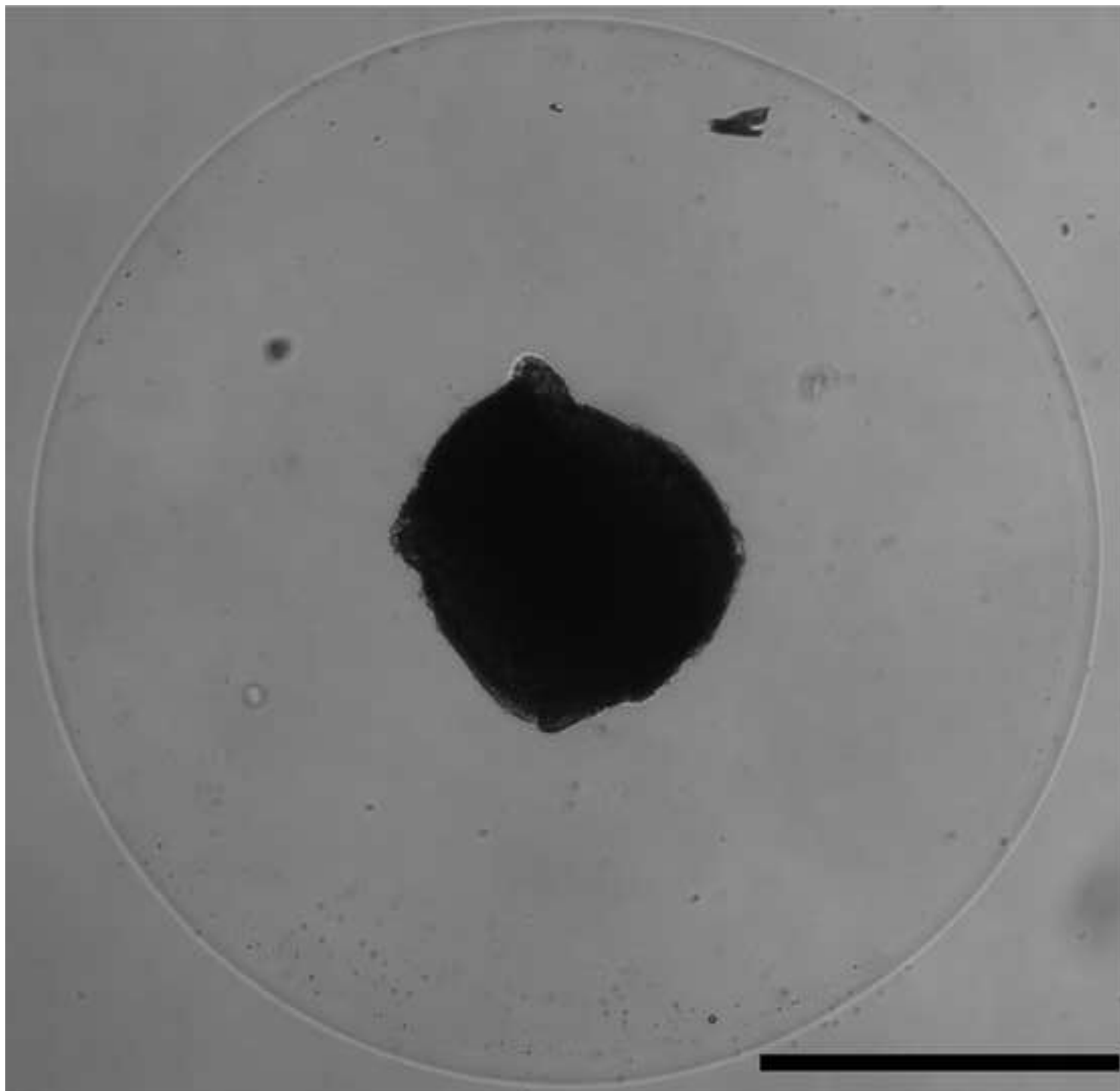


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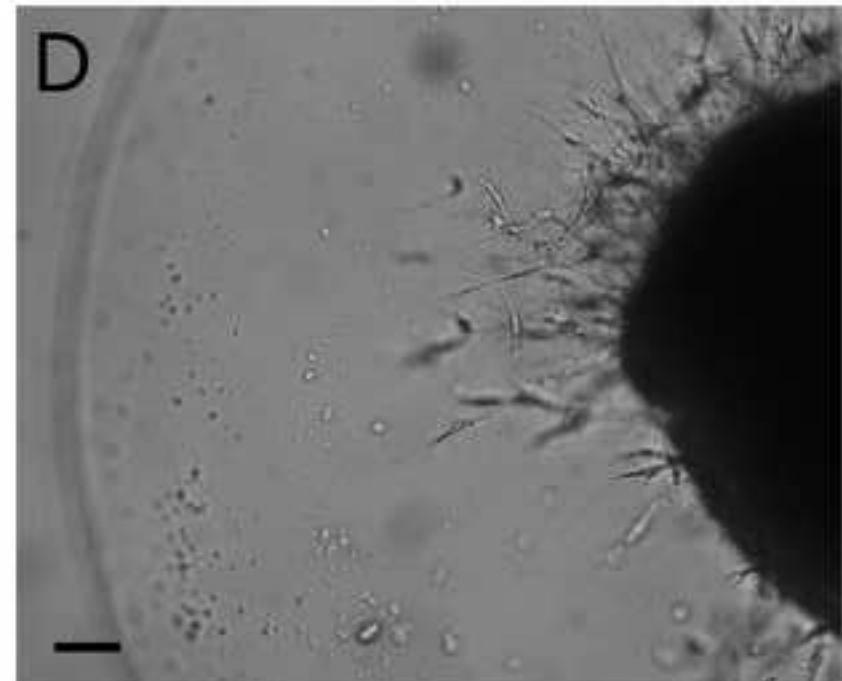
