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# Preparation of silicon nanowire field-effect transistor for chemical and biosensing applications --Manuscript Draft--

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Corresponding Author:	Yuh-Shyong Yang National Chiao Tung University Hsinchu, TAIWAN
Corresponding Author Secondary Information:	
Corresponding Author E-Mail:	ysyang@faculty.nctu.edu.tw
Corresponding Author's Institution:	National Chiao Tung University
Corresponding Author's Secondary Institution:	
First Author:	Jennifer Wu
First Author Secondary Information:	
Other Authors:	Jennifer Wu
	Chih-Heng Lin
	Mei-Huei Feng
	Chien-Hung Chen
	Ping-Chia Su
	Po-Wen Yang
	Jian-Ming Zheng
	Chang-Wei Fu
Order of Authors Secondary Information:	
Abstract:	Surveillance using biomarkers is critical for the early detection, rapid intervention, and reduction in the incidence of diseases. In this study, we describe the preparation of polycrystalline silicon nanowire field-effect transistors (pSNWFETs) that serve as biosensing devices for biomarker detection. A protocol for chemical and biomolecular sensing by using pSNWFETs is presented. The pSNWFET device was demonstrated to be a promising transducer for real-time, label-free, and ultra-high-sensitivity biosensing applications. The source/drain channel conductivity of a pSNWFET is sensitive to changes in the environment around its silicon nanowire (SNW) surface. Thus, by immobilizing probes on the SNW surface, the pSNWFET can be used to detect various biotargets ranging from small molecules (dopamine) to macromolecules (DNA and proteins). Immobilizing a bioprobe on the SNW surface, which is a multistep procedure, is vital for determining the specificity of the biosensor. It is essential that

	every step of the immobilization procedure is correctly performed. We verified surface modifications by directly observing the shift in the electric properties of the pSNWFET following each modification step. Additionally, X-ray photoelectron spectroscopy was used to examine the surface composition following each modification. Finally, we demonstrated DNA sensing on the pSNWFET. This protocol provides step-by-step procedures for verifying bioprobe immobilization and subsequent DNA biosensing application.
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Cover Letter

Dear Molly,

Please consider our article, Preparation of silicon nanowire field-effect transistor for chemical and biosensing applications, for publication with JoVE Chemistry.

In the manuscript, we describe the key procedures that transform a semiconductor device to a biosensor. This information include critical and basic techniques from chemistry, biochemistry and electric engineering that are needed together to develop a

biosensing platform.

At the current stage, novel diagnostic tools to fulfill many unmet needs in biomedical and clinic applications are greatly valued. We believe that combination of chemistry, biology and electric engineering to produce future biosensors can be appreciated by

many of our readers.

Sincerely yours,

Yuh-Shyong Yang Professor Department of Biological Science and Technology National Chiao Tung University Hsinchu, Taiwan

Email: ysyang@mail.nctu.edu.tw

#### Title:

# Preparation of silicon nanowire field-effect transistor for chemical and biosensing applications

#### **Authors:**

Jennifer Yun-Shin Wu, Chih-Heng Lin, Mei-Huei Feng, Chien-Hung Chen, Ping-Chia Su, Po-Wen Yang, Jian-Ming Zheng, Chang-Wei Fu, Yuh-Shyong Yang

Wu, Jennifer Yun-Shin Institute of Molecular Medicine and Bioengineering National Chiao Tung University Hsinchu, Taiwan

Lin, Chih-Heng Department of Biological Science and Technology National Chiao Tung University Hsinchu, Taiwan

Feng, Mei-Huei Institute of Molecular Medicine and Bioengineering National Chiao Tung University Hsinchu, Taiwan

Chen, Chien-Hung
Department of Biological Science and Technology
National Chiao Tung University
Hsinchu, Taiwan
Instrument Technology Research Center
National Applied Research Laboratories
Hsinchu, Taiwan

Su, Ping-Chia Institute of Molecular Medicine and Bioengineering National Chiao Tung University Hsinchu, Taiwan

Yang, Po-Wen Department of Biological Science and Technology National Chiao Tung University Hsinchu, Taiwan

Zheng, Jian-Ming Department of Biological Science and Technology National Chiao Tung University Hsinchu, Taiwan

Fu, Chang-Wei Department of Biological Science and Technology National Chiao Tung University Hsinchu, Taiwan Yuh-Shyong Yang Department of Biological Science and Technology National Chiao Tung University Hsinchu, Taiwan

#### **Corresponding Author:**

Yuh-Shyong Yang Department of Biological Science and Technology National Chiao Tung University Hsinchu, Taiwan

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#### **Short Abstract**

We describe key steps for biosensing by using polysilicon nanowire field-effect transistors, including the preparation of the device and the immobilization and confirmation of a DNA molecular probe on the nanowire surface, as well as conditions for DNA sensing.

#### **Long Abstract**

Surveillance using biomarkers is critical for the early detection, rapid intervention, and reduction in the incidence of diseases. In this study, we describe the preparation of polycrystalline silicon nanowire field-effect transistors (pSNWFETs) that serve as biosensing devices for biomarker detection. A protocol for chemical and biomolecular sensing by using pSNWFETs is presented. The pSNWFET device was demonstrated to be a promising transducer for real-time, label-free, and ultra-high-sensitivity biosensing applications. The source/drain channel conductivity of a pSNWFET is sensitive to changes in the environment around its silicon nanowire (SNW) surface. Thus, by immobilizing probes on the SNW surface, the pSNWFET can be used to detect various biotargets ranging from small molecules (dopamine) to macromolecules (DNA and proteins). Immobilizing a bioprobe on the SNW surface, which is a multistep procedure, is vital for determining the specificity of the biosensor. It is essential that every step of the immobilization procedure is correctly performed. We verified surface modifications by directly observing the shift in the electric properties of the pSNWFET following each modification step. Additionally, X-ray photoelectron spectroscopy was used to examine the surface composition following each modification. Finally, we demonstrated DNA sensing on the pSNWFET. This protocol provides step-by-step procedures for verifying bioprobe immobilization and subsequent DNA biosensing application.

