Journal of Visualized Experiments
Fabrication and testing of microfluidic optomechanical oscillators
--Manuscript Draft--

Manuscript Number:	JoVE51497R3
Full Title:	Fabrication and testing of microfluidic optomechanical oscillators
Article Type:	Invited Methods Article - JoVE Produced Video
Keywords:	Optomechanics, Radiation pressure, Stimulated Brillouin scattering (SBS), Whispering gallery resonators (WGR), Oscillators, Microfluidics, Nonlinear Optics
Manuscript Classifications:	93.33.52: opto-acoustic electronics; 93.33.54: oscillators; 97.74.15: light scattering; 97.74.21: nonlinear optics; 97.74.33: photonics
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Abstract:	Cavity optomechanics experiments parametrically couple the phonon modes and photon modes in microresonators and various optical systems have been investigated. However, because of the increased acoustic radiative losses during direct liquid immersion of optomechanical devices, almost all published optomechanical experiments are performed in solid phase. This paper discusses about a recently introduced hollow microfluidic optomechanical resonator. We describe the detailed methodology to fabricate these ultra-high-Q microfluidic resonators, perform optomechanical testing, and measure radiation pressure-driven breathing mode and SBS-driven whispering gallery mode parametric vibrations. By confining the liquid inside the capillary resonator, high mechanical- and optical- quality factors are simultaneously maintained.
Author Comments:	
Additional Information:	
Question	Response

Letter to the editor,
Fabrication and testing of microfluidic optomechanical oscillators, Han et. al.

Dr. Rachelle Baker
Associate Editor, Physical Sciences
Journal of Visualized Experiments

Dear Dr. Baker,

We are submitting our manuscript titled "Fabrication and testing of microfluidic optomechanical oscillators " for review in the Journal of Visualized Experiments.

In this work we describe the fabrication process and testing methods of our novel microfluidic optomechanical oscillators. We are currently using these devices and experimental methods to enable light-driven mechanical vibrations that couple to fluid-phase materials. The optical forces we employ for these experiments are radiation pressure and electrostriction. This is the first optomechanical device that can successfully couple to fluids without suffering from excessive optical and mechanical dissipation effects, and as a result, has many applications in biochemical sensing.

This paper is not under consideration for publication in another journal. As per submission instructions, we have highlighted the steps that we feel are most important to film.

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Best regards,
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Fabrication and testing of microfluidic optomechanical oscillators

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Short Abstract:

Parametric optomechanical excitations have recently been experimentally demonstrated in
microfluidic optomechanical resonators by means of optical radiation pressure and stimulated
Brillouin scattering. This paper describes the fabrication of these microfluidic resonators along
with methodologies for generating and verifying optomechanical oscillations.

Abstract:

Cavity optomechanics experiments parametrically couple the phonon modes and photon
modes in microresonators and various optical systems have been investigated. However,
because of the increased acoustic radiative losses during direct liquid immersion of
optomechanical devices, almost all published optomechanical experiments have been
performed in solid phase. This paper discusses a recently introduced hollow microfluidic
optomechanical resonator. Detailed methodology is provided to fabricate these ultra-high-Q
microfluidic resonators, perform optomechanical testing, and measure radiation pressure-
driven breathing mode and SBS-driven whispering gallery mode parametric vibrations. By
confining liquids inside the capillary resonator, high mechanical- and optical- quality factors are
simultaneously maintained.

Introduction:

Cavity optomechanics studies the parametric coupling between phonon modes and photon
modes in microresonators by means of radiation pressure (RP) [1-3] and stimulated Brillouin
scattering (SBS) [4-6]. SBS and RP mechanisms have been demonstrated in many different optical
systems, such as fibers [7], microspheres [4, 6, 8], toroids [1, 9], and crystalline resonators [5, 10]. Through
this photon-phonon coupling, both cooling [11] and excitation [6, 10] of mechanical modes have
been demonstrated. However, almost all reported optomechanics experiments are with solid

phases of matter. This is because direct liquid immersion of the optomechanical devices results in greatly increased radiative acoustic loss because of the higher impedance of liquids compared against air. In addition, in some situations dissipative loss mechanisms in liquids may exceed the radiative acoustic losses.

Recently, a new type of hollow optomechanical oscillator with a microcapillary geometry was introduced [12-15], and which by design is equipped for microfluidic experiments. The diameter of this capillary is modulated along its length to form multiple 'bottle resonators' that simultaneously confine optical whispering-gallery resonances [16] as well as mechanical resonant modes [17]. Multiple families of mechanical resonant modes participate, including breathing modes, wine-glass modes, and whispering-gallery acoustic modes. The wine-glass (standing-wave) and whispering-gallery acoustic (traveling-wave) resonances are formed when a vibration with integer multiple of acoustic wavelengths occurs around the device circumference. Light is evanescently coupled into the optical whispering-gallery modes of these 'bottles' by means of a tapered optical fiber [18]. Confinement of the liquid inside [19, 20] the capillary resonator, as opposed to outside it, enables high mechanical- and optical- quality factors simultaneously, which allows the optical excitation of mechanical modes by means of both RP and SBS. As has been shown, these mechanical excitations are able to penetrate into the fluid within the device [12, 13], forming a shared solid-liquid resonant mode, thus enabling an opto-mechanical interface to the fluidic environment within.