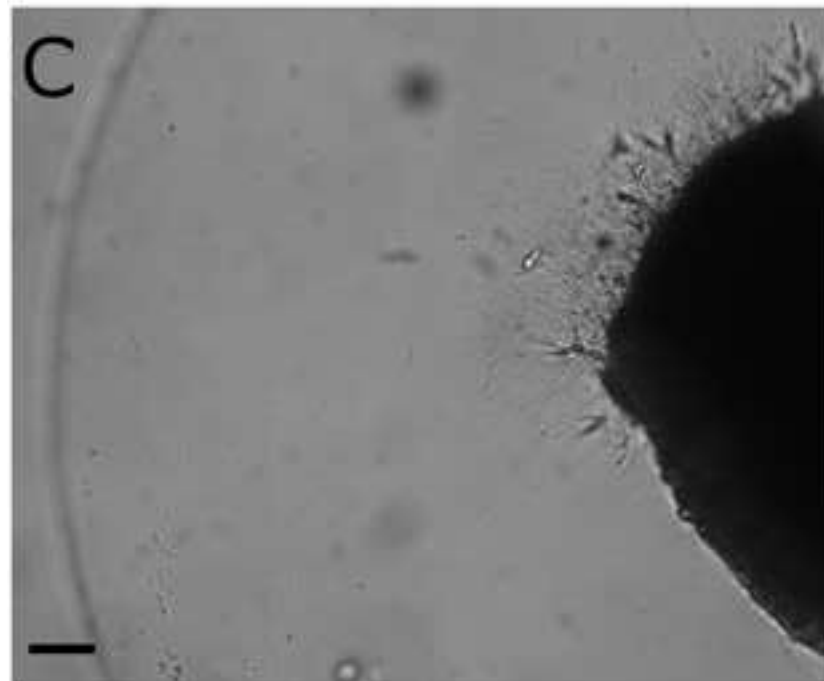
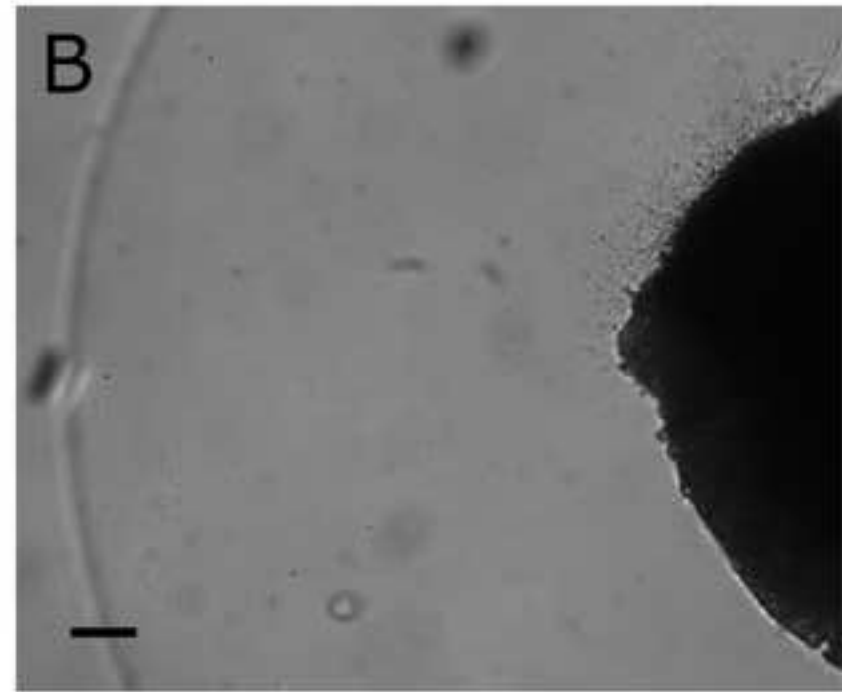
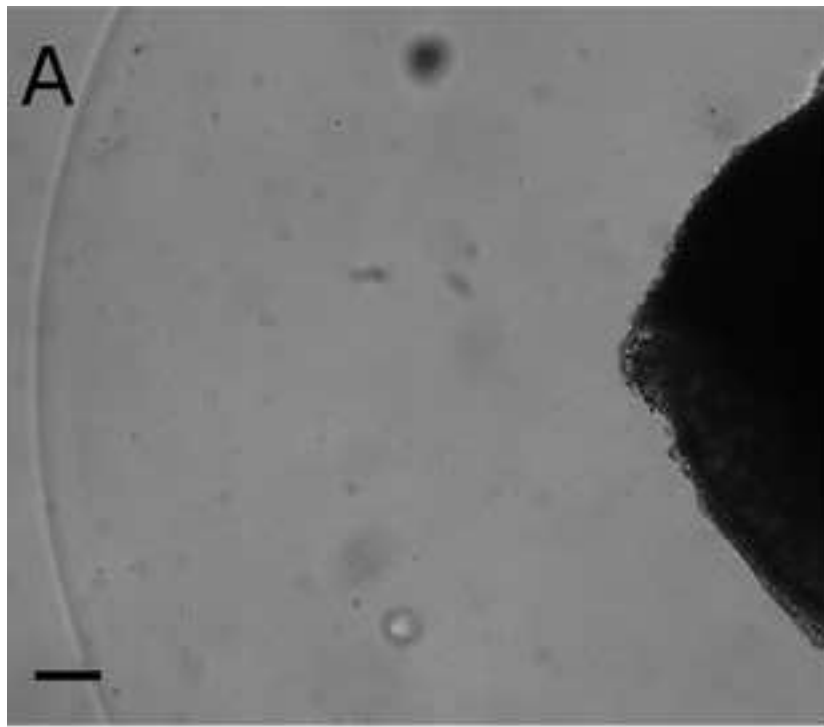