#### Introduction

Silicon nanowire field-effect transistors (SNWFETs) have the advantages of ultra-high sensitivity and direct electrical responses to environmental charge variation. In n-type SNWFETs for example, when a negatively (or positively) charged molecule approaches the silicon nanowire (SNW), the carriers in the SNW are depleted (or accumulate). Consequently, the conductivity of the SNWFET decreases (or increases)<sup>1</sup>. Therefore, any charged molecule near the SNW surface of the SNWFET device can be detected. Vital biomolecules including enzymes, proteins, nucleotides, and many molecules on the cell

surface are charge carriers and can be monitored using SNWFETs. With suitable modifications, particularly immobilizing a biomolecular probe on the SNW, a SNWFET can be developed into a label-free biosensor.

Surveillance using biomarkers is critical for diagnosing diseases. As shown in Table 1, several studies have used NWFET-based biosensors for label-free, ultra-high-sensitivity, and real-time detection of various biological targets, including a single virus<sup>2</sup>, adenosine triphosphate and kinase binding<sup>3</sup>, neuronal signals<sup>4</sup>, metal ions<sup>5,6</sup>, bacterial toxins<sup>7</sup>, dopamine<sup>8</sup>, DNA<sup>9-11</sup>, RNA<sup>12,13</sup>, enzyme and cancer biomarkers<sup>14-19</sup>, human hormones<sup>20</sup>, and cytokines<sup>21,22</sup>. These studies have demonstrated that NWFET-based biosensors represent a powerful detection platform for a broad range of biological and chemical species in a solution.

In SNWFET-based biosensors, the probe immobilized on the SNW surface of the device recognizes a specific biotarget. Immobilizing a bioprobe usually involves a series of steps, and it is critical that every step is properly performed to ensure the proper functioning of the biosensor. Various techniques have been developed for analyzing the surface composition, including X-ray photoelectron spectroscopy (XPS), ellipsometry, contact angle measurement, atomic force microscopy (AFM), and scanning electron microscopy (SEM). Methods such as AFM and SEM provide direct evidence of bioprobe immobilization on the nanowire device, whereas methods such as XPS, ellipsometry, and contact angle measurement are dependent on parallel experiments performed on other similar materials. In this report, we describe the confirmation of each modification step by using two independent methods. XPS is used to examine the concentrations of specific atoms on polysilicon wafers, and variations in the electric properties of the device are measured directly to confirm the charge variation on the SNW surface. We employ DNA biosensing by using polycrystalline SNWFETs (pSNWFETs) as an example to illustrate this protocol. Immobilizing a DNA probe on the SNW surface involves three steps: amine group modification on the native hydroxyl surface of the SNW, aldehyde group modification, and 5'-aminomodified DNA probe immobilization. At each modification step, the device can directly detect the variation in the charge of the functional group immobilized on the SNW surface, because the surface charges cause local interfacial potential changes over the gate dielectric that alter the channel current and conductance<sup>1</sup>. Charges surrounding the SNW surface can electrically modulate the electric properties of the pSNWFET device; therefore, the surface properties of the SNW play a crucial role in determining the electrical characteristics of pSNWFET devices. In the reported procedures, the immobilization of a bioprobe on the SNW surface can be directly determined and confirmed through electric measurement, and the device is prepared for biosensing applications.

#### **Protocol:**

#### 1. Fabrication and preservation of pSNWFET devices

#### 1.1) Device Fabrication

Note: The pSNWFET was fabricated using a sidewall spacer technique as previously reported<sup>23,24</sup>.

#### 1.1.1) Prepare the gate dielectric layer.

- 1.1.1.1) Cap a 100-nm-thick thermal oxide (SiO<sub>2</sub>) layer on a Si substrate by using the wet oxidation process<sup>25</sup> (O<sub>2</sub> and H<sub>2</sub> process gas at 980 °C for 4 hr).
- 1.1.1.2) Deposit a 50-nm-thick nitride (Si $_3$ N $_4$ ) layer by using low-pressure chemical vapor deposition (LPCVD) $^{25}$  at 980 °C for 4 hr.
- 1.1.2) Deposit a 100-nm-thick tetraethyl orthosilicate (TEOS) layer by using LPCVD<sup>25</sup> at 780 °C for 4 hr.
- 1.1.3) Perform standard lithography by using an I-line stepper to define the oxide dummy structures.
- 1.1.3.1) Coat the wafer surface with an 830-nm-thick photoresist layer.
- 1.1.3.2) Insert a pattern-defined photomask into the I-line stepper.
- 1.1.3.3) Process the exposure by using the I-line stepper (wavelength: 365 nm) at a strength of 1980 J at room temperature.
- 1.1.3.4) Develop the wafer within a developer for 5 min.
- 1.1.3.5) Perform the isotropic etching process by using a standard inductively coupled plasma<sup>25</sup> etcher with HBr and Cl plasma gas for 1 min.
- 1.1.4) Deposit a 100-nm-thick amorphous silicon ( $\alpha$ -Si) layer by using LPCVD<sup>25</sup>.
- 1.1.5) Perform an annealing step at 600 °C in  $N_2$  ambient for 24 hr to transform the  $\alpha$ -Si into a polycrystalline structure.
- 1.1.6) Implant phosphorous ions through source/drain (S/D) doping at low energy ( $5E^{15}$  cm<sup>-2</sup>)<sup>25</sup>.
- 1.1.7) Perform standard lithography by using the I-line stepper to remove the poly-Si layer and form the polysilicon nanowire (pSNW)<sup>25</sup>.

Note: Repeat step 1.1.3 to implant dopants in places other than S/D regions with poly-Si removal and form the sidewall Si channels in a self-aligned manner.

- 1.1.8) Perform the passivation process (200-nm-thick TEOS oxide layer) by using LPCVD at 780  $^{\circ}$ C for 5 hr<sup>25</sup>.
- 1.1.9) Perform standard lithography by using the I-line stepper to expose the nanowire channels, and form test pads by using the two-step dry/wet etching process.
- 1.1.9.1) Repeat step 1.1.3.
- 1.1.9.2) Perform the wet etching process (DHF: HF/H<sub>2</sub>O for 1 min).

#### 1.2) Wafer preservation

1.2.1) Seal the wafer in a vacuum storage bag and store it in an electronic dry cabinet (relative humidity <40% at room temperature).

#### 2. Pretreatment of the device

#### 2.1) Device cleaning

2.1.1) Rinse the device with pure acetone. Sonicate (46 kHz, 80 W) the device in pure acetone for 10 min. Sonicate (46 kHz, 80 W) the device in pure ethanol (99.5%) for 5 min. Blow-dry the surface of the device with nitrogen.

#### 2.2) Oxygen plasma

2.2.1) Treat the device with O<sub>2</sub> plasma at 18 W for 30 sec.