In this paper we describe fabrication, RP and SBS actuation, and representative measurement results for this novel optomechanical system. Specific material and tool lists are also provided.

Protocol:

1. Fabrication of ultra-high-Q microfluidic resonators

1.1.) Preparation of capillary manufacturing setup

1.1.1.) Fabricate the microfluidic optomechanical resonator in the following way – Heat a preformed glass capillary by approximately 10 watts of CO_2 laser radiation at 10.6 microns wavelength, and draw out the heated capillary linearly using motorized translation stages. Figure 1 shows the arrangement of the linear translation stages, the lasers, and the location of the capillary preform before the pulling process.

1.1.2.) Program suitable automation software to simultaneously control the two CO_2 lasers (for heating) and the two linear stages. The two linear stages perform the drawing process for the laser-heated capillary.

1.1.3.) One of the linear stages must be fast (*e.g.* 5 mm/s) for the linear drawing process. Feed in more material to the heating zone with the second, slower linear stage (*e.g.* 0.5 mm/s) since the capillary preform material gets depleted during the pulling process.

1.1.4.) Align the sample holders on the linear stages along both vertical and horizontal axes.

1.1.5.) Carefully align both CO_2 laser beams such that they target the same spot in space (between the sample holders). A piece of card paper or heat sensitive paper is useful for this process. Do not forget to use eye protection for laser safety. Do not lower eyes to table level. Use suitable beam blocks, fume exhaust, and fire protection.

1.1.6.) Select reasonable parameters for the drawing process. For example, the following parameters reliably produce a good capillary size – 10mm/s pulling speed, 0.5 mm/s feed-in speed, 3 s preheating time, 4.5 W preheating powers for both lasers, and 5 W heating powers for both lasers.

1.1.7.) Modulation of the laser power during pulling can be used to control the capillary radius lengthwise during the drawing process to form the 'bottle' resonators. An example is shown in Figure 2d. Select the proper modulation parameters: 3 Hz frequency, 6 W and 3 W for laser powers, and 50 % duty cycle.

1.2.) Fabrication of microfluidic optomechanical resonators

1.2.1.) Cut a sufficiently long segment (about 2 – 4 centimeters) of fused silica capillary such that it can reach the two holders attached to the linear translation stages.

1.2.2.) Mount the capillary sample on the sample holders such that the laser target zone is roughly in the middle of the capillary. Readjust CO_2 laser alignment if needed.

1.2.3.) Pull the capillary using the parameters as stated in 1.1.6. First preheat the capillary for a few seconds (Figure 2a), and then pull it with or without laser modulation (parameters in 1.1.7) as needed.

1.2.4.) Remove the drawn capillary (Figure 2b) from the sample holder. Handle the sample with gloves at the two thick ends only, in order not to contaminate the clean resonator surface.

1.2.5.) Vary the pulling parameters to fabricate capillaries with different diameters. Typically outer diameter varies from 30 μm to 200 μm depending on pulling conditions.

1.3.) Mounting the fabricated device for testing

1.3.1.) Prepare an E shape glass holder (Figure 2c). Cut three 1 cm x 0.5 cm and one 3 cm x 0.5 cm glass pieces from glass slides. Assemble them into an E shape using glass adhesive or superglue.

1.3.2.) Cut a length of microfluidic capillary out of the drawn sample. This length should be longer than the distance between two adjacent glass branches on the E shape holder.

1.3.3.) Glue the microcapillary device onto the holder using optical adhesive while making sure to keep a portion hanging uncontaminated between two branches of the E shape holder. Cure the optical adhesive with a LED UV curing light source for 10 seconds. Figure 2c and 2d show the finished product.

2. Experimental setup for optomechanical testing

2.1 Fabrication of tapered optical fiber.

2.1.1.) Prepare a single mode telecom-band optical fiber of desired length (*e.g.* a few feet). Fiber segment should be long enough to be both mounted in the tapering area and connected to the setup (Figure 4). The tapering method explained here is similar to what is suggested and demonstrated in [22].

2.1.2.) Connect the prepared fiber segment to the rest of the experimental setup using any convenient fiber-splicing method.

2.1.3.) Mount the spliced fiber segment onto two linear pullers that face each other.

2.1.4.) Strip off the fiber jacket in the center of the mounted fiber fragment to expose cladding area. This is where taper will be fabricated. Clean the stripped area with methanol.