Figure 3

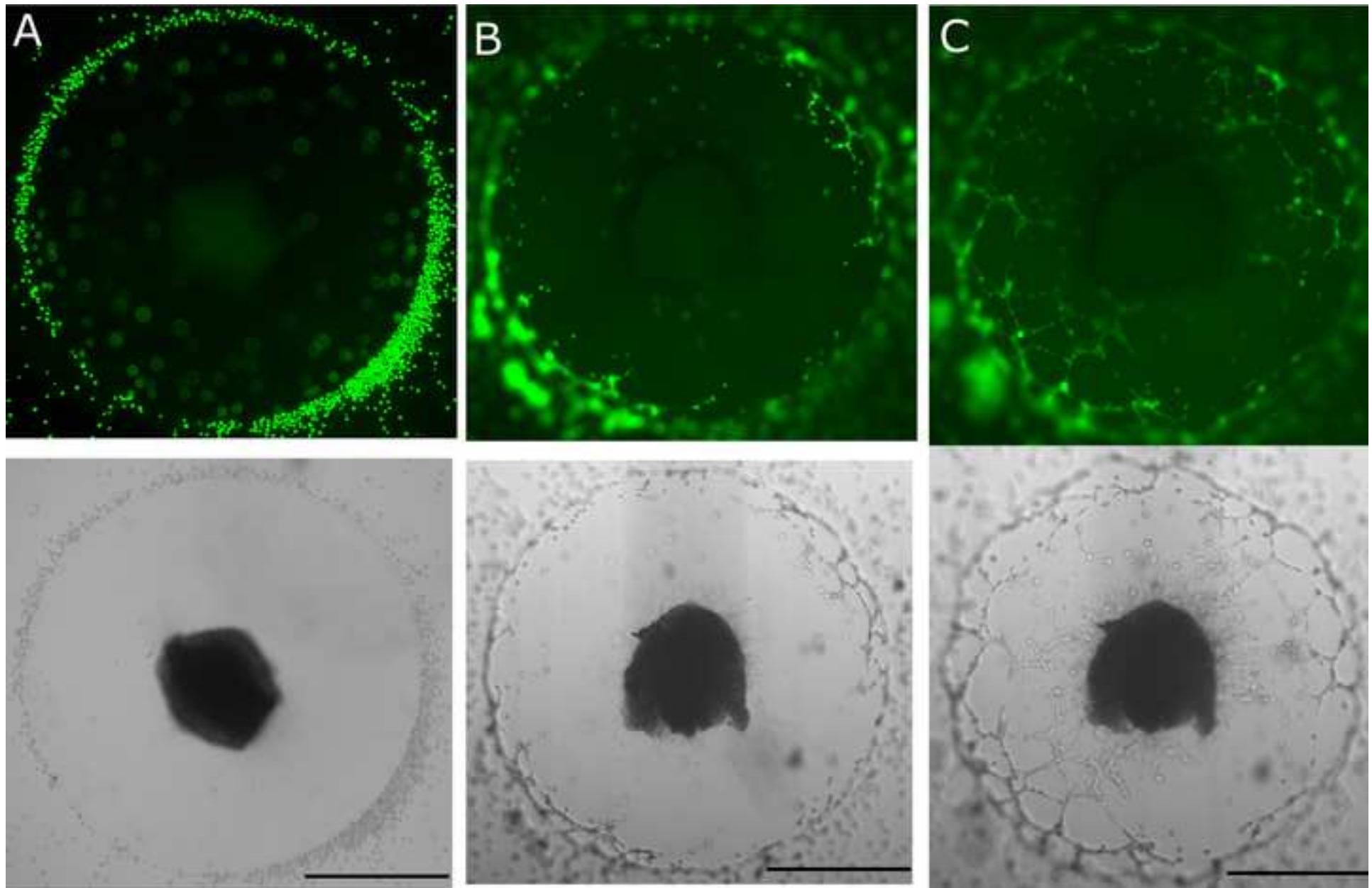