#### 3. Immobilization of the DNA probe on the device surface

#### 3.1) Amine group modification

- 3.1.1) Immerse the device in a 2.0% (3-aminopropyl)triethoxysilane (APTES)/ethanol solution for 30 min.
- 3.1.2) Wash the device with ethanol three times, and then sonicate (46 kHz, 80 W) the device in ethanol for 10 min.
- 3.1.3) Heat the device on a hot plate at 120 °C for 10 min to create amine groups on SNWs.

#### 3.2) Aldehyde group modification

- 3.2.1) Immerse the device in 12.5% glutaraldehyde for 1 hr at room temperature to create aldehyde groups on the surface. Avoid light exposure.
- 3.2.2) Wash the device with 10 mM sodium phosphate buffer (Na-PB; pH 7.0) three times, and then blow-dry the surface of the device with nitrogen.

#### 3.3) DNA probe immobilization

- 3.3.1) Immerse the device in a solution containing 1 µM DNA probes overnight.
- 3.3.2) Immerse the device in 10 mM Tris buffer (pH 8.0) with 4.0 mM NaBH<sub>3</sub>CN for 30 min to block the unreacted aldehyde groups.
- 3.3.3) Wash the device with Na-PB (pH 7.0) three times, and then blow-dry the surface of the device with nitrogen.

#### 4. Confirmation and analysis of surface modification on pSNWFET

#### 4.1) pH profile following each step of surface modification

4.1.1) Prepare 10 mM Na-PB in pH 3.0-9.0.

- 4.1.1.1) Prepare 10 mM sodium phosphate tribasic dodecahydrate (Na<sub>3</sub>PO<sub>4</sub>) in deionized water (pH 11.60). Prepare 10 mM phosphoric acid (H<sub>3</sub>PO<sub>4</sub>) in deionized water (pH 2.35).
- 4.1.1.2) Place the pH electrode into 500 mL of 10 mM Na<sub>3</sub>PO<sub>4</sub> buffer, and mix this solution with different volumes of 10 mM H<sub>3</sub>PO<sub>4</sub> buffer while measuring the pH value to obtain buffers with pH values of 3.0, 4.0, 5.0, 6.0, 7.0, 8.0, and 9.0.
- 4.1.2) AC conductance measurement

Note: The measurement circuit included a small AC signal generator and an Au microwire that served as the liquid gate electrode.

4.1.2.1) Determine the optimal liquid gate voltage ( $V_{LG}$ ) for measurement<sup>26</sup> at each modification step (steps 2.2, 3.1, 3.2, and 3.3).

Note: The electric properties of the device after four surface modifications on the SNW were measured as described in this section. In step 2.2, the unmodified pSNWFET containing the native oxide layer is modified; step 3.1 entails modifying the device with the amine group of APTES; step 3.2 involves modification with the uncharged functional group of glutaraldehyde; and step 3.3 involves DNA probe modification.

- 4.1.2.1.1) Deliver the 10 mM Na-PB (pH 7.0) solution to the SNW surface by using a syringe pump (flow rate: 5.0 mL/hr) for direct contact with the SNW.
- 4.1.2.1.2) Measure the real-time conductance of the device while sweeping  $V_{LG}$  from 0.80 to 1.30 V.
- 4.1.2.1.2.1) Perform conductance measurement by using the lock-in technique<sup>27</sup> at room temperature.
- 4.1.2.1.2.2) Convert the AC current signals into an AC voltage signal by using a low-noise current preamplifier.
- 4.1.2.1.2.3) Collect data on conductance (G) upon increasing  $V_{LG}$  from 0.80 to 1.30 V (interval = 0.02 V).
- 4.1.2.1.3) Determine the optimal  $V_{LG}$  (most sensitive  $V_{LG}$ ) of the device<sup>26</sup>.
- 4.1.2.1.3.1) Plot the G-V<sub>LG</sub> curves from step 4.1.2.1.2.3 to obtain the equation of the curve.
- 4.1.2.1.3.2) Differentiate the equation, and calculate the values in each point of  $V_{LG}$ .
- 4.1.2.1.3.3) Divide the value from step 4.1.2.1.3.2 by G, and determine the optimal  $V_{LG}$  according to the maximum number.
- 4.1.2.2) Measure the real-time conductance of the pH profile at each step of the surface modification.

- 4.1.2.2.1) Perform conductance measurement by using the lock-in technique<sup>27</sup> at room temperature.
- 4.1.2.2.2) Convert the AC current signal into AC voltage signals by using a low-noise current preamplifier.
- 4.1.2.2.3) Set the optimal  $V_{LG}$  for each step of surface modification (step 2.2:  $V_{LG} = 1.02$ , step 3.1:  $V_{LG} = 0.98$ , step 3.2:  $V_{LG} = 0.98$ , and step 3.3:  $V_{LG} = 1.0$ ).
- 4.1.2.2.4) Deliver the 10 mM Na-PB solution with pH values from 3.0 to 9.0 to the SNW surface (flow rate: 5.0 mL/hr), and collect the data on conductance at a drain voltage of 0.01 V.
- 4.2) Measurement of electric properties (the  $I_D$ - $V_{BG}$  curve) of the device in 10 mM Na-PB (pH 7.0) following each step of surface modification (steps 2.2, 3.1, 3.2, and 3.3.)

Note: The electric properties of the device after four surface modifications on the SNW were measured: in step 2.2, the unmodified pSNWFET containing the native oxide layer is modified; step 3.1 involves modifying the device with the amine group of APTES; step 3.2 entails modification with the uncharged functional group of glutaraldehyde; and step 3.3 entails DNA probe modification.

- 4.2.1) Deliver the 10 mM Na-PB (pH 7.0) solution to the SNW surface (steps 2.2, 3.1, 3.2, and 3.3) by using a syringe pump (flow rate: 5.0 mL/hr) for direct contact with the SNW.
- 4.2.2) Measure the  $I_D$  of the device (steps 2.2, 3.1, 3.2, and 3.3) by using a commercial semiconductor analyzer and software.
- 4.2.2.1) Select the "nMOSFET" mode.
- 4.2.2.2) Select " $I_D$ - $V_{BG}$ " modules.

Note:  $I_D$  is the drain/source current, and  $V_{BG}$  is the back gate voltage.