2.1.5.) Turn on the tunable laser to see real-time transmission on an oscilloscope. Make sure to set attenuators so that photodetectors are not damaged.

2.1.6.) Place a narrow nozzle hydrogen gas burner immediately underneath the unjacketed portion of the fiber. Follow all recommended safety procedures when working with pressurized flammable gases such as hydrogen. Other "clean burning" sources of flame or ceramic heaters could also be used.

2.1.7.) Before lighting up the gas, check the flow rate so that flame will not be too large (a 1-2 cm tall flame is adequate). Note that the flame is mostly invisible but may be seen as a faint orange glow in a dark room. The hydrogen flow rate should be set to a point where lighted flame will adequately soften the glass fiber.

2.1.8.) Light up the flame. As soon as flame is on, start pulling the fiber using motorized stages. Appropriate pulling speed depends on flow rate of hydrogen gas and vicinity of the flame. Note: Transmission through the fiber will begin to show temporal oscillation behavior as pulling continues. This indicates multimode operation.

2.1.9.) When oscillatory behavior stops and shows an unchanging signal over time, stop pulling and turn-off the flame immediately. This is when single-mode taper is obtained. Check the transmission. If transmission is too low, repeat the procedure from 2.1.1. with modified gas

flow rate, flame size, and flame location. On occasion, low transmission could be due to bad alignment at step 2.1.3. or due to contamination of the exposed cladding.

2.1.10.) If resultant transmission through the taper is satisfactory, wait a few minutes to cool down the taper.

2.1.11.) Inspect the taper under a microscope. For 1550 nm operational wavelength, typical diameter of the single-mode taper is in the order of 1 - 2 μm.

2.2 Taper-coupling to WGR and searching for electronic signals indicating vibration.

2.2.1.) Set up the experiment in the configuration shown in Figure 3. Mechanical vibrations can be generated through both SBS and RP by the same experimental configuration. In order to clearly detect back-scattered signals as in the case of backward-SBS [4, 21], use a circulator between taper and tunable laser.

2.2.2.) Before turning on the tunable IR laser, make sure to set attenuators in place so that photodetectors are not damaged.

2.2.3.) Turn on and stabilize the tunable IR laser. A function generator is used to sweep the frequency of the input IR laser.

2.2.4.) Mount the resonator holder on a nanopositioning stage. Carefully bring the resonator close to the tapered fiber in order to obtain evanescent coupling. As the laser frequency is swept, optical resonances will appear as dips in transmission in the oscilloscope, as in Figure 2b of [22].

2.2.5.) Connect the photodetector output to an electrical spectrum analyzer (ESA), where the temporal interference (*i.e.* beat note) between the input laser light and the scattered light can be observed. This temporal interference occurs at the mechanical oscillation frequency. The "peak hold" function on the spectrum analyzer is often useful in the initial search for mechanical vibrations.

2.2.6.) Use higher input power while performing the initial search for mechanical vibration, especially when liquids are present inside the device. Note: Typically, input power in the order of 100 μW to the device is sufficient to excite mechanical vibration.

2.2.7.) If mechanical oscillation is observed, attempt to lock to the relevant optical mode by turning off the laser frequency scan and controlling the laser wavelength in CW mode. Here, both oscilloscope and spectrum analyzer are useful in tandem. Periodic signals appear on the oscilloscope when a mechanical mode is present, as seen in Figure 5 and [1, 6].

3. Measuring optomechanical vibrations

3.1 Optical and electronic signature of radiation pressure (RP) modes.

3.1.1.) As described in 2.2, mechanical oscillations will be observed when the taper and device are correctly coupled, the device optical and mechanical modes have sufficient Q-factors, and sufficient input optical power is provided. If oscillations in the range of 10MHz - 1GHz are not observed, attempt to change polarization to investigate different resonances, or increase the input power from tunable laser in order to overcome the minimum threshold for oscillation. When increasing the input power, always be careful not to saturate the photodetectors. Also, as described in [8], coupling distance is a key factor for exciting different RP modes.

3.1.2.) If mechanical modes are still not observed, try measuring optical quality factor. For microfluidic optomechanical resonators, results show that optical quality factor of 10^6 is sufficient to excite parametric oscillations [13].

Note: Usually, RP modes will manifest as electronic oscillations on the spectrum analyzer accompanied by their harmonics, as seen in Figure 5. Representative results will be discussed in section 4.

3.1.3.) Use a scanning Fabry-Perot interferometer or high-resolution optical spectrum analyzer to detect the optical side bands that are generated due to amplitude and phase modulation, which is in turn induced by the periodic cavity deformation. An example measurement may be seen in Figure 3h of [1].

3.2 Optical and electronic signature of whispering-gallery acoustic modes

3.2.1.) The acoustic frequency of backward-SBS for silica glass is approximately 11 GHz when a 1.5 micron pump laser is used [4, 23]. Use a circulator that monitors the back-scattered light and some small amount of Rayleigh-scattered pump, to observe electronic signals for these vibrational modes. Use a high-resolution optical spectrum analyzer to resolve the scattered light. An example measurement is shown in Figure 2 of reference [4].