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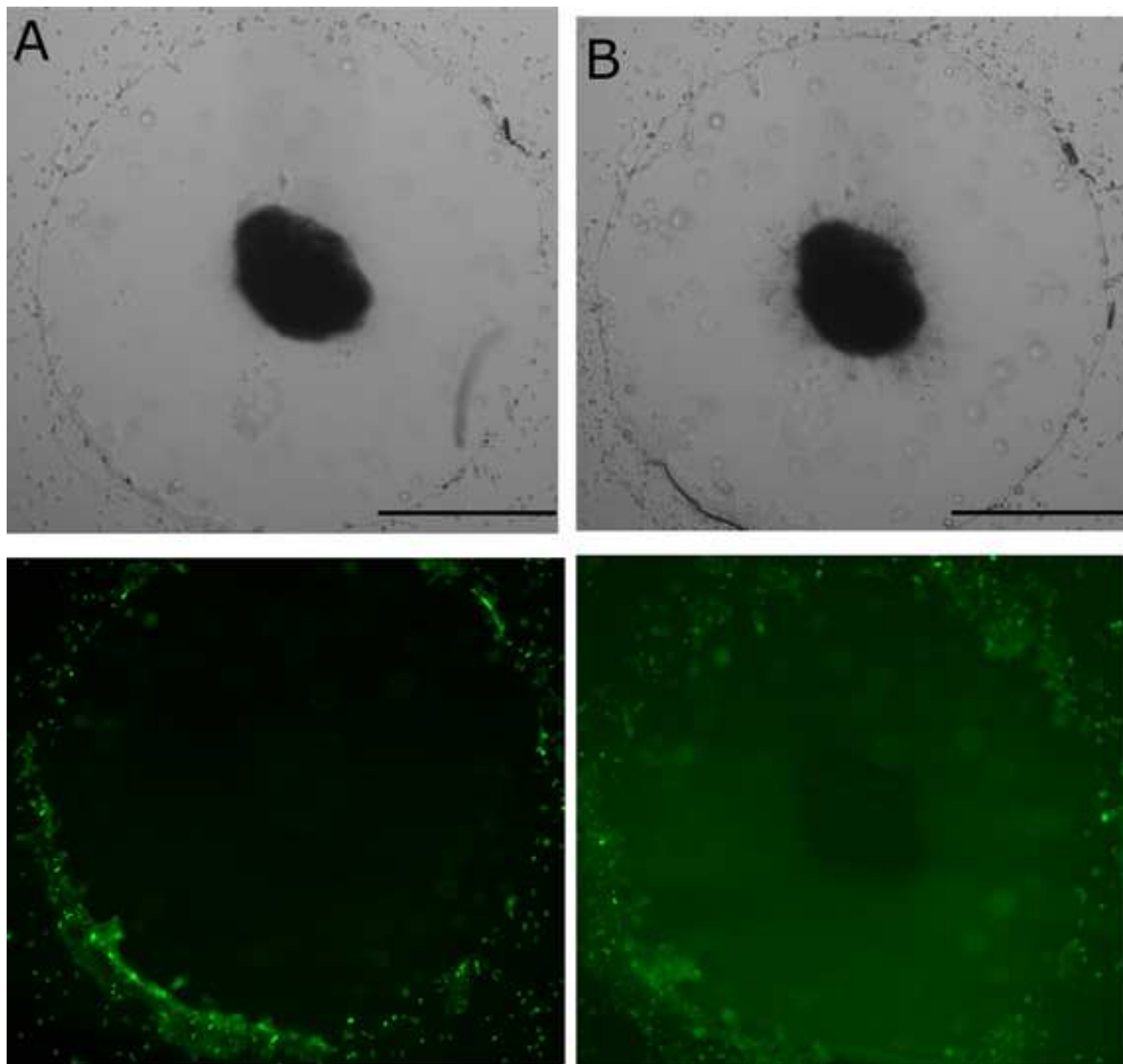