- 4.2.2.3) Set a constant bias voltage ( $V_D = 0.5 \text{ V}$ ) while sweeping the gate potential ( $V_{BG}$ ) from -1 to 3.0 V (interval = 0.2 V).
- 4.2.2.4) Click the Run icon to obtain  $I_D$ - $V_{BG}$  curves.

#### 5. DNA biosensing

Note: In a typical experiment, the  $I_D$ - $V_{BG}$  curve is determined three times to ensure that no further variation is observed.

#### 5.1) Determination of the baseline

5.1.1) Deliver the 10 mM Na-PB solution (pH 7.0) to the DNA probe-immobilized SNW surface for 10 min by using a syringe pump (flow rate: 5.0 mL/hr), and then incubate the SNW for 30 min.

5.1.2) Measure the  $I_D$  of the device (repeat step 4.2.2).

#### 5.2) Sensing of DNA/DNA hybridization

- 5.2.1) Load 10 pM complementary target DNA onto the DNA probe-immobilized SNW surface for 10 min by using a syringe pump (flow rate: 5.0 mL/hr), and then incubate the SNW for 30 min.
- 5.2.2) Deliver the 10 mM Na-PB solution (pH 7.0) onto the SNW surface for 10 min by using a syringe pump (flow rate: 5.0 mL/hr) to wash the unbound target DNA away.
- 5.2.3) Repeat step 4.2.2 to measure the  $I_D$  of the device.

#### 5.3) Reconfirm the signal of DNA/DNA hybridization.

- 5.3.1) Load 1 nM recovery DNA (see Representative Results) onto the DNA probe-immobilized SNW surface for 10 min by using a syringe pump (flow rate: 5.0 mL/hr), and then incubate the SNW for 30 min.
- 5.3.2) Deliver the 10 mM Na-PB solution (pH 7.0) onto the SNW surface for 10 min by using a syringe pump (flow rate: 5.0 mL/hr). Repeat step 4.2.2 to measure the  $I_D$  of the device.

#### **5.4) Negative control**

- 5.4.1) Load 100 pM non-complementary DNA onto the DNA probe-immobilized SNW surface for 10 min by using a syringe pump (flow rate: 5.0 mL/hr), and then incubate the SNW for 30 min.
- 5.4.2) Deliver the 10 mM Na-PB solution (pH 7.0) onto the SNW surface for 10 min by using a syringe pump (flow rate: 5.0 mL/hr). Repeat step 4.2.2 to measure the  $I_D$  of the device.

#### **Representative Results**

Various SNWFETs have been reported to serve as transducers of biosensors (Table 1). Single-crystalline SNWFETs (sSNWFETs) and pSNWFETs show comparable electric properties as transducers in aqueous solutions, and both have been reported to have many biosensing applications. An advantageous feature of the pSNWFET device used in this study is its simple and low-cost fabrication procedure. Figure 1a shows the key steps involved in fabricating the pSNWFET. An optical image of a die from the 6-inch wafer (Figure 1b) and SEM images (Figure 1c) of a single device (two SNWs, approximately 100 nm in width and 1.6 µm in length) were obtained.

Figure 2a illustrates the procedure of DNA probe immobilization on the SNW surface. Each modification step was confirmed using XPS (Figure 2b). The pH profiles for real-time conductance (Figure 2c, d) are shown at various stages of SNW surface modification. For the unmodified pSNWFET containing the native oxide layer on the SNW surface, the hydroxyl groups (-OH) were ionized to form charged groups (-O $^-$ ) with increasing pH values (black line). The decrease in conductance at pH 6.0 to 9.0 indicates that the acid dissociation constant ( $pK_a$ ) of the hydroxyl group can be set to approximately 7.0. Conductance likely increases at pH 3.0 to 6.0 because of the increase in the ionic strength, affecting device characteristics $^1$ . After the APTES modification, the response to the conductance of the

APTES-modified device showed high variation (red line). The amine group ( $pK_a = 4.0$ ) of APTES can be protonated at a low pH to produce a positive charge<sup>28</sup>. Thus, the SNW conductance decreased with discrete changes in pH values from 3.0 to 9.0. After the SNW was modified with glutaraldehyde, the response was relatively stable for conductance at pH ranging from 3.0 to 9.0 (blue line). This may be attributed to the uncharged functional group that is insensitive to the change in pH environments. Finally, after the negatively charged DNA probe was immobilized, conductance slightly decreased with an increase in pH values (green line).

The shift in the electric properties of the device (Figure 2e) with different modifications confirms the change in the SNW surface. In such experiments, the  $I_D$ - $V_G$  curve of the unmodified pSNWFET was used as the baseline (black line). After the SNW was immersed in APTES, the  $I_D$ - $V_G$  curve of the device shifted to the left (increased current) because of the positive charge on the SNW surface caused by the amino group on APTES (black to red line). After conjugation of glutaraldehyde to the APTES-modified device, the  $I_D$ - $V_G$  curve shifted back to the right because of imide bond formation. The current decreased because the positively charged amine was converted to neutrally charged imide (red to blue line). Finally, the 5'-amnimodified DNA probe was introduced to bind to the APTES-glutaraldehyde-modified device. The sugar-phosphate backbone of DNA caused the  $I_D$ - $V_G$  curve to shift to the far right (blue to green line), which is consistent with the effect of negative ions on n-type FET.

The detection of DNA/DNA hybridization on the pSNWFET is shown in Figure 3. The probe, target, recovery, and noncomplementary DNA sequences designed for detecting the avian influenza virus (AIV)<sup>29,30</sup> are shown in Table 2. The  $I_D$ - $V_G$  curve of the DNA probemodified pSNWFET obtained in 10 mM Na-PB (pH 7.0) was used as the baseline (black). Subsequently, 10 pM target DNA was introduced to hybridize with the immobilized DNA probe on the SNW surface, and a clear decrease in the drain current of the device was observed (red line). The decreased  $I_D$  in the n-type SNWFET implied an increased negative charge (caused by the phosphate backbone) on the SNW surface. Recovery DNA was designed to rehybridize with the target DNA and free the DNA probe<sup>31</sup>. If the recovery DNA is properly designed, the reaction thermodynamically favors the rehybridization of the targetrecovery DNA duplex, because more complementary nucleotides are available between these two DNA strands (Table 1) than those between the probe and target DNA. Adding 1 nM recovery DNA (blue line) further confirmed that the electric response of the target DNA was due to the hybridization of the probe-target DNA and freed the DNA probe, which is reusable for subsequent experiments. As a negative control, noncomplementary DNA [AIV subtype H5 target DNA] (100 pM) was also injected and mixed with the DNA probe, and the  $I_D$ - $V_G$ curve remained unchanged. The DNA probe immobilized on the pSNWFET is insensitive to noncomplementary DNA. Only target DNA causes an appreciable shift in the  $I_D$ - $V_G$  curve. The  $I_D$ - $V_G$  curve returns to the baseline following incubation with the recovery DNA.