3.2.2.) Use the beat note between forward scattered light and the pump laser to observe lower frequency (sub-1 GHz) whispering-gallery acoustic modes.

3.2.3.) Due to the lower mechanical rigidity in the breathing direction, the signal from SBS is sometimes weaker than the signal from the RP modes. Again, sweep the laser at slow speed, and use "peak hold" on the spectrum analyzer to help in finding the SBS signal.

3.2.4.) Note that unlike RP-excited breathing modes, SBS-excited whispering-gallery acoustic modes do not exhibit harmonics in the optical and electronic spectra (unless cascaded excitation takes place [4, 24]). Instead only one Stokes sideband appears for SBS modes.

Representative Results

The capillaries produced by this method are thin (between 30 µm - 200 µm), clear, and very flexible, but are sufficiently robust for direct handling. It is important to protect the outer surface of the capillary device against dust and water (moisture) in order to maintain a high optical quality factor (Q). By dipping one end of the capillary in water and blowing air through

the capillary by means of a syringe, it can be verified whether the capillary is through or whether was sealed off during fabrication due to overheating.

A tunable laser can be used to probe the optical modes of the fabricated device by means of tapered fiber waveguide coupling. In this test, sharp optical resonances are expected indicating high optical Q-factor. An additional indication for high Q-factor is the thermal broadening of the optical modes [25].

When RP-actuated parametric oscillation takes place, harmonics of the mechanical mode will be seen in the optical spectrum obtained on the output port of the tapered waveguide. This occurs due to the large modulation depth of the amplitude and phase modulation of the light, caused by the mechanical vibrations. Examples of the typically observed electrical spectrum are seen in Figure 5a and also in [1]. An oscilloscope trace of the signal exhibits periodic behavior (Figure 5b). Finite element analysis can be invoked to model the mechanical modes of the system, to confirm that the observed optical modulation corresponds to an eigenmechanical frequency. SBS driven mechanical modes are easily identified by the absence of harmonics of the fundamental mechanical signal, since only a single Stokes sideband is generated [6]. These modes typically occur at higher frequencies than the RP modes, although low frequencies are possible as well.

Tables and Figures:

Figure 1: <u>Schematic of the capillary pulling setup.</u> The microfluidic optomechanical resonators are drawn from a larger capillary preform attached to two linear stages while the glass is heated by the CO_2 laser. Both laser beams are carefully aligned to the same spot of the capillary. Moving direction and relative speeds of the linear stages are indicated by the arrows.

Figure 2: <u>Optomechanical bottle resonator fabrication</u>. (a) The capillary preform is pulled at a constant speed while being heated by means of CO_2 laser radiation. Note the glowing region is the laser target spot (where the beams heat the silica). When the required length and diameter are reached, (b) stop the linear stage motion and turn the lasers off. The pulled capillary is thin, clear, and very flexible. (c) Employ an E shape glass structure to mount the microcapillary resonator device as described in part 1.3. The optomechanical bottle resonator is now ready to be taken to the experimental setup and connected to tubing that will provide analytes. (d) Scanning electron micrograph of the fabricated optomechanical bottle resonator. Resonator radius and wall thickness can be varied as needed.

Figure 3: <u>Schematic of the testing setup</u>. Light is evanescently coupled into the resonator through a tapered fiber. A tunable IR laser (1520 – 1570 nm) is used as the light source and is fine-tuned to match a chosen optical mode of the resonator. Mechanical vibrations actuated by light in the resonator cause modulation of the input light at the mechanical vibration frequency. The electric fields of the optical pump and vibration-scattered light in the forward direction interfere temporally on the photodetector (PD) at the end of the tapered fiber. A beat note between the two optical signals is thus generated through the optical-power-to-current transduction taking place in the photodetector. This beating can be observed on an electric spectrum analyzer (ESA). A scanning Fabry-Perot cavity (FPC) and optical spectrum analyzer

(OSA) can also be used to directly observe the optical side bands that are generated due to the modulation.

Figure 4: <u>Coupling light from a fiber to micro resonator.</u> The E shape structure is mounted just above the tapered fiber so that light can be evanescently coupled into the resonators.

Figure 5: <u>Representative results</u>: (a) A breathing mechanical mode at 24.94 MHz in the microcapillary is excited by centrifugal radiation pressure by light circulating in an optical mode. Modulation of the input light by this mechanical vibration is observable on an electrical spectrum analyzer through beat-note generation on a photodetector placed in the forward-scattering direction (See Fig. 3). (b) An oscilloscope trace of the photodetector output signal (*i.e.* transmitted power) shows the periodic temporal interference of the input light and scattered light. (c) Finite element simulation for the corresponding breathing mode confirms that the observed optical modulation corresponds to an eigenmechanical frequency. Colors represent deformation and the simulation is sliced at the capillary mid-point for presentation.