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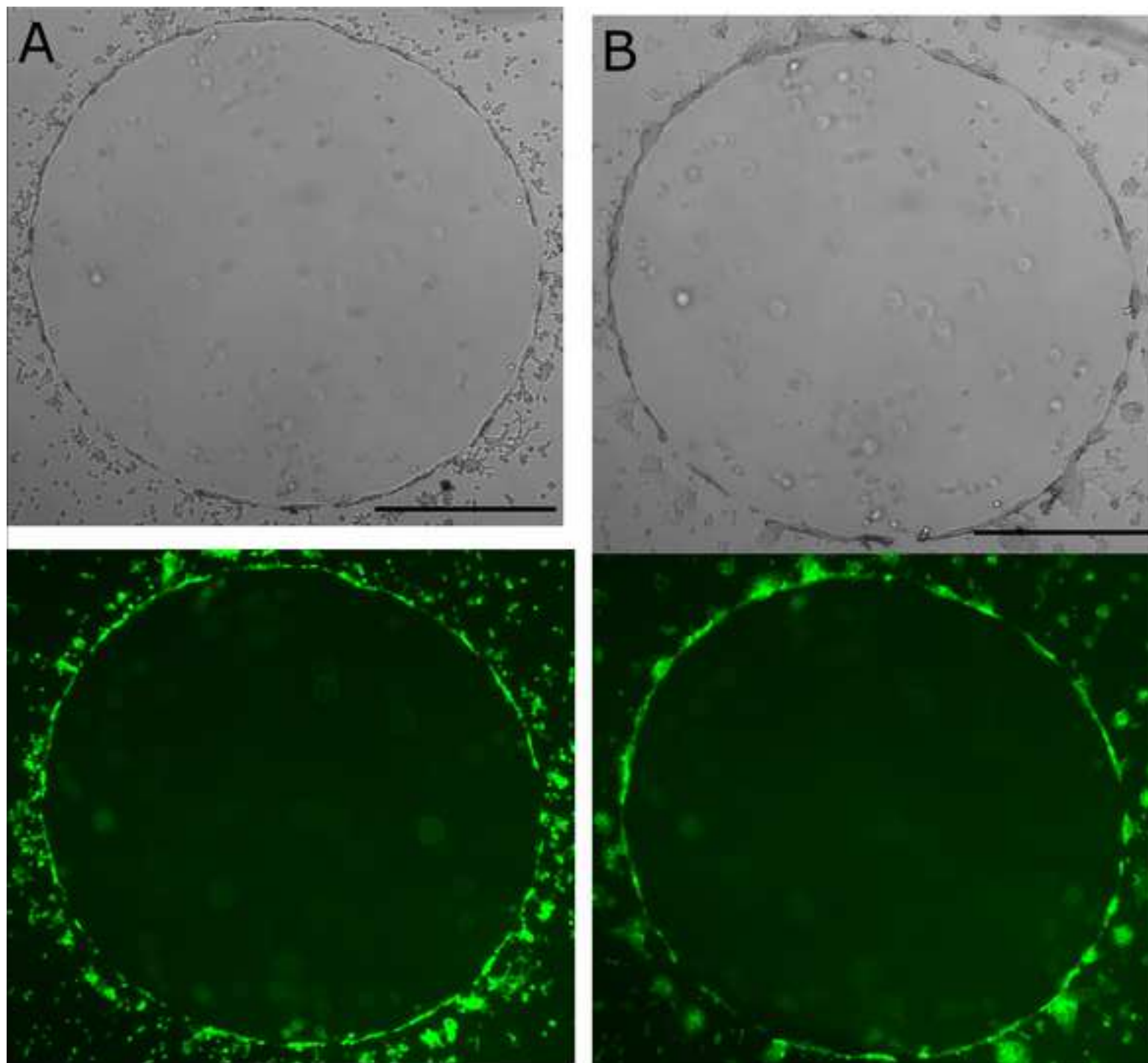