#### **Figure Legends**

**Figure 1. Preparation of the pSNWFET device.** (a) Scheme of device fabrication. (i) A 6-inch Si wafer was capped with a 100-nm-thick thermal oxide layer. Next, 50-nm-thick nitride and 100-nm-thick TEOS layers were deposited as starting materials by using LPCVD. (ii) A TEOS dummy structure was defined and formed using standard lithography; two insulator layers (oxide and nitride) served as the gate dielectric. (iii) A 100-n-thick  $\alpha$ -Si layer was deposited using LPCVD, and annealing was subsequently conducted to transform the  $\alpha$ -Si

into poly-Si. (iv) S/D doping was then performed through phosphorus ion implantation. (v) The sidewall Si channels were formed in a self-aligned manner by using standard lithography. (vi) Top view of the fabricated device structure with pSNW. (b) Optical images of a pSNWFET biosensor chip. (c) SEM image of a single pSNWFET device.

**Figure 2. Surface modification and validation on pSNWFET.** (a) Scheme of surface functionalization of DNA and DNA/DNA hybridization. (b) XPS spectra of C1s, O1s, and N1s signals from the silicon wafer (sampling depth = 7.5 nm), where the sequential stepwise surface modification of the immobilized DNA probe was performed. High-resolution spectra were obtained, and the overall energy resolution was set to 0.1 eV. (c) Real-time curve at various pH values at each step of the surface modification; 10 mM Na-PB was injected in the following order: pH 7.0  $\rightarrow$  pH 6.0  $\rightarrow$  pH 5.0  $\rightarrow$  pH 4.0  $\rightarrow$  pH 3.0  $\rightarrow$  pH 5.0  $\rightarrow$  pH 6.0  $\rightarrow$  pH 7.0. (d) Average conductance at pH values from 3.0 to 9.0 with 10 mM Na-PB following each step of surface modification. (e) Electric properties ( $I_D$ - $V_{BG}$  curves) of the pSNWFET at each step of surface modification.

**Figure 3. DNA biosensing on pSNWFET.** The  $I_D$ - $V_G$  curves of the DNA probe-modified pSNWFET were obtained in 10 mM Na-PB (pH 7) (black line). The  $I_D$ - $V_G$  curve following hybridization of the probe and target DNA (red line) was obtained by introducing 10 pM target DNA and washing it with 10 mM Na-PB (pH 7). The DNA probe (blue line) was recovered by adding 1 nM recovery DNA to remove the target DNA. Noncomplementary DNA was used as negative control in this experiment (green line).

**Table 1.** Partial list of biotargets examined using SNWFET devices

Table 2. Sequences of synthetic oligonucleotides

#### **Discussion**

Commercializing the top-down and bottom-up fabrication approaches for sSNWFETs is considered difficult because of its cost<sup>32,33</sup>, SNW position control<sup>34,35</sup>, and its low production scale<sup>36</sup>. By contrast, fabricating pSNWFETs is simple and low cost<sup>37</sup>. Through the top-down approach and combination with the sidewall spacer formation technique (Figure 1), the size of the SNW can be controlled by adjusting the duration of reactive plasma etching. The procedures for preparing the nanowires of the pSNWFET illustrated in Figure 1a can be easily adapted to commercial semiconductor facilities. Consequently, pSNWFETs have several advantages including cost effectiveness, a simple construction technique, and a CMOS-compatible fabrication process and thus can be applied in biosensing.

In contrast to the fabrication of the semiconductor device, a process well defined in commercial facilities, the immobilization of the bioprobe on the device may vary for each specific application. The immobilization of the DNA probe on the SNW surface is illustrated in Figure 2a as an example. Other bioprobes, such as antibodies, aptamers, and enzymes, can also be immobilized on the SNW surface for detecting different targets. The following steps are critical for successfully immobilizing the DNA probe. In our protocol, the as-fabricated pSNWFET device is cleaned and treated with oxygen plasma and then immersed in an APTES/ethanol solution to create amine groups on the SNWs. Next, the device is immersed in a glutaraldehyde solution to create aldehyde groups on the surface. These groups are later conjugated to the 5'-aminomodified DNA probe. Multiple-step conjunctions between cross-linker molecules and the bioprobe were required to transform the semiconductor device to a biosensor. Thus, it is crucial to ensure that every step is successful. At each step of DNA

probe immobilization, XPS was used to analyze and confirm the composition and chemistry of the surface. The atomic concentrations of carbon, oxygen, and nitrogen were determined on the basis of XPS scans of the respective peaks, as shown in Figure 2b. Upon addition of the DNA probe, the most notable changes were an increase in carbon and nitrogen concentrations. An increasing trend was observed in the carbon and nitrogen concentrations at each step of the DNA probe immobilization procedure. The oxygen concentration decreased during the procedure because the native hydroxyl group became covered through surface modification. These results revealed that the DNA probe was immobilized, which is consistent with the change in electric properties, as shown in Figure 2c, d, and e. The aforementioned procedures are useful for troubleshooting in case of unsuccessful surface modification. However, they are usually performed on a separate wafer coated with a material similar to that on the nanowire surface. Direct evidence of changes in the electric properties of the modified device is required to confirm the outcome of surface modification on the device, as described in the following paragraphs.

One of the most frequently used methods for directly monitoring changes in the FET device surface is pH sensing, as shown in Figure 2d and d. Different surface modifications result in variation in the charge on the SNW surface, greatly affecting the surface potential of the pSNWFET under different environments. We used broad-range pH buffer solutions to detect changes in conductance corresponding to the functional groups on the SNW surface. From a mechanistic perspective, the increase in conductance with changing pH (increase in hydrogen ions) is consistent with the increase in the positive surface charge, which "turns on" the n-type FET through the accumulation of electrons. The real-time electric responses to the conductance of the pSNWFET can be measured in buffer solutions with pH values ranging from 3.0 to 9.0. The methods described in this report are useful for examining whether a semiconductor-based sensor is prepared for biosensing application.