Figure 6: <u>The mechanical frequency is presented as a function of the fluid density.</u> The same mechanical mode is measured on the same device with different concentrations of sucrose solutions present inside.

Table 1: Specific materials and tools used in these experiments

Discussion:

We have fabricated and tested a new device that bridges between cavity optomechanics and microfluidics by employing high-Q optical resonances to excite (and interrogate) mechanical vibration. It is surprising that multiple excitation mechanisms are available in the very same device, which generate a variety of mechanical vibrational modes at rates spanning 2 MHz to 11300 MHz. Centrifugal radiation pressure supports both wineglass modes and breathing modes in the 2 MHz - 200 MHz span, Forward stimulated Brillouin scattering allows mechanical whispering gallery modes in the 50 MHz - 1500 MHz range, and lastly, backward stimulated Brillouin scattering excites mechanical whispering gallery modes near 11,000 MHz.

The methods that are described in the current work enable the fabrication of these microfluidic resonators with ultra-high optical quality factors of about 10^8. Simultaneously, since liquids are now confined within the device, acoustic losses are brought under control and the device is able to maintain a high mechanical quality factor as well. With this platform, we have demonstrated that the density changes of a fluid contained within the device can be measured (Figure 6). In order to fully understand the opto-mechano-fluidic coupling that enables this, future work will involve multiphysical modeling of the device.

There are a few practical challenges associated with this fabrication method. For instance, the capillary material must be a good absorber for the 10.6 micron CO_2 laser radiation so that it can heat up sufficiently for the pulling process to take place. In this regard, the materials that have been tested for capillary fabrication are silica and quartz. Furthermore, the circular symmetry of the capillary is dictated by the relative power balance between the two lasers that are

employed during the pulling step, and by the location of the capillary in the laser target zone. Since the circular symmetry of the device is a key parameter for maintaining high optical and mechanical quality factor, misalignment of the capillary preform in the CO_2 laser target zone before pulling or during pulling can be a concern and care must be taken to keep this under control.

On the other hand, this fabrication method provides a lot of flexibility in the fabrication of silica-based optomechanical capillary resonators. By modulating the CO_2 laser power, the capillary diameter can be varied quite easily to suit the application. On demand spacing between adjacent bottle resonators is possible thanks to the high degree of computer control. Finally, control of the rate of pulling and the rate of "feed in" of the capillary preform provides an easy knob for controlling the capillary diameter.