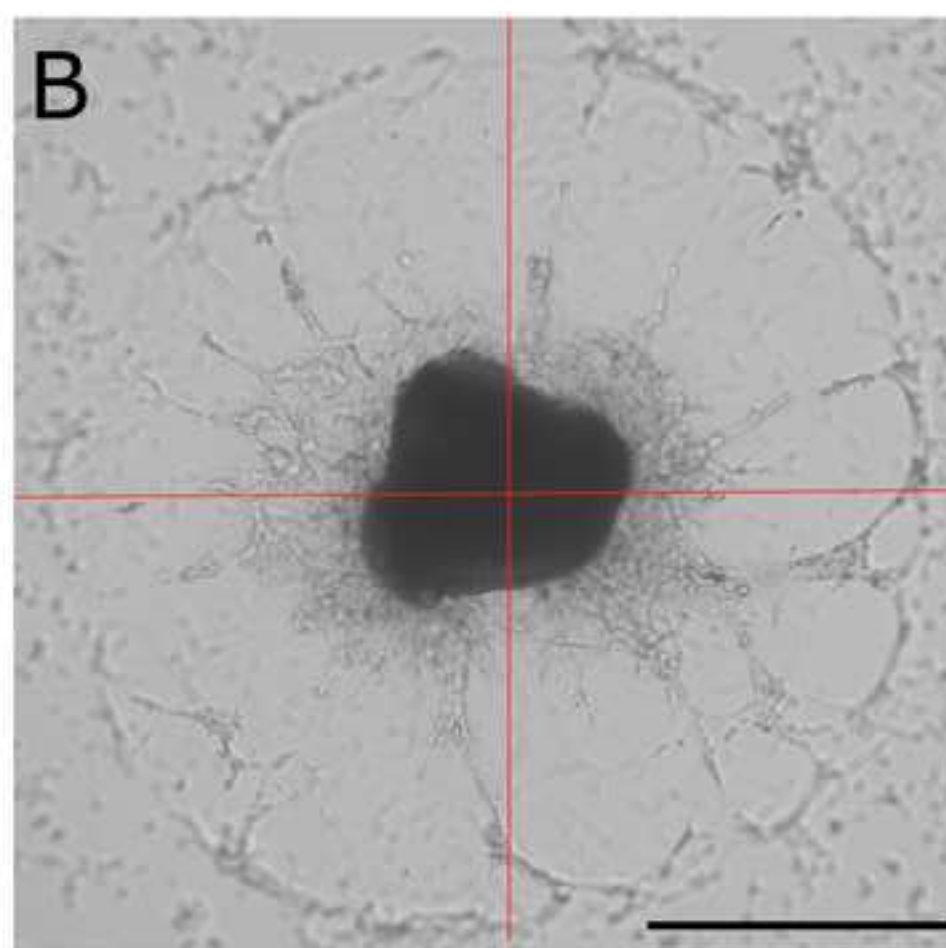
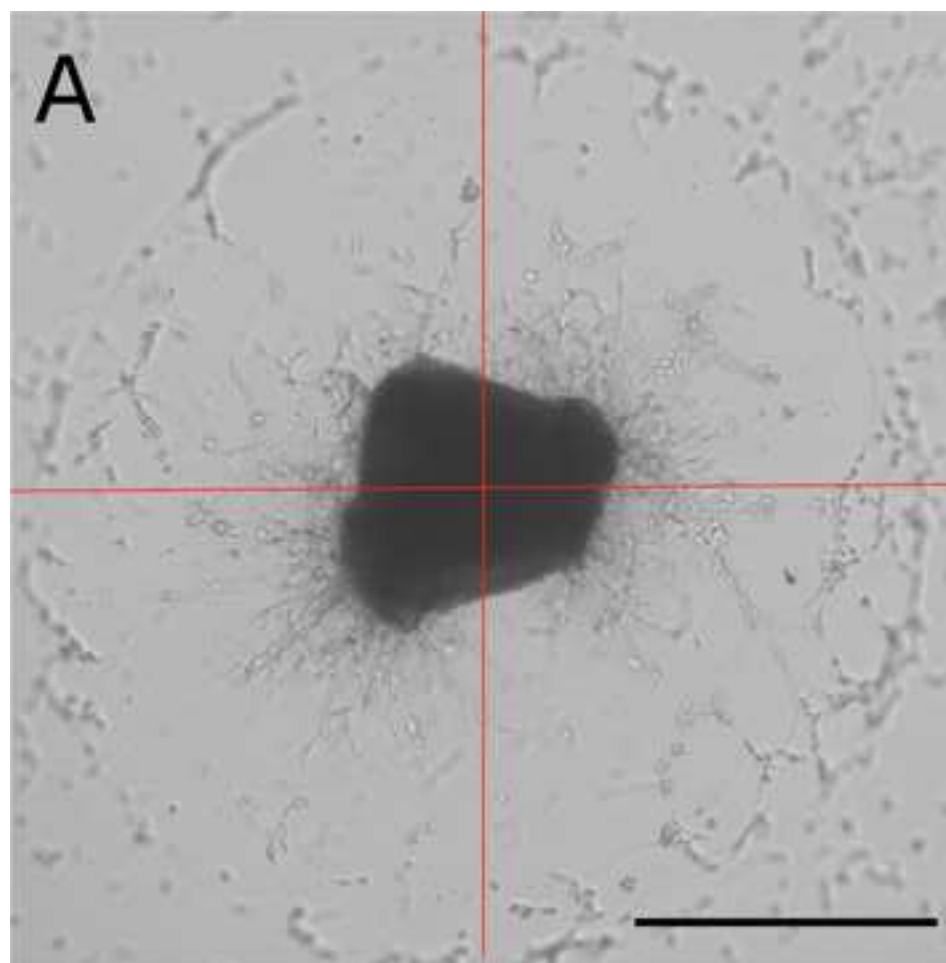