Figure 2e illustrates a convenient method for determining the outcome of the surface modification through direct measurement of the electric properties of the device. The changes in  $I_D$ - $V_G$  curves shown in Figure 2e are consistent with each stage of DNA probe immobilization on the SNW surface. According to the results, pSNWFETs can be directly used to confirm the procedure at each step of bioprobe immobilization. This result also indicates that the modified pSNWFET is prepared for biosensing application. These procedures are particularly useful when the immobilization procedures are well established. The function of a pSNWFET-based biosensor was examined by detecting AIV subtype H1 DNA as an example (Figure 3). Using probe and target DNA is suitable for illustrating the development of a novel biosensor because of the stability of the DNA molecules and the techniques available for easily obtaining the desired DNA sequence. In addition to using noncomplementary DNA as a negative control, we demonstrated DNA hybridization on the pSNWFET with a recovery system. This is particularly useful when a new system or new device is used for biosensing experiments. Many steps are involved in the process from device fabrication to biosensing application. Each step affects the final outcome. Furthermore, false positive or false negative results are usually obtained in biosensing experiments because of the complexity of the biosensing environment. Thus, controlled experiments are critical, and the recovery system demonstrated in this study can greatly facilitate identifying unexpected factors that interfere with the experimental results.

The main limitations for currently used pSNWFET-based biosensor are the availability of the pSNWFET device and the instrumentation used for measurement. However, these two limitations can be easily overcome in the near future. Many types of SNWFETs have been

reported in the literature. The pSNWFET demonstrated in this study is already being fabricated using the standard semiconductor process and can be mass produced with only minor adjustments to the commercial wafer fabrication process. The instrumentation used for measurement in this study was a standard semiconductor chip analyzer. This implies that, with a proper integrated circuit installed on the device, portable instrumentation can be designed and manufactured using current electronic technology.

In conclusion, we describe the complete procedure for DNA sensing on a pSNWFET. Because the immobilization procedure strongly affects the ability of a biosensor to effectively detect biomolecules, this protocol provides step-by-step confirmation of bioprobe immobilization and the readiness of the device for DNA biosensing applications. Similar procedures can be adapted from this report for many similar biosensing applications, for which adjustments are necessary. This report also describes protocols for confirming and troubleshooting of the immobilization of the bioprobe and device surface modifications. The demand for various biosensors is increasing. The methods described in this report are an appropriate reference for preparing and developing semiconductor-based biosensors.