In conclusion, the silica-based microcapillary platform as described is a low-cost, high-performance optical and optomechanical system that can be applied to a variety of studies with non-solid phase materials, including superfluids, and bio-analytes such as living cells. These devices can additionally leverage the very large body of literature on surface acoustic wave sensing of gases and liquids. As a result, this is an enabling technology for optical sensing applications.
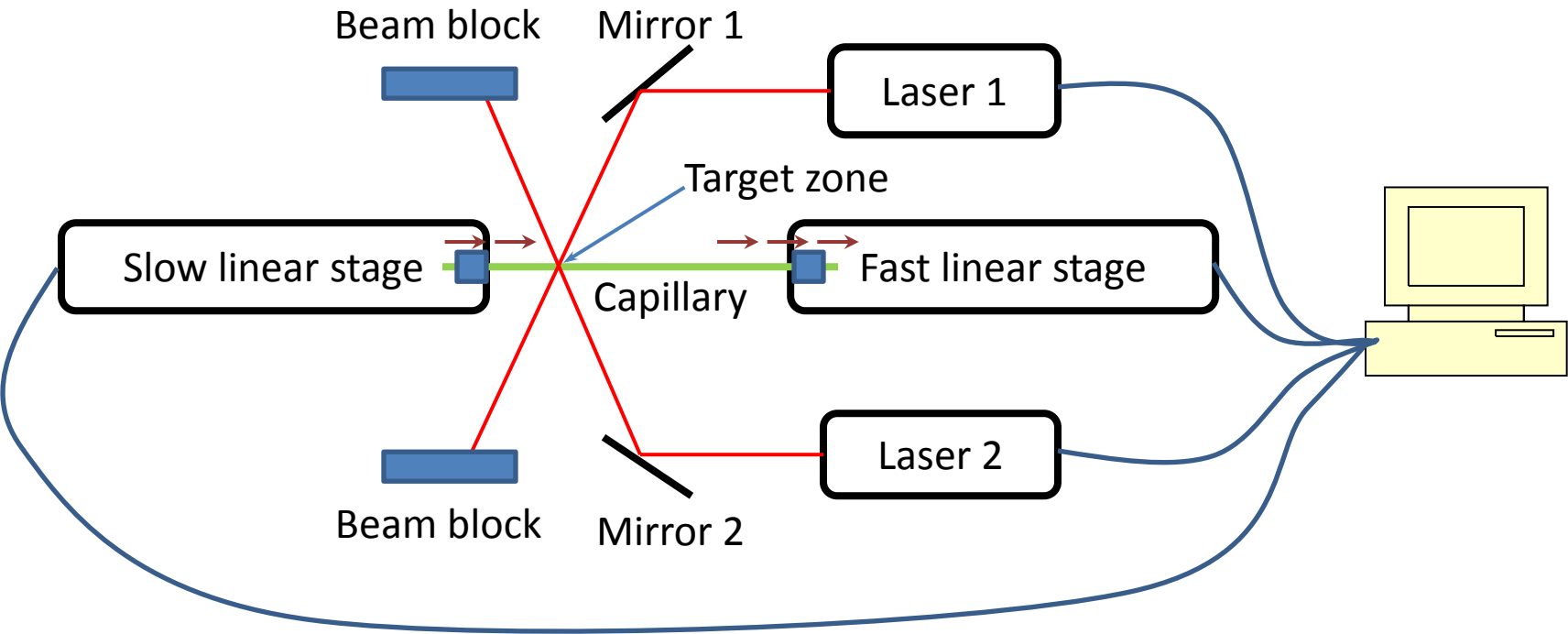
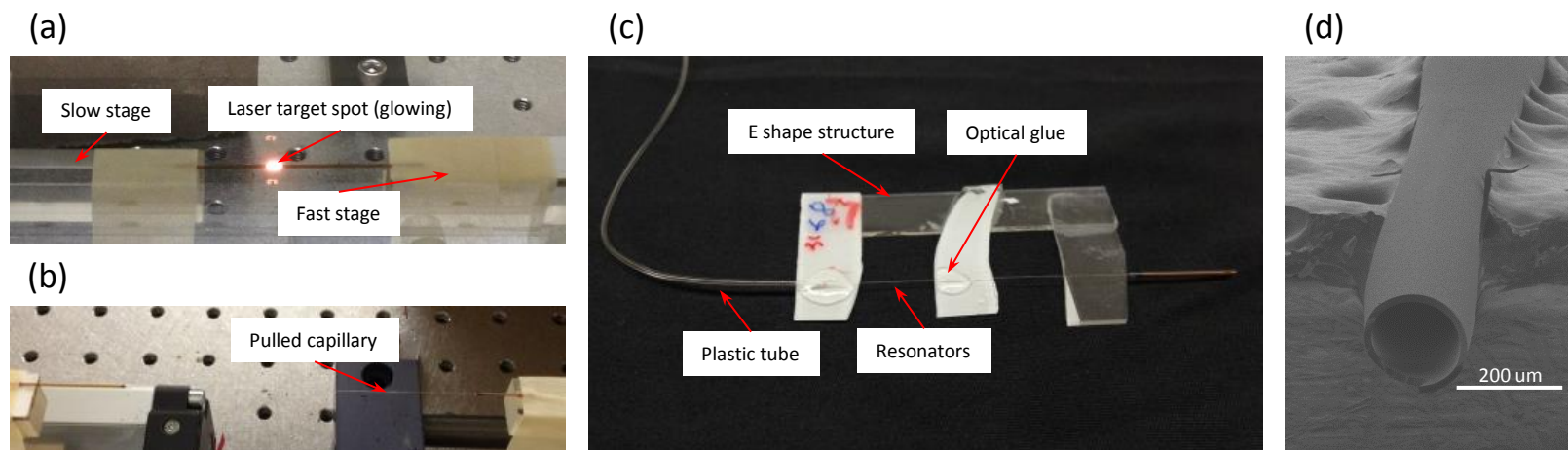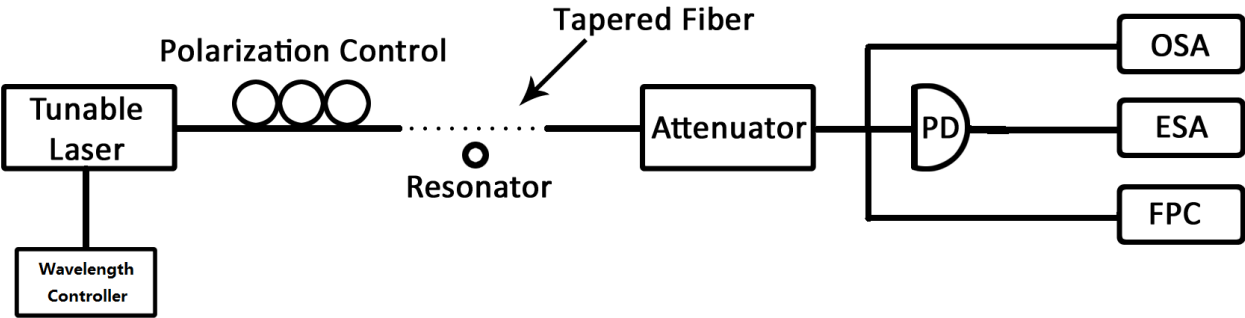**Disclosures:** We have nothing to disclose.