Figure 6

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Cell line	n	Day 4	SD	P-value	Day 5
FaDu	24	2.88	1.42	Ref	6.04
SQCCY1	20	0.60	0.60	<0.001	1.05

SD	P-value
0.35	Ref
0.17	<0.001

Item	Company
DMEM/F-12 50/50 Mix with L-glutamine & 15mM HEPES	Corning Cellgro
Fetal bovine serum	Atlanta biologicals
0.25% Trypsin-EDTA (1x)	Life Technologies Corporation
Phosphate buffered Saline 1x	Corning
Matrigel hESC-Qualif Mouse	Corning Incorporated
Gamma Irradiated 35mm glass bottom culture dishes	MatTek Corporation
SteREO Discovery.V8 Operating Microscope	Carl Zeiss Microimaging
Schott ACE I light source	Schott
CellTracker	Life Technologies Corporation
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Athymic nude mice (002019)	Jackson Laboratory

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August 3, 2016

Journal of Visualized Experimentation

RE: A Model for Perineural Invasion in Head and Neck Squamous Cell Carcinoma

Dear Editors:

Thank you for reviewing our manuscript and providing us with the opportunity to revise and resubmit it for publication in the *Journal of Visualized Experimentation*. As requested, we have submitted two copies of the revised manuscript, one with all changes highlighted and the other a clean version of the revised paper (but with the protocol to be narrated highlighted in yellow).

We have taken into consideration all of the reviewers' recommendations and have responded to them in the revised manuscript as well as in this letter. The exception to this statement is putting scale bars and inlayed panel identifiers in our pictures which we have discussed working on together to complete.

Thank you,

Phillip Huyett and Steve Kim

•Formatting:

- Please make sure the font in the discussion matches the rest of the manuscript.
 - This was fixed- all text is in Times New Roman.
- Length exceeds 3 pg. 2.75 pg or less must be highlighted for filming.
 - I cut out everything between the yellow highlights and get less than 2 pages.
- •Grammar: -Please copyedit the manuscript for grammatical errors. Such editing is required prior to acceptance, and some errors are noted below:
- -Latin phrases (ie in vitro and in vivo) should be in italics.
 - These were adjusted as instructed.
- -Line 119 – “1 to 36.It is not”
 - Changed to 1 to 40 as discussed by one of the reviewers below.
- -2.6 – “verterbral”
 - Fixed to vertebral
- -Line 153 – “deep to the spinal cord”
 - I'm not sure what the grammatical error is here.
- -3.2.1 – “is engaged the plate”
 - I'm not sure what the grammatical error is here.
- -4.5 – “fixe”
 - I'm not sure what the grammatical error is here.
- -“37°” should be “37 °C” throughout the manuscript
 - These were all adjusted.

- -Line 414 – “is crush”
 - changed to “in crush”
- •Additional detail is required: -2.1 – How is euthanasia performed here?
 - We were previously instructed to remove the details regarding euthanasia, however I have added back the means by which we sacrifice the mice.
- -5.1 – How is the culture plate originally set up? Please describe briefly or provide a citation for initial growth/plating conditions.
 - This was added to 5.1.
- -5.2 – What do the dyes stain? That is, what cellular targets are stained?
 - As recommended below as well, this was added to 5.2
- -Please provide a step at the end to describe quantification. This does not need to be highlighted for filming, but should appear in the protocol rather than the results section.
 - We were previously advised to remove the quantification section from the protocol and to place in the Representative results section.
- •Results: -Please add scale bars to all microscopy images.
- -Please add panel labels to all figures so that it is clear which image is which.
 - As discussed, I was hoping that the journal could assist me with this.
- •Discussion: Please include citations to reference other similar protocols in the section on significance.
 - These references were added.
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Reviewers' comments:

Reviewer #1: *Manuscript Summary:* This is a review of A Model for Perineural Invasion in Head and Neck Squamous Cell Carcinoma, Manuscript number JoVE55043R1. *Description:* This is a paper describing a model system for perineural invasion for of head and neck squamous

cell carcinoma using dorsal route ganglion harvested from nude mice. The model allows the dorsal route ganglion to sprout neurites that grow in a radial fashion and then cancer cells are added and can be tracked for growth along neurites. Review: This is a well done, well written, and detailed article describing dorsal route ganglions as a model for perineural invasion. The technique is clearly explained, as are the benefits and limitations. The authors should be congratulated for bringing this technique forward for examination of perineural invasion in head and neck squamous cell carcinoma. *Major Concerns:* N/A *Minor Concerns:*

- The author should explain what the semisolid matrix is within the text in addition to the table.
 - a. The semisolid matrix is Matrigel- we were not allowed to use the trade name outside of the Table or Reagents. With that said, we have explained in the introduction what Matrigel is specifically made of.
- The author should explain that primary cultures could also be used in addition to squamous cell carcinoma cell lines.
 - a. This was added to 5.1
- Summary: This is an excellent description of a model for perineural invasion that may be useful for future experiments. *Additional Comments to Authors:* N/A
 - a. Thank you.