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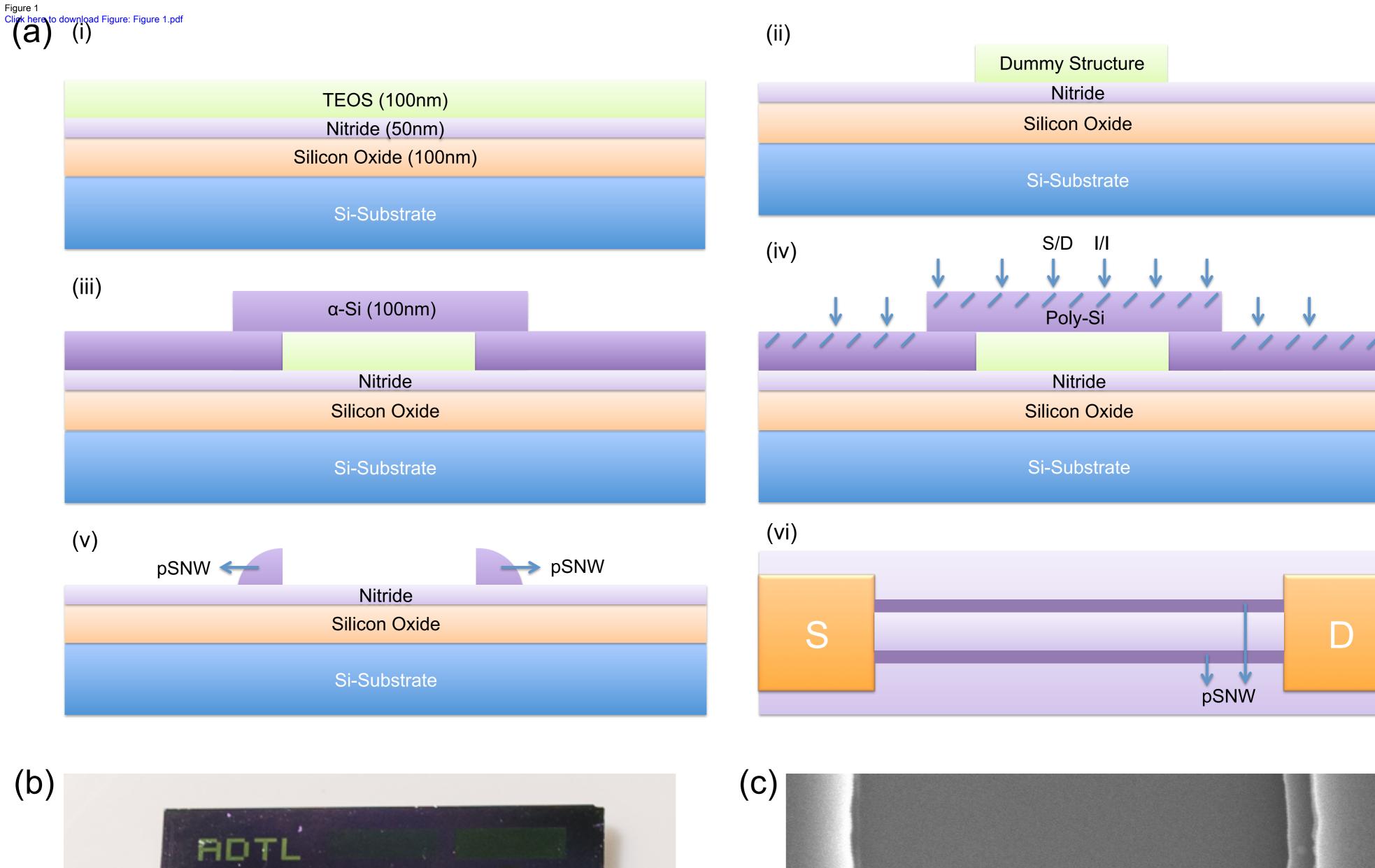
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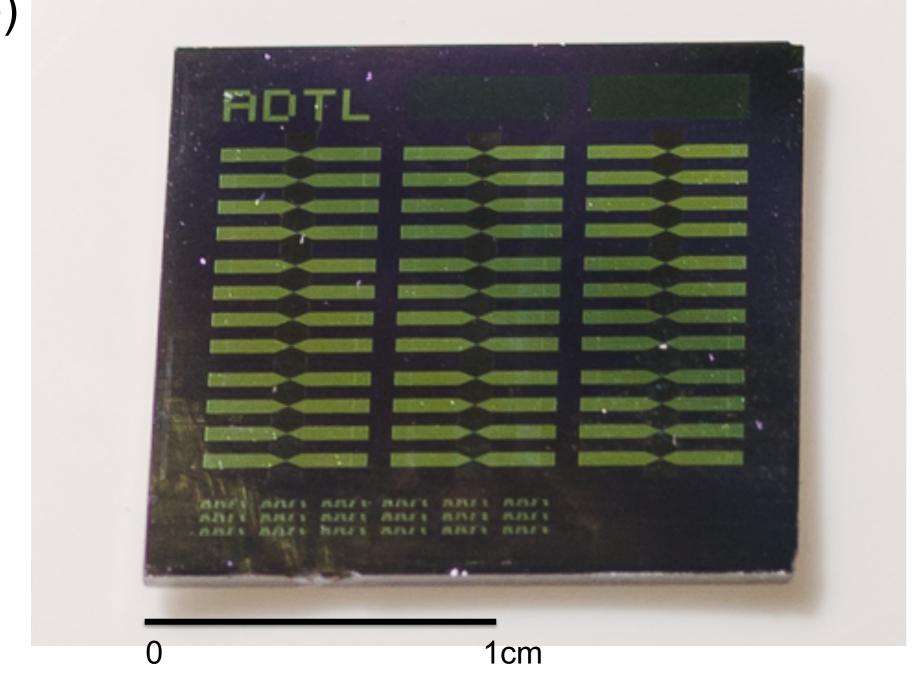
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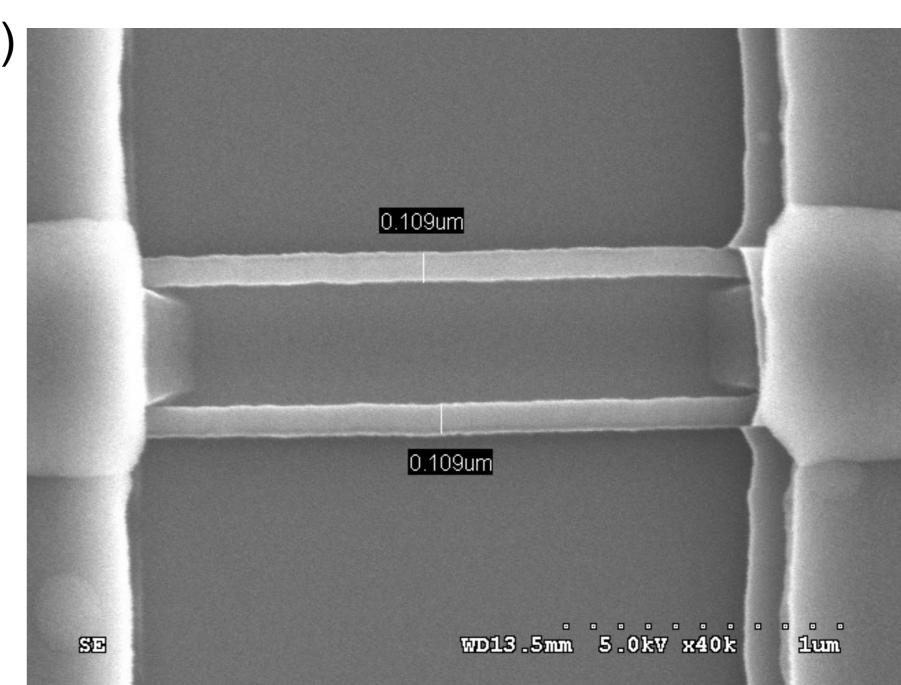
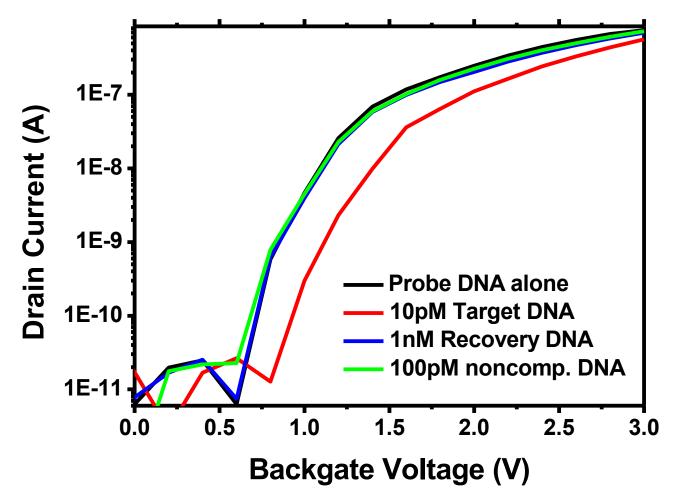


Figure 3 Click here to download Figure: Figure 3.pdf



Bio-target	Sensitivity	Crystalline
pH		single
Cystic fibrosis ΔF508	3 bases deletion	single
Influenza A	single virus	single
ATP sensing	100pM	single
Telomerase	10 Hela cell	single
PSA/ CEA/ Mucin-1	<1.4/ 2/ 5 pg/ml	single
Neuronal signal		single
$Ca^{2+}$	100nM	single
Bacterial toxin (SEB)	10fM	poly
Dopamine	1fM	poly
Troponin-T	1fg/ml	single
Vascular endothelial growth factor	1.04/0.104nM	single
BRAF <sup>V599E</sup>	1-base-mismatch	single
AIV DNA	1fM	poly
Avidin/ streptavidin	1.48nM/ 167fM	poly
Ca <sup>2+</sup> / Troponin I	1 uM / 7 nM	single
Dengue serotype 2 RNA	<10 fM	single
CaM protein Kinase	expressed cell lysate	single
Matrix metalloproteinase-2	100fM	single
MicroRNAs (miR-21/ miR-205)	1 zeptomole (ca. 600 copies)	single
Vascular endothelial growth factor	1.25 pg/mL	poly
Human thyroid stimulating hormone	0.11 pM	single
Apolipoprotein A II protein	6.7pg/mL	poly
Interleukin 8/ tumor necrosis factor $\alpha$	10 fg/mL	single