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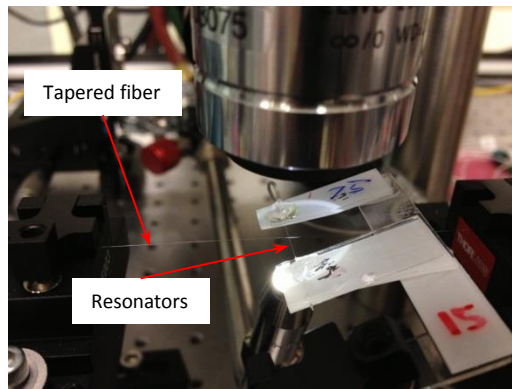
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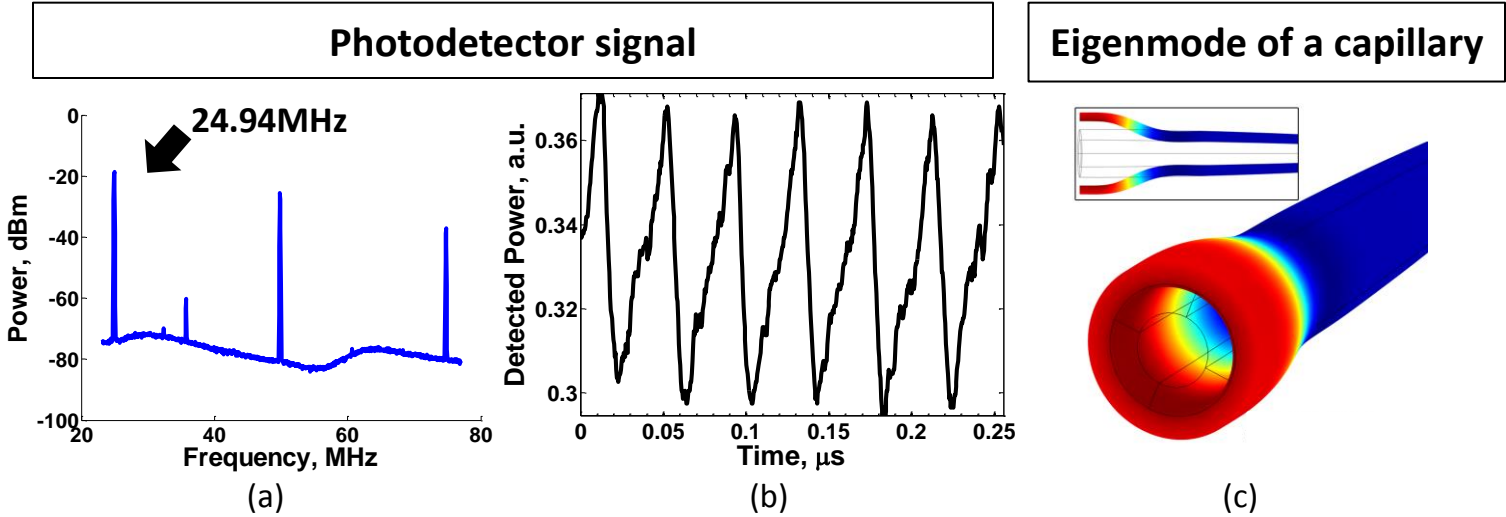
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(a)