Reviewer #2: *Manuscript Summary:* This manuscript describes the protocol and methods used to perform dorsal root ganglion cell culture in the presence of tumor cells from squamous cell carcinoma of the head and neck. This in vitro model is utilized to study perineural invasion - a common phenomenon in head and neck squamous cell carcinoma. The manuscript is well written and the requisite laboratory steps are clearly described. Minor issues that should be addressed before publication include the following:

1. Head neck cancer is innervated by nerve fibers originating from trigeminal ganglia. If the described method is applicable to trigeminal ganglia culture, steps to harvest trigeminal ganglia should be included.
 - a. This is a very interesting idea, however, we do not have a protocol for extracting trigeminal ganglia from mice. We did briefly attempt to observe perineural invasion of the facial nerve of living mice but the results were variable- certainly not a protocol ready for publication.
2. DRGs from different segments exhibit differences in size and neurochemical expression. The authors should describe or compare differences in perineural invasion between DRGs collected from different body regions.
 - a. Another excellent point that we realized early on. There are at least structural/size differences in DRG obtained from the sacral vs cervical spine. We dealt with the issue of DRG size variability by randomly assigning cell type and treatment condition with each mouse. With large numbers of duplicates in each experiment our assumption is that variability is evenly distributed. This is discussed in the first paragraph in "Representative Results."
3. Protocol text: 1.2. line 109: what is the "semisolid matrix"? Please clarify. 1.3. line 119: up to 40 DRGs will be yield. Why only label up to 36 DRGs? Space is needed after "36". 2.1.

Explain the rationale for choosing athymic nude mice. "lower portion of the spine" is vague. Do the authors mean sacral region? Please specify.

- The semisolid matrix is described in the introduction. We were previously advised to not use the tradename in the manuscript except in the Reagents table.
- We changed the sentence describing how many plates are labelled as above.
- There was no specific reason for selecting nude mice. were used initially because our lab had prior experience working with them.
- Changed sentence describing lower portion of the spine to specify the sacral spine

5. Specify the "fluorescent cell stains" used. 6.6. There is a typo in line 299.

- CellTracker (ThermoFisher) enters the cell freely then reacts with glutathione transferase to remain intracellularly and is passed onto daughter cells. This was added to the manuscript.

4) Critical steps within the protocol: Aseptic experimental procedures to prevent contamination in the DRG/cancer cell culture should be emphasized.

- Changed 2.2 to reflect this. In all honestly, we do disinfect the dissection surface and autoclave instruments but the dissection and plating of DRG are not done under a sterile hood and we have never had problems with contamination.

Major Concerns: N/A *Minor Concerns:* N/A *Additional Comments to Authors:* N/A

Reviewer #3: *Manuscript Summary:* This manuscript provides an adaptation of a previously published JOVE method by a different group for studying pancreatic cancer.

Major Concerns: The major concern is that given the description of this assay as an assay for perineural invasion, demonstration that the tumor cells are indeed moving along the neurites is important. It was difficult to determine at the low magnifications given whether the cells were moving along the surface of the droplet or invading into the droplet, much less whether that was along neurites. Although in figure 6 it seems that physical contact is made between the invading tumor cells and the DRG neurites, it is unclear whether they were attracted to the neurites or invaded along the neurites. If they are simply responding chemotactically to the neurites, that is a different type of response and perhaps should not be described as perineural invasion. Ideally there should be staining of the DRG in a separate color followed by confocal microscopy in order to demonstrate true perineural invasion.

- This is a legitimate concern that we and other investigators using similar techniques have looked into. Within our own experience we have innumerable micrographs depicting the cells tracking along the neurites as well as time lapse footage of the cell movement (which can be included in the video for JoVE). It is true that this does not prove that perineural invasion is occurring or represented but it is very clearly a non-random event. We do run a control wherein we plate cells at the same time as the DRG (i.e. before the neurites sprout) and find that there is no movement of the cells on or into the assays suggesting that the neurites are required to track along. This to us implies more or neural tracking/perineural invasion rather than neurotropism.

Minor

Concerns: Figure 2, 3, 4,5 and 6 individual images or image pairs should have labels. The legend needs to explain that the second pair images in Figure 4 is (presumably a later time point?). *Additional Comments to Authors:* N/A

- As above, we will work together to fix these images.