Type	Reference
p	35
p	9
p	2
p	3
p	14
n/p	14
n/p	4
n	5
p	7
n	8
n	19
n/p	18
n	11
n	10
n	37
p	6
n	12
p	16
p	15
p	13
n	21
n	20
n	17
n	22

#### Table 2 Click here to download Table: Table 2.xlsx

#### Oligonucleotides

AIV H1 5'-aminomodified probe DNA AIV H1 target DNA AIV H1 recovery DNA Non-complementary DNA (AIV H5 target DNA)

#### Sequence

- $5°-NH_2-C_6-CACACTCTGTCAACCTAC-3°$
- 5'-CCATTGTGACTGTCCTCAAGTAGGTTGACAGAGTGTG-3'
- 5'-CACACTCTGTCAACCTACTTGAGGACAGTCACAATGG-3'
- 5'-TGATAACCAATGCAGATTTG-3'

Name of Material/ Equipment Acetone	<b>Company</b> ECHO	Catalog Number AH-3102
(3-Amonopropyl)triethoxysilane (APTES), ≥98%	Sigma-Aldrich	A3648
Ethanol, anhydrous, 99.5% Glutaraldehyde solution (GA), 50% Sodium cyanoborohydride, ≥95.0%	ECHO Sigma-Aldrich Fluka	484000203108A-72EC G7651 71435
Sodium phosphate tribasic dodecahydrate, ≥98%	Sigma	04277
Phosphoric acid, ≥99.0%	Fluka	79622
Photoresist (iP3650)	Tokyo Ohka Kogyo Co., LTD	THMR-iP3650 HP
Synthetic oligonucleotides, HPLC purified	Protech Technology	
Tris(hydroxymethyl)aminomethane (Tris), ≥99.8%	USB	75825
Keithley 2636 System SourceMeter	Keithley	
SR830 DSP Lock-In Amplifier	Stanford Research Systems	
SR570 Low-noise Current Preamplifier	Stanford Research Systems	
Ni PXI Express	National Instruments	

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Department: Biological Science & Technology

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#### **Response to editorial and reviewers' comments:**

#### **Editorial comments:**

- 1) All of your previous revisions have been incorporated into the most recent version of the manuscript. In addition, Editor may have made formatting changes and minor copy edits to your manuscript. On the JoVE submission site, you can find the updated manuscript under "file inventory" and download the microsoft word document. Please use this updated version for any future revisions and track all changes using the track changes function in Microsoft Word. Our response: Done
- 2) Formatting: References Please abbreviate all journal titles and make sure the font matches the rest of the protocol.

Our response: Done

- 3) Additional detail is required:
- a) 4.1.1 Are these steps going to be used in 4.2? If these solutions won't be used in any other part of the video, they should not be highlighted for filming. **Our response:** Yes, the solution prepared according to 4.1.1 will be used in 4.1, 4.2 and 5.
- b) 4.2.1 How is the solution delivered?

**Our response:** Deliver the 10 mM Na-PB (pH 7.0) solution to the SNW surface by using a syringe pump (flow rate: 5.0 mL/hr) for direct contact with the SNW. We modified 4.2.1.

c) 5.3.1 – What is recovery DNA?

**Our response:** Recovery DNA, which is completely complementary to the target DNA, could re-hybridize with the target DNA and to free the probe DNA (Ref 31). Such a design allowed us to re-confirm the results to exclude false positive signals that were not actually caused by the target DNA as described from line 431 to 449 of the Representative Results section.

d) 5.3.1, 5.4.1 – Are the same loading and wash conditions as in 5.2 used? If so, this needs to be specified. Are these performed after the hybridization using the same device?

**Our response:** Yes, the same loading and wash conditions are used in all these procedures and yes, the same device is used in Step 5. We modified the procedures in Step 5.

4) There is unnecessary branding in step 1.1.3.1 (IP3650); this should appear in the materials table instead of the protocol.

**Our response:** We removed it from the text and added this information into materials table.

5) Please take this opportunity to thoroughly proofread your manuscript to ensure that there are no spelling or grammatical errors. Your JoVE editor will not copy-edit your manuscript and any errors in your submitted revision may be present in the published version.

Our response: We proofread our manuscript again and made necessary correction.

6) Please disregard the comment below if all of your figures are original. If you are re-using figures from a previous publication, you must obtain explicit permission to re-use the figure from the previous publisher (this can be in the form of a letter from an editor or a link to the editorial policies that allows you to re-publish the figure). Please upload the text of the re-print permission (may be copied and pasted from an email/website) as a Word document to the Editorial Manager site in the "Supplemental files (as requested by JoVE)" section. Please also cite the figure appropriately in the figure legend, i.e. "This figure has been modified from [citation]."

Our response: Our figures are all original.

#### **Reviewers' comments:**

#### Reviewer #1:

Manuscript Summary:

In this manuscript, you study surface property of SNWFET during preparation for bio-sensing devices. Your study indicate clear results and efficacy of your technique for SNWFEET.

I wonder that your proposed procedure is for suitable only for SNWFET ore not. If your preparation technique special for SNWFET, you have to show differences from preparation of conventional FET. If your preparation technique is conventionally available for silicon related FET, you have to show the difference of previous immobilization technique.

I am not able to understand the originality of your manuscripts in this present from.

**Our response:** The immobilization of probe and the following measurement with FET device generally include similar principle but with specific considerations for each specific application.

#### Reviewer #2:

Minor Concerns:

1. What about the uniformity and the yield of the pSNW?

**Our response:** The uniformity and the yield of the pSNWFET are strongly dependent on the facilities used for the fabrication of the device. With commercial fab, the devices are uniformed in the same wafer and the yield is near 100%. We are able to reach 80% yield when the research fab facilities were used.

2. Could you give some discussions on the point of fabrication of pSNWFETs is

"low-cost"

**Our response:** The processes described for the fabrication of pSNWFET require only standard and low-end semiconductor fab facilities and thus it is a low-cost fabrication procedure.

3. In Figure 2d, why is it that the conductance change of unmodified device is a nonmonotonic change from pH3 to pH9?

Our response: The conductance can be affected by both the ionic strength of the

solution and the surface charge controlled through different pH solution as described in reference 1. The interactive effects cause the non-monotonic change shown in Figure 2d when both effects contribute to the change of conductance.