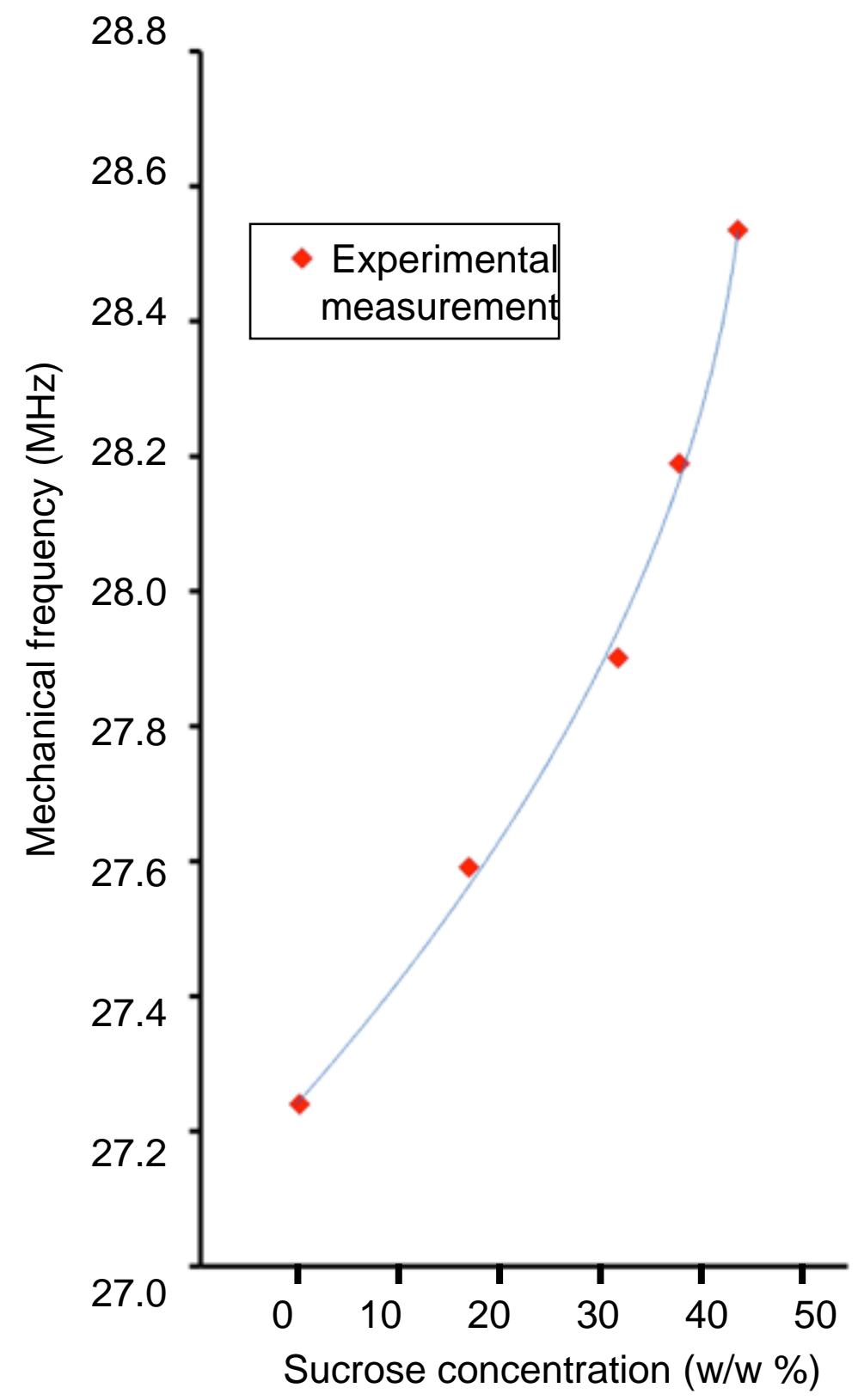Slow stage

Laser target spot (glowing)

Fast stage

(b)

Pulled capillary

(c)

E shape structure

Optical glue

Plastic tube

Resonators

(d)

200 um

4

Tapered fiber

Resonators

Photodetector signal

Eigenmode of a capillary

(a)

(b)

(c)

*Excel Spreadsheet- Table of Materials/Equipment
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Name of Material/ Equipment	Company	Catalog Number	Comments/Description
Tunable IR laser	Newfocus	TLB-6328	
Photodetectors	Newfocus	1811-FC (Low speed 125MHz) / 1611-FC-AC (High speed 1GHz)	
Optical fiber	Corning	SMF28	
Silica capillary	PolyMicro	TSP700850	
10.6 um wavelength CO_2 laser	Synrad	48-1KWM	
		48-2KWM	
UV-curing optical adhesive	Thorlabs	NOA81	
Tubing	Tygon	EW-06418-01	
Syringes	B-D	YO-07940-12	
Needles	Weller	KDS201P	
Electrical spectrum analyzer	Agilent Technologies	N9010A (EXA Signal Analyzer)	
	Tektronix	6114A (RSA, Real-time spectrum analyzer)	
Optical spectrum analyzer	Advantest	Q8384	
Oscilloscope	Tektronix	DPO 4104B-L	
Gold mirrors	II-VI Infrared	836627	
Linear stage (slow)	DryLin	H1W1150	
Linear stage (fast)	PBC Linear	MTB055D-0902-14F12	
Fabry Perot optical spectrum analyser	Thorlabs	SA 200-14A (FSR: 1.5 GHz)	

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Title of Article: FABRICATION AND TESTING OF MICROFLUIDIC OPTOMECHANICAL OSCILLATORS

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Letter to the editor, JoVE 51497R2
Fabrication and testing of microfluidic optomechanical oscillators

Dr. Sephorah Zaman
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Dear Dr. Zaman,

We are glad that we can finalize our paper for Journal of Visualized Experiments with some needed modifications. We address all of the questions and recommendations below.

Regards

Response to comments
(Changes are tracked in the manuscript)

Editorial comments

R1.1
With regard to the highlight of 3.2, the editor recommends that "...theoretical/computational/data analysis steps be left in the text of the manuscript, with the video referring to the manuscript text"

A1.1
As the editor's suggestion, we simply remove the highlight in 3.2.